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100th Congress, 1st Session — House Document 100-88, Vol. 1

FINANCIAL DISCLOSURE
REPORTS
OF MEMBERS OF THE U.S. HOUSE OF REPRESENTATIVES OF THE 100TH CONGRESS

FROM

January 1, 1986, to December 31, 1986

SUBMITTED TO THE CLERK OF THE HOUSE
PURSUANT TO 2 U.S.C. § 703(a)

(Volume 1 of 2)

JULY 1, 1987.—Referred to the Committee on Standards of Official Conduct and ordered to be printed

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LETTER OF TRANSMITTAL

OFFICE OF THE CLERK,
HOUSE OF REPRESENTATIVES,
Washington, DC, July 1, 1987.

Hon. JIM WRIGHT,
Speaker of the House of Representatives,
Washington, DC.

DEAR MR. SPEAKER: I hereby submit the annual compilation of personal financial disclosure statements filed with the Clerk of the House of Representatives pursuant to the Ethics in Government Act of 1978, as amended (2 U.S.C. § 701 *et seq.*), and House Rule XLIV.

This compilation contains the personal financial disclosure statements and amendments thereto filed by Members of the House pursuant to the Act and House Rule XLIV between January 1, 1987 and May 15, 1987, and includes the financial transactions as reported therein which occurred between January 1, 1986 and December 31, 1986.

House Rule XLIV provides that the annual compilation of reports filed with the Clerk be printed as a House document by July 1 and contain all such reports sent to him by Members within the period beginning January 1 and ending May 15 of each year. This compilation is submitted accordingly.

With great respect, I am
Sincerely yours,

DONNALD K. ANDERSON, *Clerk.*

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

(Full Name)

(Mailing Address)

(Office Use Only)

Check the appropriate box and fill in the blanks

☐ Member of the U.S. House of Representatives—District ______ State ______

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

SAMPLE

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed, identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION" **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY

SAMPLE

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

SAMPLE

IDENTITY	CATEGORY

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE

VIII

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

SAMPLE

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

ALPHABETICAL COMPILATION OF FINANCIAL DISCLOSURE REPORTS FILED BY MEMBERS OF THE U.S. HOUSE OF REPRESENTATIVES PURSUANT TO HOUSE RULE XLIV

[illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A For use by Members, officers, and employees

Gary Leonard Ackerman
(Full Name)

61-42 169th Street
(Mailing Address)

Flushing, NY 11365

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

X Member of the U.S. House of Representatives District 7 State New York

Officer or Employee—Employing Office

Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "print outs" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Multi-Media Adv. Inc./Flushing Tribune Inc.	family bus	$59,400	
Multi-Media Adv. Inc./Flushing Tribune Inc.(spouse)	" "	spouse	
Pride of Judea Mental Health Clinic (spouse)	salary	spouse	
New York Times, 1/11/86		$150.00	
United Jewish Appeal, 4/3/86	honorarium	$1,000.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
(M.Goldberg) rent on condo, 3501 Northwest 47th	Rent	C
Hawaiian Gardens-Pahase 7		
Lauderdale Lakes, FL 33319		
European American Bank-Money Market	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	(value of my holding) CATEGORY
Stock - Airship International (sold in '86)	A
Flushing Tribune Inc. (60% interest)	F
Multi-Media Adv. Inc. (60% interest)	E
Island Media Group Inc. (30% interest)	no value
1.11 acres vacant land in Pennsylvania	B
ACB Newspapers Inc. (33.3% interest)	A

3 7 0 7 1 2 1 1 2 5

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Florida Condo-Lauderdale Lakes (purchase)	5-15-86	C
Stock, Airship International, Ltd. under $1,000 (sale)	12-86	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Selig Zises (loan)	L
The Kislak Organization (MtgE)	B
Ambassador Savings & Loan Assoc. (MtgE)	B
National Bank of NYC loan - now paid	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Ken Dinnerstein	Food & lodging for self, spouse, children - weekend, 12/86

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements aggregating* $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Consultant	Flushing Tribune Inc., & Multi-Media Advertising Inc.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
see attached		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Gary L. Ackerman	May 15, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Gary L. Ackerman
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*			
II-A	Manatos & Manatos, Inc.	Honor. 12/18/86	$500	
	AFSCME	Honor. 12/22/86	$2,000	
III	European-American Bank-Money Market			C
	Condo-Lauderdale Lakes			C
IX	Agreement between Gary Ackerman, Michael Shenkler, the Flushing Tribune, Inc., and Multi-Media Advertising, Inc., in which Gary Ackerman, and/or his heirs or designees, received unearned income from the two corporations, as a result of his/their stock ownership in said corporations, and for which he need not provide any services.			

GPO 1986 61-688 (m)

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A For use by Members, officers, and employees

DANIEL K. AKAKA
(Full Name)

2301 RAYBURN HOUSE OFFICE BUILDING
(Mailing Address)

WASHINGTON, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks

X Member of the U.S. House of Representatives—District 2nd State HAWAII

Officer or Employee—Employing Office ______

Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "print-out's" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION" **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
STATE OF HAWAII	PENSION	$8,955	
DEPT. OF ARMY-U.S. GOVERNMENT	SPOUSE SALARY	--	
AKAKA FAMILY ESTATE	ESTATE DISTRIBUTION	230	
UNIVERSITY OF GUAM-REC 5/25/86	HONORARIUM	2,000	
PROFESSIONAL INSURANCE AGENTS-REC 11/10/86	HONORARIUM	1,000	
NATIONAL TOUR ASSOCIATION INC.-REC 4/22/86	HONORARIUM	1,000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
SEE "CONTINUATION SHEET" ATTACHED		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8

IDENTITY	CATEGORY
SEE "CONTINUATION SHEET" ATTACHED	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
AMERICAN SECURITY CORPORATION-MORTGAGE LOAN-RENTAL APARTMENT	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
SEE "CONTINUATION SHEET" ATTACHED	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
MEMBER	MUSICIANS ASSOCIATION OF HAWAII, LOCAL 677, AFM
MEMBER	NATIONAL FEDERATION ASSOCIATION
MEMBER	HAWAII GOVERNMENT EMPLOYEES ASSOCIATION

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Daniel K. Akaka	May 15, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987 [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

DANIEL K. AKAKA
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*		
PART II-B	SOURCE	TYPE	CATEGORY
UNEARNED INCOME	FT. SHAFTER FCU	INTEREST	A
	CENTRAL OAHU COMMUNITY FCU	INTEREST	A
	OAHU TEACHERS #2 FCU	INTEREST	A
	HOTEL & TRAVEL INDUSTRY FCU	INTEREST	A
	CONGRESSIONAL FCU	INTEREST	A
	HONOLULU FEDERAL EMPLOYEES FCU	INTEREST	A
	HAWAIIAN TELEPHONE EMPLOYEES FCU	INTEREST	A
	HAWAIIAN ELECTRIC INDUSTRIES INC.	DIVIDEND	A
	VANCE SANDERS SPECIAL FUND INC.	DIVIDEND	A
	VANCE SANDERS SPECIAL FUND INC.	CAPITAL GAIN	A

PART III	IDENTITY	CATEGORY
HOLDINGS	CONGRESSIONAL FCU-CHECKING	B
	CONGRESSIONAL FCU-SAVINGS	B
	HAWAIIAN ELECTRIC INDUSTRIES, INC.-COMMON STOCK	A
	VANCE SANDERS SPECIAL FUND, INC.-STOCK	A
	AKAKA ESTATE-REAL ESTATE PARTNERSHIP (NOJON, LTD., ICHI ASSOCIATES) AND SAVINGS ACCOUNTS	D
	PARK FAIRFAX CONDOMINIUM-ALEXANDRIA, VA-RENTAL PROPERTY	D

PART VII	SOURCE	BRIEF DESCRIPTION
REIMBURSEMENTS	UNIVERSITY OF GUAM	ROUND-TRIP AIR-FARE BETWEEN HONOLULU AND GUAM
	PROFESSIONAL INSURANCE AGENT	ROUND-TRIP AIR-FARE BETWEEN WASHINGTON D.C. AND HONOLULU
	NATIONAL TOUR ASSOCIATION INC	ROUND-TRIP AIR-FARE BETWEEN WASHINGTON D.C. AND HONOLULU
	PACIFIC COMMUNITY INSTITUTE TOURO COLLEGE, NY	ROUND-TRIP AIR-FARE FROM HONOLULU TAIWAN-KOREA-THAILAND-HONOLULU

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

WILLIAM VOLLIE ALEXANDER, JR.
(Full Name)

233 Cannon House Office Building
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 1st State Arkansas

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
Smokeless Tobacco Council, Inc.	(10-1-86)	Honorarium	1,000.00	NONE
Natl Assn. of Homebuilders	(3-31-86)	Honorarium	2,000.00	NONE
The Brookings Institution	(4-4-86)	Honorarium	300.00	NONE
Connell Rice & Sugar, Inc.	(7-22-86)	Honorarium	2,000.00	NONE
International Management and Development Institute	(7-17-86)	Honorarium	1,000.00	NONE

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Rental Portion of Washington, D.C. Residence	Rents	D
Wright Patman Congressional Federal Credit Union	Interest	A
Union Bank	Interest	A
Northwest Holding Company	Capital Gain	F

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X ____ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Rental Portion of Washington, D.C. Residence	D
Undeveloped Commercial Lot in Osceola, Arkansas	C
A Plus Leasing & Equipment Company, Inc., Osceola, Arkansas	A
Wright Patman Congressional Federal Credit Union	A

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Purchase of Fifty (50) Shares of Jules' Habitat, Inc.	July 15, 1986	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
The Bank of Imboden	B
Wright Patman Congressional Federal Credit Union	C
The Mercantile Bank	C
The Caraway Bank	A

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Split Rock Resort & Convention Center (Lake Harmony, Pennsylvania regarding speaking engagement)	Food & Lodging (3-14/3-15-86)

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE	NONE	NONE

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
Connell Rice & Sugar, Inc.	Reimburse Travel & Lodging Round Trip Washington, D.C./Newark, N.J. re Speaking Engagement
United Coal	Round Trip Transportation - Washington, DC to Bristol, VA to tour research facilities

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
President	A Plus Leasing and Equipment Company
Partner	Northwest Holding Company
Partner	Northwest Holding Company at Fort Smith
Partner	Southwest Holding Company
Partner	Capital Avenue Development Company
Partner	Boulder Properties I

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE	NONE	NONE

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 15, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

WILLIAM VOLLIE ALEXANDER, JR.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	CATEGORY
III.	Partnership Interest (11.11%) Northwest Holding Company*	D
	Partnership Interest (8.33%) Southwest Holding Company*	C
	Partnership Interest (0.5%) Capital Avenue Development Company*	D
	Partnership Interest (11.11%) Northwest Holding Co. of Fort Smith*	C
	Partnership Interest (16.67%) Boulder Properties I*	A
	Fifty (50) Shares Common Stock - Jules Habitat, Inc.	D

*Entity is a real estate investment partnership. Percentage partnership interest represents pro-rata ownership interest in various real estate projects and developments.

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A: For use by Members, officers, and employees

Congressman Glenn M. Anderson
Full Name
2329 Rayburn HOB
U. S. House of Representatives
Mailing Address
Washington, D. C.   20515

MC
Office Use Only

Check the appropriate box and fill in the blanks

X Member of the U.S. House of Representatives—District 32nd State California

Officer or Employee—Employing Office

Check if amended statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure; all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See continuation sheet, page 3			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See continuation sheet, page 4		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X____ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See continuation sheet, pages 5 and 6	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer print-out may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
See continuation sheet, page 7		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
See continuation sheet, page 8	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation"

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
none	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION	VALUE
none		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
See continuation sheet, page 9	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	Hawthorne Savings & Loan, Hawthorne, California
Director	Hawthorne Financial Corp, Hawthorne, California
President & Director	Downtown Enterprises, Hawthorne, California

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5-21-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

Page 3 of 9

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

GLENN M. ANDERSON - M.C.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II-A	INCOME	TYPE	AMOUNT
	Hawthorne Savings & Loan Hawthorne, California	Director's fees for 1986	$11,050.00
	Hawthorne Financial Corp. Hawthorne, California	Director's fees for 1986	1,400.00
	Downtown Enterprises Hawthorne, California	Director's fees for 1986	900.00
	State of California Legislators Retirement Program	Retirement for 1986	46,671.36
	SPEAKING ENGAGEMENTS - Honorarium in each case given to charity.		
	Sheet Metal and Air Conditioning Contractors Nat'l Assoc (1/20/86)		500.00
	Amalgamated Transit (3/25/86)		1,500.00
	American Public Transit (3/25/86)		2,000.00
	Regular Common Carrier Conference (4/14/86)		2,000.00
	American Road & Transportation Building Association (7/23/86)		2,000.00
	Heron, Burchette & Ruckert (9/22/86)		2,000.00
	SPOUSE		
	Glenn M. Anderson Enterprises, Hawthorne, California	Salary for 1986	19,999.92
	Downtown Enterprises Hawthorne, California	Director's fees for 1986	900.00

U.S. GOVERNMENT PRINTING OFFICE [illegible]

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Page 4 of 9

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

GLENN M. ANDERSON - M.C.
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*		
II-B	INCOME	TYPE	CATEGORY
	Hawthorne Financial Corp. Hawthorne, California	Dividend for 1986	D
	Placer Savings & Loan	Dividend for 1986	A
	Mercury Savings & Loan	Dividend for 1986	A
	Landmark Land Corp.	Dividend for 1986	B
	American General Corp.	Interest for 1986	A
	Hawthorne Savings & Loan	Interest for 1986	D
	Mercury Savings & Loan	Interest for 1986	A
	IRA	Interest for 1986	C
	Bank of America	Interest for 1986	A
	Glenn M. Anderson Enterprises	Gross Rental for 1986	G

GPO 1986 61-688 (m)

Page 5 of 9

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

GLENN M. ANDERSON - M.C.
Name

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
III	HOLDINGS	CATEGORY
	Store building (11922 Hawthorne Blvd. Hawthorne, Calif)	E
	Restaurant (11908 Hawthorne Blvd. Hawthorne, Calif)	F
	Store building (11862, 11858D, 11864 & 11850 B&C, Hawthorne Blvd., Hawthorne, Calif)	F
	Units (4251-4257-4265 W. 122nd St., Hawthorne, Calif)	D
	Residential (12319 Cedar Avenue, Hawthorne, Calif)	D
	Motor Court (3911 West Imperial Way, Inglewood, Calif)	E
	Units (3927-35 W. Imperial Way, Inglewood, Calif)	E
	Units #1-2-3-4 (141 Spruce Avenue, Inglewood, Calif)	E
	Unit #301 (3432 Peck Avenue, San Pedro, Calif)	F
	Unit #19 (1239 W. Rosecrans, Gardena, Calif)	E
	Vacant lot (CIMA - San Bernardino County, Calif)	A
	Vacant lot (3921 West Imperial Highway Inglewood, Calif)	D
	37.8 acres undeveloped property - (La Quinta, Calif)	F

[illegible]

Page 6 of 9

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

GLENN M. ANDERSON - M.C.
Name

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
III	HOLDINGS - continued	CATEGORY
	Notes & Trust Bonds	
	Owed by Downtown Enterprises, Inc.	D
	Roy Ash - Ash-Ackerson & Co.	F
	Stocks & Bonds	
	American General Corp. (formerly Credit Thrift Financial)	C
	Placer Savings & Loan	C
	Hawthorne Financial Corp.	F
	Downtown Enterprises	B
	1950 Associates (formerly Joint Ventures, Project 101)	D
	Mercury Savings & Loan	A
	Landmark Land, Inc.	D
	Frontier Savings & Loan	A
	Memberships	
	La Quinta Country Club	C
	Bank/Savings & Loan Account	
	Wells Fargo Bank	C
	Bank of America	C
	Mercury Savings & Loan	A
	Sergeant at Arms	A
	Congressional Employees Federal Credit Union	A
	Spouse	
	Hawthorne Savings & Loan (IRA) (1-073566-D)	C

U.S. GOVERNMENT PRINTING OFFICE 1981 O—72-128

Page 7 of 9

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

GLENN M. ANDERSON - M.C.
Name

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
IV	TRANSACTIONS	CATEGORY
	1950 Associates (We had a loss of $3,177.00)	A

U.S. GOVERNMENT PRINTING OFFICE : 1981 O - [illegible]-228

Page 8 of 9

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

GLENN M. ANDERSON - M.C.
Name

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
V	LIABILITIES	
	IDENTITY	CATEGORY
	Store building (11922 South Hawthorne Blvd., Hawthorne, California)	D
	Residential property (12319 Cedar Avenue, Hawthorne, California	C
	Polly's Motor Court 3911 West Imperial Hwy. Inglewood, California	C
	Units (3927-35 West Imperial Hwy., Inglewood, California)	B
	Units (#1-2-3-4 - 141 Spruce Avenue, Inglewood, California)	C

INTEREST EXPENSE PAID IN 1986

Glenn M. Anderson Enterprises	$17,222.00

U.S. GOVERNMENT PRINTING OFFICE : 1981 O—73-728

Page 9 of 9

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

GLENN M. ANDERSON - M.C.
Name

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
VII	REIMBURSEMENTS	
	SOURCE	BRIEF DESCRIPTION
	Regular Common Carrier Conference (speech - expenses for self and spouse)	Roundtrip airfare, Los Angeles to Palm Beach, Florida - food and lodging - 2 nights

U.S. GOVERNMENT PRINTING OFFICE : 198 [illegible]

ND DELIVERE

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Michael Allen Andrews
(Full Name)

322 Cannon House Office Building
(Mailing Address)

Washington, D.D. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

X Member of the U.S. House of Representatives—District 25 State Texas

Officer or Employee—Employing Office ____________

Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure; all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Honoraria (See attached)	Earned	$ 6,200	
Directors Fee (Guardian Savings & Loan Assoc.	Earned	15,600	
Ann Andrews Interiors, Washington, D.C. (spouse)	Fee/ Commission	E	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
N/A		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Great Southwestern Financial Corp. (Stock)	E
Regents Park II & III, Ltd., Houston, Texas (Real Estate - Commercial Property Investment)	D

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Great Southwestern Financial Corp. Stock Sale	01/27/86	D
Great Southwestern Financial Corp. Stock Sale	10/15/86	C
Great Southwestern Financial Corp. Stock Sale	12/23/86	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Texas Commerce Bank - Clear Lake - Houston, Texas (Loan)	D
Weslayan Bank - Houston, Texas (Loan)	B
BancTexas - Houston, Texas (Loan)	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation"

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
N/A	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
N/A		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	Guardian Savings and Loan Association
National Board of Directors	Houston Ballet Association

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
N/A		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Michael A. Andrews	May 12, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Michael Allen Andrews
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II. Income	A. Source	Type	Amount
	-Connell Rice & Sugar Co., Inc	Honorarium	$2,000
	Received 1/7/86		
	-Pfizer Inc.	Honorarium	1,000
	Received 1/13/86		
	-Domestic Petroleum Council	Honorarium	700
	Received 1/29/86		
	-University of Houston - Clear Lake	Honorarium	1,000
	Received 5/10/86		
	-The Equitable - New York	Honorarium	1,500
	Received 8/4/86		

GPO [illegible] 81-[illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A: For use by Members, officers, and employees

Frank Annunzio
(Full Name)

2303 Rayburn Bldg.
(Mailing Address)

Washington, D. C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks

XX Member of the U. S. House of Representatives—District 11 State Illinois

Officer or Employee—Employing Office

Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987

- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.

- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION" **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
Chicagoland Assoc. of Savings Institutions	4-30-86	Honorarium	$2,000	N/A
American Collectors Association	2-21-86	Honorarium	500	N/A
Securities Industry Association(WesternDist.)	8-12-86	Honorarium	2,000	N/A
Mortgage Bankers Assoc. of America	10-17-86	Honorarium	2,000	N/A

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Gross rental income on N. Harlem property	Rent	E
Columbus Mutual & Prudential Insurance	Interest	A
Congressional Credit Union--Capitol Account	Interest	B
First Nat'l.Bk. of Lincolnwood - Passbook	Interest	A
First Nat'l. Bk.of Lincolnwood - Cert.of Dep.	Interest	D
Social Security Benefits - Angeline Annunzio	Pension	C
Social Security Benefits - Frank Annunzio	Pension	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO XX NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Certificate of Deposit - First National Bank of Lincolnwood	D
Passbook Savings Account - First National Bank of Lincolnwood	A
Capitol Savings Account - Congressional Credit Union	B
Store & Service Bldg. on N. Harlem Ave., Forest Park, Illinois	E
Parcel of land in Northbrook, Illinois, bounded by Lake-Cook Rd., Lee Rd., and Skokie Highway (10% interest)	E
Chicagoland General Tire Company (spouse interest)	C
Civil Service Pension Fund	D

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
6-mo. Certificate of Deposit - First National Bank of Lincolnwood - rolled over	2-28-86	D
	8-29-86	D

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
Mortgage held by Mr. LeRoy Zumack of Elmhurst, Illinois, on North Harlem property	B
Connecticut Mutual Life Insurance Company	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
N/A	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION	VALUE
N/A		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
Western District, Securities Industry Association	Round trip air, 5 nights
Santa Barbara, California June 27-July 2, 1986	lodging & means, myself
	& spouse.

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION	
Board of Directors - Member	Northwest Hospital (renamed JFK Medical	No remuneration.
	5645 West Addison St. Center)	
	Chicago, Illinois 60634	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
N/A		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Frank Annunzio	5/9/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers and employees

Beryl Franklin Anthony, Jr.
(Full Name)

1117 Longworth Building
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 4th State Arkansas

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION" **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Columbia Inst. of Political Res. 1-28-86	Honorarium	$1000	
Tobacco Institute 1-15-86	"	$1000	
Nat'l Asso. of Homebuilders 2-4-86	"	$1000	
Outdoor Advertising Asso. 2-27-86	"	$1000	
AR. Asso. of Bank Holding Co. 1-2-86	"	$2000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Anthony Forest Products Co.	return on equity	D
Sale of real estate lots - spouse	cap. gain	E
Merrill Lynch - cash manage. acct.-spouse	interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Anthony Forest Products Company	F

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sold-lots in Hope, AR.	8/86	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
National Bank of Commerce of El Dorado, AR.	F
First South Fed. Sav. and Loan of Pine Bluff, AR.	C
Worthen Bank & Trust Co. of Little Rock, AR.	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
Kemper Open Pro Am	individual gifts	$245.50

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
Columbia Inst. of Political Res. (tax conference)	Roundtrip airfare from Wash. to Jackson Miss.; 1 night lodging and meals
Tobacco Institute (speech)	Airfare from Houston, TX. to Palm Springs, CA. & back to Dallas, TX
Outdoor Advertising Asso. (speech) (spouse included)	Airfare from Dallas, TX. to Palm Spgs CA. and then back to Wash.; 6 nights lodging; meals

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13

POSITION	NAME OF ORGANIZATION
Director	Anthony Forest Products Co.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13

DATE	PARTIES TO	TERMS OF AGREEMENT
1979	Anthony Forest Prod. Co.	Family Business Consultant

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Beryl Anthony Jr.	May 13, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE [illegible]

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Page ____ of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Beryl Franklin Anthony, Jr.
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*			
II-A	S.E. Poultry & Egg Asso. 1-31-86	Honor.	$2000	
II-A	Equitable Life 1-27-86	"	$1500	
II-A	AR. Bus and Truck Asso. 1-31-86	"	$ 500	
II-A	Distilled Spirits Council 2-6-86	"	$1000	
II-A	Brookings Institute 3-4-86	"	$ 300	
II-A	AR. Poultry Fed. 3-3-86	"	$1000	
II-A	Scientific Apparatus Makers Asso. 3-26-86	"	$1000	
II-A	Am. Institute of CPAs 4-9-86	"	$2000	
II-A	New England Life 5-12-86	"	$2000	
II-A	Mass Mutual 5-3-86	"	$2000	
II-A	Nat'l Forest Prod. Co. 5-16-86	"	$2000	
II-A	Invest. Company Institute 6-4-86	"	$2000	port.-cha.
II-A	Am. Bus Asso. 6-10-86	"	$1000	charity
II-A	Frito Lay 7-28-86	"	$1000	charity
II-A	Philip Morris 9-18-86	"	$1000	charity
II-A	Am. Paper Institute 10-11-86	"	$1500	charity
II-A	Dealer Bank Asso. 9-11-86	"	$1000	charity
II-A	Alcoholic Bev. Leg. Council 9-18-86	"	$1000	charity
II-A	Dow, Lohnes, & Albertson - spouse	salary		
VII	S.E. Poultry & Egg Asso. (speech)	Roundtrip airfare from Wash. to Atlanta; 1 night lodging and meals		
VII	Tyson Foods (speech)	Roundtrip airfare from Wash. to Fayetteville, AR. & Little Rock to Wash.; 1 night lodging and meals		
VII	New England Life(speech) spouse included	Roundtrip airfare from Wash. to San Fran, CA.; 4 nights lodging in Monterey, CA. and meals		
VII	Mass Mutual (speech) spouse included	Roundtrip airfare from Wash. to Savannah, Georgia; 2 nights lodgin and meals		
VII	Thirty Group (speech)	Roundtrip airfare from Wash. to Colorado Springs, Colo.; 3 nights lodging and meals		
VII	Am. Paper Institute(speech)	Roundtrip airfare from Wash. to to Kiawah Island, S.C.; 1 night lodging and meals		
VII	Danny Thompson Memorial Golf Tourn. (charity event) spouse included	Roundtrip .irfare from Wash. to Sun Valley, Idaho; 3 nights lodging and meals		
VII	Charls Walker & Asso. (speech & tour) spouse included	Roundtrip airfare fr. Wash. to Nash., Tenn.; 1 night lodging and meals		
VII	Riceland Foods (speech)	Roundtrip airfare from Wash. to Little Rock, AR. and meals		

GPO 1986 61-689 (m)

HAND DELIVERED

BERYL ANTHONY, JR.
4TH DISTRICT, ARKANSAS

COMMITTEES:
WAYS AND MEANS
SELECT COMMITTEE ON CHILDREN,
YOUTH, AND FAMILIES

1117 LONGWORTH HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-3772

Congress of the United States
House of Representatives
Washington, DC 20515

[illegible]
[illegible] FEDERAL BUILDING
P.O. BOX [illegible]
EL DORADO, AR [illegible]
(501) 863-[illegible]

[illegible] FEDERAL BUILDING
PINE BLUFF, AR [illegible]
(501) 536-[illegible]

[illegible] FEDERAL BUILDING
P.O. BOX [illegible]
HOT SPRINGS, AR [illegible]
(501) 624-[illegible]

May 19, 1987

Mr. Donnald Anderson
Clerk of the House
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

✓
MC

Dear Mr. Anderson:

I am amending my financial disclosure statement for 1986.

Under Part II, Income - $1000 of my honorarium from the Investment Company Institute went to charity.

Under Part III, Holdings - I should have listed lots in Hope, Arkansas, which were owned by my wife in 1985 and 1986, category C.

Under Part V, Liabilities - my debts with the National Bank of Commerce, First South Federal Savings and Loan, and Worthen Bank & Trust Company were all personal.

Sincerely,

Beryl Anthony Jr.

Beryl Anthony, Jr.
Member of Congress

BA:ccq

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

E. Douglas Applegate
(Full Name)

2183 Rayburn House Office Building
(Mailing Address)

Washington, D. C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

X Member of the U.S. House of Representatives—District 18th State Ohio

Officer or Employee—Employing Office

Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

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WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION" **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Only from salary earned as U. S. Congressman			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
W.P. Cong. Fed. Credit Union- sav. acct	interest	A
Ohio Valley S & L - certificate of deposit	interest	A
Ohio Valley S & L - sav. acct.	interest	B
Ohio Valley S & L - certificate of deposit	interest	C
Ohio Valley S & L - certificate of deposit	interest	B
Ohio Valley S & L - certificate of deposit	interest	B

* See continuation sheet for additional unearned income

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO XX NA ____

For more information, see detailed Instruction Booklet at page 8

IDENTITY	CATEGORY
W. P. Cong. Fed. Credit Union - sav. acct.	A
Ohio Valley Sav. & Loan - sav. acct.	D
Ohio Valley Sav. & Loan - cert. of dep.	B
Ohio Valley Sav. & Loan - cert. of dep.	C
Ohio Valley Sav. & Loan - cert. of dep.	B
Ohio Valley Sav. & Loan - cert. of dep.	B

* See continuation sheet for additional holdings

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Ohio Valley S & L - cert. of dep. matured	01-28-86	B
Ohio Valley S & L - cert. of dep. matured	07-21-86	D
Ohio Valley S & L - cert. of dep. matured	11-21-86	B
Rec'd 40 shares of Biocraft Laboratories stock	01-23-86	A
Rec'd 100 shares of Mylan Laboratories stock	08-11-86	A

See continuation sheet for additional transactions

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of gifts of transportation, lodging, food, or entertainment aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of all other gifts aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986 is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 7, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE [illegible]

Page ____ of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

E. Douglas Applegate
Name

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value As Applicable		
II B	Cardinal Tax Exempt Fund - dividends rec'd		A
	Biocraft Laboratories - dividends rec'd		A
	Bob Evans Farms, Inc - dividends rec'd		A
	Mylan Laboratories, - dividends rec's		A
	Avon Lake 1st Mortgage Water Works bond -int		B
III	Bank One, Steubenville, OH - I.R.A.		B
	Bank One, Steubenville, Oh - I.R.A.		B
	Ohio Valley S & L - I.R.A		B
	Ohio Valley S & L - I.R.A		B
	The Ohio Company - I.R.A		B
	Bob Evans Farms - common stock		B
	Biocraft Laboratories - common stock		A
	Dow B. Hickam, Inc - common stock		A
	Mylan Laboratories - common stock		A
	Consolidated Stores - common stock		A
	Associated Communications - common stock		B
	Cardinal Tax Exempt Fund - money market		A
	Avon Lake 1st Mortgage Water Works bond		C
IV	Rec'd 150 shares Bob Evans Farms, Inc.	09-29-86	B
	Bought $25,000 Avon Lake water works bond	2-21-86	C
	Bought 200 sha.es Mylan Laboratories	03-25-86	A
	Bought 150 shares Biocraft Laboratories	06-24-86	A
	Bought 100 shares Consolidated Stores	06-27-86	A
	Bought 300 shares Associated Communication	10-23-86	B
	Bought 66 shares Bob Evans Farms, INc.	02-21-86	A
	Bought 300 shares Dow B. Hickam	02-21-86	A
	Sold 120 shares Biocraft Laboratories	06-09-86	A
	Sold 100 shares Consolidated Stores	12-26-86	A
	Sold 300 shares Associated Communications	12-26-86	B

GPO 1986 O - [illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A (For use by Members, officers, and employees)

William R. Archer, Jr.
(Full Name)

1135 Longworth House Office Building
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 7th State Texas

☐ Officer or Employee—Employing Office

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ___ NO X NA ___

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
W.R.A., Inc.	Director's fees	$9,000.00	
National Association of Realtors	Honorarium	$2,000.00	
Permian Basin Association	Honorarium	$400.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
U.S. Life	Dividend	A
Texfi Bonds	Interest	A
San Juan, N.M.	Royalty	C
Halliburton Co.	Dividend	A
(Spouse) Steven Kay	Interest	C
(Spouse) CoastUp Properties	Interest	C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 8

IDENTITY	CATEGORY
(Spouse) United Virginia Bank Money Market Acct.	B
(Spouse) Alamo Savings Certificate of Deposit	C
(Spouse) National Permanent Bank Certificate of Deposit	C
(Spouse) Charles Schwab Money Market	B
(Spouse) Mutual Funds	B
For additional items see attached Financial Statement	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Liquidation of W.R.A., Inc. stock	12/31/86	F
Coin Collection - purchased	2/25/86	B
Coin Collection - purchased	8/7/86	A
House and Lot, Arlington County, VA - purchased	10/6/86	E
Mutual Fund (Spouse) - purchased	11/26/86	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
none	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
none	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
none		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
Japan Society (luncheon speaker)	Roundtrip airfare between D.C. & New York City, lodging for two nights and one meal.
Wine Institute (speaker at tax session)	Roundtrip airfare between D.C. and San Francisco, one meal.
Shell Oil Company (Speaker at employee seminar - and fact-finding at offshore drilling platform)	Roundtrip airfare between D.C. and Anchorage, Alaska, lodging for 3 nights, three meals.

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature

For more information, see detailed Instruction Booklet at page 13

POSITION	NAME OF ORGANIZATION
Director	W.R.A., Inc.
Director	Houston Chapter, American Society for the Prevention of Cruelty to Animals.
Partner	Archer Associates Partnership

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13

DATE	PARTIES TO	TERMS OF AGREEMENT
none		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5-15-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

William R. Archer, Jr.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II-B	Archer Associates Partnership	Dividend	B
II-B	Liquidation of W.R.A., Inc.	Capitol Gain	G
II-B	Cong. Federal Credit Union	Interest	A
II-B	Gulf States Utilities	Dividend	A
II-B	W.R.A., Inc.	Dividend	D
II-B	RepublicBank	Dividend	A
II-B	Merrill Lynch CMA	Dividend	D
II-B	Springfield Tract Partnership	Interest	A
II-B	Westwood Shopping Center	Rental	A
II-B	City of Valdez, Alaska Bonds	Interest	B
II-B	Archer Associates Partnership	Dividend	D
II-B	Washington Federal Savings & Loan	Interest	C
II-B	(Spouse) Citicorp Savings	Interest	D
II-B	Citicorp Savings	Interest	A
II-B	Home Federal Savings & Loan	Interest	D
II-B	Sale 28 shares IDC Services	Capital Gain	A
II-B	(Spouse) Mendocino Real Estate	Capital Gain	E
II-B	Mutual Fund Distribution	Capital Gain	A

IV	Mutual Fund - purchased 11/26/86	B
IV	Sale of 28 shares of IDC Services Stock 7/21/86	A
IV	(Spouse) Sale of House and Lot, Mendocino, CA 10/10/86	C
IV	Formation of Archer Associates Partnership, Capital Contribution 12/31/86	F

VII	Standard Oil Company of Ohio (participant in fact-finding trip to North Slope, Alaska; fact-finding trip for energy projects along Alaskan Pipeline; and ANWR investigation). Roundtrip airfare from Fairbanks to Anchorage; 1 day auto rental; roundtrip airfare from Anchorage to Prudoe Bay; one night lodging and 3 meals.
VII	National Petroleum Refiner's Assn. (Program Speaker). Roundtrip airfare from Houston to Colorado Springs and return to D.C.
VII	National Assn. of Realtor's Legislative Committee Annual Convention (Speaker at tax session). Roundtrip airfare between D.C. and New York City, 2 nights lodging, three meals and theatre tickets.
VII	Western Regional Council (Program Speaker). Roundtrip airfare between D.C. and Phoenix, Arizona and 1 night lodging.
VII	Permian Basin Petroleum Assn. (Luncheon speaker). Airfare from Houston to Midland, TX and return to D.C.

HAND DELIVERED

BILL ARCHER
7TH DISTRICT, TEXAS

MEMBER
WAYS AND MEANS
COMMITTEE

JOINT COMMITTEE
ON TAXATION

Congress of the United States
House of Representatives
Washington, DC 20515

WASHINGTON OFFICE
1135 LONGWORTH
HOUSE OFFICE BUILDING
(202) 225-2571

DISTRICT OFFICE
FEDERAL OFFICE BUILDING
HOUSTON, TX 77002
(713) 229-2763

May 13, 1987

MC

Dear Mr. Anderson:

I would appreciate your assistance in making the enclosed copy of my voluntary December 31, 1986 Financial Statement part of my permanent record along with the enclosed Financial Disclosure Statement for 1986 which is required by law.

Thank you very much, and with best wishes, I remain

Sincerely,

Bill Archer

Bill Archer
Member of Congress

Honorable Donnald K. Anderson
Clerk of the House
H-105, The Capitol
Washington, D.C. 20515

FINANCIAL STATEMENT
WILLIAM R. ARCHER, JR.
DECEMBER 31, 1986

ASSETS:		
Cash (Sgt. at Arms Acct.)		$14,535.00
Cash (Cong. Fed. Credit Union Acct.)		5,721.93
Certificate of Deposit (San Jacinto Savings)		30,000.00
Certificate of Deposit (Citicorp Savings)		30,267.00
Certificate of Deposit (Home Federal)		100,000.00
Merrill Lynch Cash Management Account		24,320.00
I.R.A. (San Jacinto Savings)		13,484.00
Life Insurance Cash Value		8,300.00
Federal Government Retirement Fund		57,267.00
E.F. Hutton Retirement Fund		6,992.00
Stocks and Bonds (Market Value 12-31-86):		
416 shares U.S. Life at 43.50	18,096.00	
300 shares Halliburton at 24.40	7,320.00	
317 shares RepublicBank at 19.00	6,023.00	
754 shares Gulf States Utilities at 7.40	5,580.00	
5 Texfi bonds at 535.00	2,675.00	
4 $5,000 Valdez, Alaska Bonds at 4,262.50	17,050.00	
100 shares Amerada Hess at 23.75	2,375.00	
49 shares Material Sciences at 22.85	1,120.00	
15 shares Paradine at 5.00	75.00	
Mutual Funds	15,000.00	
TOTAL STOCKS AND BONDS		75,314.00
Note Receivable - Richard M. Archer		9,147.00
26.1% Interest in Archer Associates, A Partnership		267,198.00
Real Estate:		
House and Lot, N. Arlington, VA (at cost)	115,050.00	
House and Lot, McLean, Virginia (at cost)	439,829.00	
Investment Equities in Houston Real Estate at cost less depreciation	18,616.00	
TOTAL REAL ESTATE		573,495.00
Mineral Royalty Interest, San Juan, New Mexico (at cost)		2,000.00
1983 Buick Skylark		4,000.00
Coin Collection (at cost)		14,496.00
TOTAL ASSETS		1,236,536.93
LIABILITIES:		0.00

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

William R. Archer, Jr.
(Full Name)

1135 Longworth House Office Building
(Mailing Address)

Washington, D.C. 20515

✓
MC
(Office Use Only)

Check the appropriate box and fill in the blanks

X Member of the U.S. House of Representatives—District 7th State Texas

Officer or Employee—Employing Office ________

Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X ____ NA ____

For more information, see detailed Instruction Booklet at page 7

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
W.R.A., Inc.	Director's Fees	$9,000.00	
National Association of Realtors	Honorarium	$2,000.00	
Permian Basin Association	Honorarium	$400.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
U.S. Life	Dividend	A
Texf' Bonds	Interest	A
San Juan, N.M.	Royalty	C
Halliburton Co.	Dividend	A
(Spouse) Unsecured Note - Steven Kay	Interest	C
(Spouse) CoastUp Properties, House & Lot Mortgage Note - Mendocino, CA	Interest	C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
(Spouse) United Virginia Bank Money Market Acct.	B
(Spouse) Alamo Savings Certificate of Deposit	C
(Spouse) Citicorp Savings & Loan Certificate of Deposit	C
(Spouse) Charles Schwab Money Market	B
(Spouse) Hartwell Leverage Mutual Fund	A
(Spouse) Scudder Cap Growth Mutual Fund	A
(Spouse) Value Line Leverage Growth Mutual Fund	A

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
Liquidation of W.R.A. Inc. stock	12/31/86	F
Coin Collection - purchased	2/25/86	B
Coin Collection - purchased	8/7/86	A
Real Estate - House & Lot, Arlington County, VA - purchased	10/6/86	E
(Spouse) Hartwell Leverage Mutual Fund - purchased	11/26/86	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
none	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
none	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION	VALUE
none		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
Japan Society (luncheon speaker)	Roundtrip airfare between D.C. & New York City, lodging for two nights & one meal.
Wine Institute (speaker at tax session)	Roundtrip airfare between D.C. & San Francisco, one meal.
Shell Oil Co. (Speaker at employee seminar & fact-finding at offshore drilling platform)	Roundtrip airfare between D.C. & Anchorage Alaska, lodging for 3 nights, 3 meals.

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13

POSITION	NAME OF ORGANIZATION
Director	W.R.A., Inc.
Director	Houston Chapter, American Society for the Prevention of Cruelty to Animals.
Partner	Archer Associates Partnership

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13

DATE	PARTIES TO	TERMS OF AGREEMENT
none		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Bill Archer	3/31/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

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Page 1 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

William R. Archer, Jr.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II-B	(Spouse) United Virginia Bank Money Market Acct.	Interest	A
II-B	Archer Associates Partnership	Dividend	B
II-B	Liquidation of W.R.A., Inc.	Capital Gain	G
II-B	Cong. Federal Credit Union	Interest	A
II-B	Gulf States Utilities	Dividend	A
II-B	W.R.A., Inc.	Dividend	D
II-B	RepublicBank	Dividend	A
II-B	Merrill Lynch CMA	Dividend	D
II-B	Springfield Tract Partnership	Interest	A
	(real estate - 3.75% interest)		
II-B	R/C Westwood Shopping Center Partnership	Interest	A
	(1.5% interest)		
II-B	City of Valdez, Alaska Bonds	Interest	B
II-B	Archer Associates Partnership	Dividend	D
II-B	Washington Federal S&L Certificate of Deposit	Interest	C
II-B	(Spouse) Citicorp Savings Certificate ofDeposit	Interest	D
II-B	Citicorp Savings	Interest	A
II-B	Home Federal Savings & Loan	Interest	D
II-B	Sale 28 shares IDC Services	Capital Gain	A
II-B	(Spouse)CoastUp Property, Mendocino, CA	Capital Gain	I
II-B	Mutual Fund Distribution	Capital Gain	A
	(Hartwell Leverage M.F., Scudder		
	Cap Growth M.F., & Value Line Leverage M.F.)		
II-B	(Spouse) Charles Schwab Money Market Fund	Interest	A
III	(Spouse) CoastUp Properties, Mendocino, CA		C
III	(Spouse) Steven Kay Unsecured Note		C
III	Springfield Tract Partnership Interest (3.75%)		A
III	Meadowlake Estates Real Estate Joint Venture (6.25%)		B
III	R/C Westwood Shopping Center Real Estate Partnership		A
	Interest(1.5%)		
III	R/C Citizen Medical Plaza Real Estate Partnership		A
	Interest (1.0%)		
III	Howard Chen Real Estate Partnership Interest (2.5%)		B
III	Washington Federal S&L Certificate of Deposit		D
III	Home Federal S&L Certificate of Deposit		D
III	National Permanent Bank (Citicorp) Certificate of Deposit		C
III	San Jacinto S&L Certificate of Deposit		C
III	San Jacinto S&L Certificate of Deposit		C
III	Sgt. at Arms Account		B
III	Wright Patman Federal Credit Union Account		B
III	Merrill Lynch CMA Account		C
III	I.R.A. Account - San Jacinto Savings & Loan		B
III	Federal Government Retirement Fund		D
III	416 shares U.S. Life stock @ 43.50		C
III	300 shares Halliburton @24.40		B
III	317 shares RepublicBank @19.00		B
III	754 shares Gulf States Utilities @7.40		B
III	5 Texfi Bonds @535.00		A
III	4 $5,000 Valdez, Alaska Bonds @ 4,262.50		C
III	100 shares Amerada Hess @ 23.75		A
III	49 shares Material Sciences @22.85		A
III	15 shares Paradine @5.00		A
III	Hartwell Leverage Mutual Fund		A
III	Scudder Cap Growth Mutual Fund		A
III	Value Line Leverage Growth Mutual Fund		A
III	Note Receivable - Richard M. Archer		B
III	26.1% Interest in Archer Associates, A Partnership		F
III	Real Estate, House & Lot, N. Arlington, VA (at cost		E
III	Mineral Royalty Interest, San Juan, New Mexico (at cost)		A

Page 2 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

William R. Archer, Jr.
Name

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
III	Coin Collection (at cost)	B
IV	(Spouse) Scudder Cap Growth Mutual Fund - purchased 11/26/86	A
IV	(Spouse) Value Line Leverage Growth Mutual Fund purchased - 11/26/86	A
IV	Hartwell Leverage Mutual Fund - purchased 11/26/86	A
IV	Scudder Cap Growth Mutual Fund - purchased 11/26/86	A
IV	Value Line Leverage Growth Mutual Fund - purchased 11/26/86	A
IV	Sale of 28 shares of IDC Services Stock - 7/21/86	A
IV	(Spouse) Sale-Coastup property, Mendocino, CA 10/10/86	C
IV	Formation of Archer Associates Partnership Capital Contribution 12/31/86	I
IV	(Spouse) Payment Steven Kay Note 9/10/86	C
IV	Purchase Home Federal S&L Certificate of Deposit 1/21/86	D
IV	Payment Washington Federal Certificate of Deposit 1/21/86	D
IV	Payment National Permanent (Citicorp) CD 5/28/86	C
IX	Purchase San Jacinto S&L CD 8/11/86	C
IV	Purchase San Jacinto S&L CD 5/28/86	C
VII	Standard Oil Co. of Ohio (participant in fact-finding trip to North Slope, Alaska; fact-finding trip for energy projects along Alaskan Pipeline; and ANWR investigation). Rountrip airfare from Fairbanks to Anchorage; 1 day auto rental; roundtrip airfare from Anchorage to Prudoe Bay; one night lodging and 3 meals.	
VII	National Petroleum Refiner's Assn. (Program Speaker) Roundtrip airfare from Houston to Colorado Springs and return to D.C.	
VII	National Assn. of Realtor's Legislative Committee Annual Convention (Speaker at tax session). Rountrip airfare between D.C. and New York City, 2 nights lodging, 3 meals and theatre tickets.	
VII	Western Regional Council (Program Speaker). Roundtrip airfare between D.C. and Phoenix, Arizona and 1 night lodging.	
VII	Permian Basin Petroleum Assn. (Luncheon speaker). Airfare from Houston to Midland, TX and return to D.C.	

HAND DELIVERED

BILL ARCHER
7th District, Texas

MEMBER
WAYS AND MEANS
COMMITTEE

JOINT COMMITTEE
ON TAXATION

Congress of the United States
House of Representatives
Washington, DC 20515

Washington Office
1135 Longworth
House Office Building
(202) 225-2571

District Office
[illegible] Federal Office Building
Houston, TX 77002
(713) 229-2763

May 13, 1987

MC

Dear Mr. Anderson:

I would appreciate your assistance in making the enclosed copy of my voluntary December 31, 1986 Financial Statement part of my permanent record along with the enclosed Financial Disclosure Statement for 1986 which is required by law.

Thank you very much, and with best wishes, I remain

Sincerely,

Bill Archer
Member of Congress

Honorable Donnald K. Anderson
Clerk of the House
H-105, The Capitol
Washington, D.C. 20515

FINANCIAL STATEMENT
WILLIAM R. ARCHER, JR.
DECEMBER 31, 1986

ASSETS:

Cash (Sgt. at Arms Acct.)		$14,535.00
Cash (Cong. Fed. Credit Union Acct.)		5,721.93
Certificate of Deposit (San Jacinto Savings)		30,000.00
Certificate of Deposit (Citicorp Savings)		30,267.00
Certificate of Deposit (Home Federal)		100,000.00
Merrill Lynch Cash Management Account		24,320.00
I.R.A. (San Jacinto Savings)		13,484.00
Life Insurance Cash Value		8,300.00
Federal Government Retirement Fund		57,267.00
E.F. Hutton Retirement Fund		6,992.00
Stocks and Bonds (Market Value 12-31-86):		
416 shares U.S. Life at 43.50	18,096.00	
300 shares Halliburton at 24.40	7,320.00	
317 shares RepublicBank at 19.00	6,023.00	
754 shares Gulf States Utilities at 7.40	5,580.00	
5 Texfi bonds at 535.00	2,675.00	
4 $5,000 Valdez, Alaska Bonds at 4,262.50	17,050.00	
100 shares Amerada Hess at 23.75	2,375.00	
49 shares Material Sciences at 22.85	1,120.00	
15 shares Paradine at 5.00	75.00	
Mutual Funds	15,000.00	
TOTAL STOCKS AND BONDS		75,314.00
Note Receivable - Richard M. Archer		9,147.00
26.1% Interest in Archer Associates, A Partnership		267,198.00
Real Estate:		
House and Lot, N. Arlington, VA (at cost)	115,050.00	
House and Lot, McLean, Virginia (at cost)	439,829.00	
Investment Equities in Houston Real Estate at cost less depreciation	18,616.00	
TOTAL REAL ESTATE		573,495.00
Mineral Royalty Interest, San Juan, New Mexico (at cost)		2,000.00
1983 Buick Skylark		4,000.00
Coin Collection (at cost)		14,496.00
TOTAL ASSETS		1,236,536.93
LIABILITIES:		0.00

[illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Richard Keith Armey
(Full Name)

330 Canyon Oaks Drive
(Mailing Address)

Argyle, TX 76226

HC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 26 State Texas

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
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WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

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(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

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For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Family Psychiatric Clinic - SPOUSE	Compensation		
See attached for honoraria			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
TIAA - GREF Teachers Retirement	Annuity	B
Capital National Bank - Fort Worth, TX savings acct.	Interest	B
First State Bank - Denton, TX savings account	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Capital National Bank - Fort Worth, Texas	C
First State Bank - Denton, Texas	B

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY		CATEGORY
Capital National Bank - Fort Worth, Texas		C
Denton Area Teachers Credit Union - Denton, Texas		B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Bill Bowen, Dallas, Texas	Five day use of vacation condominium

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Hillsdale College, Hillsdale, MI	Round trip airfare from Dallas to Hillsdale, MI on 8/20/86

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	Texas Bureau of Economic Understanding
President	Institute for Policy Innovation

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Richard K. Armey	5-13-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

[illegible]

Congressman Richard K. Armey
Honoraria - 1986

SOURCE	DATE	AMOUNT	DISPO-SITION
Conservative Digest	02-05-86	250	NONE
Texas Polygraph Association	04-19-86	250	NONE
American Legion #79	05-26-86	75	NONE
American Hardware Manufacturers Assoc.	06-05-86	1,000	NONE
W. R. Grace & Co.	06-30-86	500	NONE
Nat'l Assoc. of Chain Drug Stores, Inc.	07-11-86	1,000	NONE
Nat'l Assoc. of Tobacco Distributors	07-14-86	750	NONE
Hillsdale College	08-20-86	2,000	NONE
University of Dallas	10-17-86	300	NONE
Richard Ford Associates, Inc.	11-20-86	100	NONE
National Chamber Foundation	12-10-86	1,000	NONE

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A: For use by Members, officers, and employees

Les Aspin
(Full Name)

2336 Rayburn House Office Building
(Mailing Address)
Washington,D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks

X Member of the U.S. House of Representatives—District 1st State Wisconsin

Officer or Employee—Employing Office

Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
(see attached list)			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Interest on Savings- 1st Financial	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8

IDENTITY	CATEGORY

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
N/A		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
N/A	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
N/A	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
N/A		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Aspen Institute:JFK School of Government -seminar participant	airfare:Milwaukee-Denver-Jackson Hole, Wyoming
	rental car: Hertz

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
N/A	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
N/A		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Les Aspin	May 15, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987 [illegible]

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Page 2 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Representative Les Aspin
(Name)

Continuation Sheet

Part		Source, Type, Amount, Category, Description or Value (As Applicable)		
(II. A.)				
received	1/24	Ernest Wittenberg Assoc. Congressional Leadership Seminar	honorarium	$2000
received	1/29	Capitol Forum Group	honorarium	$ 500.00
received	2/21	Technology Forum	honorarium	$ 500.00
received	2/21	Chamber of Commerce, Huntsville, Alabama: Defense Preparedness Conference	honorarium	$2000
received	2/25	LTV Aerospace & George Washington University Studies Program, Security Policy	honorarium	$2000
received	3/10	Alliance Capital Management Corp.	honorarium	$2000
received	4/15	HME International Advisory Assoc. Inc.	honorarium	$2000
received	4/30	Marine Machinery Assoc. Annual Mtg.	honorarium	$1000
received	5/5	Fairchild Industries	honorarium	$2000
received	5/5	Washington Journalism Center	honorarium	$200.00
received	5/15	Institute for Foreign Policy Analysis	honorarium	$1500
received	6/17	Issues in Science & Technology Magazine	article	$500.00
received	7/30	Wednesday's with the House	honorarium	$2000
received	8/22	L.A. Times:	Op-Ed article	$ 150.00
received	9/25	American Business Conference Inc.	honorarium	$1000
received	11/17	American Stock Exchange: US Perspectives VI Conference	honorarium	$2000
				total=$21,350.00

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

MAY 15 1987

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Chester G. Atkins
(Full Name)

Room 504, Cannon House Office Building
(Mailing Address)

Washington, DC 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks:

☒ Member of the U.S. House of Representatives—District 5th State Massachusetts

☐ Officer or Employee—Employing Office

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed, identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "print outs" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure; all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO XX NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts, such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION." **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Massachusetts Democratic State Committee	Salary	$18,000	
Raytheon Company	Honorarium	2,000	
John Hancock Life Insurance Company	Honorarium	1,000	
National Association of Community Health Ctrs.	Honorarium	500	
Bechtel Corporation	Honorarium	500	Contrib. to Charit

SEE CONTINUATION SHEET

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000, B—$1,001-$2,500, C—$2,501-$5,000, D—$5,001-$15,000, E—$15,001-$50,000, F—$50,001-$100,000, G—over $100,000.

B. SOURCE	TYPE	CATEGORY
BayBank Harvard Trust Company	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000, B—$5,001-$15,000, C—$15,001-$50,000, D—$50,001-$100,000, E—$100,001-$250,000, F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES NO NA

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
NONE	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME, any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item, and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Congressional Federal Credit Union	B
(Other liabilities are mortgage loans on personal residences,	
and thus exempt from disclosure)	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation."

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986:

SOURCE	BRIEF DESCRIPTION
National Legislative Education Foundation, Inc. (Conf.)	R-T train, White Sulphur Springs - D Family - 3 days meals, 2 nights lodging
Miami Congressional Workshop (Conference)	R-T Airfare, Miami (self and wife); 4 nights lodging, 5 days meals
Outdoor Advertisers of America (Consultation)	R-T Airfare San Francisco
Lockheed Corporation (Speaking)	2 nights lodging, use of auto on above trip.

SEE CONTINUATION SHEET

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Chairman	Voter Education Fund, Inc., Boston, MA
Trustee	Chelmsford Education Foundation, Inc., Chelmsford, MA
Visiting Committee	Clark Univ. Grad. School of Mgt., Worcester, MA
Visiting Committee	Museum of Comparative Zoology, Harvard College, Cambridge, MA
Director	Mass. Photovoltaic Center, Boston, MA

SEE CONTINUATION SHEET

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature

Date May 14, 87

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Chester G. Atkins
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II-A.	National Council of Higher Education Loan Programs, Inc.	$1,000	$70 contributed to charity
	The Washington Caucus	500	Contributed to chari
	NUCA Legislative Information and Action Committee	500	Contrib. to charity
	Globe Newspaper Company	100	
	Boston Herald	75	Contrib. to charity
		$24,175	$1,645 contributed to charity
VII.	Council for a Secure America (Inspection/Factfinding)	R-T Airfare (self and wife) to Israel; 7 nights lodging, 8 days meals	
	Lutheran Child and Family Services of Mass. (Inspection/Factfinding)	R-T Airfare (wife) to Thailand (Member on official business); also paid wife's food & lodging, 13 days; local travel; certain minor expenses of Member in excess of per diem, and airfare on last part of trip (NYC - Martha's Vineyard)	
	Women for a Meaningful Summit	R-T Airfare (wife) to Athens; 3 nights lodging	
VIII.	Director, Boston University Center for Technology & Policy, Boston, MA		
	Director, Facing History and Ourselves, Boston, MA		
	Honorary Director, Area Health Education Committee, Lowell, MA		
	Co-Chair, Workers' Park Coordinating Committee, Lawrence, MA		
	Co-Chair, Bread and Roses Heritage Committee, Lawrence, MA		

GPO 1986 61-688 (m)

HAND DELIVERED
MAY 15 1987

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Chester G. Atkins
(Full Name)

Room 504, Cannon House Office Building
(Mailing Address)

Washington, DC 20515

MC
RECEIVED
1987 MAY 19 PM 1:41
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 5th State Massachusetts

☐ Officer or Employee—Employing Office ______

☒ Check if amended Statement.

RECEIVED
1987 MAY 20

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Massachusetts Democratic State Committee	Salary	$18,000	
Raytheon Company	Honorarium	2,000	
John Hancock Life Insurance Company	Honorarium	1,000	
National Association of Community Health Ctrs.	Honorarium	500	
Bechtel Corporation	Honorarium	500	Contrib. to Char

SEE CONTINUATION SHEET

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
BayBank Harvard Trust Company	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
NONE	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Congressional Federal Credit Union	B
(Other liabilities are mortgage loans on personal residences,	
and thus exempt from disclosure)	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
National Legislative Education Foundation, Inc. (Conf.)	R-T train, White Sulphur Springs - Family - 3 days meals, 2 nights lodging
Miami Congressional Workshop (Conference)	R-T Airfare, Miami (self and wife); 4 nights lodging, 5 days meals
Outdoor Advertisers of America (Consultation)	R-T Airfare San Francisco
Lockheed Corporation (Speaking)	2 nights lodging, use of auto on above trip.

SEE CONTINUATION SHEET

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Chairman	Voter Education Fund, Inc., Boston, MA
Trustee	Chelmsford Education Foundation, Inc., Chelmsford, MA
Visiting Committee	Clark Univ. Grad. School of Mgt., Worcester, MA
Visiting Committee	Museum of Comparative Zoology, Harvard College, Cambridge, MA
Director	Mass. Photovoltaic Center, Boston, MA

SEE CONTINUATION SHEET

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Chester G. Atkins	May 14, '87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Chester G. Atkins
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II-A.	National Council of Higher Education Loan Programs, Inc.	$1,000	$70 contributed to charity
	The Washington Caucus	500	Contributed to char
	NUCA Legislative Information and Action Committee	500	Contrib. to charity
	Globe Newspaper Company	100	
	Boston Herald	75	Contrib. to charity
		$24,175	$1,645 contributed to charity
VII.	Council for a Secure America (Inspection/Factfinding)		R-T Airfare (self and wife) to Israel; 7 nights lodging, 8 days meals
	Lutheran Child and Family Services of Mass. (Inspection/Factfinding)		R-T Airfare (wife) to Thailand (Member on official business); also paid wife's food & lodging, 13 days; local travel; certain minor expenses of Member in excess of per diem, and airfare on last part of trip (NYC - Martha's Vineyard)
	Women for a Meaningful Summit		R-T Airfare (wife) to Athens; 3 nights lodging
VIII.	Director, Boston University Center for Technology & Policy, Boston, MA		
	Director, Facing History and Ourselves, Boston, MA		
	Honorary Director, Area Health Education Committee, Lowell, MA		
	Co-Chair, Workers' Park Coordinating Committee, Lawrence, MA		
	Co-Chair, Bread and Roses Heritage Committee, Lawrence, MA		

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A For use by Members, officers, and employees

LES AuCOIN
(Full Name)

2159 Rayburn Building
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks:

XX Member of the U.S. House of Representatives—District 1st State Oregon

Officer or Employee: Employing Office

Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure; all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Wilderness Society (spouse)	annual salary	$19,668.05	
Chicago Board of Trade rec'd 1/6/86	Honorarium	500.00	
Chicago Mercantile rec'd 1/6/86	Honorarium	500.00	
General Dynamics rec'd 1/8/86	Honorarium	1,000.00	
NIKE, Inc. rec'd 1/9/86	Honorarium	2,000.00	

(continued on additonal sheet)

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
NONE		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO ____ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Share, S.A.R.A.S. (partnership-spouse)	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Share, Tumalo Emporium, Inc. (restaurant) sold in January 1986	Jan. 1986	D

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986 is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Garrett Corp. (speech)	airfare (Chicago/Phoenix/Dallas)
General Dynamics (speech)	airfare (Dallas-Portland)
Western Forest Industries Assoc.(Speech)	one night lodging/portion airfare
Mortgage Bankers (speech)	one night lodging self/spouse; one night lodging self; train fare

(continued on additional sheet)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Trustee	Pacific University, Forest Grove, Oregon

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5/18/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

Page ___ of ___

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

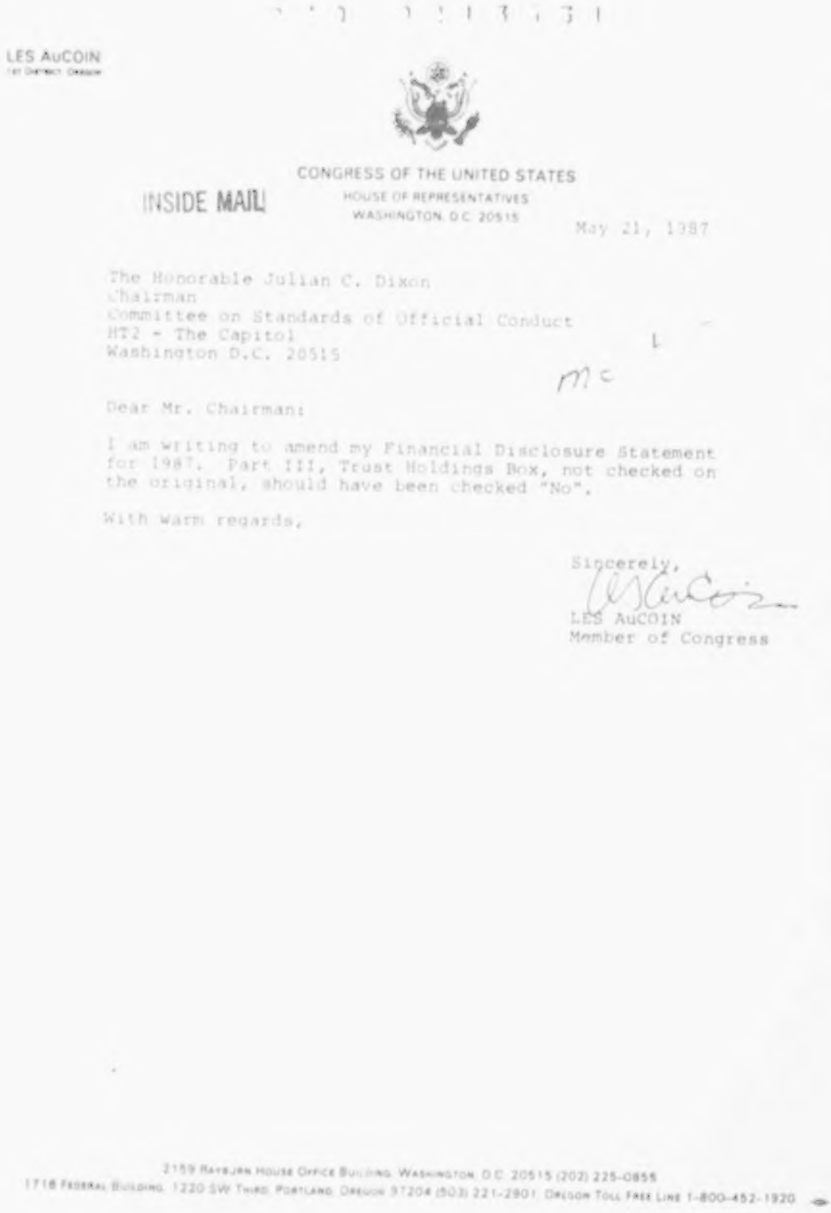
LES AuCOIN
Name

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II.A.	Floating Points rec'd 1/10/86	Honorarium	1,000
	Western Forest Industries Assoc. rec'd 5/7/86 and 5/29/86	Honorarium	2,000
	Sikorsky rec'd 3/24/86	Honorarium	1,000
	Mortgage Bankers rec'd 5/4/86	Honorarium	1,000
	Pratt-Whitney/United Technologies rec'd 5/5/86	Honorarium	1,000
	Autranet rec'd 5/22/86	Honorarium	1,000
	Technology Forum rec'd 5/28/86	Honorarium	500
	National Forest Products Assoc. rec'd 5/6/86	Honorarium	1,000
	National Restaurant Assoc. rec'd 6/24/86	Honorarium	500
	Connell Rice & Sugar rec'd ?/2/86	Honorarium	2,000
	US Telephone Assoc. rec'd 6/12/86	Honorarium	1,000
	Westinghouse rec'd 7/18/86	Honorarium	1,000
	American Trucking Assoc. rec'd 7/25/86	Honorarium	2,000
	Northwest Timber Assoc. rec'd 6/19/86	Honorarium	750
	Bell Helicopters rec'd 7/15/86	Honorarium	1,000
	Boeing Co. rec'd 6/27/86	Honorarium	2,000
	ALS Corp. rec'd 8/23/86	Honorarium	2,000
	Northrup Corp. rec'd 8/18/86	Honorarium	1,000
(con't II. A. below)			
VII.	Bell Helicopters (speech)	airfare Dallas to DC	
	Boeing Co. (speech)	airfare Portland/Seattle Dallas	
	Northrup Corp. (speech)	airfare DC/LA/DC	

II.A. continued from above:

**NOTE: $2,220 donated to charity in 1986



[illegible]

LES AuCOIN
1st District, Oregon

CONGRESS OF THE UNITED STATES
HOUSE OF REPRESENTATIVES
WASHINGTON, D.C. 20515

INSIDE MAIL

May 21, 1987

The Honorable Julian C. Dixon
Chairman
Committee on Standards of Official Conduct
HT2 - The Capitol
Washington D.C. 20515

L

mc

Dear Mr. Chairman:

I am writing to amend my Financial Disclosure Statement for 1987. Part III, Trust Holdings Box, not checked on the original, should have been checked "No".

With warm regards,

Sincerely,

LES AuCOIN
Member of Congress

2159 Rayburn House Office Building, Washington, D.C. 20515 (202) 225-0855
1716 Federal Building, 1220 SW Third, Portland, Oregon 97204 (503) 221-2901, Oregon Toll Free Line 1-800-452-1920

LES AuCOIN
1st District, Oregon

CONGRESS OF THE UNITED STATES
HOUSE OF REPRESENTATIVES
WASHINGTON, D.C. 20515

INSIDE MAIL

May 28, 1987

Honorable Julian C. Dixon
Chairman
Committee on Standards of
Official Conduct
House of Representatives
HT2 - The Capitol
Washington, D.C. 20515

mc ✓

Dear Mr. Chairman:

Through a misreading of the 1986 Financial Disclosure Form, I inadvertently omitted a personal loan, Category of Value "B", with Farmers and Merchants Bank in Oregon, from Section V. Liabilities. I hereby request that this letter serve as an amendment to my 1986 Financial Disclosure form, reflecting that loan.

Thank you for your assistance.

With warm regards,

Sincerely,

Les AuCoin

LES AuCOIN
Member of Congress

LA/hc

2159 Rayburn House Office Building, Washington, D.C. 20515 (202) 225-0855
1716 Federal Building, 1220 SW Third, Portland, Oregon 97204 (503) 221-2901, Oregon Toll Free Line 1-800-452-1920

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A (For use by Members, officers, and employees)

Robert E. Badham
(Full Name)

2427 Rayburn HOB
(Mailing Address)

Washington, DC 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

X Member of the U.S. House of Representatives—District 40 State CA

Officer or Employee—Employing Office ____

Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

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REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION." **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See Schedule			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Hoffman Properties, 6625 E. Washington Blvd. Los Angeles, CA	Investments	E
Rent of Condo, 1242 Rutland Road, Newport Beach, CA	Rental	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Hoffman Properties	B
Family Trust, See Explanation Continuation Sheet, III	F
Willard Boats, Inc.	B
California Bank Shores	B
Condo, 1242 Rutland Road, Newport Beach, CA (As Rental)	E
IRA	B

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Hoffman Properties (Sale of Property)	9/1/87	G

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Meritor Mortgage, PO Box 25085, Santa Ana, CA (Mortgage on Rental Condo)	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
See Schedule	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Limited Partner	Hoffman Properties

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 13, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

Page ____ of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Robert E. Badham
(Name)

Continuation Sheet

Line	Source, Type, Amount, Category, Description or Value (As Applicable)		
II A	HONORARIA	AMOUNT	APPROX. DATES
	Northrop	$2000	2/19
	FMC	$1000	2/28
	TRW	$1000	3/3
	United Technologies	$1000	2/24
	Calay Systems	$1000	4/18
	Boeing Vertol	$2000	4/21
	GE	$1000	6/16
	Cubic Corp.	$1000	7/1
	Cambridge Intl.	$ 750	7/17
	General Defense	$ 250	7/17
	McLean Assoc.	$ 250	7/24
	Cambridge Intl.	$ 250	7/24
	GD Land Systems	$ 250	7/24
	GD	$1000	8/12
	TIAA	$1000	8/12
	Finley, Kumble & Wagner	$1000	8/12
	KMS Fusion	$1000	9/8
	Martin Marietta	$1000	12/6
	Cal. Legis. Retirement	$5057	
	Spouse	Retail Sales Salary Port O' Call, Pasadena, CA	
III	Family Trust (Undivided Interest including properties, stocks, bonds, etc.). This is the corpus of a family will as a trust instrument. It is partially in my name with other heirs; however, I derive no income from it. The previous generation has lifetime income rights. I cannot borrow, pledge or spend on the basis of this trust.		
VII	Lodging & Travel	Travel	Lodging
	FMC	X	
	United Technologies	X	
	TRW	X	
	Boeing Vertol	X	X
	GE	X	X
	Cubic	X	
	GD	X	
	KMS Fusion	X	

GPO 1980 58-807 (m)

HAND DELIVERED

ARMED SERVICES COMMITTEE
HOUSE ADMINISTRATION COMMITTEE
JOINT COMMITTEE ON PRINTING
REPUBLICAN STUDY COMMITTEE
REPUBLICAN POLICY COMMITTEE

Congress of the United States
House of Representatives

ROBERT E. BADHAM
40TH DISTRICT, CALIFORNIA

WASHINGTON OFFICE
2427 RAYBURN HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-5611

DISTRICT OFFICE
180 NEWPORT CENTER DRIVE
SUITE 240
NEWPORT BEACH, CA 92660
(714) 644-4040

June 2, 1987

Donnald Anderson
Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth Building
Washington, D.C. 20515

√ HC

Dear Mr. Anderson:

The following information is provided to complete Section VII of my 1986 Financial Disclosure Statement:

Reimbursements -

FMC Corp. on February 11, 1986, provided roundtrip air fare between Orange County and San Jose, California, in connection with a speaking engagement and fact-finding tour.

United Technologies on February 24, 1986, provided roundtrip air transportation between Washington, D.C. and Stratford, Connecticut in connection with a speaking engagement and fact-finding tour.

Boeing Vertol on April 21, 1986, provided transportation by helicopter between Philadelphia and Washington, D.C. and hotel room to freshen up in connection with a speaking engagement and fact-finding tour.

General Electric on June 16, 1986, provided automobile transportation between Philadelphia and Washington, D.C. and hotel room to freshen up in connection with a speaking engagement and fact-finding tour.

Cubic Corp. on July 1, 1986, provided round trip air transportation between Orange County and San Diego, CA in connection with a speaking engagement and fact-finding tour.

General Dynamics on July 28, 1986, provided round trip air transportation between Orange County and San Diego, CA in connection with a speaking engagement and fact-finding tour.

KMS Fusion on September 8, 1986, provided air transportation from Los Angeles to Detroit in connection with a speaking engagement and fact-finding tour. Return to Washington, D.C.

[illegible]

[illegible]
[illegible]
[illegible]

In addition, as a correction to my [illegible] Financial Disclosure Statement, it should be noted that I did not receive reimbursement from [illegible]. This was an error, and my statement should be amended in this regard.

If additional information or clarification is required, please do not hesitate to contact me.

Cordially,

Robert E. Badham
Member of Congress

REB:[illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

INSIDE MAIL

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Richard H. Baker
(Full Name)

506 Cannon House Office Building
(Mailing Address)

Washington, DC 20515

HC
(Office Use Only)

Check the appropriate box and fill in the blanks

X Member of the U.S. House of Representatives—District 6 State LA

Officer or Employee—Employing Office

Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
La. Legislature (member thru Dec - 1986)	Salary	$ 31,500	None
1884 Shoppe (Wife's earnings)	Commission	4,000	None
LPB Minerals (Mineral Income)	Royalty	5,000	None
Baker Real Estate	Commission	25,000	None

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
None		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ___ NO X NA ___

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Glacier Technology	E
Fuel Miser	B
Scenic Investments (Partnership) Real Estate	C
Greenwell Investments (Partnership) Real Estate	C
Mt. Pleasant Investments (Partnership) Real Estate	C
LPB Minerals - Mineral Interests	D
Hidden Lake - Real Estate Partnership	D
1884 Shoppe - Retail Store - Wife's business	E
Lot 94 - Central Woods	B

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Tecswell Investment Partnership Interest - Sold	11/11/86	I
Fuel Miser - Partnership Interest - Sold	12/10/86	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Glacier Technology - Partnership Debt to Louisiana National Bank	E
City National Bank - 1884 Shoppe	D
Baton Rouge Bank - 1884 Shoppe	C
Livingston Bank - Fuel Miser	B
First State Bank - Computer Purchase	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

[illegible]

SOURCE	BRIEF DESCRIPTION

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Partner	[illegible] Investments
Partner	Greenwell Investments
Partner	Mt. Pleasant Investment
Partner	Hidden Lake Partnership
Partner	[illegible] Minerals
Partner	Baker [illegible] Estate

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 11, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

INSIDE MAIL

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A [illegible]

[illegible] Baker
(Full Name)

[illegible] Cannon House Office Building
(Mailing Address)

Washington, D.C. 20515

MC

[illegible]

X Member of the U.S. House of Representatives [illegible]

Officer or Employee: Employing office [illegible]

Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure; all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page [illegible]

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION" **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
La. Legislature (member thru Dec - 1986)	Salary	$ 31,500	None
1884 Shoppe (Wife's earnings)	Commission	4,000	None
LPB Minerals (Mineral Income)	Royalty	5,000	None
Baker Real Estate	Commission	25,000	None

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
NONE		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Glacier Technology	F
Fuel Miser	B
Scenic Investments (Partnership) Real Estate	C
Greenwell Investments (Partnership) Real Estate	C
Mt. Pleasant Investments (Partnership) Real Estate	C
LPB Minerals - Mineral Interests	D
Hidden Lake - Real Estate Partnership	D
1884 Shoppe - Retail store - Wife's Business	E
Lot 94 - Central Woods	B

1 1 2 1 2 3 2

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Greenwell Investment Partship Interest - Sold	11/11/86	I
Tuci Miser - Partnership Interest - Sold	12/10/86	E

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME, any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item, and any liability owed to a relative.

For more information see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Glacier Technology - Partnership Debt to Louisiana Nat'l Bank	E
City National Bank - 1884 Shoppe	D
Baton Rouge Bank - 1884 Shoppe	C
Livingston Bank - Tuci Miser	B
First State Bank - Computer Purchase	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13

POSITION	NAME OF ORGANIZATION
Partner	Scenic Investments
Partner	Greenwell Investments
Partner	Mt. Pleasant Investment
Partner	Hidden Lake Partnership
Partner	LPB Minerals
Partner	Baker Real Estate

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 18, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE [illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Thomas Cass Ballenger
(Full Name)

867 20th Ave. Drive NW
(Mailing Address)

Hickory, NC 28601

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 10 State North Carolina

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE. Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See attached Schedule			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See attached Schedule		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See attached Schedule	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See attached Schedule		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
See attached Schedule	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES: [illegible] 3 1 7 5

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
See Schedule	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Thomas Cass Ballenger	5/4/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

THOMAS CASS BALLENGER
867 20th Avenue Drive, NW
Hickory, North Carolina 28601

Form A, Schedule II, A, Earned Income

Source	Type	Amount
*Plastic Packaging, Inc.	Salary	180,426.94
N.C. General Assembly	Salary & Expenses	12,725.00
Division of Facility Services	Salary	240.00
Catawba Memorial Hospital	Directors' Fees	275.00
Tarheel Packaging, Inc.	Commissions	2,750.00
Plastic Packaging, Inc.	Insurance	5,958.17
Catawba Valley Tech. College	Directors' Fees	200.00

*See attached letter. Above salary earned prior to becoming Chairman of the Board in December, 1986.

Form A, Schedule II, B, Unearned Income

Source	Type	Category
Shuford Credit Union	Interest	A
North Carolina National Bank	Interest	C
Melissa Jordan	Interest	A
Cindy Brinkley	Interest	A
J. C. Bradford Company	Interest	C
GNMA Pass Thru Pool	Interest	B
Nuveen Bond Fund 183Q	Interest	A
Kemper Trust Series 18Q	Interest	B
Nuveen Bond Fund 87S	Interest	A
N.C. Med. Care Commission	Interest	A
N.C. Municipal Power Agency	Interest	B
Fidelity Federal S & L	Interest	A
Wachovia	Interest	A
American Home Products	Dividends	B
Duke Power Company	Dividends	A
Henredon Furn. Industry	Dividends	A
Schlumberger, Ltd.	Dividends	A
Southeastern S & L	Dividends	A
Plastic Packaging	Dividends	G
Dean Witter Liq. Assets	Dividends	A
A T & T Company	Dividends	A
Bank of Granite	Dividends	A
Contel	Dividends	A
Texaco	Dividends	A
Oppenheimer Cash Fund	Dividends	A
USX Corporation	Dividends	A
W R Grace Company	Dividends	C
Shearson Daily Dividend	Dividends	A

Thomas Cass Ballenger
867 20th Avenue Drive, NW
Hickory, North Carolina 28601

Form A, Schedule II, B, Unearned Income

Source (continued)	Type	Category
Branch Corporation	Dividends	A
Viacom International	Capital Gain	A
Gillette Company	Capital Gain	A
USX Corporation	Capital Gain	C
Allied Stores	Capital Gain	B
Wyse Technology	Capital Gain	C
Circuit City	Capital Gain	B
Colgate Palmolive	Capital Gain	A
MCA, Inc.	Capital Loss	A
Telex Corporation	Capital Gain	D
Southern Film Extruders	Capital Gain	A
Amsouth Bancorp	Capital Gain	B
UCCEL Corporation	Capital Gain	C
ITT Corporation	Capital Gain	C
Henredon Furniture	Capital Gain	E
Plastic Packaging	Capital Gain	D
Olin Corporation	Capital Gain	A
Sears Roebuck	Capital Gain	A
Oil Well Interests		
Gross	Royalties	B
Net	Royalties	B
Rental Apartments		
Gross Income	Rents	E
Net Loss	Rents	E
Cody Associates	Partnership	D
McNeil Real Estate	Partnership	Loss B
Oak Plantation Association	Partnership	Loss C
BEHR Venture Partnership	Partnership	Loss A
Associated Realty Investors	Partnership	Loss E
Balmark Realty	Partnership	Loss D
Dyco Petroleum	Partnerhsip	A
Prutech Research & Dev.	Partnership	A
Media/Mark	Partnership	C
Cimarron Prairie, Ltd.	Partnership	Loss D
Income Investors	Partnership	A
Associated Apartment Investors	Partnership	Loss E
Wickes Company, Inc.	Capital Loss	B
Orion Pictures	Capital Loss	A
IC Industries	Capital Loss	A
Horn & Hardart	Capital Loss	A
Texaco	Capital Loss	B
Wendys	Capital Loss	A
Seagate Technology	Capital Loss	A
Greyhound Corporation	Capital Loss	A
Micras Systems, Inc.	Capital Loss	A
Data I/O Corporation	Capital Loss	C
Nike, Inc. B	Capital Loss	A

Thomas Cass Ballenger
867 20th Avenue Drive, NW
Hickory, North Carolina 28601

Form A, Schedule III, Holdings

Stocks	Category
Branch Corporation	A
Shearson Lehman Liquid Capital Assets	A
Reeves Communications	C
Applied Materials	C
Home Savings Bank	A
Petree Stores Corporation	B
Sante Fe Southern Pacific	A
Dean Witter Sears	B
Oppenheimer Daily Cash	C
American Home Products	C
Duke Power	A
Pansophic Systems	B
Schlumberger, Ltd.	B
Servico, Inc.	B
Telex Corporation	B
Abbott Labs	A
A T & T	B
Bank of Granite	C
Contel Corporation	B
EG & G	A
Emerson Electric	B
General Electric	B
WR Grace	D
Pepsico	A
Time Energy Systems	A
Phoenix Medical Technology	A
Wellington Hall	A
Southeastern S & L	C
Viacom International	C
UCCEL	B
Plastic Packaging	F
GNMA Pass-Thru Pool	B
Neuveen Tax Exempt Bond Funds	B
Kemper Tax Exempt Income Trust	C
N.C. Medical Care Commission Bonds	B
N.C. Municipal Power Agency Bonds	B

Thomas Cass Ballenger
867 20th Avenue Drive, NW
Hickory, North Carolina 28601

Form A, Schedule III, (continued):

Identity	Category
Real estate and partnerships:	
McNeil Real Estate Fund	A
BEHR Venture Partners	A
Associated Real Estate Investors	D
Associated Apartment Investors	C
Balmark Realty	C
Income Investors	B
Media/Mark	B
Cimarron Prairie, Ltd.	A
Others less than $1000 each	A
Unimproved lots - Hickory, NC	B
Note receivable - Ballenger for Congress Campaign	D
Personal residence, Hickory, NC (basement apartment is rented)	F
Personal residence, Washington, DC (efficiency apartment is rented)	E

Thomas Cass Ballenger
867 20th Avenue Drive, NW
Hickory, North Carolina 28601

Form A, Section IV, Transactions:

Description	Date	Category
Purchased 100 shs. MCA, Inc.	6/2/86	A
Sold 100 shs. MCA, Inc.	7/15/86	A
Purchased 500 shs. Wickes Co.	6/23/86	A
Sold 500 shs. Wickes Co.	8/[illegible]0/86	A
Purchased 200 shs. Viacom Int.	7/23/86	B
Sold 200 shs. Viacom Int.	9/5/86	B
Purchased 200 shs. Orion Pictures	5/30/86	A
Sold 200 shs. Orion Pictures	9/30/86	A
Purchased 100 shs. Allied Signal	8/13/86	A
Sold 100 shs. Allied Signal	10/23/86	A
Purchased 100 shs. Gillette Co.	10/23/86	A
Sold 100 shs. Gillette Co.	11/12/86	A
Purchased 200 shs. IC Ind.	8/28/86	B
Sold 100 shs. IC Ind.	12/26/86	A
Purchased 150 shs. Phila Elec.	9/30/86	A
Sold 150 shs. Phila Elec.	12/26/86	A
Purchased 150 shs. Horn & Hardart	12/2/86	A
Sold 150 shs. Horn & Hardart	12/26/86	A
Purchased 300 shs. USX Corporation	7/8/86	B
Sold 300 shs. USX Corporation	9/26/86	B
Sold 300 shs. Texaco	2/7/86	B
Purchased 200 shs. Allied Stores	8/14/86	B
Sold 200 shs. Allied Stores	9/5/86	B
Purchased 1000 shs. Wyse Tech.	10/10/86	B
Sold 1000 shs. Wyse Tech.	12/16/86	C
Purchased 500 shs. Circuit City	9/18/86	B
Sold 500 shs. Circuit City	10/10/86	B
Sold 100 shs. Wendys	2/7/86	A
Sold 100 shs. Colgate	3/11/86	A
Sold 100 shs. Lone Star Inds.	3/18/86	A
Sold 100 shs. MCA	4/18/86	B
Sold 4 calls Telex	11/4/86	A
Purchased 2 calls Telex	10/24/86	A
Sold 1 call Telex	3/22/86	A
Sold 2 calls Telex	3/22/86	A
Purchased 3 calls Telex	5/9/86	A
Sold 3 calls Telex	3/21/86	A

Thomas Cass Ballenger
867 20th Avenue Drive, NW
Hickory, North Carolina 28601

Form A, Section IV, Transactions (continued):

Description	Date	Category
Sold 2449 shs. Dean Witter Sears Liq. Assets	11/28/86	A
Purchased 100 shs. Santa Fee Southern Pacific	12/26/86	A
Purchased 300 shs. Pansophic Systems, Inc.	7/24/86	B
Purchased 11207 shs. Daily Cash Accumulation Fund	7/14/86	B
Sold 8496 shs. Daily Cash Accumulation Fund	7/29/86	B
Sold 1121 shs. Daily Cash Accumulation Fund	8/27/86	A
Purchased 1110 shs. Daily Cash Accumulation Fund	9/2/86	A
Purchased 2899 shs. Daily Cash Accumulation Fund	9/22/86	A
Purchased 7155 shs. Daily Cash Accumulation Fund	9/29/86	B
Sold 14622 shs. Daily Cash Accumulation Fund	10/17/86	B
Purchased 500 rights Viacom International	10/15/86	C
Purchased 26329 shs. Daily Cash Accumulation Fund	12/26/86	C
Sold 13165 shs. Daily Cash Accumulation Fund	12/24/86	B
Purchased 2000 shs. Reeves Communication	12/16/86	C
Purchased 100 shs. Abbott Labs	6/4/86	B
Purchased 100 shs. EG & G	6/24/86	A
Purchased 150 shs. Emerson Electric	6/24/86	B
Purchased 100 shs. Pepsico	6/24/86	B

Thomas Cass Ballenger
867 20th Avenue Drive, NW
Hickory, North Carolina 28601

Form A, Section IV, Transactions (continued):

Description	Date	Category
Sold 3000 shs. Southern Film Ext.	4/7/86	B
Sold 150 shs. Amsouth Ban Corp.	4/22/86	A
Sold 600 shs. Seagate Tech.	1/7/86	B
Sold 200 shs. UCCEL Corp.	5/6/86	A
Sold 100 shs. ITT Corp.	5/9/86	A
Sold 100 shs. Greyhound Corp.	8/25/86	A
Sold 500 shs. Wickes Co.	12/2/86	A
Sold 400 shs. Henredon Furn.	7/9/86	C
Sold 300 shs. Data I/O Corp.	1/7/86	A
Sold 300 shs. Data I/O Corp.	9/19/86	A
Sold 278 shs. USX Corp.	10/16/86	B
Sold 200 shs. Telex	12/24/86	B
Sold 1200 shs. Plastic Packaging	4/19/86	B
Purchased 6756 shs. Dean Witter Liq. Assets	12/30/86	B
Sold 1464 shs. Dean Witter Liq. Assets	1/14/86	A
Purchased 100 shs. Nike, Inc.	2/13/86	A
Purchased 3544 shs. Dean Witter Liq. Assets	3/12/86	A
Sold 1828 shs. Dean Witter Liq. Assets	3/19/86	A
Purchased 50 shs. Olin Corp.	4/18/86	A
Purchased 100 shs. Olin Corp.	4/18/86	A
Purchased 100 shs. Sears Roebuck	5/9/86	A
Sold 100 shs. Nike, Inc.	5/28/86	A
Sold 150 shs. Olin Corp.	6/2/86	B
Sold 100 shs. Sears Roebuck	6/23/86	A
Purchased 1887 shs. Dean Witter Sears Liq. As.	7/10/86	A
Purchased 4593 shs. Dean Witter Sears Liq. As.	7/17/86	A
Sold 5631 shs. Dean Witter Sears Liq. Assets	7/29/86	B
Sold 1604 shs. Dean Witter Sears Liq. Assets	8/19/86	A
Purchased 200 shs. Petrie Stores	9/5/86	B
Purchased 2289 shs. Dean Witter Sears Liq. As.	11/17/86	A
Purchased 100 shs. IC Ind.	11/18/86	A
Purchased 200 shs. Home Savings Bank	11/28/86	A

Thomas Cass Ballenger
867 20th Avenue Drive, NW
Hickory, North Carolina 28601

Form A, Section V, Liabilities:

Identity:	Category
Fidelity Federal Savings & Loan	D
Wachovia Bank & Trust	E
Capital deficits in partnerships:	
Cody Associates	D
Oak Plantation Associates	B
Others not required to be itemized	B
North Carolina National Bank	E

[illegible]

Thomas Cass Ballenger
867 20th Avenue Drive, NW
Hickory, North Carolina 28601

Form A, Section VIII, Positions:

Positions	Name of Organization
Chairman of the Board	Plastic Packaging, Inc.
*President	Plastic Packaging, Inc.
General partner	Balmark Realty
Limited partner	Various real estate partnerships
General partner	Media/Mark
*Senator	State of North Carolina

*Not held subsequent to December 31, 1986

U.S. House of Representatives
COMMITTEE ON STANDARDS OF
OFFICIAL CONDUCT
Washington, DC 20515

February 25, 1987

The Honorable Cass Ballenger
United States House of Representatives
116 Cannon House Office Building
Washington, D. C. 20515

Dear Colleague:

This is in response to your letter of January 16, 1987, requesting the advice of this Committee regarding the propriety of your receipt of certain compensation from Plastic Packaging, Inc. Your correspondence elaborates on information provided in an earlier meeting with Committee Counsel Mark Davis.

You explain that you served as President of Plastic Packaging, Inc., from its founding in 1956 until your election to the House of Representatives. While you remain the majority stockholder and Chairman of the Board, you no longer have day-to-day operating responsibilities. In lieu of the salary previously paid to you, Plastic Packaging, Inc., intends to pay you $20,000 per year for as much as 400 hours of consulting services on implementation of corporate policy decisions. You further explain that Plastics Packaging, Inc., is your principal family investment, and you view it important to remain associated with the business to assure that your investment is protected.

The provision implicated by your inquiry is House Rule XLVII, which limits the amount of outside earned income which a Member of the House of Representatives may receive in a calendar year to 30 percent of the Member's aggregate yearly congressional salary. As provided in the Rule, the term "outside earned income" means "wages, salaries, professional fees, honorariums, and other amounts ... received as compensation for personal services actually rendered." Several exemptions are noted, however. Clause 3(d)(4) of Rules XLVII specifically states that outside earned income does not include--

> in the case of a Member engaged in a trade or business in which the Member or his family holds a controlling interest and in which both personal services and capital are income-producing factors, any amount received

> by such Member so long as the personal services actually rendered by the Member in the trade or business do not generate a significant amount of income.

The Committee is of the opinion that the services you will be performing for Plastic Packaging, Inc., and compensation in the amount specified, fall within the stated exemption. The purpose of the exemption, as stated by the Commission on Administrative Review of the 95th Congress, is to enable Members to protect their interest in a family business. (**See**, House Document 95-73, p. 11; **see also**, Advisory Opinion No. 13, House Select Committee on Ethics, 95th Congress.) Further evidence of the fact that your efforts are aimed at preserving your equity, rather than generating a significant amount of income, is the limited time you plan to devote to the business. The Committee also notes that the amount of compensation you will be receiving from the corporation is substantially less than that reported for prior years on the Financial Disclosure Statement which you filed as a candidate.

While this compensation will not be counted as outside earned income for the purposes of the limitation in Rule XLVII, please note that it still must be reported on the Financial Disclosure Statement you are required to file as a Member of the House of Representatives by May 15th of each year. For purposes of clarity, we suggest that you note on the Statement that this income is from a family business and exempt from application of Rule XLVII.

If you have any further questions concerning this matter, please contact the Committee staff at extension 5-7103.

Sincerely,

Julian C. Dixon
Chairman

Floyd D. Spence
Ranking Minority Member

JS:MJD

CASS BALLENGER
10TH DISTRICT, NORTH CAROLINA

118 CANNON HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
202-225-2576

COMMITTEES:
EDUCATION AND LABOR
PUBLIC WORKS AND TRANSPORTATION

Congreſs of the United States
House of Representatives
Washington, DC 20515

DISTRICT OFFICES:
P.O. BOX 1830
381-10TH AVENUE DRIVE, NE
HICKORY, NC 28603
704-327-6100

832 E. GARRISON BOULEVARD
GASTONIA, NC 28054
704-864-9922

INSIDE MAIL

May 27, 1987

The Honorable Julian C. Dixon, Chairman
Committee on Standards of Official Conduct
U. S. House of Representatives
Room HT2, U. S. Capitol
Washington, D. C. 20515

mc

Dear Mr. Chairman:

With the approval of the Committee on Standards of Official Conduct I now receive compensation from Plastic Packaging, Inc. for consulting services.

My present relationship with this company entitles me, according to the corporate by-laws, to participate in an Internal Revenue Service-approved employee stock ownership plan.

By this letter I am informing the Committee of my participation in this plan and I request that this matter be included as an addendum to public disclosure records.

With best personal regards, I am

Sincerely,

Cass Ballenger
Cass Ballenger
Member of Congress

CB:MV:tgc

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A (For use by Members, officers, and employees)

DOUG BARNARD, JR.
(Full Name)
2227 Rayburn H O B

(Mailing Address)
Washington, D. C. 20515

MC
(Office Use Only)

(Check the appropriate box and fill in the blanks)

x Member of the U.S. House of Representatives—District 10th State GEORGIA

Officer or Employee—Employing Office

Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed, identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure; all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION." **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Augusta College (Spouse) (non-taxable third party sick pay)		8,312.77	
Honoraria (See continuation sheet)		14,740.00	13,000.00

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Sale of Real Estate (See Continuation Sheet)		B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See,* Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO ____ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
(See Continuation Sheet)	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sale of Real Estate - ¼ Interest		
12,5 acres - I-20 Frontage Rd.	2-15-86	B
Purchase: 557 Shares Common Stock Life Time		
Communities Inc.		B
550 Shares- Birmingham Steel		B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
[redacted]	
Congressional Credit Union	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
See Continuation Sheet	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
[redacted]	
Vice Chairman	Naticinal Science and Communication Fouindation

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Doug Barnard Jr	May 8, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

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Page [illegible] of [illegible]

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

DOUG BARNARD, JR.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
II-A	Honoraria	Amt.	Disposition	Amount
1-2-86	Dealer Bankers Ass	750.00		
1-22-86	Barnett Bankaers Conference	2,000.00		
1-22-86	American Fin. Services	1,000.00		
1-8-86	Internation Share & Guaranty	1,000.00		
2-11-86	Consumer Bankers Asso.	1,000.00		
2-28-86	Bankers Trust Co.	2,000.00		
3-4-86	Securities Ind. Assn.	2,000.00		
3-11-86	U.S.League of Savings	2,000.00		
3-14-86	California Bankers Assn.	2,000.00		
3-17-86	American Bankers Assn.	2,000.00		
3-19-86	Coalition for Regional Bank.	500.00		
5-8-86	Golembe Asso.	1,500.00		
5-10-86	Institution Investor	2,000.00	First Baptist Church Building Fund	2,000.00
5-15-86	American Fin. Services	1,000.00	First Baptist Church Building Fund	1,000.00
5-20-86	Price-Waterhouse	500.00		
5-2-86	Bank Mark. Inst.	390.00		
6-18-86	Sear's	1,000.00	First Baptist Ch. Stained Glass Wind.	1,000.00
7-21-86	Am. Cont. Corp.	2,000.00	First Baptist Church Building Fund	2,000.00
7-23-86	U.of Ga. Home Economics	350.00	United Negro College Fund	500.00
8-18-86	Nat. Assn. of State Savings & Loan Sup.	1,500.00		
9-24-86	Assn. of Bk. Holding Cos.	2,000.00	Augusta College	2,000.00
9-22-86	Drexel-Burn Lamb.	1,000.00	Augusta Symphony	1,000.00
10-17-86	Electronic Funds Transfer	1,000.00	Mercer Univ.	1,000.00
10-16-86	Nat. Assn. of State C.U. Sup.	1,500.00	Augusta Radio Fellowship	1,000.00
10-2-86	Nat. Restaurant Assn.	750.00	American Cancer Society	500.00
7-16-86	Municipal Electris Auth. of Ga.	1,000.00	First Baptist Ch. Stained Glass Wind.	1,000.00
6-25-86	Banking Law Institute	1,000.00		

Page 2 of 3

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

DOUG BARNARD, JR.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
II-B	Unearned Income	Category
	Sale of Real Estate 12.5 acres I-20 Frontage Rd. ½ Interest	B
III	Holdings	
	557 Shares BEI Holding Ltd.	B
	550 Birmingham Steel	B
	Individual Retirement Account: Certificate of Deposit-Georgia Railroad Bk.	A
	188 Shares Shearson Global Fund Inc.	B
	½ Interest - 12.5 acres I-20 Frontage Road. (Sold - See Section IV)	B

GPO 1986 61-898 (m)

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Doug Barnard, Jr.
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*
VII	1986 Travel Reimbursements
	International Share & Deposit Guaranty Assn. Conference airfare D.C./Orlando/D.C. and lodgings
	Bankers Trust Management Meeting airfare D.C./NYC/D.C and lodgings
	California Bankers Assn. Seminar airfare D.C./LA/D.C. and lodgings
	Retailing Industry Executive Roundtable Conference airfare D.C./Boca Raton/D.C. and lodgings
	Independent Bankers Association Meeting airfare D.C./Kremmling, CO/D.C. and lodgings
	Drexel-Burnham Meeting airfare D.C./San Diego/D.C. and lodgings
	American Continental Corporation Meeting airfare D.C./Phoenix/D.C. and lodgings
	National Association of State Savings & Loan Supervisors Conf. airfare D.C./San Diego/D.C. and lodgings
	Georgia Bankers Association Meeting airfare D.C./Hilton Head/D.C. and lodgings
	National Association of State Credit Union Supervisors airfare D.C./San Antonio/ D.C. and lodgings
	American Bankers Association Conference airfare D.C./San Francisco/D.C. and lodgings
	American Fletcher Bank Conference airfare D.C./Indianapolis/D.C. and lodgings
	Marine Midland Bank Meeting airfare D.C./NYC/D.C.
	Prudential Bache Management Meeting airfare D.C/NYC/Augusta and lodgings

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

HARRY STEPHEN BARTLETT
(Full Name)

1709 Longworth House Office Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 3 State TEXAS

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Meridian Products Corporation	Salary & Auto Use	$20,389	
Meridian Products Corporation	Spouse Salary as Corporate Secretary	8,400	
Meridian Products Corporation-per letter from Committee of January 12, 1983	Recurring unearned commissions	11,183	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of vrlue of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
InterFirst Bank Dallas, trustee for trust with spouse as beneficiary	Dividends, Interest	D
Meridian Products Corporation	Dividends	D
Bright Banc Money Market Fund	Interest	B
Cooper Coker Associates	Capital Gains, Interest	B
A.G. Edwards account (money market, Zweig, Inc.)	Interest, Dividends	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

(continuation attached for II. INCOME)

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Ownership in Meridian Products Corporation	F
Ltd. Partnership Interests in Texas Ltd. Partnerships with Cambridge Companies and Charles J. Wilson as General Partners: Aggregate Value (see attached schedule)	C
25% interest in Stemmons-Valwood Joint Venture (owns a 14,300 square foot warehouse in Dallas County)	C

(continuation attached for III. HOLDINGS)

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Purchase of 7½% interest in Covant Brothers, Inc., a privately held company operating a restaurant in Richardson, Texas	08/15/86	C
Purchase 500 shares Zweig, Inc., a publicly traded mutual fund	11/86	B
Sale of 1,000 shares Booth, Inc. (ASE)	11/86	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Shenandoah Savings & Loan - Mortgage for West Virginia Cabin	C
Commodore Financial Corporation - Mortgage on 12240 Montego, a townhouse currently held for rental purposes	E

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value *of all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Labor Policy Association meeting in Williamsburg, Virginia	Lodging to address convention 02/28/86-03/02/86 (family also)
Anti-Defamation League Community Service meeting in New York City	Airfare & lodging to address meeting 06/04/86-06/05/86
Texas Farm Bureau fact-finding trip within Texas	Airfare & lodging 07/11/86-07/12/86

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Chairman of Board	Meridian Products Corporation
Corporate Secretary-Spouse	Meridian Products Corporation
Limited Partner	Various limited partnerships disclosed herein

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
12/17/82	Meridian Products Corporation	Service as Chairman of Board
12/17/82	Meridian Products Corporation	Spouse service as Corporate Secretary
12/17/82 (amended 1983)	Meridian Products Corporation	Continuation of life insurance policies with Steve Bartlett as insured

(continuation attached for IX. AGREEMENTS)

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S C. §706 and 18 U.S.C. §1001).

Signature	Date
	5-14-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

Page 1 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

HARRY STEPHEN BARTLETT
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
INCOME			
II B	Rental income from Ludwig family on rental of West Virginia cabin		B
II B	T. Rowe Price New Income Fund	Interest	B
II B	Lake Ray Hubbard Associates	Capital Gains	A
II B	T. Rowe Price New Horizon Fund	Dividends	A
II B	Jim Foxx	Principal & Interest on Note	E
II B	Raymond Grubb	Principal & Interest on Note	B
II B	Rental income from Fred Stead on rental of 12240 Montego Plaza townhouse		D
HOLDINGS			
III	T. Rowe Price New Income Mutual Fund -		C
III	Interest in Carlyle Real Estate Ltd. Partnership - XIII		B
III	Ltd. Partnership Interest in Arts & Antiques Ltd. Partnership		C
III	Bright Banc Money Market		B
III	T. Rowe Price New Horizon Mutual Fund		B
III	Vacation cabin on one acre lot near Berkely Springs, West Virginia		D
III	A.G. Edwards Money Market Fund		B
III	Schedule of holdings for Limited Partnerships on page 2:		
	Cambridge Texas Limited Partnerships:		
	Rockwall South Associates	B	
	Lake Ray Hubbard Associates	B	
	I-45 Pleasant Run Associates	A	C
	Cooper-Coker Associates	B	
	Webb Rhodes Associates	A	
III	Promissory note from Jim Foxx, in connection with the sale of stock in 1985 of Meridian Products		D
III	Promissory note from Raymond Grubb, in connection with the sale of stock in 1985 of Meridian Products		A
III	500 shares of Zweig, Inc., publicly traded mutual fund		A
III	7½% interest in Covant Brothers, Inc., a privately held company operating a restaurant in Richardson, Texas		C
III	12240 Montego Plaza, formerly family residence, now held as rental property		E

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[illegible]

Page 2 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

HARRY STEPHEN BARTLETT
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
HOLDINGS	
III	Spouse is 50% beneficiary of trust held by InterFirst Bank Dallas containing the following holdings:
	General Investment Trust A Fund, represents 50% interest C
	General Investment Trust D Fund, represents 50% interest C
	General Investment Trust F Fund, represents 50% interest C
AGREEMENTS	
IX	12/17/84 Meridian Products Corporation: payment of recurring commissions on sales to customers produced by Member, prior to service in Congress.
IX	02/28/84 Profit-sharing plan by Meridian Products Corporation contributions made by Meridian on behalf of all eligible employees, including Member as employee of Meridian.

GPO: 1985 61-665 (m)

HAND DELIV

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Joe Linus Barton
(Full Name)

1225 Longworth HOB
(Mailing Address)

Washington D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 6 State Texas

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts, such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION"
IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
W.R. Grace & Co. (8-22-86)	Honoraria	$500.00	
American Nuclear Energy Council (5-8-86)	Honoraria	$250.00	
Asbestos Information Assoc. of North Am. (9-24-86)	Honoraria	$250.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Ennis Business Forms Stock	Dividends	A
Atlantic Richfield Stock	Dividends	A
Performance Fund Account - 1st City Bank & Trust Fort Worth, Texas	Interest	B
Certificate of Deposit - Fidelity National Bank Dallas, Texas	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.**
IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 8

IDENTITY	CATEGORY
Ennis Business Forms Stock	C
Atlantic Richfield Oil & Gas Company Stock	C
Performance Fund Acct. - Personal- 1st City Bank & Trust- Fort Worth,TX	D

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
House at 7001 Hector, McLean, VA	6-15-86	sold
Performance Fund Acct. - 1st City Bank & Trust-Fort Worth,TX	7-2-86	purchase

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
Fidelity National Bank - Triple J. Enterprises for personal loan	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
N/A	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION	VALUE
Texas A & M Athletic Department	Souvenir Cotton Bowl Watch	$125.00

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
Texas Utilities (1/12/86)	Meals & Lodging
North Texas Oil & Gas Association (4/3/86)	Airline, Hotel and meals - One day
Congressional Charity Tennis Tournament	Food and Lodging

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
N/A	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	N/A	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Joe Barton	5-14-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A For use by Members, officers, and employees

HERBERT HARVELL BATEMAN
(Full Name)
1527 Longworth HOB
(Mailing Address)
Washington, D. C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 1st State Virginia

☐ Officer or Employee—Employing Office

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "print-outs" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION." **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Trust under will of Louis Kaiser and Trusts of Ione Kaiser	Salary to Spouse	--	
Same as above	Trustee commissions	3044.	
Honoraria--see attached continuation sheet			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Peninsula Motel Ventures IX Lt. Partnership	Ord income & Cap. Gain	E
Equitable Life Assur. Soc. of US	Div. & Interest	A
Templeton World Fund, Mutual Fund	Ord income & Cap Gain	A
Herbert H. Bateman, Jr.	Interest	A
Laura M. Bateman	Interest	A
Jesse L. Alston	Rental Income	B
(See attached continuation sheet)		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from, (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES __ NO X NA __

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Newport News Savings and Loan Assn.	A
Peninsula Motel Ventures IX Lt. Partnership--Dissolved 5/86	C
Legg-Mason Value Trust	B
(See continuation sheet)	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
Newport News Savings & Loan Assn. Stock	6/19/86	A
Condo Unit 614, 1300 Army-Navy Dr., Arl.,Va. (Converted		
from personal residence to rental property	7/25/86	D
Peninsula Motel Ventures IX, Dissolution Lt. Partnership	1/86	C
Deposits to Retirement Acct's	various	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
Sovran Bank, N.A. (Mortgage on condo converted to rental prop. 7/86)	D
Dominion Bank, Temporary unsecured loan, purchase new residence 7/86	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
Congressional Charity Tennis Tournament, Greenbrier White Sulphur Springs, W. Va.	Transp., Food, lodging
Electronic Industry Assn., participant in seminar	Transp., Food, Lodging
~~Congressional Charity Golf Tournament, Greenbrier~~ White Sulphur Springs, W.Va.	~~Transp., Food, Lodging~~

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Co-Trustee	Trust under will Louis Kaiser and Trusts of Ione Kaiser
Limited Partner	Peninsula Motel Ventures No. IX (dissolved 5/86)

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature

Date May 13, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Herbert Harvell Bateman
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II A	Honoraria as follows:	AMOUNT	DISPOSITION
	Pratt-Whitney--W.Palm Beach, Fla. 5/23/86	$1000	NONE
	McDonald Douglas, Long Beach, Calif. 6/2/86	1000	NONE
	Direct Selling Assn., Washington, DC 1/29/86	300	NONE
	Armed Forces Marketing Council, Arl.VA 4/2/86	1000	NONE
	Congressional Quarterly, Wash. D. C. 3/24/86	300	NONE
	Textron, Washington. D. C. 4/24/86	1000	NONE
	Boeing Corp., Seattle, Washington 6/3/86	2000	NONE
	Northrop Corp., Los Angeles, Calif. 6/2/86	2000	NONE
II B	Unearned Income as follows:	TYPE	CATEGORY
	Laura M. Bateman & Cynthia Black	Rental Income	A
	Legg-Mason Cash Reserve Trust	Interest	A
	Legg-Mason Value Trust	Mutual Fund	B
III	Holdings:		CATEGORY
	Smithfield Foods, Inc., Common stock		A
	1/2 acre commercial real estate, Hampton, Va.		E
	Condominium unit 614, 1300 Army-Navy Dr., Arl, Va.		E
	American Bank, Common Stock		A
IV	Transactions:	DATE	CATEGORY
	Legg-Mason Value Trust, Purch. shares	2, 9 & 10/86	B

HAND DELIVERED

HERBERT H. BATEMAN

Congress of the United States
House of Representatives
Washington, DC 20515-4601

May 19, 1987

Honorable Donald K. Anderson, Clerk
House of Representatives
Office of Records and Registration
1036 Longworth HOB
Washington, D. C. 20515

✓
MC

Dear Mr. Anderson:

Attached you will find amended Financial Disclosure Statement for 1986. The amended form reflects information at Item IIB-6 to disclose the source of rental income reported as to Jesse L. Alston and the source of rental income reported as to Laura M. Bateman and Cynthia Black. The amended form also reflects under Section III, Holdings, addition of Legg-Mason Cash Reserve Trust. This was a temporary investment in a Money Market Account pending permanent investment in Legg-Mason Value Trust as reported in Section IV-5.

I have also indicated under Section IV, Item 1, Newport News Savings and Loan Association stock, that it was a purchase.

I have also added under Section IV, Item 6, Legg-Mason Value Trust Money Market Account, as a temporary investment liquidated with the proceeds reinvested in Section III-3 and IV-5. I have not disclosed on the 1985 statement promissory notes payable to me by my children, Herbert H. Bateman, Jr., and Laura M. Bateman, as these are not required to be reported though they were reported on my 1985 Disclosure Statement.

Sincerely,

Herbert H. Bateman
Member of Congress

HHB/ph

Enclosures

[illegible]

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A: For use by Members, officers, and employees

HERBERT HARVELL BATEMAN
(Full Name)

1527 Longworth HOB
(Mailing Address)

Washington, D. C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 1st State Virginia

☐ Officer or Employee—Employing Office

☒ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) print-out listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "print-outs" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure; all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ___ NO X NA ___

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION" **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Trust under will of Louis Kaiser and Trusts of	Salary to spouse --		
Ione Kaiser			
Same as above	Trustee commis-		
	sions	3044.	
Honoraria--see attached continuation sheet			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
1. Peninsula Motel Ventures IX Lt. Partnership	Ord. income & Cap. Gain	E
2. Equitable Life Assur. Soc. of US	Div. & Interest	A
3. Templeton World Fund, Mutual Fund	Ord. Income & Cap Gain	A
4. Herbert H. Bateman, Jr.	Interest	A
5. Laura M. Bateman	Interest	A
6. Jesse L. Alston (Rental Item III-5)	Rental Income	B
(See attached continuation sheet)		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
1. Newport News Savings and Loan Assn.	A
2. Peninsula Motel Ventures IX Lt. Partnership--Dissolved 5/86	C
3. Legg-Mason Value Trust	B
(See continuation sheet)	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
1. Newport News Savings & Loan Assn. Stock (Purchase)	6/19/86	A
2. Condo Unit 614, 1300 Army-Navy Dr., Arl., Va. (Converted from personal residence to rental property)	7/25/86	D
3. Peninsula Motel Ventures IX, Dissolution Lt. Partnershi	1/86	C
4. Deposits to Retirement Acct's	various	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
Sovran Bank, N.A. (Mortgage on condo converted to rental prop. 7/86)	D
Dominion Bank, Temporary unsecured loan, purchase new residence 7/86	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
Congressional Charity Tennis Tournament, Greenbrier White Sulphur Springs, W. Va.	Transp., food, lodging
Electronic Industry Assn., participant in seminar	Transp., food, lodging
Congressional Charity Golf Tournament, Greenbrier White Sulphur Springs, W. Va.	Transp., food, lodging

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13

POSITION	NAME OF ORGANIZATION
Co-Trustee	Trust under will Louis Kaiser and Trusts of Ione Kaiser
Limited Partner	Peninsula Motel Ventures No. IX (dissolved 5.86)

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to future employment, leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 19, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Herbert Harvell Bateman
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II A	Honoraria as follows:	AMOUNT	DISPOSITION
	Pratt-Whitney, W. Palm Beach, Fla. 5/23/86	$1000	NONE
	McDonald Douglas, Long Beach, Calif 6/2/86	1000	NONE
	Direct Selling Assn., Washington,D.C. 1/29/86	300	NONE
	Armed Forces Marketing Council, Arl,Va. 4/2/86	1000	NONE
	Congressional Quarterly, Wash. D.C. 3/24/86	300	NONE
	Textron, Washington, D. C. 4/24/86	1000	NONE
	Boeing Corp., Seattle, Washington 6/3/86	2000	NONE
	Northrop Corp., Los Angeles, Calif 6/2/86	2000	NONE
II B	Unearned Income as follows:	TYPE	CATEGORY
	7. Laura M. Bateman & Cynthia Black	Rent Item III-6	A
	8. Legg-Mason Cash Reserve Trust	Interest	A
	9. Legg-Mason Value Trust	Mutual Fund	B
III	Holdings:		CATEGORY
	4. Smithfield Foods., Inc., Common stock		A
	5. 1/2 acre commercial real estate, Hampton, Va.		E
	6. Condominium unit 614, 1300 Army-Navy Dr., Arl, Va.		E
	7. American Bank, Common Stock		A
	Legg-Mason Cash Reserve Trust--Temp. Investment Money Market Account pending permanent investment in IV-5		A
IV	Transactions:	DATE	CATEGORY
	5. Legg-Mason Value Trust, Purch. shares	2,9 & 10/86	B
	6. Legg-Mason Value Trust Money Market Acct., temp. invested; proceeds reinvested (See III-3 and IV-5)		A

GPO 1986 61-680 (m)

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

JIM BATES
(Full Name)

3246 Quesada St. NW
(Mailing Address)
Washington, D.C. 20015

MC (Office Use Only)

Check the appropriate box and fill in the blanks

X Member of the U.S. House of Representatives—District 44 State CA

Officer or Employee—Employing Office ____________

Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

[illegible]

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE		TYPE	AMOUNT	DISPOSITION
Space Satellite Industry	2/22	Honorarium	$1500.	
NCTA Cable Pac	3/17	Honorarium	2000.	
NAB	4/12	Honorarium	1000.	
Capital Investment Group	4/25	Honorarium	1000.	
Electronic Industry	6/1	Honorarium	1000.	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE		TYPE	CATEGORY
Rent on 2224 Sunset Blvd.	Residence	Rent	E

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 8

IDENTITY	CATEGORY
Personal residence in Washington D.C.	E
Personal residence in San Diego (address 2224 Sunset Blvd)	E
Personal residence in San Diego (address 850 State Street)	D

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Purchase of residence on 850 State St, San Diego, California	4/1/86	D

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME, any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Cash reserve (Bank of Commerce, San Diego, CA)	B
Personal Loan (San Diego National Bank, San Diego, CA)	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Democratic Congressional Dinner	Dinner ticket 1500.00

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
Entertainment-the play "Big River"	Theater performance (3tx)	105.00
Greenbrier Tennis Tournament	Lodging	204.00

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986:

SOURCE		BRIEF DESCRIPTION
NCTA Cable Pac	3/17	Lodging/travel
NAB -	4/12	Lodging/travel
Capitol Investment	4/25	Lodging/travel
Electronics Industry	6/1	Lodging/travel
LULAC	6/6	Lodging/travel
Miller & Schroeder	7/14	Lodging/travel

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Employee	Merrill Lynch (spouse)

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature X	Date 5/1/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE [illegible]

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Page ____ of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Jim Bates 44-CA
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
IIA	Chicago Board of Trade	6/2	Honorarium $500.
	Chicago Mercantile	6/2	Honorarium 500.
	United Coal	6/9	Honorarium 2000.
	LULAC	6/6	Honororium 1000.
	Miller and Schroeder	7/14	Honorarium 2000.
	CA Cable TV Assoc.	12/4	Honorarium 1000.
VI.	CA Cable TV Assoc.	12/4	lodging/travel 1209.00
	Chinese Nat. Assoc. Industry and Commerce (Taiwan-Marilyn)	11/86	lodging/travel 3900.00
	Chinese Nat. Assoc. Industry and Commerce (Taiwan-Jim)	11/86	lodging/travel 3900.00

JIM BATES
44TH DISTRICT CALIFORNIA

Congress of the United States
House of Representatives
Washington, D.C. 20515

INSIDE MAIL

MAY 15

May 11, 1987

Office of Records and
Registration
1036 Longworth H.O.B.
Washington D.C. 20515

✓
MC

Dear Sirs:

This letter is to amend my financial disclosure of 1986 dated 5/1/87. Thank you for your attention to this matter.

The following sections need to be amended:
Section VI Part B -
1)The tickets for the play "Big River" were given to me by the Investment Company Institute.
2)Lodging for the Greenbrier tennis tournament was paid for by the Grocery Manufactures of America.
Section VII-
1)NCTA -roundtrip airfare DC-Dallas and 1 day expenses.
2)NAB -roundtrip airfare New York-Dallas-DC and 2 days expenses.
3)Capitol Investment-roundtrip airfare San Diego-L.A. and 1 day expenses.
4)Electronics Industry-roundtrip airfare D.C.-Chicago and 2 days expenses
5)Lulac- roundtrip D.C.-W.VA and 3 days expenses.
6)Miller and Schroeder- roundtrip S.D.-Minneapolis and 2 days expenses.
7)CA Cable TV Association-roundtrip S.D.-L.A.-D.C. and 1 day expenses.
8)ChineseNational Association Industry (Jim)-roundtrip D.C.-Taiwan and 5 days expenses.
9)Chinese National Association Industry (Marilyn)-roundtrip D.C.-Taiwan and 5 days expenses.

Also, Please note on the continuation sheet that Section VI should be Section VII. If there is anything else that needs to be done or if you have any questions please feel free to contact me.

Sincerely,

JIM BATES
Member of Congress

JB:dv

1404 Longworth House Office Building, Washington, D.C. 20515 (202) 225-5452

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A (For use by Members, Officers, and employees)

Anthony C. Beilenson
(Full Name)

1025 Longworth House Office Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 23 State California

☐ Officer or Employee—Employing Office

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure; all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X ____ NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Legislators' Retirement System (California)	Pension	$8,948	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Please see common stocks listed on Continuation Sheet--each paid dividends totalling less than $1,000 (Category A)	Dividends	
Chevy Chase Savings & Loan	Interest	A
Congressional Federal Credit Union	Interest	A
(SEE CONTINUATION SHEET)		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Common stocks (see Continuation Sheet)	
Chevy Chase Savings & Loan	B
Congressional Federal Credit Union	A
Standard Federal Savings & Loan	E
Cash Equivalent Fund	B
(SEE CONTINUATION SHEET)	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
[SEE CONTINUATION SHEET]		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME, any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item, and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. *The source and a brief description of gifts of transportation, lodging, food, or entertainment aggregating $250 or more in value received from any source during calendar year 1986.*

SOURCE	BRIEF DESCRIPTION
None	

B. *The source, a brief description, and value of all other gifts aggregating $100 or more in value received from any source during calendar year 1986.*

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment, leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 15, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

Page 1 of 2

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Anthony C. Beilenson, M.C.
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*		
II-B	Standard Federal Savings & Loan	Interest	E
	Peter Pauper Press	Interest	B
	Cash Equivalent Fund	Interest	C
	Middletown, Ohio (municipal bond)	Interest	A
	Wickliffe, Ky. (municipal bond)	Interest	A
	Sacramento County (municipal bond)	Interest	C
	Lorillard, Inc.	Interest	A
	National Bank of Detroit	Interest	A
	Yellow Aster Venture (limited partnership)	Interest	B
III	Middletown, Ohio (municipal bond)		B
	Wickliffe, Ky. (municipal bond)		A
	Sacramento County (municipal bond)		C
	Lorillard, Inc. (corp. bond--formerly Loew's Theaters)		A
	National Bank of Detroit (corporate bond)		A
	Comcast Cablevision of Md. (limited partnership)		C
	Yellow Aster Venture (limited partnership)		C
	Peter Pauper Press (family business)		C
	COMMON STOCKS:		
	Amerada Hess		B
	Atlas Consolidated Mining		A
	Bell Atlantic (sold 7/86)		C
	Berkey, Inc. (sold 12/86)		B
	Cablevision		C
	Carter Wallace (sold 7/86)		C
	Chyron Corp.		B
	Coeur d'Alene Mines		B
	Comstock Group (sold 12/86)		B
	General Development Corp.		C
	Equitable Bank of Iowa		C
	Fedders Corp. (sold 6/86)		B
	General Development Corp. (sold 6/86)		C
	Gulf Resources		B
	Hamilton Oil		C
	Hechinger Co. (sold 4/86)		C
	Heritage Communications (sold 7/86)		C
	Holiday Corp. (sold 9/86)		C
	Hospital Corp of America (sold 11/86)		C
	Interfirst Corp.		B
	Johnson Controls (sold 3/86)		C
	Laser, Inc.		C
	Lea Ronal, Inc. (sold 4/86)		C
	~~Mapco, Inc. (sold 7/86)~~		~~B~~
	Monfort of Colorado (sold 7/86)		D
	National Gypsum Co. (sold 4/86)		C
	NCNB Corp. (sold 7/86)		C
	Nortek, Inc.		C
	Ocilla, Inc.		B
	Optical Coating		C
	Pacific Telesis (sold 7/86)		C
	Printronix, Inc.		B
	Rogers Cable Systems (sold 7/86)		C
	Safeco Corp.		C
	Sooner Federal (sold 4/86)		B
	Southmark Corp. (sold 5/86)		C

GPO 1986 61-688 (m)

Page 2 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Anthony C. Beilenson, M.C.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
III (con't.)	Travellers Corp. (sold 7/86)		C
	Triton Energy Corp.		C
	United Technologies (sold 12/86)		C
	Unitrode Corp. (sold 4/86)		B
	Jim Walter, Inc.		C
	Weldotron		B
	Western Air Lines (sold 2/86)		C
IV	Bell Atlantic	Sold 7/86	C
	Berkey, Inc.	Acquired 1/86; sold 12/86	B
	Cablevision	Acquired 5/86	C
	Carter Wallace	Sold 7/86	C
	Chyron Corp.	Acquired 12/86	B
	Cumstock Group	Acquired 3/86; sold 12/86	B
	Equitable Bank of Iowa	Acquired 7/86	C
	Fedders Corp.	Sold 6/86	B
	General Development Corp.	Acquired 12/86	C
	General Development Corp.	Sold 6/86	C
	Gulf Resources	Acquired 3/86	B
	Hamilton Oil	Acquired 3/86	C
	Hechinger Co.	Sold 4/86	C
	Heritage Communications	Acquired 1/86; sold 7/86	C
	Holiday Corp.	Sold 9/86	C
	Hospital Corp. of America	Acquired 5/86; sold 11/86	C
	Johnson Controls	Acquired 1/86; sold 3/86	C
	Laser, Inc.	Acquired 3/86	C
	Lea Ronal, Inc.	Sold 4/86	C
	Monfort of Colo.	Sold 7/86	D
	National Gypsum Co.	Sold 4/86	C
	NCNB Corp.	Sold 7/86	C
	Ocilla, Inc.	Acquired 1/86	B
	Optical Coating	Acquired 4/86	C
	Pacific Telesis	Sold 7/86	C
	Printronix	Acquired 9/86	B
	Rogers Cable Systems	Sold 7/86	C
	Sooner Federal	Acquired 1/86; sold 4/86	B
	Southmark Corp.	Sold 5/86	C
	Travellers Corp.	Acquired 1/86; sold 7/86	C
	United Technologies	Acquired 10/86; sold 12/86	C
	Unitrode Corp.	Sold 4/86	B
	Jim Walter	Acquired 5/86	C
	Weldotron	Acquired 5/86	B
	Western Air Lines	Sold 2/86	C

GPO [illegible] 61-[illegible] (m)

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

INSIDE MAIL

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A For use by Members, officers, and employees

[illegible]
(Full Name)

[illegible]
(Mailing Address)

MC (Office Use Only)

Check the appropriate box and fill in the blanks

☑ Member of the U.S. House of Representatives—District [illegible] State Florida

☐ Officer or Employee—Employing Office

☐ Check if amended Statement

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STANDARDS FOR EXEMPTION

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(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION" **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE		TYPE	AMOUNT	DISPOSITION
Christian Science Monitor	5/1 10/2	articles	$200	charity
Brookings Institute	5/8	speech	300	"
Allen Freed	5/8	"	250	"

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
James Bennett	oral promise	B
Central Fidelity Bank	checking & savings	C
Sovran Bank	" "	A
N.Y. Life ins. on dividends	int. on div.	A
See continuation sheet for stock income		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ [✓ NO] NA ____

For more information, see detailed Instruction Booklet at page 8

IDENTITY	CATEGORY
Central Fidelity Bank	C
Pershing Corp	A
See continuation sheet for other stocks	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
No transactions as above described		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature Charles E. Bennett	Date April 6, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

Page _____ of _____

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Charl[illegible]
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
Part II	Unearned Income - Stocks		Dividends	Category
	Pershing Corp	"	"	A
	A.T.&T.	"	"	[illegible]
	Eastman	"	"	
	Allied Signal	"	"	[illegible]
	Midland Ross	"	"	
	Kimberly Clark	"	"	A
	IBM	"	"	A
	Xerox	"	"	[illegible]
	Alum. Co of America	"	"	A
	Deere & Co.	"	"	A
	Gen. Mills	"	"	[illegible]
	Gillette	"	"	B
	Crystal Brands	"	"	A
	Henly Group	"	"	A
	Kenner Parker Toys	"	"	A
	TCI	"	"	A
	[illegible] Corp	"	"	A
	Southern New Eng. Tel.	"	"	A
Part III	Holdings	Stocks	Deposits	Category
	Pershing Corp.	"	(previously listed)	C
	A.T.&T.	"		
	Eastman	"		A
	Allied Signal	"	C	B
	Midland Ross			A
	Kimberly Clark			C B
	IBM			
	Xerox			
	Aluminum Co of Amer.			A
	Deere & Co.			A C
	Gen. Mills			B
	Gillette			
	Crystal Brands			
	Henly Group			A
	Kenner Parker Toys			A
	TCI			A
	[illegible] Corp			A
	Southern New Eng. Tel.			A
	James [illegible] oral note or promise			A
	Central Md. Bank (previously listed)			C
	Sovran Bank			A
	N.Y. Life deposit of dividend			A

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A (For use by Members, officers, and employees)

Helen Delich Bentley
(Full Name)

408 Chapelwood Lane
Lutherville MD 21093
(Mailing Address)

MC (Office Use Only)

(Check the appropriate box and fill in the blanks)

☒ Member of the U.S. House of Representatives—District 2 State MD

☐ Officer or Employee—Employing Office

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure; all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION." **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Bentley's Antique Show Mart (spouse)	Earnings		
5/19/86 Port '86	Honorarium	$ 250.00	
8/20/86 Tobacco Institute	"	1,000.00	
8/28/86 AAI Corporation	"	1,500.00	
10/15/86 American Iron & Steel Institute	"	1,000.00	

(continued)

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category: A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
General Telephone & Electronics	Dividend	A
Bristol Meyers	Dividend	A
Maryland National Bank	Interest	B
First Federal Savings of Nevada	Interest	B
Mercantile Safe Deposit	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ [✓ NO] NA ____

For more information, see detailed Instruction Booklet at page 8

IDENTITY	CATEGORY
GTE Corporation	A
Bristol Meyers Inc.	B
Duro Test Inc.	A
Maryland National Bank	C
First Federal Savings of Nevada	C
Otis Oil & Gas Co.	A

(continued)

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME, any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item, and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
(NONE)	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation."

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of gifts of transportation, lodging, food, or entertainment aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
(NONE)	

B. The source, a brief description, and value of all *other* gifts aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
(NONE)		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE		BRIEF DESCRIPTION
Port '86	Speech	Fare, Hotel-Oakland CA - Wash DC
Propeller Club of Puerto Rico	Speech	Fare, Hotel - Wash DC-San Juan, PR
Republican National Committee	-Speaking Engagement	
	for Spouse and Self Fare, 2 Nights Hotel	
	Palm Springs, CA	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Trustee	Villa Julie College, Church Home Hospital, Oceanic Education Foundation
Director	Sea Lites Corp., Interseas Naval Systems Inc.
President	International Resources & Development Corp.
President	HDB International Inc.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 15, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

Page [illegible] of [illegible]

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Helen Delich Bentley
Name

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
INCOME	Essex Community College	12/1/86	honorarium	$ 300.00
	Bethlehem Steel Corp.	12/04/86	"	2,000.00
	Intl Resources & Development Corp.	May, 1986	Fee	2,500.00
HOLDINGS	International Resources & Development Corp.			B
	HDR International Inc.			B
	Sea Lites Corp.			A
	Interseas Naval Systems Inc.			A

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Hand Deliver

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A For Use by Members, Officers and Employees

DOUGLAS KENT BEREUTER
(Full Name)

2446 Rayburn House Office Building
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 1st State Nebraska

☐ Officer or Employee—Employing office

☐ Check if amended statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure; all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
G.T.E. 2/6/86	honorarium	$500	income
Nebraska Telephone Association 5/13/86	honorarium	$500	income
National Soybean Processors Assoc. 9/25/86	honorarium	$500	income
Arlington County, VA School Board (wife - Louise Bereuter	salary	--	income
Louise Bereuter (wife) note card business			income

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Calvert Group, Riggs National Bank, Wash., D.C.	Interest	A
Calvert Group, Riggs National Bank, Wash., D.C. (education fund for son -- Eric Bereuter)	Interest	A
Calvert Group, Riggs National Bank, Wash., D.C. (education fund for son -- Kirk Bereuter)	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust, or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? ____ YES ____ NO ____ NA

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
IRA account, Calvert Group, Riggs National Bank, Wash., D.C.	B
IRA account, Calvert Group, Riggs National Bank, Wash., D.C. (wife - Louise Bereuter)	B
Shareholder in family corporation owning 38 acres of land and partially completed residence in unincorporated portion of Summit County, CO	C
Civil Service Retirement (amount contributed) no interest	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
IRA account, Riggs National Bank, Washington, D.C.	apprx. 1/5/86	A
IRA account, Riggs National Bank, Washington, D.C. (wife - Louise Bereuter)	apprx. 1/5/86	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME, any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE — BRIEF DESCRIPTION

(1) Japan Society (of U.S.) Inc., Japan Parliamentary Exchange Program -- Tokyo & Kyoto, Japan -- transportation, food, lodging -- (members only) Berlin - Tokyo - Washington 1/11-19/86

(2) Nebraska Bankers Association -- air and ground transporation, lodging for speaking engagement in Phoenix, Arizona for member and wife. Wash., D.C.- Phoenix - Washington,D.C. 2/19-23/86

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Board of Trustees	Nebraska Wesleyan University, Lincoln, Nebraska

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 14.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Douglas Bereuter	May 14, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

GOVERNMENT PRINTING OFFICE 1987 [illegible]

[illegible]

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

DOUGLAS KENT BEREUTER
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
III	Teachers Retirement Fund (wife -- Louise Bereuter) (amount contributed) no interest
VII	(3) Aspen Institute/Carnegie Endowment for International Peace -- transportation, food, and lodging -- U.S.-U.S.S.R. Relations Conference for U.S. Congress (for member & wife) Baltimore - Bermuda - Baltimore -- 4/2-6/86
	(4) Greater Miami Chamber of Commerce, Inc. - Congressional Workshop (for member & wife) Washington - Miami - Washington 12/26/85 - 1/1/86)

GPO [illegible]

HAND DELIVERED

DOUG BEREUTER
1st DISTRICT, NEBRASKA

COMMITTEE ON BANKING, FINANCE
AND URBAN AFFAIRS

SUBCOMMITTEES
FINANCIAL INSTITUTIONS
HOUSING AND COMMUNITY DEVELOPMENT
INTERNATIONAL DEVELOPMENT
INSTITUTIONS AND FINANCE
ECONOMIC STABILIZATION

COMMITTEE ON FOREIGN AFFAIRS

SUBCOMMITTEES
INTERNATIONAL ECONOMIC POLICY AND TRADE
ASIAN AND PACIFIC AFFAIRS

SELECT COMMITTEE ON HUNGER

Congress of the United States
House of Representatives
Washington, DC 20515

WASHINGTON OFFICE
2448 RAYBURN HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-4806

DISTRICT OFFICE
1045 K STREET
P.O. BOX 82887
LINCOLN, NE 68501
(402) 471-5400

NATIONAL AGRICULTURAL TRADE AND
EXPORT POLICY COMMISSION

RURAL CAUCUS

AGRICULTURAL TASK FORCE
COCHAIRMAN

EXPORT TASK FORCE
VICE CHAIRMAN

ENVIRONMENTAL AND ENERGY
STUDY CONFERENCE

May 18, 1987

Honorable Donald K. Anderson
Clerk of the House
Office of Records and Registration
1036 Longworth Building
Washington, D.C. 20515

MC

Dear Mr. Anderson:

I understand that I neglected to check the Trust Holdings Box on Page 2 of Part III of my Financial Disclosure Statement. I would, therefore, like to amend my Statement by checking the "No" box.

Also, please refer to the continuation sheet Part III regarding Teacher's Retirement Fund; please add category A to the first item.

Thank you for your assistance. If you have any further questions, please do not hesitate to call my office at extension 5-4806.

Sincerely,

Doug Bereuter
DOUG BEREUTER
Member of Congress

DB/re

Enclosure

[illegible]

GENERAL GUIDELINES:

EARNED INCOME: [illegible] in Part II A [illegible] SOURCE, TYPE, DATE, AND AMOUNT. In reporting honoraria, the [illegible] amounts accepted for actual travel and subsistence [illegible] for yourself and your spouse, [illegible] and amounts paid or incurred for [illegible] the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS [illegible]. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE but not the AMOUNT of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

[illegible]

A. SOURCE	TYPE	AMOUNT	DISPOSITION
G.T.E. 7/1/86	Honorarium	$500	Income
Nebraska Telephone Association 5/13/86	Honorarium	$500	Income
National Soybean Processors Assoc. 9/[illegible]/86	Honorarium	$500	Income
Arlington County, VA School Board (wife - Louise Bereuter)	salary	--	Income
Louise Bereuter (wife) note card business			Income

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A - not more than $1,000, B - $1,001-$2,500, C - $2,501-$5,000, D - $5,001-$15,000, E - $15,001-$50,000, F - $50,001-$100,000, G - over $100,000.

B. SOURCE	TYPE	CATEGORY
Calvert Group, Riggs National Bank, Wash., D.C.	Interest	A
Calvert Group, Riggs National Bank, Wash., D.C. (education fund for son -- Eric Bereuter)	Interest	A
Calvert Group, Riggs National Bank, Wash., D.C. (education fund for son -- Kirk Bereuter)	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A - not more than $5,000, B - $5,001-$15,000, C - $15,001-$50,000, D - $50,001-$100,000, E - $100,001-$250,000, F - over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, [illegible])

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from, (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust, or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(f)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A [illegible] A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES [✓ NO] NA

[illegible] detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
IRA account, Calvert Group, Riggs National Bank, Wash., D.C.	B
IRA account, Calvert Group, Riggs National Bank, Wash., D.C. (wife - Louise Bereuter)	B
Shareholder in family corporation owning 38 acres of land and partially completed residence in unincorporated portion of [illegible] County, CO	C
[illegible] (amount [illegible]) no interest	C

ETHICS IN GOVERNMENT ACT FINANCIAL DISCLOSURE STATEMENT

DOUGLAS KENT BEREUTER

(Name)

Continuation Sheet

Part	Entry: Type, Amount, Category, Description or Value (As Applicable)
III	Teachers Retirement Fund (wife -- Louise Bereuter) (amount contributed) no interest add category A
VII	(3) Aspen Institute/Carnegie Endowment for International Peace -- transportation, food, and lodging -- U.S.-U.S.S.R. Relations Conference for U.S. Congress (for member & wife) Baltimore - Bermuda - Baltimore -- 4/2-6/86
	(4) Greater Miami Chamber of Commerce, Inc. - Congressional Workshop (for member & wife) Washington - Miami - Washington 12/26/85 - 1/1/86)

[illegible] 2 1 3 1 [illegible] 3

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A (For use by Members, officers, and employees)

HOWARD LAURENCE BERMAN
(Full Name)

14600 ROSCOE BLVD.
(Mailing Address)

PANORAMA CITY, CA 91402

MC [illegible] 1 [illegible]
(Office Use Only)

Check the appropriate box and fill in the blanks:

X Member of the U.S. House of Representatives—District 26 State CA

Officer or Employee—Employing Office ______

Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure; all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

1 ' 1 2 1 3 1 ' 9

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
National Cable Television Association 3/25/86	Honorarium	$1,500	
Motion Picture Assoc. of America 2/4/86	Honorarium	$2,000	
National Broadcasters Association 5/5/86	Honorarium	$1,500	
Training Media Distributors Assoc. 7/21/86	Honorarium	$1,000	
Morgan Stanley 6/11/86	Honorarium	$1,000	
(SEE CONTINUATION SHEET)			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000

B. SOURCE	TYPE	CATEGORY
Interest Payments on 2nd Deed of Trust secured by House in Nevada City, CA (135 Rome Road)	INTEREST	[illegible]
House at 3346 Prospect St.,N.W. Washington, D.C.	RENTAL INCOME	C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8

IDENTITY	CATEGORY
Timberlake Limited Partnership-Ownership of Medical Office Building at 7501 Timberlake Blvd., Sacramento, California	D
Portion of residence used as rental property at 3346 Prospect Street, N.W. Washington, D.C.	D

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence: and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Beatrice Oakland, Beverly Hills, California (Note)	C
Mortgage held by Great Western Savings and Loan, Beverly Hills, CA for that portion of residence used for rental purposes.	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of gifts of transportation, lodging, food, or entertainment aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Dept. of Airport Services, City of Los Angeles	Free parking and shuttle service

B. The source, a brief description, and value of all other gifts aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Motion Picture Assoc. of America February 3, 1986	Roundtrip airfare Washington to Los Angeles For speech in Los Angeles
National Cable Television Assoc. April 13, 1986	Roundtrip airfare Washington to Dallas, TX. Lodging & Meals for Speech in Dallas

(SEE CONTINUATION SHEET)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature Howard L. Berman	Date 5/21/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

8 7 7 / 7 2 1 3 1 5 2

Page 1 of 1

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

HOWARD LAWRENCE BERMAN
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*
II. A.	Proprietary Industry Assoc./Rec'd 9/3/86 HONORARIUM $1,000
	Joel Schwartz/Child Support Payments to Spouse
VII.	Training Media Distributors Assoc. May 16, 1986 Roundtrip airfare Washington to St. Louis, Missouri. Lodging & meals for speech in St. Louis

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A: For use by Members, officers and employees

Tom Bevill
Full Name

2332 Rayburn House Office Building
Washington, D.C. 20515
OR
Mailing Address
3927 North Military Road
Arlington, VA 22217

MC
Office Use Only

Check the appropriate box and fill in the blanks:

☒ Member of the U.S. House of Representatives, District 4th State Alabama

☐ Officer or Employee: Employing office

☐ Check if Amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
# 1 - Department of the Army	PENSION	$7,428.00	
(SEE PAGE ONE OF CONTINUATION SHEET)			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
# 1 - Wright Patman Congressional Federal Credit Union (Checking Account)	INTEREST	A
# 2 - Internal Revenue Service 1983 & 1984 Amended federal tax returns	INTEREST	A
SEE PAGE ONE OF CONTINUATION SHEET)		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _x_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
# 1 - Merrill Lynch, Pierce, Fenner & Smith, Inc.	
IRA Account 1982 - 1986 Mr. Tom Bevill	B
IRA Account 1984 - 1986 Mrs. Lou Betts Bevill	A
(See attached sheet from Merrill Lynch)	
SEE PAGE TWO OF CONTINUATION SHEET	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
# 1 - Merrill, Lynch Pierce, Fenner & Smith, Inc.		-
Purchased 1986 IRA - Lou Betts Bevill -	March 1986	A
Purchased 1986 IRA - Tom Bevill	March 1986	A
(SEE PAGE THREE OF CONTINUATION SHEET)		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
(SEE PAGE THREE OF CONTINUATION SHEET)	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature Tom Bevill	Date May 12, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

[illegible]

Page 1 of 3

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Tom Bevill
Name

Continuation Sheet

II-A INCOME (EARNED)

Part	(Source, Type, Amount Category, Description or Value As Applicable)		
II-A	# 1 - 3/10/86 Dolores Water Conservancy District for tour & Speech made to their group in Durango, CO -March 6-9, 1986	HONORARIUM	2,000.00
II-A	# 2 - 5/6/86 Fusion Power Assoc. for Speech made at their annual meeting in Washington, D.C. - April 26, 1986	HONORARIUM	500.00
II-A	# 3 - 5/21/86 G A Technologies, Inc for meetings and dinner Speech at their annual management meeting in San Diego, CA May 1 - 4, 1986	HONORARIUM	2,000.00
II-A	# 4 - 5/21/86 American Trucking Association for breakfast meeting speech made in Washington D.C. on May 15, 1986	HONORARIUM	1,000.00
II-A	# 5 10/3/86 Associated General Contractors for speech made at their annual meeting in Kansas City, MO on September 29, 1986	HONORARIUM	2,000.00
II-A	# 6 10/24/86 O'Connell Management Co., Inc. for Speech made at the World Trade Center in Boston, MA on October 14, 1986	HONORARIUM	2,000.00

II-B INCOME (UNEARNED)

II-B	# 3 Merrill Lynch, Pierce, Fenner & Smith, Inc. on Capital Builder Account (CBA) # 798-49855 Tom Bevill and Susan Bevill	INTEREST	A
II-B	#4 Merrill Lynch Pierce, Fenner & Smith, Inc. on Capital Builder Account (CBA) # 798-49856 Tom Bevill and Don Bevill	INTEREST	A
II-B	#5 Merrill Lynch Pierce, Fenner & Smith, Inc. on Capital Builder Account (CBA) #798-49857 Tom Bevill and Patty Bevill Warren	INTEREST	A

****NOTE: My contributions for 1986 exceeded the amount of honoraria received.

U.S. GOVERNMENT PRINTING OFFICE : 80 O - 73-228

Page 2 of 3

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Tom Bevill
Name

Continuation Sheet

Part	(Source, Type, Amount Category, Description or Value As Applicable)	
III HOLDINGS		
III	# 2 - Merrill Lynch Pierce, Fenner & Smith, Inc.	
	Capital Builder Account (CBA) #798-49855	
	Tom Bevill and Susan Bevill	
	Cash fund balance ---------------------------	A
	Investments	
	(5/20/86 Birmingham, AL Municipal Bonds ---	B
	5000 shares - WTS HR 3838	
	CUSIP # 090887P9)	
III	# 3 - Merrill Lynch Pierce, Fenner & Smith, Inc.	
	Capital Builder Account (CBA) #798-49856	
	Tom Bevill and Don Bevill	
	Cash fund balance ---------------------------	A
	Investments	
	(5/20/86 Birmingham, AL Municipal Bonds ---	B
	5000 shares - WTS HR 3838	
	CUSIP # 090887P9)	
III	# 4 - Merrill Lynch, Pierce, Fenner & Smith, Inc.	
	Capital Builder Account (CBA) #798-49857	
	Tom Bevill andPatty Bevill Warren	
	Cash fund balance ---------------------------	A
	Investments	
	(5/20/86 Birmingham, AL Municipal Bonds ---	B
	5000 shares - WTS HR 3838	
	CUSIP # 090887P9)	
III	# 5 - Civil Service Pension Fund	
	(totals thru 12/31/86) ----------------------	D
III	# 6 - Spouse (Lou Betts Bevill) inherited	
	one-quarter interest in a plot of	
	land (10 acres in McCalla, AL-Jefferson	
	County) She does not pay or receive	
	any interest or income from this	
	property-Appraised by professional	
	T. Julian Skinner,III of Birmingham	
	VALUE REPRESENTS TOTAL VALUE OF LAND --------	C
	RATHER THAT JUST HER PART	
III	# 7 - Vacant lot (less that 1 acre) facing East	
	side of 1st Avenue, Jasper, Alabama	
	Appraised by professional - T. Julian	
	Skinner, III of Birmingham Al on 4/5/76 ------	C

U.S. GOVERNMENT PRINTING OFFICE 1981 O-73-228

Page 3 of 3

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

TOM BEVILL
Name

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value As Applicable	
IV - TRANSACTIONS		
IV	# 2 - Merrill Lynch Pierce Fenner & Smith Opened Capital Builder Account on May 20, 1986 #798-49855 - Tom Bevill & Susan Bevill CBA Money Fund (cash) and Municipal bonds purchased from opening deposit amount ----------- (see Holding III # 2 for breakdown)	B
IV	# 3 - Merrill Lynch Pierce Fenner & Smith Opened Capital Builder Account on May 20, 1986 #798-49856 - Tom Bevill and Don Bevill (CBA Money Fund = cash) and Municipal bonds purchased from opening deposit amount ----------- (see Holdings III # 3 for breakdown)	B
IV	# 4 - Merrill Lynch Pierce Fenner & Smith Opened Capital Builder Account on May 20, 1986 #798-49857 - Tom Bevill and Patty Bevill Warren CBA Money Fund = cash and Municipal bonds purchased from opening deposit amount ----------- (See Holdings III # 4 for breakdown)	B
VII REIMBURSEMENTS:		
VII	#1 - Dolores Water Conservancy Speech & Tour on 3/6-9/86 (See INCOME II-A # 1)	- Mr & Mrs. Bevill Air travel and Accomodations provided
VII	#2 - GA Technologies, Inc. Speech on 5/1-4/86 (See INCOME II-A # 3)	- Mr & Mrs. Bevill Air travel and Accomodations provided
VII	#3 - Associated General Contractors Speech on 9/29/86 (See INCOME II-A #5)	- Mr. Bevill only Air travel and accomodations provided
VII	#4 O'Connell Management Co.,Inc. Speech on 10/14/86 (See INCOME II-A # 6)	- Mr. Bevill only Air travel and accomodations provided

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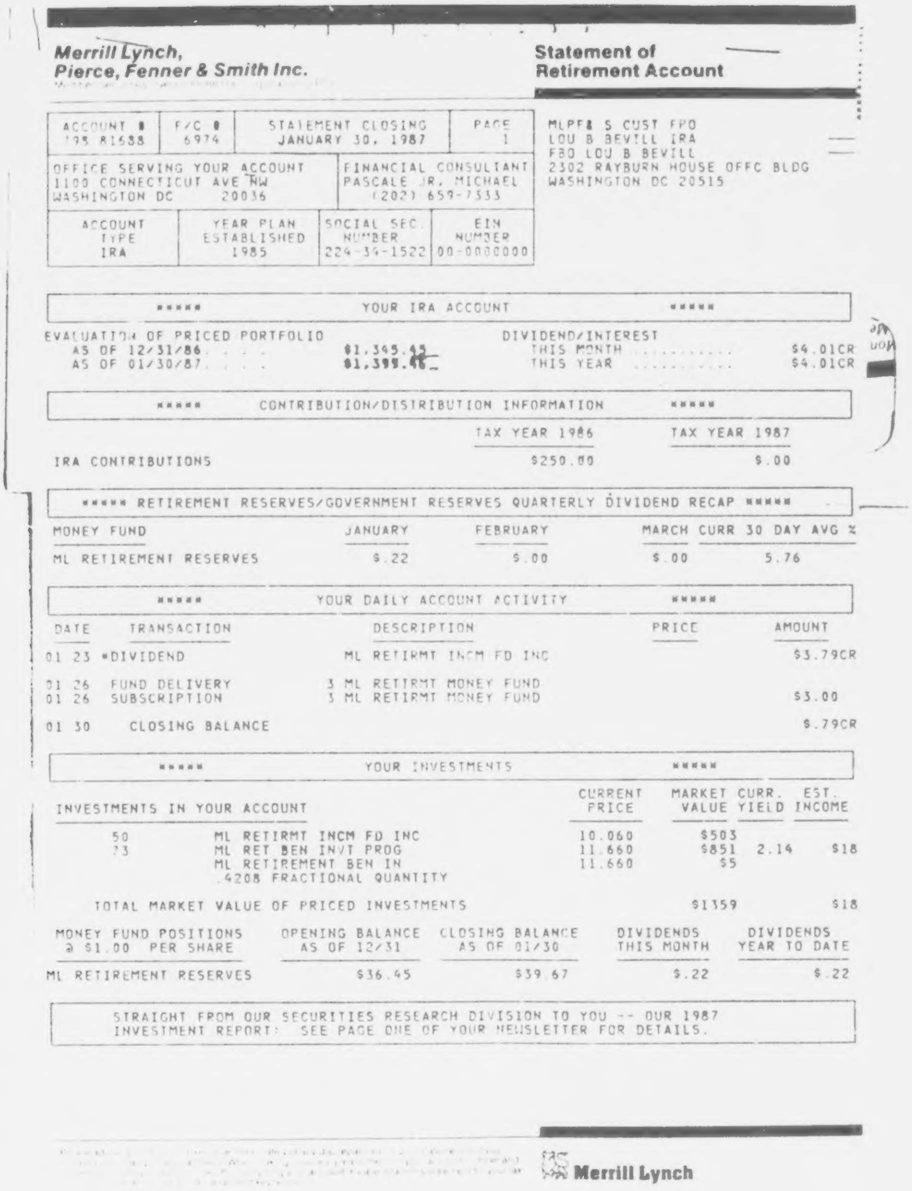


Merrill Lynch,
Pierce, Fenner & Smith Inc.

Statement of
Retirement Account

ACCOUNT #	F/C #	STATEMENT CLOSING	PAGE
195 81638	6974	JANUARY 30, 1987	1

MLPF& S CUST FPO
LOU B BEVILL IRA
FBO LOU B BEVILL
2302 RAYBURN HOUSE OFFC BLDG
WASHINGTON DC 20515

OFFICE SERVING YOUR ACCOUNT	FINANCIAL CONSULTANT
1100 CONNECTICUT AVE NW WASHINGTON DC 20036	PASCALE JR, MICHAEL (202) 659-7333

ACCOUNT TYPE	YEAR PLAN ESTABLISHED	SOCIAL SEC. NUMBER	EIN NUMBER
IRA	1985	224-34-1522	00-0000000

***** YOUR IRA ACCOUNT *****

EVALUATION OF PRICED PORTFOLIO
AS OF 12/31/86. . . . $1,345.45
AS OF 01/30/87. . . . $1,399.46

DIVIDEND/INTEREST
THIS MONTH $4.01CR
THIS YEAR $4.01CR

***** CONTRIBUTION/DISTRIBUTION INFORMATION *****

	TAX YEAR 1986	TAX YEAR 1987
IRA CONTRIBUTIONS	$250.00	$.00

***** RETIREMENT RESERVES/GOVERNMENT RESERVES QUARTERLY DIVIDEND RECAP *****

MONEY FUND	JANUARY	FEBRUARY	MARCH	CURR 30 DAY AVG %
ML RETIREMENT RESERVES	$.22	$.00	$.00	5.76

***** YOUR DAILY ACCOUNT ACTIVITY *****

DATE	TRANSACTION	DESCRIPTION	PRICE	AMOUNT
01 23	*DIVIDEND	ML RETIRMT INCM FD INC		$3.79CR
01 26	FUND DELIVERY	3 ML RETIRMT MONEY FUND		
01 26	SUBSCRIPTION	3 ML RETIRMT MONEY FUND		$3.00
01 30	CLOSING BALANCE			$.79CR

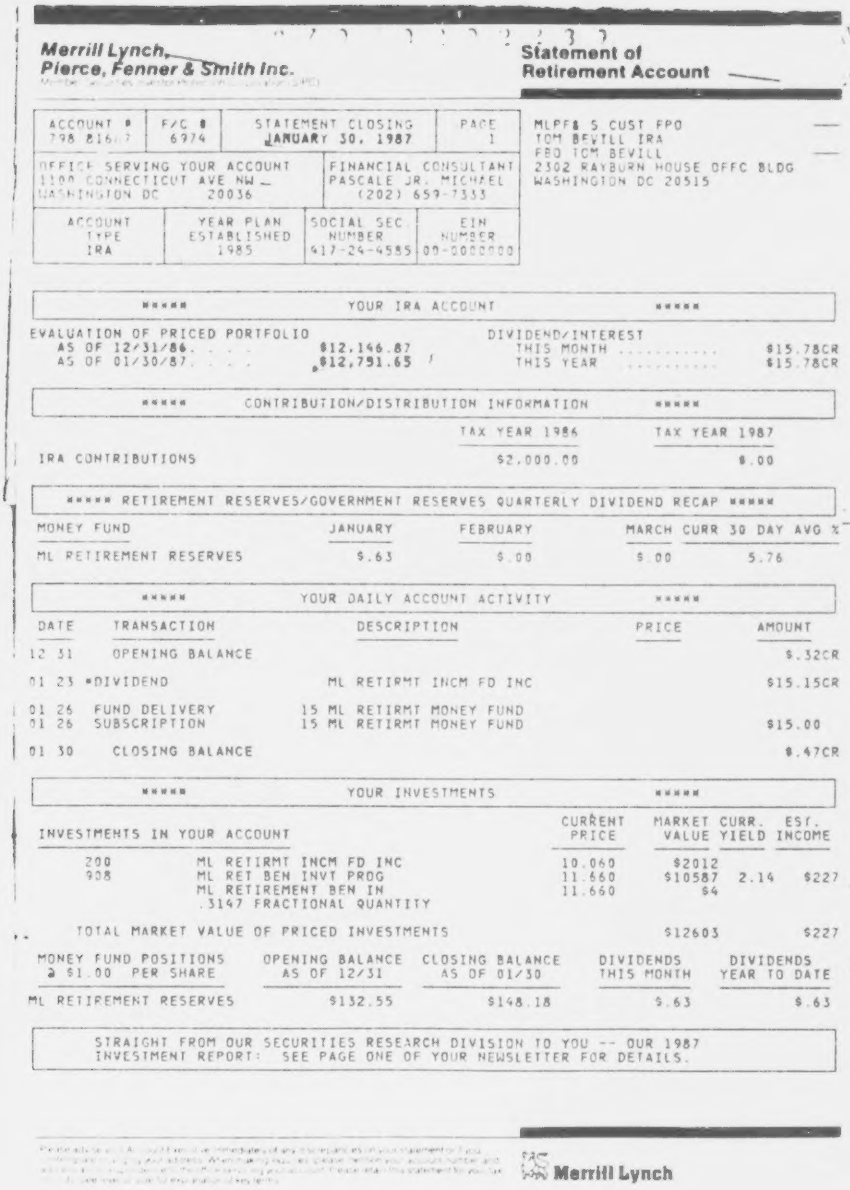
***** YOUR INVESTMENTS *****

INVESTMENTS IN YOUR ACCOUNT		CURRENT PRICE	MARKET VALUE	CURR. YIELD	EST. INCOME
50	ML RETIRMT INCM FD INC	10.060	$503		
73	ML RET BEN INVT PROG	11.660	$851	2.14	$18
	ML RETIREMENT BEN IN .4208 FRACTIONAL QUANTITY	11.660	$5		
TOTAL MARKET VALUE OF PRICED INVESTMENTS			$1359		$18

MONEY FUND POSITIONS @ $1.00 PER SHARE	OPENING BALANCE AS OF 12/31	CLOSING BALANCE AS OF 01/30	DIVIDENDS THIS MONTH	DIVIDENDS YEAR TO DATE
ML RETIREMENT RESERVES	$36.45	$39.67	$.22	$.22

STRAIGHT FROM OUR SECURITIES RESEARCH DIVISION TO YOU -- OUR 1987
INVESTMENT REPORT: SEE PAGE ONE OF YOUR NEWSLETTER FOR DETAILS.

Merrill Lynch



Merrill Lynch,
Pierce, Fenner & Smith Inc.

Statement of
Retirement Account

ACCOUNT #	F/C #	STATEMENT CLOSING	PAGE
798 816[illegible]7	6974	JANUARY 30, 1987	1

OFFICE SERVING YOUR ACCOUNT	FINANCIAL CONSULTANT
1100 CONNECTICUT AVE NW WASHINGTON DC 20036	PASCALE JR. MICHAEL (202) 659-7333

ACCOUNT TYPE	YEAR PLAN ESTABLISHED	SOCIAL SEC. NUMBER	EIN NUMBER
IRA	1985	417-24-4585	00-0000000

MLPF& S CUST FPO
TOM BEVILL IRA
FBO TOM BEVILL
2302 RAYBURN HOUSE OFFC BLDG
WASHINGTON DC 20515

***** YOUR IRA ACCOUNT *****

EVALUATION OF PRICED PORTFOLIO		DIVIDEND/INTEREST	
AS OF 12/31/86. . . .	$12,146.87	THIS MONTH	$15.78CR
AS OF 01/30/87. . . .	$12,751.65	THIS YEAR	$15.78CR

***** CONTRIBUTION/DISTRIBUTION INFORMATION *****

	TAX YEAR 1986	TAX YEAR 1987
IRA CONTRIBUTIONS	$2,000.00	$.00

***** RETIREMENT RESERVES/GOVERNMENT RESERVES QUARTERLY DIVIDEND RECAP *****

MONEY FUND	JANUARY	FEBRUARY	MARCH	CURR 30 DAY AVG %
ML RETIREMENT RESERVES	$.63	$.00	$.00	5.76

***** YOUR DAILY ACCOUNT ACTIVITY *****

DATE	TRANSACTION	DESCRIPTION	PRICE	AMOUNT
12 31	OPENING BALANCE			$.32CR
01 23	*DIVIDEND	ML RETIRMT INCM FD INC		$15.15CR
01 26	FUND DELIVERY	15 ML RETIRMT MONEY FUND		
01 26	SUBSCRIPTION	15 ML RETIRMT MONEY FUND		$15.00
01 30	CLOSING BALANCE			$.47CR

***** YOUR INVESTMENTS *****

INVESTMENTS IN YOUR ACCOUNT		CURRENT PRICE	MARKET VALUE	CURR. YIELD	EST. INCOME
200	ML RETIRMT INCM FD INC	10.060	$2012		
908	ML RET BEN INVT PROG	11.660	$10587	2.14	$227
	ML RETIREMENT BEN IN .3147 FRACTIONAL QUANTITY	11.660	$4		
	TOTAL MARKET VALUE OF PRICED INVESTMENTS		$12603		$227

MONEY FUND POSITIONS @ $1.00 PER SHARE	OPENING BALANCE AS OF 12/31	CLOSING BALANCE AS OF 01/30	DIVIDENDS THIS MONTH	DIVIDENDS YEAR TO DATE
ML RETIREMENT RESERVES	$132.55	$148.18	$.63	$.63

STRAIGHT FROM OUR SECURITIES RESEARCH DIVISION TO YOU -- OUR 1987
INVESTMENT REPORT: SEE PAGE ONE OF YOUR NEWSLETTER FOR DETAILS.

Merrill Lynch

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Mario Biaggi
(Full Name)

2428 Rayburn Building
(Mailing Address)

Washington, DC 20515

mc
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District ______ State New York

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, **such interest need not be disclosed.** Non-disclosure MUST be indicated by checking the space marked "YES". **If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.**

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: **Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.**

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts, such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	DATE REC'D	TYPE	AMOUNT	DISPOSITION
Assoc. of Indep. Colleges & Schools	11/1-/86	Honorarium	2000	
The American Waterways Operation Inc.	4/7/86	Honorarium	1000	
Biaggi & Ehrlich	Various	Fees	18550	
Biaggi & Ehrlich (spouse)		Salary		

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category: A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Interest Income (see continuation sheet)		
Dividend Income (see continuation sheet)		
East Meadow Associates	Part Distribution	A
Biaggi & Ehrlich	Buy Out Partner	F
Tel Page Telep. Answering Serv. - Joint Venture	Profit Distribution	C
Capital Gains (see continuation sheet)		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Stocks & Bonds (see continuation sheet)	
Savings & other cash accounts (see continuation sheet)	
Merrill Lynch IRA acct.	B
LImited Partnerships (see continuation sheet)	
Tel Page Telephone Answering Service - Joint Venture (see continuation sheet)	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
Purchases (see contination sheet)		
Sales (see continuation sheet)		
Return of Principal Investments - (see continuation sheet)		
IRA Contribution - Merrill Lynch	12/4/86	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
Miller DePhillips Assoc. Mutual Benefit Life Loan and CSV	C
East Meadow Associates - Partnership Promissory Notes	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
NBA Order of Hibernians - speaking engagement	Airfare reimbursement
Biaggi and Ehrlich	Christmas Gift Reimbursement
Association of Independent Colleges and Schools	Travel Expense

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13

POSITION	NAME OF ORGANIZATION
Limited Partner	East Meadow Associates
Limited Partner	Hillcrest 45 Associates
Consultant	Biaggi & Ehrlich
Joint Venture Investor	Tel-Page Exchange

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government, and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13

DATE	PARTIES TO	TERMS OF AGREEMENT
9/1/79	Biaggi and Ehrlich to buy out	320,000 paid over time @ 7% interest
	Mario Biaggi	50,000 during the calander year 1979
		30,000 per year for the period
		1/1/80 through 12/31/88

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987 [illegible]

Page 1 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

MARIO BIAGGI
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*	
IIB	UNEARNED INCOME	
		CATEGORY
	INTEREST INCOME	
	Citibank	A
	Shearson Lehman Brothers	D
	New York State Municipal Investment Trust	B
	Mutual Benefit Life	A
	Northside Savings Bank	A
	Long Island City Saving & Loan	A
	Emigrant Savings Bank	A
	U.S. Treasury Note H-89	A
	Morgan Stanley	E
	Merrill Lynch IRA	A
	Wright Patman Federal Credit Union	C
	Metropolitan Life insurance	A
	Municipal Credit Union	A
	State of Israel Bonds	A
	Chase Manhattan	A
	Equitable Life Insurance	A
	State of New York	A
IIB	DIVIDEND INCOME	
	Dreyfus Money Market	B
	Shearson Lehman Brothers	C
	Mutual Benefit Life (dividend accumulation-insurance)	D
IIB	PENSION	
	Police Art 2 Retirement System	E
IIB	CAPITAL GAINS	
	MORGAN STANLEY	
	NYC Housing Development Corp. 9.375% Due 10/2/2005	D
	NYS Medical Care Facility 8.875% Due 2/15/2005	D
	NYC MAC Ser F 8.75% Due 7/1/2008	D
	NYS Dormitory Authority 8.75% Due 7/1/2015	C
	MTA NY Commuter Facility 8.75% Due 7/1/2011	E
	SHEARSON	
	Concept Inc.	C
	Service Resources Corp	C
	Biltmore Theatre	G

Page 2 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

MARIO BIAGGI
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
III	HOLDINGS	CATEGORY
	STOCKS & BONDS - MORGAN STANLEY	
	100,000 NYC Housing Development Corp. 9.375% Due 10/2/2005	E
	155,000 NYS Medical Care Facility 8.875% Due 2/5/2005	E
	260,000 NYC MAC Bonds Ser.F 8.75% Due 7/1/2008	F
	50,000 NYS Dormitory Authority 8.75% Due 7/1/2015	D
	35 Municipal Investment Trust Ser.B NY	C
	250,000 MTA NY Commuter Facility Ser.G 8.75% Due 7/1/2011	F
	275,000 NYC MAC Bonds 7.25% Due 7/1/2008	F
	295,000 NYS Power Authority Rev & Genl Purp. Ser. 7% Due 1/1/2016	F
	SHEARSON	
	1,000 Imreg Inc.	B
	500 Louisiana Power and Light Co. 19.20 Pref	C
	500 Bethlehem Steel Corp	A
	1,000 Gabelli Equity Trust Inc.	B
	500 Bowne & Co.	B
	10,000 NYS Power Authority 9.75%	B
	20 units Municipal Investment Trust FD NY #53	C
	900 Mission Insurance Group Inc.	A
	500 MCI Communications Corp	A
	1,000 Concept Inc.	B
	1,000 Service Resources Corp.	B
	1,000 RB&W Corp	B
	25 units Municipal Investment Trust Ser.#3M FD-3rd NY	C
	BEAR STERNS	
	2,900 Compuscan Inc.	A
	VAULT	
	50 Monarch General (cost)	A
	800 Econetics (cost)	B
	1,000 State of Israel (cost)	A
	25 units Municipal Invest Trust Ser.#8	C
	500 Daxor	A
	General Energy Corp. (cost)	A
	200 World Patent Development Corp STATE OF INCORP.	DELAWARE
	200 World Enclycopedia STATE OF INCORP.	NEW YORK
	1,000 Willington Computer Systems STATE OF INCORP.	DELAWARE
	1,100 Strategic Mitalo Research	C
	1,000 Compuscan	A
	5,000 Universal Satellite (cost)	D
	23,000 U.S. Treasury Obligations	D
	20 Biltmore Theatre	E

[illegible]

Page 3 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

MARIO BIAGGI
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*	
III	HOLDINGS	
	SAVINGS AND OTHER CASH ACCTS	CATEGORY
	Shearson Daily Dividend	C
	Chemical Bank	C
	Wright Patman Federal Credit Union	D
	Northside Savings Bank	A
	Long Island City Savings & Loan	B
	Emigrant Savings BAnk	A
	Citibank	B
	Chase Manhattan	A
	Municipal Credit Union	A
	Dreyfus Money Market	B
	Sergeant At Arms - US House of Rep.	A
	Due from Shearson Lehman Brothers	A
III	LIMITED PARTNERSHIPS	
	.9167% East Meadow Assoc. (original cost)	D
	4.4 % Hillcrest 45 Associates (original cost)	B
III	8.33% JOINT VENTURE - TEL PAGE ANSWERING SERVICES	B

Page 4 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

MARIO BIAGGI
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
IV	TRANSACTIONS		
	PURCHASES		CATEGORY
	MORGAN STANLEY		
	250,000 MTA NY Commuter Fac. 8.5% Due 7/1/2011	2/11/86	E
	275,000 NYC MAC Bonds 7.25% Due 7/1/2008	10/17/86	F
	295,000 NYS Power Authority Rev & Genl Purpose Ser U 7% Due 1/1/2016	10/30/86	F
	SHEARSON		
	500 Imreg Inc.	2/20/86	B
	500 Imreg Inc. (stock split)	3/11/86	
	500 Louisiana Power & Light Co. 19.2 PRF	6/10/86	C
	500 Bethlehem Steel Corp	4/10/86	B
	1000 Gabells Equity Trust Inc.	8/21/86	B
	500 Bowne & Co., Inc.	10/30/86	B
	RETURN OF PRINCIPAL INVESTMENTS		
	MORGAN STANLEY		
	35 Municipal Investment Trust Ser. B	1/6/86	A
	35 Municipal Investment Trust Ser. B	4/1/86	A
	35 Municipal Investment Trust Ser. B	6/4/86	A
	35 Municipal investment Trust Ser. B	8/1/86	A
	35 Municipal Investment Trust Ser. B	9/3/86	A
	SHEARSON		
	20 Municipal Investment Trust Ser. 53	2/28/86	A
	20 Municipal Investment Trust Ser. 53	4/30/86	A
	20 Municipal Investment Trust Ser. 53	7/31/86	A
	25 NY Insured Municipal Bond Fund Ser. 8	11/15/86	A

Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

MARIO BIAGGI
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*		
IV	TRANSACTIONS	DATE	CATEGORY
	SALES		
	MORGAN STANLEY		
	100,000 NYC Housing Development Corp. 9.375%		
	Due 10/2/2005	1/14/86	E
	155,000 NYS Medical Care Facility 8.875%		
	Due 2/15/2005	10/8/86	E
	260,000 NYC MAC Ser F 8.75% Due 7/1/2008	1/14/86	F
	50,000 NYS Dormitory Authority 8.75%		
	Due 7/1/2015	10/8/86	D
	250,000 MTA NY Commuter Facility 8.5%		
	Due 7/1/2011	10/8/86	F
	SHEARSON		
	500 Bethlehem Steel Corp.	12/18/86	A
	100 US Truck LInes Inc.	12/18/86	A
	900 Mission Insurance Group Inc.	12/18/86	A
	500 MCI Communications Corp.	12/18/86	A
	1000 Concept Inc.	5/2/86	B
	1000 Service Resources Corp.	6/10/86	B
	BEAR STERNS		
	2900 Composean Inc.	12/18/86	A
	20 Biltmore Theatre	Various '86	E

GPO 1986 61-688 (m)

AND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A (For use by Members, officers, and employees)

James H. Bilbray
(Full Name)

1431 Longworth H.O.B.
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 1st State NV

☐ Officer or Employee—Employing Office

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X ____ NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions, the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION." **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Law Practice - Lowell, Bilbray & Potter Ltd		37,000	
Nevada State Legislature		2,272	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Capitol Gains - See Item IV		F
Lease Income (Wharf Resturant Las Vegas, NV)		E
Lease (Mine, Idaho)		C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from, (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust, or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES [✓ NO] NA

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See attached exhibit A	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
M.D. Close Investment Trust- secured by Deed of Trust on parcel #2 Exhibit A	D
Valley Bank of Nevada - secured by Deed of Trust parcel 1 Bilbray Investments Exhibit A	E
American Bank of Commerce - Personal	C
First Interstate Bank - Personal	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13

POSITION	NAME OF ORGANIZATION
Trustee	J.A. Bilbray Investments
Director	International Leasure Corp.
Director & President	Bilbray Investments

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
James H Bilbray	~~[illegible]~~ May 14, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

GOVERNMENT PRINTING OFFICE: 1987 [illegible]

May 12, 1987

U.S. House of Representatives
Committee on Standards of Official Conduct

Dear Sirs:

Would you please note that on Appendix A items 5, 8, 10 and 11 in addition to 1985 property.

Item 8 I thought belonged to the trust as they own 35% of these lots in Santa Cruz and therefore where committed. The value of these lots are totally less than $10,000 and my 30% interest could not exceed $3,500.

Item 5 These lots were acquired sometime in 1984 or 1985. My ownership value does not exceed $10,000 in value, because of their being out of state and fully paid for. My partner administers the property, and therefore, I forgot I owned them.

Item 10 This is 2.5 acres acquired in 1984 or 1985. The value does not exceed $20,000 for my interest, because I forgot I owned the property.

Item 11 This item was listed under another name and overlooked in report value is approximately $40,000 and property was sold in 1987 to my partners for a little over $38,000.

Please note in addition that I have listed all properties of Bilbray Investments, which is a family held corporation., as well as information on J.A. Bilbray Trust 501, which I am sole trustee.

Sincerely,

James H Bilbray

James H. Bilbray
Member of Congress

APPENDIX A

Title in James H. and Michaelene Bilbray

Value Catagory

1. 25% interest in future profit from the sale of El Madre Motel and Tangier apartment property located corner of Cleveland Ave. and Las Vegas Blvd.South. Las Vegas,NV F

2. 25% interest in 40 acres tHe N.E. corner of Lone Mountain Rd. and Decature Ave., North Las Vegas, NV E

3. 50% interest (Mine) 250 acres Blaine County Idaho F

4. 50% interest 400 acres Ranch Big Smokey Valley, Nye County, NV D

5. 50% 2 lots Ketcham Idaho D

6. Residence 3716 Mountcrest Dr. Las Vegas, NV E

7. 15% interest approximately 4.5 acres on Boulder Highway (next to K-Mart) Henderson, NV E

8. 30% interest in 50 lots Santa Cruz County, CA. (Parcel no. 82-091-02-82-111-02-82-111-04-82-111-07-82-111-10 lots 23,24,27,30,31,9,33 Block 17. Parcels 82-101-14, 82-101-01 Lots 7 thru 12, lots 17 thru 22. Block 14. Parcels 82-092-04, 82-092-07, 82-092-02, 82-092-10, 82-092-12, 82-092-13. Lots 1, 3, 4, 5, 6, 7, 8, 9, 12, 13, 16, 18, 19, 20, 22, 23, 24. Block 20) Riversale Park, Santa Cruz, CA (60 lots)

A

9. 25% interest in 2.5 acres, N.W. Smoke Ranch Road area C

10. 50% interest in 2.5 acres St. Francis Park Clark County, NV C

11. 25% interest 25 acres W. Flamingo Rd. C

12. Note Vacatin Clubs International in the amount of $106,300 @ 12% payable monthly at $23d4.60. First payment due March 1, 1987

13. Note from Lowell & Potter for sale of Laaw Practice. Note $150,000 for 5 years payable $2,000 per month.

Bilbray Investments
not itemized in prior form

1. Ass. parcel no. 020-790-404	B
2. Ass. parcel no. 020-690-001 20 acres Tropical Way N. Las Vegas	E
3. Ass. parcel no. 250-56-001 35% int. 1 acre corner Lamb & Owens	D
4. Ass. parcel no. 200-330-002 10% int. Henderson, NV	C
5. Ass parcel no. 200-340-004 10% int. Henderson,NV	C
6. Ass parcel no. 82-111-02-02-07-10 parcel 82-091-02 Santa Cruz County CA same as appendix 8 35% interest	B
7. Spanish Villas Condiminium #44 Desert Inn, Eastern Clark County,NV	C

Title in J. A. Bilbray Trust #501

parcel no. 474-161-13 San Bernadino, CA 2.5 acres Victovilli Hisperia Area	C
Unit 26 of amended flat of Regency Towers Clark County	D

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A (For use by Members, officers, and employees)

Michael Bilirakis
(Full Name)

1530 Longworth HOB
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 9 State Florida

Officer or Employee—Employing Office

Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed, identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual, and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure; all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Schedule Attached			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Schedule Attached		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Schedule Attached	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Schedule Attached		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Schedule Attached	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Schedule Attached	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Schedule Attached	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
Spring 1983	Paul Gelep, Chris Miller	Professional Law Association
Spring 1983	Paul Gelep, Chris Miller	Lease on office building

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Michael Bilirakis	May 14, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

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Page 1 of [illegible]

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Michael Bilirakis
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)				
IIA	Earned Income		Type	Amt.	Disposition
	Bilirakis, Gelep, Miller & Knox P.A.		Salary	$20,000	
	Florida Outdoor Advertising Assn. -	3/7/86	Honorarium	500	Charity
	Outdoor Advertising Assn. of America -	3/7/86	Honorarium	500	Charity
	Nat. Assn. of Independent Insurers-	4/8/86	Honorarium	500	Charity
	Nat. Coal Association	6/26/86	Honorarium	250	Charity
	Television & Radio PAC	6/28/86	Honorarium	1,000	Charity

Spouse & Children Income Sources:
Bilirakis, Gelep, Miller & Knox, P.A.
Holiday Realty
Pinellas & Holiday Fish & Chips, Inc.
Tarpon Springs General Hospital

IIB	Unearned Income		
	Interest		Category
	Gerald Goulish et al	Paid 1986	A
	Frank Balogh	Paid 1986	B
	Citizens Federal Savings & Loan		A
	NCNB National Bank of Florida		C
	Barnett Bank		C
	Andrew Steele et al		C
	A.G. Edwards & Sons		A
	City of Albuquerque, NM Bonds		A
	County of Armstrong, PA Bonds		A
	City of Provo, Utah, Bonds		B
	First Savings Bank of Florida		A
Spouse	Palm Beach County, FL, Bonds		A
"	Washington State Power Supply Bonds		A
"	Tax Exempt Securities Trust		A
"	Terry Vinci		A
"	E.F. Hutton & Co., Inc.		B
"	Congressional Federal Credit Union		A
	Northwestern Mutual Life Insurance Co.		A
	Prudential Life Insurance Co.		A
Children	Barnett Bank		C
"	Citizens & Southern Bank		B
"	First Savings Bank of Florida		A
	Dividends		
Spouse	AMEV Securities, Inc.		A
Children	Mutual of Omaha		B
"	First Union National Bank		A

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Page 2 of 3

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Michael Bilirakis
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
IIB cont.	Unearned Income	Category
	Capital Gains	
	Sale of realty, Hudson, FL	E
	Sale of Coney Island Restaurant	Loss
	Rental Income	
	Office building, 1017 Bartelt Rd., Holiday FL	Gross E Net D
	Bellhaven Apartments (½) Dunedin, FL	Gross D Net Loss
	House 529 Grand, Tarpon Springs, FL	Gross C Net Loss
	House 306 Driftwood, Tarpon Springs, FL	Gross B Net Loss
III	Holdings	Category
	Office Building, 1017 Bartelt Rd., Holiday, FL	D
	Business Building (½) 1013 Bartelt Rd., Holiday, FL	C
	Apartments (½) Bellhaven - Dunedin, FL	D
	Rental House Grand Avenue, Tarpon Springs, FL	C
Spouse	Building (1/3) Pinellas Blvd., Tarpon Springs, FL	B
	Real Estate, 1 Lot, Youngs Subdivision, Tarpon Sp,FL	B
	Unimproved Realty (1/3) 532 ft. Highway Frontage, Hudson, FL	E
Spouse	Unimproved Realty (½) 2 Lots, Hope Subdividion, Tarpon Sp, FL	B
	Rental House, Driftwood Dr, Palm Harbor, FL	E
	Andrew Steele, et al, note, mortgage	D
	NCNB Nat. Bank of Florida, CD's, checking & savings	D
	Barnett Bank, CD's & checking account	D
	First Savings Bank of Florida	A
	Citizens Fed. Savings & Loan and Fortune Federal Savings & Loan	A
	Wright Patman Congressional Fed. Credit Union	B
Spouse	Note receivable - Theresa Vinci	A
Spouse	The Home Group, Inc.	B
	A.G. Edwards & Son Cash Accumulation Fund	A
	Pinellas Fish & Chips Corporation	B
	Holiday Fish & Chips Corporation	E
Spouse	AMEV Securities Inc.	A
Spouse & Children	Caesars World Common Stock	A
Spouse	Tampa Metropolitan Travel Agency Common Stock	A
Spouse	Tax Exempt Securities Trust Fund	B
Spouse	Palm Beach County Bonds	B
Spouse	Washington State Power Supply Bonds	B
Spouse	Holiday Realty & Insurance Inc. Common Stock	A
	City of Albuquerque Bond	B
	County of Armstrong, PA Bond	B
	City of Provo Bonds called 5/1/86	A
	County of Stone,Missouri Bond	A
	E.F. Hutton Money Market	C
	Bilirakis, Gelep, Miller et al Professional Corporate Stock	C
	Frank Balogh note mortgage 1/3	C
	Gerald Goulish et al note mortgage (52%)	C
Spouse	First National Bank of Pasco	A

Page 3 of 3

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Michael Bilirakis
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
III cont.	Holdings (cont)	Category
Children	Unimproved Real Estate Marion County, FL	A
"	Barnett Bank, CD's & checking account	C
"	First Savings Bank of Florida	B
"	Pinellas Community Bank Stock	B
"	Citizens & Southern Bank, CD & checking account	C
"	American Royal Trust	A
"	Mutual of Omaha Income Fund	B
"	First Union National Bank	B
"		
IV	Transactions	
Spouse	Purchased stock - First National Bank of Pasco	A
	Sold interest in Coney Island Restaurant	A
	Sold unimproved realty, Hudson, FL 9/86	C
	City of Provo Bonds - called 5/1/86	B
	Gerald Goulish paid deed of trust	C
	Frank Balogh paid deed of trust	B
V	Liabilities	
	NCNB National Bank of Florida, personal loans	D
	Barnett Bank - Mortgage on building	C
	City of Tarpon Springs (assessment)	A
	Elsie Naylor - ½ business building mortgage	C
	Fortune Federal - ½ mortgage on apartments (Bellhaven)	C
	Barnett Mortgage co. (home)	E
	GMAC Mortgage Co. (condominium Washington, DC-personal)	E

VII Reimbursements

Jacksonville, FL Chamber of Commerce - Transportation, lodging & meals to attend congressional seminar on Florida problems & demographics JAN 23-27, 1986

Pfizer, Inc. - Transportation, lodging & meals for speech in Groton, CT JUN 29-30, 1986

VIII Positions

President	Bilirakis, Gelep, Miller & Knox, PA
President/Director	Pinellas & Holiday Fish & Chips, Inc
Spouse, President/Director	Holiday Realty & Insurance Co.
Partner (sold 1/86)	Coney Island Restaurant
Director	Chi Chi Rodriguez Childrens Found.
Director	Juvenile Diabetes Foundation
Director	Heart Assn. of Pasco County
Director	Alzheimers Foundation
Director	Hospice of Pinellas County

GPO 1986 61 698 (m)

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A (For use by Members, officers, and employees)

Ben Garrido Blaz
(Full Name)

5005 Gadsen Drive
(Mailing Address)

Fairfax, VA 22032

12c
(Office Use Only)

(Check the appropriate boxes and fill in the blanks)

XX Member of the U.S. House of Representatives—District ____ State Guam

Officer or Employee—Employing Office

Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure; all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO XX NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts, such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Northrop Corporation	Honoraria	$2000	April 2, 1986
Amphibious Warfare Review	Honoraria	$ 250	April 25, 1986
The Boeing Company	Honoraria	$2000	April 18, 1986
League of United Latin American Citizens	Honoraria	$1000	June 26, 1986

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
NONE		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ___ NO XX NA ___

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
NONE	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Pacific Basin Investment Corp., Guam (Loan)	C
M.R. Kuczkir, New Jersey (Loan, contested)	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
Steven R. Segal, President, Sigallo LTD1/	RT airfare: WDC/NYC; 2 meals
Young Republicans of West Virginia2/	RT airfare: WDC/Charleston, WV; 1 day lodging & meals
League of United Latin American Citizens3/	RT airfare: WDC/Greenbrier, WV; 2 days lodging & meals (for self and spouse)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	6/15/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

Page 5 of 5

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Vicente T. (Ben) Blaz
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
VII	SOURCE	BRIEF DESCRIPTION
	Northrop Corporation4/	RT airfare: WDC/Los Angeles, CA; 1 day lodging & meals
	The Boeing Company5/	OW airfare: WDC/Seattle, WA; 1 day lodging & meals

1/ Meeting of NYC corporation doing business in Guam. (Speech)

2/ 27th Annual GOP Young Leadership Camp, Charleston, WV. (Speech)

3/ League of United Latin American Citizens Business Seminar, Charleston, WV. (Speech)

4/ Tour of Northrop facilities and meeting with company officials, Los Angeles, CA. (Fact-finding)

5/ Tour of Boeing facilities, Seattle, WA. (Fact-finding)

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A (For use by Members, officers, and employees)

THOMAS J. BLILEY, JR.
(Full Name)

213 Cannon House Office Building
(Mailing Address)

Washington, D. C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

X Member of the U.S. House of Representatives—District 3rd State VIRGINIA

Officer or Employee—Employing Office ________

Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

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REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES _____ NO XXX NA _____

For more information, see detailed Instruction Booklet at page 7.

7 7 1 7 3 2 1 1 1 3 3

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
National Association of Broadcasters 1/12/86	Honorarium	$ 2,000.00	
National Cable Television Association 1/16/86	Honorarium	$ 1,500.00	
United States Tobacco Company 1/22/86	Honorarium	$ 1,000.00	
Smokeless Tobacco Council, Inc. 1/16/86	Honorarium	$ 1,000.00	
Digital Switch Corporation 1/21/86	Honorarium	$ 1,000.00	
(continued)			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
A&P Group Inc.	Dividend	A
American Brands, Inc.	Dividend	A
American Home Products Corporation	Dividend	B
Beatrice Companies, Inc.	Dividend	A
Burlington Northern	Dividend	A
CIGNA Corporation	Dividend	A
(continued)		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO xx NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
American Brands, Inc.	B
American Home Products Corporation	C
A&P Group, Inc.	B
Beatrice Companies, Inc.	C
Burlington Northern	C
Cigna Corporation	C
(continued)	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
Sold - Bonds - Richmond, Va. I.W. Fergussen, IDA	2/3/86	C
Sold - Stock - Beatrice Companies, Inc.	4/14/86	C
Bought - Bonds - Danville, Va., Municipal Bonds	11/24/86	C
Transfer of Trust for Cash Reserves to Tax Free Instrument Trust	11/28/86	E

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
National Assn. of Broadcasters, 3/13-15/86	Air transportation from Washington, D.C. to St. Maarten, Netherland Antilles, and return for Mr. & Mrs., five nights lodging and meals in connection with speech
(continued)	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature Tom Bliley	Date 5/12/86

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE

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Page 1 of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

THOMAS J. BLILEY, JR.
Name

Continuation Sheet

Part		Source, Type, Amount, Category, Description or Value (As Applicable)		
II.	INCOME - A	Philip Morris Companies, Inc. 1/21/86	Honorarium	$2,000.00
		Automobile Importers Compliance Assn. 2/26/86	Honorarium	$1,000.00
		Television & Radio Political Action Com. 3/11/86	Honorarium	$ 500.00
		L. M. Telecom 3/13/86	Honorarium	$ 500.00
		Seaboard Chapter, Institute of Scrap Iron and Steel, Inc. 3/17/86	Honorarium	$ 750.00
		Alliance for Capital Access 3/20/86	Honorarium	$1,000.00
		Associated Telephone Answering Exchanges 6/5/86	Honorarium	$ 500.00
		Customs Bond Subcommittee to the American Surety Association 6/11/86	Honorarium	$1,500.00
		C & P Telephone PAC 6/30/86	Honorarium	$ 750.00
		Television & Radio Political Action Committee, V.A.B. (Virginia Assn. of Broadcasters) 7/2/86	Honorarium	$1,000.00
		R. J. Reynolds Tobacco Company 7/14/86	Honorarium	$2,000.00
		The Tobacco Institute 9/23/86	Honorarium	$1,000.00
		The Pinkerton Tobacco Company 10/13/86	Honorarium	$1,000.00
		Communications Satellite Corporation 12/9/86	Honorarium	$2,000.00
		NutraSweet 12/12/86	Honorarium	$1,000.00 Charity
		* Retail Merchants Assn. of Richmond 3/7/86	Fountain Pen	$ 187.20
II.	INCOME - B	Chesapeake Corporation	Dividend	A
		Dominion Reserve Inc. VA	Dividend	B
		Dow Chemical Company	Dividend	A
		Home Beneficial Corporation	Dividend	A
		International Business Machines Corporation	Dividend	A
		Jefferson Bankshares Inc.	Dividend	A
		Media General Inc.	Dividend	A
		Miller Herman, Inc.	Dividend	A
		Sovran Finl Corporation	Dividend	B
		United Virginia Bankshares	Dividend	B
		Trust for Cash Reserves	Dividend	D
		Jefferson National Bank	Interest	A
		Capital Regional Airport	Interest	C
		Danville, Va., Municipal Bonds	Interest	B
		Fairfax County Municipal Bonds	Interest	B
		Fredericksburg, Va., IDA	Interest	B
		Lancaster, Va., West.-Cant. IDA	Interest	B
		West Point, Va., Chesapeake IDA	Interest	B
		Winchester, Va., West.-Cant. IDA	Interest	B
		Tax Free Instrument Trust	Interest	C
III.	HOLDINGS	Capital Region Airport Com.		C
		Chesapeake Corporation		C
		Danville, Va., Municipal Bonds		C
		Dominion Resources		C
		Dow Chemical Com.		C
		Fairfax County Municipal Bonds		C
		Fredericksburg, Va., IDA		C
		Home Beneficial Corporation		C
		International Business Machines Corporation		C
		Jefferson Bankshares Inc.		B
		Lancaster, Va., West.-Cant. IDA		C
		Media General Inc.		C
		Miller Herman Inc.		C
		Sovran Financial Corp		C
		Specialty Retail Concepts (Peanut Shack Am.Inc.)		B
		Richmond VA IDA J. W. Fergusson		C

(continued)

GPO 1986 O1-600

* Presented following speech at First Friday Forum Breakfast.

Page ____ of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

THOMAS J. BLILEY, JR.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
III. [illegible]	United Virginia Bankshares [illegible]
	West Point, Va., [illegible]
	[illegible], Va., [illegible]
	Trust for Cash Reserves
	Tax Free Instrument Trust
	Joseph W. Bliley Real Estate
	Trust - T. J. Bliley, III (Dependent)
	(Trust set up by grandparents. Income is derived from investment. All dividends are reinvested in the fund and are not currently available to the beneficiary.)
IV. REIMBURSEMENTS	Congressional Charity Tennis Tournament - [illegible], 1986 - Two nights lodging and meals for Mr. & Mrs. at The Greenbrier in connection with tennis tournament.
	National Cable Television Association - [illegible]/86 - Air transportation from Washington, D. C., to Dallas, Texas, and return; one nights lodging and meals in connection with speech.
	United States Tobacco Company - [illegible]/86 - Air transportation in corporate jet from Washington, D. C., to Palm Beach, Florida, and return, two nights lodging and meals in connection with panel participation.
	[illegible] Tobacco Council, Inc. - [illegible]/86 - One nights lodging and meals at Boar's Head Inn in Charlottesville, Virginia, in connection with speech.
	Digital Switch Corporation - [illegible]/86 - Air transportation from Washington, D. C., to Dallas-Fort Worth, Texas, and return in connection with speech.
	Richmond Chapter, Institute of Scrap Iron and Steel, Inc. - [illegible]/86 - One nights lodging at Williamsburg Hilton, Williamsburg, Virginia, for Mr. and Mrs. and meals in connection with speech.
	Associated Telephone Answering Exchanges, Inc. - [illegible]/86 - Air transportation from Washington, D. C., to Nashville, Tennessee, one nights lodging at Opryland Hotel and meals in connection with speech.
	Television & Radio Political Action Committee, N.A.B. (Virginia Assn. of Broadcasters) - [illegible]/86 - One nights lodging at Cavalier Hotel, Virginia Beach, Virginia, and meals in connection with speech.
	U. S. Telephone Association - 9/[illegible]/86 - Two nights lodging and meals for Mr. & Mrs. at The Greenbrier in connection with participation in Telco Leadership Roundtable Retreat.

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A (For use by Members, officers and employees)

Sherwood Boehlert
(Full Name)

20 Stone Bridge Road
(Mailing Address)

New Hartford, New York 13413

MC (Office Use Only)

Check the appropriate box and fill in the blanks

X Member of the U.S. House of Representatives: District 25 State New York

Officer or Employee: Employing Office

Check if amended statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
SUNY Agric-Tech College, Morrissville, NY	2/11/86	Honoraria	$200.00	
Amer. Fed. Infor. Processing Societies, D.C.	5/14/86	Honoraria	$200.00	
SUNY - Utica/Rome, N.Y.	5/17/86	Honoraria	$500.00	
Intelligent Bldgs. Institute, Wash., D.C.	7/24/86	Honoraria	$500.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
GPO Credit Union	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
PAR Common Stock	A
NBT Common Stock	A
Utica Baseball Club, Inc.	A

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986 is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 17.

POSITION	NAME OF ORGANIZATION
Limited Partner	Utica Baseball Club, Inc.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 18.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Sherwood L Boehlert	5/14/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

HAND DELIVERED [illegible]

SHERWOOD BOEHLERT
25TH DISTRICT, NEW YORK

COMMITTEES:
SCIENCE AND TECHNOLOGY
PUBLIC WORKS AND TRANSPORTATION
SELECT COMMITTEE ON AGING

NORTHEAST-MIDWEST CONGRESSIONAL
COALITION
U.S. DELEGATION INTERPARLIAMENTARY UNION

Congress of the United States
House of Representatives
Washington, D.C. 20515

WASHINGTON OFFICE:
1641 LONGWORTH HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-3665

CENTRAL OFFICE:
ALEXANDER PIRNIE FEDERAL BUILDING
10 BROAD STREET
UTICA, NEW YORK 13501
(315) 793-8146

TOLL FREE: 1-800-235-2525

May 14, 1987

The Honorable Donnald K. Anderson
Clerk, U. S. House of Representations
Office of Records and Registration
1036 Longworth House Office Building
Washington, D. C. 20515

✓
MC

Dear Mr. Anderson:

I have just discovered that I made a mistake in the financial disclosure statement that I submitted yesterday (May 13, 1987) and would like to amend it as follows:

Section VI. Gifts -- should read:

Source
A. The Cooperstown Conference
The Treadway Inn
Newport, Rhode Island

Brief Description
Air fare, car rental, and three nights lodging while attending annual railroad conference.

Your attention to this matter is greatly appreciated.

Sincerely,

Sherwood Boehlert

Sherwood Boehlert
Member of Congress

SB:dv

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Lindy (Mrs. Hale) Boggs
(Full Name)

2353 Rayburn Building
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 2nd State Louisiana

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.

- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.

- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO ____ NA XX

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION." **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
(See Continuation Sheet 1)			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
(See Continuation Sheet 2 & 3)		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust, or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO ____ NA XX

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
(See Continuation Sheet 4)	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
(See Continuation Sheet 5)		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
(See Continuation Sheet 6)	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
(See Continuation Sheet 7)	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13

POSITION	NAME OF ORGANIZATION
(See Continuation Sheet 8)	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Lindy (Mrs Hale) Boggs	May 1, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

Page 1 of 8

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Lindy (Mrs. Hale) Boggs
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
II A	VFW - Honoraria (Scholarship) diverted to University of New Orleans 3/06/86 - $1,000
II A	National Association of College & University Food Services - Honoraria diverted to Hale Boggs Scholarship Fund - 7/86 - $300

Page 2 of 8

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Lindy (Mrs. Hale) Boggs
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*	
II B	Interest on bonds - Chelan County, Washington, P.U.D. #1 H/EL Rev. Bonds @3.75% due 12/01/12	A
II B	Interest on bonds - Douglas County, Washington, P.U.D. #1 H/EL Rev. Bonds @4% due 9/01/18	A
II B	Interest on bonds - Georgia State Municipal Elec. Auth. Power Rev. Bonds @6.125% due 1/01/12	B
II B	Interest on bonds - Massachusetts Metro Water Bonds @4.25% due 11/01/07	B
II B	Interest on bonds - State of Tennessee - Nashville & Davidson Counties Metro Gvt. Water Sewer Rev. Bonds @4.5% due 4/01/02	B
II B	Interest on bonds - Nebraska Public Power District Electric System Rev. Bonds @6% due 1/01/04	B
II B	Interest on bonds - Pennsylvania Commonwealth Gen. Obligations 1st Series S of 1973 Bonds @5.50% due 10/15/98	B
II B	Interest on bonds - Maricopa County, Arizona Salt River Project Agricultural Impr. & Power District Rev. Bonds @6.125% due 1/01/17	B
II B	Interest on bonds - State of Louisiana Greater New Orleans Expressway Commission Bonds @4.90% due 11/01/06	B
II B	Interest on note receivable from M/M Aubrey C. Lewis	A
II B	Interest on note receivable from M/M Roosevelt Lewis, Jr.	A
II B	Interest on note receivable from M/M Steven V. Roberts	D
II B	Capital gain - realized profit on installment collections on note receivable from M/M Steven V. Roberts received in 1985 from the sale of former residence at 5315 Bradley Blvd., Bethesda, MA	A
II B	Gross rents received on property located at 23rd Avenue, Gulfport, MS	A
II B	Capital gain - realized profit on installment collections on note receivable from Mr. Robert Morrison received in 1986 from the sale of property located at 23rd Avenue, Gulfport, MS	B
II B	Interest on note receivable from Mr. Robert Morrison	B
II B	Gross rents received on acreage located in Pointe Coupee Parish, LA	C
II B	Gross rents received on property located at 623 Bourbon Street, New Orleans, LA	C
II B	Gross rents received from Huffco Petroleum on acreage located in Pointe Coupee Parish, LA	C
II B	Royalties received from Wagner & Brown on acreage located in Pointe Coupee Parish, LA	A

GPO 1986 61-698 (m)

Page 3 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Lindy (Mrs. Hale) Boggs
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
II B	Capital gain – realized profit on installment collections on sale of Lot 1 – Unit 1, Driftwood Subdivision, Mississippi received in 1986 C
II B	Interest on note receivable from Jack Lerner – Driftwood Lot 1, Mississippi A
II B	Interest earned from First American Bank & Trust, Washington, D.C. A
II B	Interest earned from Sun Life Assurance Company of Canada A
II B	Capital gain – realized profit on sale of Lot 2, Unit 1, Driftwood Subdivision, Mississippi D
II B	Capital gain – realized profit on redemption of Greater New Orleans Expressway Improvement Bond @4.9% due 11/01/06 B
II B	Received monthly – Civil Service Commission – Survivors Annuity E
II B	Received annuity from Home Life Insurance Company B
II B	Interest earned from Tax Exempt Western Bond Fund B

Page 1 of 8

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Lindy (Mrs. Hale) Boggs
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
III	Chelan County, Washington, P.U.D. #1 H/El. Rev. Bonds @3.75% due 12/01/12	B
III	Douglas County, Washington P.U.D. #1 H/El. Rev. Bonds @4.00% due 9/01/18	B
III	Georgia State Municipal Elect. Auth. Power Rev. Bonds @6.125% due 1/01/12	C
III	Massachusetts Metro Water Bonds @4.25% due 11/01/07	B
III	State of Tennessee – Nashville & Davidson Counties Metro Gvt. Water Sewer Rev. Bonds @4.5% due 4/01/02	B
III	Pennsylvania Commonwealth Gen. Obligation 1st Series S of 1973 Bonds @5.50% due 10/15/98	C
III	Nebraska Public Power District Electric System Rev. Bonds @6% due 1/01/04	C
III	Maricopa County, Arizona Salt River Project Agricultural Impr. & Power Dist. Rev. Bonds @6.125% due 1/01/17	C
III	Note receivable from M/M Aubrey C. Lewis	A
III	Note receivable from M/M Roosevelt Lewis, Jr.	A
III	Deferred annuity contract with Life Insurance Co. of North America	D
III	One hundred & fifty nine acres of farmland located in Pointe Coupee Parish, LA	F
III	Improved lot located in New Roads, LA	B
III	1/20 interest in four hundred & six unimproved acres located in Pointe Coupee Parish, LA	C
III	1/19 interest in two hundred & eighty two unimproved acres in Point Coupee Parish, LA	C
III	Personal residence (partially rented) at 623 Bourbon Street, New Orlans, LA	F
III	Funds on deposit with Fidelity Tax-Exempt Trust	A
III	Funds on deposit with Tax Exempt Western	D
III	Money market investment account with First American Bank, Washington, D.C.	A
III	Funds on deposit with American Bank & Trust Company, New Orleans, LA	A
III	One unimproved lot located in Long Beach, MS	B

Page 5 of 8

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Lindy (Mrs. Hale) Boggs
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
IV	Sale of Greater N.O. Expressway Ref/Impr. Bonds on 12/26/86	C
IV	Sale of unimproved lot in Longbeach, MS on 12/08/86	B
IV	Sale of 1916 23rd Avenue, Gulfport, MS on 4/23/86	C
IV	Sale of shares owned in Tax Exempt Western Fund at various dates in 1986	C
IV	Purchase of shares in Tax Exempt Western Fund at various dates in 1986	D

GPO [illegible]

Page 6 of 8

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Lindy (Mrs. Hale) Boggs
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
V	Mortgage in favor of Guaranty Savings & Homestead Assoc. (rental portion of personal residence)	C
V	Mortgage in favor of Citicorp. Savings (rental portion of personal residence)	C
V	Life Insurance Company of America	D

GPO 1986 61 628 (m)

[illegible]

Page 7 of 8

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Lindy (Mrs. Hale) Boggs
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*
VII	Notre Dame University - Participation in conference on religion and politics at the University in South Bend, Indiana Cost of air fare 2/15/86
VII	American Association of University Women - Participation in AAUW Regional Conference in Statesboro, GA Cost of air fare 5/03/86
VII	Notre Dame University - Participation in lecture series on religion and politics at the University in South Bend, Indiana Cost of air fare 10/30/86

GPO 1986 61-688 (ml)

Page 8 of 8

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Lindy (Mrs. Hale) Boggs
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*
VIII	Close up Foundation Member of Advisory Board
VIII	Smithsonian Institution Regent Emeritus
VIII	Tulane University President's Council Member
VIII	Wildlife Preservation Trust International, Inc. Member of Board of Directors
VIII	National Wildflower Research Center Member of Board of Trustees

GPO 1986 61-648 (m)

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A (For use by Members, officers, and employees)

EDWARD P. BOLAND
(Full Name)

2426 Rayburn House Office Building
(Mailing Address)

Washington, D. C. 20515

Mc
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 2 State MA

☐ Officer or Employee—Employing Office

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION" **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Hampden County, Massachusetts	Annuity	$5,174.28	
Sieman s Capital Corp. (06 Feb 86)	Honorarium	$1,000	(assigned to charity
Woods Hole Oceanographic Institute(28 April 86)	Honorarium	$1,000	
American Trucking Association (06 May 86)	Honorarium	$1,000	(assigned to charity)

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Bank of New England - West (savings acct)	Interest	A
Gannett Company (stock)	Dividend	C
Edgerton, Germeshausen, and Grier (stock)	Dividend	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8

IDENTITY	CATEGORY
Bank of New England - West (Savings Acct.)	B
Gannett Company (Stock)	E
Edgerton, Germeshausen, and Grier (Stock)	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Boston Five Cents Savings Bank, Boston, MA	E
Mortgage on 26 Crescent Street, Groton, Ct., liable jointly	
with spouse	
Drexel Burnham Lambert Margin Account	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
1975	Edward P. Boland/Hampden County, MA	Annuity

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Edward P. Boland	15 June 87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

Page 1 of 1

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Edward P. Boland
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
	DISCLOSURE OF SPOUSE, MARY EGAN BOLAND
Section II	INCOME (PART A)
	1. Income from law firm of Egan, Flanagan and Egan
	2. Income from service as a trustee of the Springfield Institution for Savings
	3. Income from service as a trustee of the MML Series Investment Fund
	4. Income from service as a trustee of the MML Liquid Assets Trust
	5. Income from service as a director of Massachusetts Mutual Income Investors, Inc.
	INCOME (PART B)
	1. Income from savings account at Springfield Institution for Savings - C
	2. Dividend and Capital gains distribution, Energy Fund, Inc. - A
	3. Dividend, MML Liquid Assets Trust, Inc. - A
	4. Rent, White Street Props (400 + 382) Springfield, MA -Gross A;Net-Loss-D
	5. Rent, 26 Crescent Street, Groton, CT - Gross C; Net-Loss D
Section III	HOLDINGS
	1. Springfield Institution for Savings (Savings Accts.) - C
	2. Energy Fund Inc., (Mutual Fund) - A
	3. MML Liquid Assets Trust, Inc. - B
	4. Apollo Computer, Inc. (stock) - B
	5. Greenwich Pharmaceutical (stock) - A
	6. Maremar Associates Partnership, Springfield MA; ½ Interest -A
	7. White Street Properties (400 + 382), Springfield, MA, purchase price - D, offset by liability - D
	8. 26 Crescent Street, Groton, Ct. - purchase price - E; offset by liability -E
Section IV	TRANSACTIONS
	1. 382 White Street, Springfield, MA - purchase 8/20/86 -D; offset by liability - D
	2. ½ Interest, Alsace Street property, Springfield, MA, aquired 11/86 -A; offset by liability - B
	3. Massachusetts Mutual Income Investors, Inc., stock purchase 7/86 - A
Section V	LIABILITIES
	1. Boston Five Cents Savings Bank, Boston, MA - Mortgage on 26 Crescent Street, Groton, CT, liable jointly with spouse - E
	2. Paine Webber, Inc., Springfield, MA, Margin Account - A
	3. Bay Bank Valley Trust, Note on ½ Interest on Alsace Street Property - B
	4. Bay Bank Valley Trust, Mortgages on rental properties - E
Section VI	GIFTS None
Section VII	REIMRURSEMENTS None

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A For use by Members, officers, and employees

William Hill Boner
(Full Name)

107 Cannon House Office Building
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

X Member of the U.S. House of Representatives—District 5th State TN

Officer or Employee—Employing Office

Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See attached sheet	Honorariums	18,750	
Wife's Income (Betty F. Boner)			
(a) Neadsmore Sprouts		1,157	
(b) Steinmart's Dept. Store		206	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See attached sheet		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from, (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8

IDENTITY	CATEGORY
See attached sheet	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See attached sheet		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
See attached sheet	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
N/A	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
N/A		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1996, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1996.

SOURCE	BRIEF DESCRIPTION
See attached sheet	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
See attached sheet	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
N/A		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. 4701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5/15/97

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

II: INCOME

A

1986

HONORARIUMS

	Source	Date	Amount
1.	American Trucking Association Washington, D.C.	1/06/86	$1,000
2.	U.S. Tobacco Company Washington, D.C.	1/15/86	$1,000
3.	General Electric Philadelphia, PA	1/22/86	$1,000
4.	Watt Count of Middle Tennessee Franklin, TN	1/31/86	$1,000
5.	National Limosine Association Washington, D.C.	5/22/86	$1,000
6.	Hunter Corporation (Rural Water) Washington, D.C.	6/02/86	$1,250
7.	Caribbean Hotel Association Montego Bay, Jamacia	6/18/86	$2,000
8.	American Speech Language Hearing Assoc. Memphis, TN	6/30/86	$ 500
9.	National Restaurant Association Washington, D.C.	7/23/86	$1,000
10.	Paralyzed Veterans of America Memphis, TN	8/08/86	$ 500
11.	The American Council of Highway Advertisers Washington, D.C.	8/13/86	$1,000
12.	Travel Industry of America Washington, D.C.	9/10/86	$1,000
13.	Florida Caribbean Cruise Lines Miami, FL	9/12/86	$1,000
14.	Textron Washington, D.C.	9/17/86	$1,000
15.	Martin Marietta Washington, D.C.	9/19/86	$1,000
16.	Post Card Distributors Association of North America Myrtle Beach, S.C.	10/23/86	$1,000
17.	Association of Humas Resource Systems Professionals Philadelphia, PA	12/4/86	$2,000
18.	Lamar Advertising Co. Nashville, TN	12/11/86	$ 500

[illegible]

II. INCOME

B.

Source	Type	Category
Lincoln/R.E.	Interest	A
Merrill Lynch	Dividends	A
B.B. & S. Enterprises (Partnership)	Rent	D-Net Loss
B & S Enterprises (Partnership)	Interest	B-Net Loss
6700 Darden Place, Nashville, TN	Rent	D-Net Loss
1200 Shelby Ave., Nashville, TN	Rent	B-Net Loss
J & E Investments (Partnership)	Rent	D-Net Loss
W.H.B. Properties, Nashville, TN	Rent	E-Profit
Cairo Bend Rd., Lebanon, TN	Rent	D-Net Loss
Leases		
(a) Automobile	Rent	B-Net Loss
Richmond Lodging Association Limited Partner	Partnership Share	A
Richmond Hotel Developers Unlimited	Partnership Share	A
Greenville Hotel Associates Limited	Partnership Share	A
Richmond Hotel Developers Unlimited	Capital Gain	A
Greenville Hotel Associates Limited	Capital Gain	A

[illegible]

III: HOLDINGS:

Identify	Category
1. B.B. & S. Enterprises (1/3 interest in rental condominium)	C
2. B & S Enterprises (½ interest in rental property)	B
3. 6700 Darden Place, Nashville, TN	D
4. 1200 Shelby Ave., Nashville, TN	D
5. B & E Enterprises	E
6. W.H.B. Properties, Nashville, TN	E
7. Cairo Bend Rd., Lebanon, TN	D
8. Leases	
(a) Automobile	B
9. Richmond Lodging Associates	A
10. Richmond Hotel Developers	A
11. Lincoln, R.E. , Inc. (note)	A
12. Merrill Lynch (Ready Assets)	A
13. Greenville Hotel Associates	A

IV: TRANSACTIONS

Brief Description		Date	Category
W.H.B. Properties (a) Shoney's Manassas, VA	sold	3/26/86	F
Mobile Phone	sold	12/1/86	A
Richmond Lodging Assoc., Limited Partner	partnership relinquised	1/31/86	A
Richmond Hotel Developers Unlimited	partnership relinqished	1/31/86	A
Greenville Hotel Associates Limited	partnership relinquished	1/31/86	A

[illegible]

V. LIABILITIES

	Identify	Catagory
1.	Commerce Union Bank	E
2.	First American National Bank	C
3.	First Federal Savings and Loan Assn.	C
4.	Metropolitan Federal Savings and Loan Assn.	E
5.	Third National Mortgage Co.	E
6.	Union Planters Bank	B
7.	William T. Fisher	B
8.	Fidelity Federal Savings and Loan Assn.	D
9.	W.P. Stevenson, Sr.	C
10.	Jack Shaw	C
11.	Security Federal Savings and Loan Assn.	E

3 7 0 7 0 2 1 1 5 9 5

VII: GIFTS AND REIMBURSEMENTS

A.

1986

GIFTS/REIMBURSEMENTS

1. American Speech Language Hearing Association 5/2/86
   (a) Air Fare from Washington, D.C. to Memphis to Nashville
   One night's lodging and meals.

2. Caribbean Hotel Association 6/7/86
   (a) Air fare from Nashville to Atlanta to Montego Bay, Jamacia to Baltimore, MD
   Two night's lodging and meals

3. Florida Caribbean Cruise Lines 9/12/86
   (a) Round trip air fare from Washington, D.C. to Miami, FL
   One night's lodging and meals

4. Post Card Distributors Association of North America 10/23/86
   (a) Round trip air fare from Nashville to Myrtle Beach, S.C.
   Two night's lodging and meals

5. Association of Human Resource Systems Professionals 12/4/86
   (a) Round trip air fare from Nashville, TN to Philadelphia, PA
   One night's lodging and meals

VIII: POSITIONS

Position	Name of Organization
Partner	B.B. & S. Enterprises (Partnership)
Partner	B & S Enterprises (Partnership)
Partner	W.H.B. Properties
Limited Partner	Richmond Lodging Association
Limited Partner	Richmond Hotel Association
Limited Partner	Greenville Hotel Association
Partner	B & E Investments

[illegible]

HAND DELIVERED

BILL BONER
[illegible]

COMMITTEES
APPROPRIATIONS
ENERGY AND WATER DEVELOPMENT
HUD-INDEPENDENT AGENCIES
SELECT COMMITTEE ON AGING
CONGRESSIONAL
TRAVEL AND TOURISM
CAUCUS

Congress of the United States
House of Representatives
Washington, DC 20515

DISTRICT OFFICE
552 U.S. COURTHOUSE
NASHVILLE, TN 37203
615-736-5295

WASHINGTON OFFICE
ROOM 107
CANNON HOUSE OFFICE BUILDING
202-225-4311

May 18, 1987

The Honorable Julian C. Dixon
The Honorable Floyd Spence
Committee on Standards of Official Conduct
Room HT-2
The Capitol
Washington, D.C. 20515

✓
MC

Dear Chairman Dixon and Ranking Minority Member Spence:

Please accept this letter as an amendment to my financial disclosure form for 1986. While I regret the confusion, we have all been struggling following the tragic and unexpected death of my friend and C.P.A. after a brief illness last month.

Further compounding the problems is an unavailable K-1 form from a partnership in which I was involved during the first quarter of calendar 1986.

Please be advised that the corrections are as follows:

II Income B

Greeneville Hotel Association	Partnership share	B
	Capitol Gain	D
Richmond Hotel Associates	Capitol Loss	A

No income whatsoever was derived from Richmond Lodging Associates so it should not be listed.

IV Transactions

Greeneville Hotel Associates Partnership sold 1/19/87

In advance, I thank you for your attention to these matters.

Sincerely,

Bill Boner

THIS STATIONERY PRINTED ON PAPER MADE WITH RECYCLED FIBERS

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Congressman David Edward Bonior
(Full Name)
37549 Charter Oaks Bivd.
(Mailing Address)
Mt. Clemens, MI 48043

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

X Member of the U.S. House of Representatives—District 12th State Michigan

Officer or Employee—Employing Office

Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "print outs" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure; all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

[illegible]

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
CBS - Book Royalty(The Vietnam Veteran:A History of NEglect	Royalty	235.00	
3/06/86 - Seagrams, Inc.	Honoraria	2,000.00	
3/26/86 - Connell, Rice and Supar Co.	Honoraria	2,000.00	
4/09/86 - Grocery Manufacturers Association	Honoraria	1,000.00	
3/25/86 - National Impact Education Fund	Honoraria	50.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Congressional Federal Credit Union	Interest	A
Calvert Bank and Trust	Interest	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO __x__ NA ____

For more information, see detailed Instruction Booklet at page 8

IDENTITY		CATEGORY
Congressional Federal Credit Union	Savings Account	B
Calvert Bank and Trust	Savings Account	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME, any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE		BRIEF DESCRIPTION
Forum - West	(Speech)	Roundtrip airfare; Wash.D. C. to Los Angeles, CA
Jos. Seagrams and Sons	(Speech)	Roundtrip airfare; Wash.D.C. to Naples, Fl.plus 1 nights lodging
Seafarers	(Speech)	Roundtrip airfare;Detroit to Miami to Washington, D.C.

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Trustee	Gallaudet University - Washington, D. C.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5-12-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE [illegible]

Page 1 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Congressman David Edward Bonior

Name

Continuation Sheet

Type	Source, Type, Amount, Category, Description or Value (As Applicable)
Income	4/23/86 - National Restaurant Assn. - Honoraria $ 500.00
Income	4/28/86 - 3 - D Club - Honoraria 1,000.00
Income	5/28/86 - Michigan Bell - Honoraria 750.00
Income	7/18/86 - American Trucking Assn. - Honoraria 1,000.00
Income	9/03/86 - Chicago Board of Trade - Honoraria 500.00
Income	9/03/86 - Chicago Mercantile - Honoraria 500.00
Income	9/17/86 - International Management and Development - Honoraria 1,000.00
Income	9/18/86 - American Association of School Administrators - Honoraria 500.00
Income	9/14/86 - National Marine Manuf. - Honoraria 500.00
Income	10/07/86 - Anheuser-Busch - Honoraria 2,000.00

Page 2 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Congressman David E. Bonior
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
Reimbursements	Connell Rice and Sugar Co. (Speech) - Rountrip airfare; Wash.D.C. Newark - Wash.D.C.

8 7 2 7 2 2 1 1 2 6 7

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Don L. Bonker
(Full Name)

4[illegible] Yuma Street NW
(Mailing Address)

Washington, D.C. 20016

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 3rd State Washington

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure; all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO ✓ NA ____

For more information, see detailed Instruction Booklet at page 7.

8 7 0 7 0 2 1 1 2 5 8

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
[illegible]	[illegible]	$[illegible]	
[illegible]	[illegible]	$[illegible]	
[illegible]	[illegible]	$[illegible]	
[illegible]	[illegible]	$[illegible]	
[illegible]	[illegible]	$[illegible]	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
[illegible]	Rent	F
[illegible]	Interest	A
[illegible]	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO ✓ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
[illegible]	C
[illegible]	A
[illegible]	E

8 7 7 7 7 2 1 1 2 6 9

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
N/A		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item, and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
[illegible]	[illegible]
[illegible]	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation."

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
[illegible]	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
[illegible]	[illegible]	[illegible]

8707021 1270

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
[illegible]	[illegible]
[illegible]	[illegible]
[illegible]	[illegible]

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Don Bonker	5/14/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987

8 7 0 7 0 2 1 1 2 7 1

Page ____ of ____

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

[illegible]
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
[illegible]	[illegible] – Honorarium $[illegible] [illegible]
	[illegible] Honorarium $[illegible] [illegible]
	[illegible] Honorarium $[illegible] [illegible]
	[illegible] Honorarium $[illegible] [illegible]
	[illegible] Honorarium $[illegible] [illegible]
	[illegible] Honorarium $[illegible] [illegible]
	[illegible] Honorarium $[illegible] [illegible]
	[illegible] Honorarium $[illegible] [illegible]
	[illegible] Honorarium $[illegible] [illegible]
	[illegible] Honorarium $[illegible] [illegible]
	[illegible] Honorarium $[illegible] [illegible]
	[illegible] – Honorarium $[illegible] [illegible]
	[illegible]
	[illegible] – Honorarium $[illegible] [illegible]
	[illegible] Honorarium $[illegible] [illegible]
	[illegible] Honorarium $[illegible] [illegible]
	[illegible] Honorarium $[illegible] [illegible]
	[illegible] Honorarium $[illegible] [illegible]
	[illegible] Honorarium $[illegible] [illegible]
	[illegible] Honorarium $[illegible] [illegible]
	[illegible]
	[illegible] Honorarium $[illegible] [illegible]
	[illegible] Honorarium $[illegible] [illegible]
	[illegible] Honorarium $[illegible] [illegible]
	[illegible] Honorarium $[illegible] [illegible]
	[illegible]
	[illegible] Honorarium $[illegible] [illegible]
	[illegible] Honorarium $[illegible] [illegible]
	[illegible] – Honorarium $[illegible] [illegible]
	[illegible] – Honorarium $[illegible] [illegible]
	[illegible]
	[illegible] [illegible] [illegible]
VI. [illegible]	
	[illegible] – [illegible]
	[illegible] – [illegible]
	[illegible]
	[illegible] [illegible]
	[illegible] – [illegible]
	[illegible] – [illegible]
	[illegible] [illegible]
	[illegible] – [illegible]
	[illegible] [illegible]
	[illegible] [illegible]
	[illegible] [illegible]
	[illegible] – [illegible]
	[illegible] [illegible]

INSIDE MAIL

DON BONKER
THIRD CONGRESSIONAL DISTRICT
STATE OF WASHINGTON

COMMITTEE
FOREIGN AFFAIRS
CHAIRMAN, SUBCOMMITTEE ON
INTERNATIONAL ECONOMIC POLICY
AND TRADE
MERCHANT MARINE AND
FISHERIES
SELECT COMMITTEE
ON AGING
CHAIRMAN, SUBCOMMITTEE ON
HOUSING AND CONSUMER
INTERESTS
CHAIRMAN, HOUSE EXPORT
TASK FORCE

Congress of the United States
House of Representatives
Washington, DC 20515

434 Cannon House Office Building
Washington, DC 20515
(202) 225-3536

District Offices
207 Federal Building
Olympia, WA 98501
(206) 753-9528

700 East Evergreen Boulevard
Vancouver, WA 98661
(206) 696-7942

U.S. Post Office
Longview, WA 98632
(206) 836-5260

May [illegible]

Office of the Clerk
U.S. House of Representatives
Committee on Standards of Official Conduct
[illegible]
Washington, DC 20515

✓
MC

Dear Sir:

The purpose of this letter is to amend my Financial Disclosure Statement. I am amending Part II. Income, Section A. Earned Income, [illegible] from Brookings Institution for [illegible] to the National Presbyterian School. In addition, my charitable [illegible] other than the above amounted to $[illegible].

Thank you in advance for your attention to this matter. I am sorry for any inconvenience this may have caused.

Sincerely,

Don Bonker

Don Bonker
Member of Congress

[illegible] J 7 0 2 1 2 1 3 3

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A (For use by Members, officers and employees)

Robert A. Borski
(Full Name)

3545 Emerald Street
(Mailing Address)

Philadelphia, PA 19134

MC
(Office Use Only)

Check the appropriate box and fill in the blanks:

☒ Member of the U.S. House of Representatives—District 3 State PA

☐ Officer or Employee—Employing Office

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

7 7 2 2 2 1 2 1 3

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions, the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION." **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
National Restaurant Association - 3/21/86	Honorarium	$1,000	
Amer. Council of Highway Advertis. - 4/14/86	Honorarium	$750	
Interstate Diary Queen Corp. - 4/23/86	Honorarium	$250	
Gannett Outdoor of Michigan - 7/29/86	Honorarium	$500	
Gannett Outdoor of Arizona - 7/29/86	Honorarium	$500	
Amer. Council of Highway Advertis. - 11/8/86	Honorarium	$1,000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Savings Account - Home Unity Bank, Phil., PA	Interest	A
Savings Account - Fed. Congress. Credit Union	Interest	A
Installment Sale of 1208 Pike St., Phil., PA	Interest	A
Installment Sale of 1208 Pike St., Phil., PA	Cap. Gains	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from, (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust, or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ___ NO XX NA ___

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Savings Accounts - Home Unity Bank & Federal Congressional Credit Union	B

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME, any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item, and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of all other gifts aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986:

SOURCE	BRIEF DESCRIPTION
American Council of Highway Advertisers (Speech & Seminar)	Rountrip airfare; Phil. to Barbados; food and lodging.

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Robert A. Borski	5-14-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE : 1987 [illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A (For use by Members, officers, and employees)

Douglas H. Bosco
(Full Name)

408 Cannon H.O.B.
(Mailing Address)

Washington, DC 20515

MC

(Check the appropriate box and fill in the blanks)

X Member of the U.S. House of Representatives—District 01 State CA

Officer or Employee (Employing Office)

(Check if amended statement)

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed, identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure; all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ___ NO ___ NA XX

For more information see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION." **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
Wine Institute	3-7-86	Honorarium	$1,000	None
Outdoor Advertising Assoc. of America	3-15-86	"	1,000	"
Gannett Outdoor Co. of Colorado	3-15-86	"	500	"
Gannett Outdoor Co. of Arizona	3-12-86	"	500	"
Seagrams-375 Spirit Company	6-23-86	"	2,000	"
(continued)				

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
None		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO ____ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Option to purchase interest in real property, Sonoma County, CA	F

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Peninsula Bank of Commerce, personal loan	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel related expenses provided by federal, state, and local governments or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see Detailed Instruction Booklet at page 22.

The source and a brief description of travel expenses aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Joseph E. Seagram & Sons	RT airfare DC/Ft. Lauderdale and one night lodging for speaking commitment
Turkish Foreign Policy Institute	RT airfare DC Istanbul, 7 nights lodging & meals

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see Detailed Instruction Booklet at page 22.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see Detailed Instruction Booklet at page 24.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. 4701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 13, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

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ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

[illegible]
Name

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
[illegible]	[illegible]

[illegible]

HAND DELIVERED

Congress of the United States
House of Representatives
Washington, DC 20515

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INSIDE mail

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A (For use by Members, officers, and employees)

Frederick C. Boucher
(Full Name)

428 Cannon HOB
(Mailing Address)

Washington, D.C. 20515

MC

(Office Use Only)

Check the appropriate box and fill in the blanks

X Member of the U.S. House of Representatives—District 9 State VA

Officer or Employee—Employing Office

Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO ____ NA X

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION" **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
First Virginia Bank - Damascus	Director's fees	$1,800.00	
Congressman Boucher does not accept payment for his speaking services.			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See Appendix #1		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See Appendix #2	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See Appendix #3		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
First Virginia Bank - loan for purchase of van	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Paul Elswick	helicopter transportation to various Buchanan County events on 2 occasions (approximate value: $400)

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
See Appendix IV	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Member, Board of Directors	First Virginia Bank - Damascus

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Frederick C. Boucher	April 22, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

APPENDIX #1

STATEMENT OF UNEARNED INCOME

B. Source

Johnson & Johnson	common stock	dividends	A
Mobil Corporation	common stock	dividends	A
Sovran Bank	various deposits	interest	A
First Virginia Bank	various deposits	interest	D
Johnson & Johnson	common stock sale	capital gains	C
Piedmont Aviation	common stock	dividends	A
Piedmont Aviation	common stock sale	capital gains	B
Boucher campaign	equipment rental		D
Tandy Corporation	common stock	dividends	A

APPENDIX #2

HOLDINGS

IDENTITY		CATEGORY
First Virginia Bank	savings account	D
First Virginia Bank	preferred stock	B
Sovran Bank	checking account	A
Johnson & Johnson	common stock	B
First Virginia Bank	IRA	C
Amounts contributed to Federal Retirement Plan		C
Lot in the town of Damascus, Virginia		B

APPENDIX #3

TRANSACTIONS

Brief Description		Date	Category
Johnson & Johnson	stock sale	9/12/86	B
Johnson & Johnson	stock purchase	2/26/86	B
Johnson & Johnson	stock purchase	7/15/86	B
Mobil Corporation	stock sale	2/24/86	B
Piedmont Aviation	stock sale	2/ 7/86	B
Tandy Corporation	stock sale	9/30/86	B

APPENDIX #4

REIMBURSEMENTS

Source	Brief Description
Virginia Association of Broadcasters	Transportation from Washington, DC to Richmond and return. 1 day lodging/food for speech to annual convention
United Coal Company	Transportation from Bristol, TN to Washington, DC following fact-finding tour of the company's research facility
National Association of Broadcasters	Round trip transportation between Washington, DC and Dallas. Food and lodging for 3 days for panel discussion at the association's annual convention
United Coal Company	Round trip transportation between Washington, DC and Bristol, TN for panel discussion on coal-related issues in Abingdon, VA
National Extension Homemakers Council	Transportation from Washington, DC to Blacksburg, VA for speech to fiftieth anniversary conference
American Institute of Certified Public Accountants	Transportation from Bristol, TN to Cleveland, OH to Washington, DC. Lodging for 1 evening for speech to Certified Public Accountants and securities industry representatives on Civil RICO Reform legislation
The Virginia Coal Council	Transportation from Washington, DC to Richlands, VA for speech at the annual Richlands Coal Show
Nationwide Communications, Inc.	Transportation from Roanoke, VA to York Harbor, Maine to Washington, DC. 2 days food and lodging for 2 for speech at communications conference
National Association of Broadcasters	Transportation from Washington, DC to St. Maarten and return. 2 days food and lodging for 2 for legislative panel discussion at association's Board of Directors meeting
Commission on United States-Central American Relations	Transportation from Washington, DC to Paraguay and Chile and return. Food and lodging for 6 days in association with fact-finding trip

INSIDE MAIL

RICK BOUCHER
9TH DISTRICT, VIRGINIA

COMMITTEES:
ENERGY AND COMMERCE
JUDICIARY
SCIENCE, SPACE, AND TECHNOLOGY
SELECT COMMITTEE ON AGING
ASSISTANT MAJORITY WHIP

Congress of the United States
House of Representatives
Washington, DC 20515

WASHINGTON OFFICE
[illegible]
(202) 225-3861

CONSTITUENT SERVICE OFFICES
88 EAST MAIN STREET
[illegible]

[illegible]

June 10, 1987

Mc ✓

Mr. Donnald K. Anderson
Clerk
U.S. House of Representatives
Washington, D.C. 20515-6612

Dear Mr. Anderson:

Please find enclosed an amendment to my Financial Disclosure Statement for 1986. Under Part VII, "Reimbursements," (Appendix IV enclosed with my statement), kindly add the information contained in the attached addendum.

Thanking you for your attention to this matter, I remain with kind regards

Sincerely,

Rick

Rick Boucher
Member of Congress

RB/kak

INSIDE MAIL

[illegible]

CONGRESSMAN FREDERICK C. BOUCHER

FINANCIAL DISCLOSURE STATEMENT FOR 1986

PART VII, REIMBURSEMENTS

(APPENDIX #4)

Source	Brief Description
National Agricultural Chemicals Association	Roundtrip transportation from Washington, D.C. to Palm Beach, Florida for 2 people. Room and lodging for three nights for speech at conference.

0 7 2 ' 1 2 1 3 2 1

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

INSIDE MAIL

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A For use by Members, officers, and employees

Eldon Beau Boulter
(Full Name)

5232 Mitchell Street
(Mailing Address)

Alexandria, VA 22312

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

X Member of the U.S. House of Representatives—District 13 State Texas

Officer or Employee—Employing Office

Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed, identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure; all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION."

IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See Continuation Sheet			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Congressional Federal Credit Union	Interest	A
First Federal Savings and Loan	Interest	A
Rent on Amarillo Residence	Rent	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Amarillo Residence (held as rental property)	D
Furniture and Equipment	A

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
N/A		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME, any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item, and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
First Federal Savings and Loan - Amarillo, Texas	C
First Texas - Savings and Loan - Amarillo, Texas	C
Amarillo National Bank - Amarillo, Texas	C
Borger Bank of Amarillo National Bank	A

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
N/A	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
N/A		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
N/A	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13

POSITION	NAME OF ORGANIZATION
N/A	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13

DATE	PARTIES TO	TERMS OF AGREEMENT
N/A		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Beau Boulter	May 13, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

[illegible]

Page 1 of 1

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Beau Boulter
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
II-A	National Restaurant Assoc.	Honorarium	$1000	5/15/86
	Texas Cattlefeeders Assoc.	"	$1000	11/17/86
	National Assoc. of Royalty			10/31/86
	Owners	"	$ 300	
	Richard Ford Associates, Inc.	"	$ 100	11/20/86

GPO 1986 61-680 (m)

[illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Hon. Barbara Boxer
(Full Name)
307 Cannon HOB
(Mailing Address)
Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks:

x Member of the U.S. House of Representatives—District 6th State Calif.

Officer or Employee—Employing Office ________

Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO x NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Stewart Boxer, spouse	salary		
Barbara Boxer	salary		
Barbara Boxer (see attached)	honoraria	$7,600.	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Ralston-Purina	dividend	A
Sears Savings Bank	interest	C
Massachussetts Cash Management	"	A
Barkleys Bank	"	A
First Nationwide Savings	"	C
West America Bank	"	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8

IDENTITY	CATEGORY
3% interest/office bldg./Barkley's Plaza/171 12-St. Oakland (limited partnership)	D
1/2 interest/condominium 1305 Southwest Blvd. Rohnert Park	C
3 EC Partnership-Commodities partnership/limited partnership	B

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Alpine Capital Management Corp. stock / Purchase	4 /86	D
Dreyers Grand Ice Cream Corp. common stock/ "	10/86	B
*Drexler Corp. common stock / "	7/85	B
Pollock Partners/ Pollock Waverly Sold	12/86	C
Hotel/ limited partner		

*Drexler Corp. stock inadvertently omitted as holding and transaction on 85' statement.

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Mortgage/1305 SW Blvd.,Rohnert Park/Great Western Savings	C
Boxer, Elkind , Gerson, Inc. pension and profit sharing	E

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
Duane Garrett, Tiburon, CA.	collage (art)	$1,500.

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
(NONE)	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
(NONE)	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	(NONE)	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 13, 1986 7/BB

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987

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Hon. Barbara Boxer Page 5

Continuation Sheet

EARNED INCOME II A (HONORARIA)

2/19/86	Graphic Communications International Union PAC	$ 500.
6/6/86	American Trucking Association	1000.
6/21/86	Center for Economic Conversion	500.
7/11/86	Southern California Freeze	500.
8/12/86	NFFE Public Affairs Council PAC	1000.
8/8/86	Foster and Kleiser	1000.
9/15/86	California Women Lawyers	1500.
9/18/86	California Medical Association Auxiliary	500.
10/21/86	AAUW, Napa	300.
12/13/86	California Teachers Association	500.
5/12/86	American Association of State Colleges and Universities	300.
		$7600.

UNEARNED INCOME II B

MFS Managed Municipal Bond Trust	interest	C
Wright Patman Congressional FCU	"	A
1305 SW Blvd. Rohnert Park	rent	A

HOLDINGS III

Herring & Worley - real estate/limited partner FMBB Assoc.	C
Rattray & Assoc. - real estate/limited partner	C
Source Technology stock	B
Alpine Capital Management Corp. stock	D
Dreyers Grand Ice Cream Corp. stock	B
Drexler Corp. stock	B
Ralston Purina stock	A
Pollock Partners/Pollock Scottsdale Investors limited partner	C
Massachusetts Cash Management	B
Massachusetts Financial Services Managed Municipal Bond Trust	"
Pollock Partners/Pollock Waverly Hotel/limited partner (sold 1986)	C

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A (For use by Members, officers, and employees)

Joseph E. Brennan
(Full Name)

45 Eastern Promenade
(Mailing Address)

Portland, ME 04102

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

x Member of the U.S. House of Representatives—District 1st State ME

Officer or Employee—Employing Office ______

Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION" **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
State of Maine (Governor)	salary	$35,000	
State of Maine	expenses	$15,000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Fidelity Magellan Fund	dividend(Capital gain)	D
Merrill Trust Company Money Market	interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO XX NA ____

For more information, see detailed Instruction Booklet at page 8

IDENTITY	CATEGORY
Fidelity Money Market Fund	C
Merrill Trust Company Money Market Fund	B
E.F. Hutton Money Market	B
Deferred Compensation (Aetna Money Market Fund)	D
(Son Joseph)-- E.F. Hutton Mutual Fund	A
(Daughter Tara)-- Peoples Heritage Bank Stock	A

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government, and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Joseph E Brennan	14 May 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A For use by Members, officers and employees

Jack Brooks
(Full Name)

2449 Rayburn H.O.B.
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks

X Member of the U.S. House of Representatives—District 9 State Texas

Officer or Employee—Employing Office

Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions, the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
First Bank & Trust, Groves, Texas	director fee	$3,800.00	
First National Bank, Silsbee, Texas	director fee	$2,200.00	
National Association of Accountants, Washington D.C. (3/4/86)	honorarium	$2,000.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
First of Groves Corp., Groves, Texas	dividend	G
W.F. Brooks Estate, Inc., Crowley, Louisiana	dividend	B
First State Bank, Lumberton, Texas	dividend	B
First National Bank, Silsbee, Texas	dividend	D
Peter Sherwood, Trustee, Houston, Texas	interest	D
First National Bank, Silsbee, Texas	interest	C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
First of Groves Corporation, Groves, Texas stock	F
First National Bank, Silsbee, Texas stock	F
First of Groves Life Insurance Co., Beaumont, Texas stock	F
First State Bank, Lumberton, Texas stock	D
W.F. Brooks Estate, Inc., Crowley, Louisiana stock	C
Peter Sherwood, Trustee, Houston, Texas real estate mortgage note	E

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sale - First of Groves Life Insurance Co., Beaumont, Texas stock	12/22/86	F

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
First City National Bank, Beaumont, Texas investment loan	E
Hamilton Associates, NY, NY promissory note	D
First National Bank, Silsbee, Texas investment loan	E
First National Bank, Silsbee, Texas personal loan	F

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
director	First Bank & Trust, Groves, Texas
director	First of Groves Corp., Groves, Texas
director	First National Bank, Silsbee, Texas
vice president	JBS Associates, Inc., Groves, Texas

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 14, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987 [illegible]

Page 5 of 6

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Jack Brooks
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*		
II.A.	Income to Member:		
	American Trucking Associations, Inc., Alexandria, Virginia (5/7/86)	honorarium	$1,000.00
	Income to spouse:		
	First Bank & Trust, Groves, Texas - salary/director fee		
	First National Bank, Silsbee, Texas - director fee		
	First of Groves Life Ins. Co., Beaumont, Texas - commissions		
II.B.	Permian Corp. & Belnorth Petroleum, Houston, Texas	royalties	A
	Hamilton Associates, NY,NY, limited partnership	rent	D
	Jasper Inn., Ltd., Beaumont, Texas limited partnership	interest	D
	Corley Freeway, Ltd., Beaumont, Texas limited partnership	distribution	A
	U.S. Marine Corps	annuity	D

GPO 1986 61-688 (m)

Page 6 of 6

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Jack Brooks
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*	
III.	Jerry Rawls, Jasper, Texas real estate mortgage note	C
	Harry S. Long, Beaumont, Texas promissory note	A
	Preston H. Smith, Silsbee, Texas promissory note	A
	Buffalo Coal Associates, Rochester, NY ltd. partnership	A
	ARG 80, Los Altos, California limited partnership	A
	Trinity Associates, West Orange, NJ ltd. partnership	A
	Hamilton Associates, NY, NY, limited partnership: real estate: office complex, Highway 206, Peapack, NJ, 0.49% interes	E
	Corley Freeway, Ltd., Beaumont, TX, ltd. partnership: real estate: 51 acres, Corley Ave., Beaumont, TX, 2.86% interest	E
	Jasper Inn, Ltd., Beaumont, TX limited partnership: real estate: 5 acres, motel/restaurant, Highways 190 and 96, Jasper, TX 2.86% interes	E
	Mineral Rights, 160 acres, Jefferson County, Texas	A
	18 acres land, Jasper County, Texas	C
	106.94 acres land, Polk County, Texas	D
	117.42 acres land, Jefferson County, Texas	D
	13.12 acres land, Orange County, Texas	C
	40 acres land, Newton County, Texas	C
	3/4 acre land, San Augustine County, Texas	A
	Cabin & 16 acres land, Loudoun County, Virginia	C
	House, barn 262.2 acres land, Jasper County, Texas	F
	Neches Building Corporation, Silsbee, Texas 100% interest 100 acres land, Kountze, Texas	D
	JES Associates, Inc., Groves, Texas: 47,774 sq. ft. lot, 5200 block West Parkway, Groves, TX 1/3 interest	C
	Spindletop Savings Assn., Beaumont, Texas stock	A
	Savings accounts/ interest bearing checking , CD's First National Bank, Silsbee, Texas and First Bank & Trust, Groves, Texas	F
	Jack Edward Brooks Trust, assets: CD's and cash	C
	Katherine Inez Brooks Trust, assets: CD's and cash	C
	Kimberly Grace Brooks Trust, assets: CD's and cash	C

GPO : 1985 - 43-135

[illegible]

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

William S. Broomfield
(Full Name)
2306 Rayburn House Office Building
(Mailing Address)
Washington, D. C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

XX Member of the U.S. House of Representatives—District 18 State Michigan

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
U.S. Government - Spouse	Social Security		
- - - - -			
- - - - -			
- - - - -			
- - - - -			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
(SEE CONTINUATION SHEET)		
- - - - -		
- - - - -		
- - - - -		
- - - - -		
- - - - -		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8

IDENTITY	CATEGORY
(SEE CONTINUATION SHEET)	
- - - - -	
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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
Purchased Wickes Common Stock	3/24/86	A
Purchased A.T.&T. Common Stock	9/17/86	A
Exchanged Eastern Airlines Common Stock	12/17/86	A
Received Junior Preferred Eastern Airlines Stock	12/17/86	A
- - - - -		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
Home Savings Association of Florida	
on unimproved lot,	
Sebring, Florida	C
- - - - -	
- - - - -	
- - - - -	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	
"	
"	
"	
"	
"	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		
"		
"		
"		
"		
"		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a **SPEAKING ENGAGEMENT** or **FACT-FINDING EVENT** related to official duties, whether those expenses were **REIMBURSED** to the individual or **PAID DIRECTLY** by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
NONE	
"	
"	
"	
"	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13

POSITION	NAME OF ORGANIZATION
NONE	
"	
"	
"	
"	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		
"		
"		
"		
"		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
William S Broomfield	5/12/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

William S. Broomfield
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
	SOURCE	TYPE	CATEGORY
II - B	Georgia Power Bond	Interest	A
	Georgia Power Bond	Interest	B
	Detroit Edison Bond	Interest	B
	Savings Accounts	Interest	B
	U.S. Treasury Notes and Bills	Interest	D
	A.T. & T. Common Stock	Dividend	A
	Citizens Bancorp Common Stock	Dividend	A
	Continental Telephone	Dividend	A
	Congressional Federal Credit Union	Dividend	A
	General Motors Common Stock	Dividend	A
	Merrill Lynch Ready Asset	Dividend	A
	Norfolk Southern Common Stock	Dividend	B
	Potomac Electric Common Stock	Dividend	A
	Santa Fe Southern Pacific Common Stock	Dividend	B
	Sears Roebuck Common Stock	Dividend	A
	* * * * *		
III	IDENTITY		CATEGORY
	50% interest in unimproved lot, St. John's County, Florida		E
	100% interest in unimproved lot, Sebring, Florida		C
	Detroit Edison Bond		B
	Georgia Power Bond		B
	Georgia Power Bond		B
	American Airlines Common Stock		B
	Atlantic Research Common Stock		B
	A.T.&T. Common Stock		A
	Citizens Bancorp Common Stock		C
	Continental Telephone Common Stock		B
	Eastern Airlines Common Stock		A
	General Motors Common Stock		B
	General Motors E Common Stock		A
	M.C.I. Common Stock		C
	Norfolk Southern Corporation Common Stock		C
	Potomac Electric Common Stock		A
	Santa Fe Southern Pacific Common Stock		C
	Sears Roebuck Common Stock		B
	Wickes Common Stock		A
	I.R.A. - Chevy Chase Federal Savings		B
	Savings Account		C
	U.S. Treasury Bonds and Notes		D
	U.S. Savings Bonds		A
	* * * * *		

GPO: 1986 61-088 (m)

UNITED STATES HOUSE OF REPRESENTATIVES

INSIDE MAIL

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

GEORGE E. BROWN, JR.
(Full Name)

2256 Rayburn HOB
(Mailing Address)

Washington, DC 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 36 State CA

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
State of California	Legis Ret.	$4,578.	
Continuation sheet attached			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
none		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
unimproved lots in Los Angeles	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
none		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
none	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
none	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
none		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

	SOURCE	BRIEF DESCRIPTION	
1-23	Institute of Elec & Elec Engrs, Irvine, CA	Travel Exp.	$294.00
4-3	Metrop. Water Dist., CAlif. Tour	Travel Exp.	782.40
5-6	University,of California, San Diego, CA	Travel Exp.	310.51

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
none	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	none	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
George E. Brown Jr	

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

GEORGE E. BROWN, JR.
36th District, California

CONTINUATION SHEET

PART II A.		TYPE	AMOUNT
2-4	Brookings Institute	Honorarium	$ 300
2-7	Earthquake Engr. Res. Institute	Honorarium	1000
2-16	First Unitarian Church, LA	Honorarium	150
2-19	Brookings Institute	Honorarium	500
3-27	AAAS	Honorarium	250
4-13	Brookings Institute	Honorarium	300
5-16	CIBA-GEIGY	Honorarium	1000
5-6	University of California	Honorarium	250
6-18	Brookings Institute	Honorarium	300
6-8	LULAC Foundation	Honorarium	1000
6-4	Brookings Institute	Honorarium	300
8-8	Am. Dehydrated Onion & Garlic Assoc.	Honorarium	1000
8-8	Aerojets Execs.	Honorarium	2000
10-1	Brookings Institute	Honorarium	300
12-9	Brookings Institute	Honorarium	300
12-1	Materials Research Soc.	Honorarium	300

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Hank Brown
(Full Name)

1424 Longworth
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 4th State Colorado

☐ Officer or Employee—Employing Office ____

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: *Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.*

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO xxx NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION" **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
Colorado Medical Society	Sept. 1986	honorarium	$1,000	
American Meat Institute	Jan. 1986	honorarium	$ 500	
Natl. Assn. Independent Ins.	April 1986	honorarium	$ 500	
Fireside Land Co.	May 1986	honorarium	$ 390	
FIA/CEE	Jan. 1986	honorarium	$1,000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Greeley National Bank	Interest	$ 6.56
United Bank of Greeley	Interest	$111.75
Farm operations (see pg. 2 for details)	net farm rent	$2,626.68

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO XX NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
65 acre farm located two miles north of Loma, Colorado	$56,025.70
(Included by reference is the educational trust shown in section III, attachment page 2, covering Nan's and the children~~'s assets)~~	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
see attachment		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Greeley National Bank - loan	$15,500.00

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
Electronic Industries Association	transportation, food, lodging
	-- participate on panel at a 3-day
	conference in Las Vegas, NV

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13

POSITION	NAME OF ORGANIZATION
Trustee for Grandmother	Minnie E. Hanks Trust

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Hank Brown	May 14, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

1986 Page 1 of 3

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Hank Brown
(Name)

Information relating to Nan Brown (wife of Hank Brown) and their children, Harry - age 17, Christy - age 17, and Lori - age 15

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
II	Nan Brown -- interest on Baca State Bank account	$543.40
	(See below - Part III & Part IV)	
	Farm income resulted in a net gain of $10,478.88	
	Rents and royalties $1,810.96	
	(The rents and royalties came from 8 different sources, the largest was $800 from Don Potter.)	
	(See page 2 for details)	
	Harry Brown -- wages from Baskin-Robins	$687.32
	-- wages from odd jobs	$129.68
	-- dividends from Mobil Oil Co.	category A
	-- interest from AT&T bonds	category A
	-- interest from Bank Western	category A
	-- interest from United Bank of Greeley	category A
	-- interest from Baca State Bank	category B
	-- interest from Balbach note via educational trust	category B
	-- interest from Filippen promissory note	category A
	-- interest from Texaco bonds	category A
	-- farm rent via educational trust	category B
	(include by reference Section IV, pg. 2)	
	Christy Brown -- wages from Workout West	$706.00
	-- interest from AT&T bonds	category B
	-- interest from Texaco bonds	category A
	-- interest from United Bank of Greeley	category A
	-- interest from Baca State Bank	category B
	-- dividends from Mobil Oil Co.	category A
	-- interest from Balbach note via educational trust	category B
	-- interest from Filippen promissory note	category A
	-- interest from Bank Western	category A
	-- farm rent via educational trust	category B
	(include by reference Section IV, pg. 2)	
	Lori Brown -- baby sitting (numerous sources)	$986.00
	-- dividends from Mobil Oil Co.	category A
	-- interest from PG&E bonds	category B
	-- interest from AT&T bonds	category A
	-- interest from United Bank of Greeley	category A
	-- interest from Baca State Bank	category B
	-- interest from Balbach note via educational trust	category B
	-- interest from Filippen promissory note	category B
	-- interest from Texaco bonds	category A
	-- interest from Bank Western	category A
	-- farm rent via educational trust	category B
	(include by reference Section IV, pg. 2)	
III	Nan Brown -- approximately 120 acres southwest of Springfield, Colorado; approximately 40 acres of a dryland farm near Thomas, Oklahoma; and mineral rights near Springfield, Colorado, and in Oklahoma -- estimated value of $39,000	
	----farm checking account in the Baca State Bank	category B
	(include by reference section IV below)	

Page 2 of 3

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Hank Brown
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
III continued	Nan Brown serves as Trustee of an education trust for the benefit of Harry, Christy and Lori Brown. The assets of the trust include a 71 acre farm located 2 miles north of Loma, Colorado, and a promissory note from Calvin and Iris Balbach. The value of the trust is approximately $183,000. The estimated value of the land is approximately $133,000 and the note is estimated at $50,000. At the end of the trust period the property will revert to Hank Brown.	
	Harry, Christy, and Lori Brown jointly hold a promissory note from Lois Filippen.	category C
	Harry Brown -- AT&T bonds	category C
	-- Mobil Oil stock	category A
	-- savings account at Baca State Bank	category B
	-- savings account at Bank Western	category A
	-- Texaco bonds	category A
	-- Affiliated Banks of Colorado - stock	category A
	(note: savings account at United Bank-Greeley-closed during year)	category C
	(include by reference Section IV below)	
	Christy Brown -- savings account at Bank Western	category A
	-- Mobil Oil stock	category A
	-- savings account at Baca State Bank	category B
	-- AT&T bonds	category C
	-- Texaco bonds	category B
	-- Affiliated Banks of Colorado -stock	category B
	(note: savings account at United Bank -Greeley - closed during year)	category C
	(include by reference Section IV below)	
	Lori Brown -- Mobil Oil stock	category A
	-- savings account at Baca State Bank	category B
	-- savings account Bank Western	category B
	-- Texaco bonds	category B
	-- Affiliated Banks of Colorado	category A
	-- PG&E bonds	category C
	(note: savings account at United Bank -Greeley- closed during year)	category C
	(include by reference Section IV below)	
IV	Harry Brown -- sold Colorado Medical Care Corp. (resulted in a net loss)	category A
	Harry sold PetroLewis stock (resulted in a net loss)	category A
	Harry purchased AT&T bonds	category C
	Harry purchased Texaco bonds	category B
	Harry purchased Affiliated Bankshares of Colorado stock	category B

Page ___ of ___

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Hank Brown
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*	
IV continued	Christy Brown -- sold Petrolewis stock	category A
	(resulted in a net loss)	
	-- Christy purchased AT&T bonds	category C
	-- Christy purchased Affiliated Bankshares	
	of Colorado-stock	category B
	-- Christy purchased Texaco bonds	category B
	Lori Brown -- sold Petrolewis stock	category A
	(resulted in a net loss)	
	-- Lori purchased PG&E bonds	category C
	-- Lori purchased Texaco bonds	category B
	-- Lori purchased Affiliated Bankshares	
	of Colorado-stock	category B
	(INCLUDE BY REFERENCE III ABOVE)	
Reference II-B	Hank Brown -- farming for Hank Brown resulted in a net gain of $2,723.32. Gross rent totaled approximately $5,350 from Homer Brown. Expenses equaled $2,626.68.	
reference II-B	Nan Brown -- farming for Nan Brown resulted in a net income of $10,478.88. Gross sales or revenue totaled $16,678.41 farm rent (category B), sale of wheat (category B), agriculture program payments (category C).	
Reference IV	SPECIAL NOTE: Petrolewis stock not previously listed on disclosures because value was less than $1,000 threshold.	

GPO : 19[illegible] 61-[illegible] (m)

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Terry L. Bruce
(Full Name)

P. O. Box 206, 423 South Elliott
(Mailing Address)

Olney, IL 62450

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 19th State IL

☐ Officer or Employee—Employing Office

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION" **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A SOURCE	TYPE	AMOUNT	DISPOSITION
Terry L. Bruce--Attorney	Legal fees	none	
Charlotte A. Bruce--Computer Services	fees	n/a	
Farm products--corn & soybeans	sale	1392.14	
ASCS --generic PIK certificate	sale	61.21	
ASCS --corn payment	sale	37.64	

(continued on Attachment #1)

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B SOURCE		TYPE	CATEGORY
Int'l Telephone & Telegraph	Stock	Dividend	A
Flora Bank & Trust	Stock	Dividend	A
Andalusia Community Bank	Stock	Dividend	A
Kemper Money Market Fund	Shares	Dividend	B
Tax Emempt Money Market Fund	Shares	Dividend	A
Bank of Kinney	Stock	Dividend	A

(continued on Attachment #1)

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8

IDENTITY	CATEGORY
Farm land - 40 acres - Richland County, Illinois	C
Airplane - Piper Cherokee - PA28-180	C
Stock (common) International Telephone & Telegraph	A
Stock - Flora Bank & Trust	A
Stock - Andalusia Community Bank	A
Shares - Kemper Money Market Fund	A

(continued on Attachment #1)

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Tax Exempt Money Market Fund, Inc. - purchases	1/10, 2/26, 5/15, 8/15	all A
Tax Exempt Money Market Fund, Inc - Sales	1/16, 6/12	all A
Tax Exempt Money Market Fund Inc. - Sales	4/10, 7/13	all B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Personal note - Security Bank of Mt. Carmel	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
Fertilizer Institute - speech	Airfare-Evansville, Indiana to
	Charleston, S.C. and return
National Restaurant Assoc. - Speech (accompanied	Airfare-Evansville to Chicago and
by spouse)	return, 2½ days food & lodging

(Continued on Attachment #1)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13

POSITION	NAME OF ORGANIZATION
Associate	Shumaker and Bruce, Attorneys at Law
Trustee	Kemper Money Market Fund for Louise Davisson-grandmother
	of my wife.
Director	Institute for Illinois
Director	Illinois Eastern Community College, International Institute
	for Continuing Education, Technical Education and Research

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13

DATE	PARTIES TO	TERMS OF AGREEMENT
Jan 1971	General Assembly Retirement System	Pension, Disability & Death Benefits
	State of Illinois	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Terry L. Bruce	MAY 11, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE [illegible]

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Terry L. Bruce
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II A	Coalition of Higher Education Assistance Organizations	rec'd 1/28/86 - Honorarium	$ 500.00
	Fertilizer Institute	rec'd 4/2/86 - Honorarium	1000.00
	Nat'l Restaurant Assoc.	rec'd 5/17/86 - Honorarium	1000.00
	Chicago Mercantile Exchange	rec'd 7/1/86 - Honorarium	500.00
	National Association of Student Financial Aid Administrators	rec'd 7/20/86 - Honorarium	2000.00
	Coalition of Higher Education Assistance Organizations	rec'd 8/25/86 - Honorarium	500.00
	American Assoc. of Community & Junior Colleges	rec'd 12/2/86 - Honorarium	300.00
II B	1st Federal Savings & Loan, Champaign, IL	Interest	A
	Lincoln Savings & Loan, Pawnee, IL	Interest	A
	University Federal Savings & Loan Champaign, IL	Interest	A
	Olney Savings & Loan, Olney, IL	Interest	A
	Germania Federal Savings & Loan, Springfield, IL	Interest	A
	Germania Certificates of Deposit	Interest	A
	Olney Savings & Loan Certificates of Deposit Olney, IL	Interest	A
III	Stock - Bank of Kinney		A
	Shares - Tax Exempt Money Market Fund, Inc.		C
	Passbook Accounts - Germania Federal Savings & Loan		C
	Certificates of Deposit-Germania Federal Savings & Loan		A
	IRA Account-Olney Savings & Loan		A
	General Assembly Retirement System-State of Illinois		C
	State Teachers Retirement System-State of Illinois		A
	State Universities Retirement System-State of Illinois		B
	Farm Equipment		A
	1975 Chevrolet Station Wagon-sold December 1986		A
	1975 Oldsmobile sold December 1986		A
	State Employees Credit Union		A
	Stock - Norris Electric Cooperative		A
	Bonds - U.S. Savings		A
	Certificates of Deposit-Olney Savings & Loan		A
	Passbook Account - 1st Federal Savings & Loan, Champaign, IL		A
	Passbook Account-Lincoln Savings & Loan, Pawnee, IL		A
	Passbook Account-University Federal Savings & Loan, Champaign		A
	Passbook Account-Olney Savings & Loan		A
	IRA Account-Twentieth Century Investors, Kansas City, MO		A
	IRA Account-Bank of Mt. Carmel, IL		A
	IBM Computer, printer, software		B
VII	Chicago Mercantile Exchange-fact finding	one day's lodging & meals	
	National Assoc. of Student Financial Aid Administrators -- Speech	Airfare-Evansville, IN to Dallas, TX, to St. Louis, MO	
	Coalition of Higher Education Assistance Organizations -- Speech	Meals, parking, one night's lodging, mileage-Olney/Chicago/Olney	

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Terry L. Bruce
(Full Name)

P. O. Box 206, 423 South Elliott
(Mailing Address)

Olney, IL 62450

✓
MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 19th State IL

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Terry L. Bruce--Attorney	Legal fees	none	
Charlotte A. Bruce--Computer Services	fees	n/a	
Farm products--corn & soybeans	sale	1392.14	
ASCS --generic PIK certificate	sale	61.21	
ASCS --corn payment	sale	37.64	

(continued on Attachment #1)

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE		TYPE	CATEGORY
✓ Int'l Telephone & Telegraph	Stock	Dividend	A
✓ Flora Bank & Trust	Stock	Dividend	A
✓ Andalusia Community Bank	Stock	Dividend	A
✓ Kemper Money Market Fund	Shares	Dividend	B
✓ Tax Exempt Money Market Fund	Shares	Dividend	A
✓ Bank of Kinney	Stock	Dividend	A

(continued on Attachment #1)

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Farm land - 40 acres - Richland County, Illinois	C
Airplane - Piper Cherokee - PA28-180	C
Stock (common) International Telephone & Telegraph	A
Stock - Flora Bank & Trust	A
Stock - Andalusia Community Bank	A
Shares - Kemper Money Market Fund	A

(continued on Attachment #1)

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Tax Exempt Money Market Fund, Inc. - purchases	1/10, 2/26, 5/15, 8/15	all A
Tax Exempt Money Market Fund, Inc - Sales	1/16, 6/12	all A
Tax Exempt Money Market Fund Inc. - Sales	4/10, 7/13	all B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Personal note - Security Bank of Mt. Carmel	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. Includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Fertilizer Institute - speech	Airfare-Evansville, Indiana to Charleston, S.C. and return
National Restaurant Assoc. - Speech (accompanied by spouse)	Airfare-Evansville to Chicago and return, 2½ days food & lodging

(Continued on Attachment #1)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization; or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Associate	Shumaker and Bruce, Attorneys at Law
Trustee	Kemper Money Market Fund for Louise Davisson-grandmother of my wife.
Director	Institute for Illinois
Director	Illinois Eastern Community College, International Institute for Continuing Education, Technical Education and Research

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
Jan 1971	General Assembly Retirement System State of Illinois	Pension, Disability & Death Benefits

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Terry L. Bruce	May 11, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Terry L. Bruce
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II A	Coalition of Higher Education Assistance Organizations rec'd 1/28/86 - Honorarium		$ 500.00
	Fertilizer Institute - rec'd 4/2/86 - Honorarium		1000.00
	Nat'l Restaurant Assoc. rec'd 5/17/86 - Honorarium		1000.00
	Chicago Mercantile Exchange - rec'd 7/1/86 - Honorarium		500.00
	National Association of Student Financial Aid Administrators rec'd 7/20/86 - Honorarium		2000.00
	Coalition of Higher Education Assistance Organizations - rec'd 8/25/86 - Honorarium		500.00
	American Assoc. of Community & Junior Colleges - rec'd 12/2/86 - Honorarium		300.00
II B	1st Federal Savings & Loan, Champaign, IL	Interest	A
	Lincoln Savings & Loan, Pawnee, IL	Interest	A
	University Federal Savings & Loan Champaign, IL	Interest	A
	Olney Savings & Loan, Olney, IL	Interest	A
	Germania Federal Savings & Loan, Springfield, IL	Interest	A
	Germania Certificates of Deposit	Interest	A
	Olney Savings & Loan Certificates of Deposit Olney, IL	Interest	A
III	Stock - Bank of Kinney		A
	Shares - Tax Exempt Money Market Fund, Inc.		C
	Passbook Accounts - Germania Federal Savings & Loan		C
	Certificates of Deposit-Germania Federal Savings & Loan		A
	IRA Account-Olney Savings & Loan		A
	General Assembly Retirement System-State of Illinois		C
	State Teachers Retirement System-State of Illinois		A
	State Universities Retirement System-State of Illinois		B
	Farm Equipment		A
	1975 Chevrolet Station Wagon-sold December 1986		A
	1975 Oldsmobile sold December 1986		A
	State Employees Credit Union		A
	Stock - Norris Electric Cooperative		A
	Bonds - U.S. Savings		A
	Certificates of Deposit-Olney Savings & Loan		A
	Passbook Account - 1st Federal Savings & Loan, Champaign, IL		A
	Passbook Account-Lincoln Savings & Loan, Pawnee, IL		A
	Passbook Account-University Federal Savings & Loan, Champaign		A
	Passbook Account-Olney Savings & Loan		A
	IRA Account-Twentieth Century Investors, Kansas City, MO		A
	IRA Account-Bank of Mt. Carmel, IL		A
	IBM Computer, printer, software		B
VII	Chicago Mercantile Exchange-fact finding	one day's lodging & meals	
	National Assoc. of Student Financial Aid Administrators -- Speech	Airfare-Evansville, IN to Dallas, TX, to St. Louis,	
	Coalition of Higher Education Assistance Organizations -- Speech	Meals, parking, one night' lodging, mileage-Olney/ Chicago/Olney	

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

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ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

John W. Bryant
(Full Name)

412 Cannon House Office Building
(Mailing Address)

Washington, D. C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 5th State Texas

☐ Officer or Employee—Employing Office ____________________

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "print-outs" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
SEE ATTACHMENT PAGE 5 of 6			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Bruner Oil and Gas/Hanson Flood-Olmstead Joint Venture	Royalties (paid in total to reduce note secured by this investment)	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Law Office of Stanford and Bryant	C
Oil Creek Drilling Company, Venango County, Pennsylvania Farmout Joint Venture	B
Bruner Oil and Gas/Hanson Flood-Olmstead Joint Venture	D
Giant Piper Exploration	A

[illegible] 1 3 1 9 5

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar yea [illegible] 986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Oil Creek Drilling Company, Venango County, Pennsylvania	D
Bruner Oil and Gas/Hanson Flood Olmstead Joint Venture	C
Wright Patman Congressional Credit Union	B
Reunion Bank of Dallas	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
See continuation sheet page 6 of 6	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Partner	Law Office of Stanford and Bryant (Partnership terminated in June 1986)

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature John W Bryant	Date May 22, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987 [illegible]

Earned Income ||

Law Office of Stanford and Bryant	1,670.00
Law Office of Terry Stanford This sum represents payment from a contingent fee interest in a suit for damages pursuant to an attorney - client contract entered into prior to my becoming a Member of Congress. This case was not settled until 1986, at which time attorneys' fees were paid.	38,600.66

1986 Honorarium

Date	Source	Amount
1/3/86	Dealer Bank Association (Same event two	500.00
1/28/86	Dealer Bank Association separate checks)	500.00
1/21/86	Association of Independant TV Stations, Inc.	1,000.00
1/24/86	The Capitol Group	2,000.00
2/6/86	Steel Bar Mills Association,	1,000.00
2/7/86	National Automobile Association	500.00
2/12/86	Viacom International Inc.	2,000.00
3/12/86	Electronic Industries Association	1,000.00
3/14/86	American Nuclear Energy Council	250.00
4/3/86	National Cable Television Association	1,000.00
5/5/86	National Association of Broadcasters	1,500.00
5/22/86	National Strategy & Marketing Group	500.00
6/13/86	Executive Enterprises Inc.	1,000.00
9/8/86	Browning Ferris Industries (Donated to charity)	*1,000.00
9/11/86	American Nuclear Energy Council (Donated to charity)	*250.00
9/18/86	National Rural Utilities	750.00
10/8/86	United Coal Company (Donated to charity)	*2,000.00
11/17/86	North American Securities Administrators Asso., Inc.	1,500.00

*Donated to charity

[illegible]

Page 6 of 6

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

JOHN W. BRYANT
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
	SOURCE / VII REIMBURSEMENTS	BRIEF DESCRIPTION
VII	Dealer Bank Association (Speech)	Roundtrip air fare N.Y., 2 days lodging for self and spouse.
VII	Association of Independent T.V. Stations (Conference Panel)	Roundtrip air fare Washington to Los Angeles, 3 days lodging for self and spouse.
VII	Steel Bar Mills Association (Speech)	Roundtrip air fare Washington to Palm Springs, CA., 1 day lodging.
VII	National Cable Television Association (Breakfast Panel)	Roundtrip air fare Washington to Dallas.
VII	National Association of Broadcasters (Panel)	Roundtrip air fare Washington to Dallas for self, 1 day lodging for self and spouse.
VII	United Coal Company (Toured Coal Mine)	Roundtrip on charted plane to Bristol, Virginia.
VII	North American Securities Administrators Association, Inc. (Speech)	Roundtrip air fare Washington to Hawaii for self, 2 days lodging for self.

GPO 1986 61-689 (m)

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

John William Buechner
(Full Name)

14 Ponca Trail
(Mailing Address)

Kirkwood, Missouri 63122

MC (Office Use Only)

Check the appropriate box and fill in the blanks:

☒ Member of the U.S. House of Representatives—District 2 State Missouri

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "print outs" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION" **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Buechner, Hellman, Kennedy & Leonard	Partner	2,868	
Buechner, McCarthy, Leonard & Owen	Partner	83,662*	

*This amount is based on estimates from the accounting firm preparing the tax return. Tax return to be completed by 6/15/87.

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
INA Annuity	Annuity	E
Deed of Trust on 8300 Flexolite, St. Louis CO., MO	Interest	B
Chaparral Land & Cattle Co.	Investment	A
Public Service Company of Colorado (Son Patrick)	Dividend	A
Public Service Company of Colorado (Son Terrance)	Dividend	A
Acme Electric (Son Patrick)	Dividend	A
Acme Electric (Son Terrance)	Dividend	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
SEE ATTACHED SCHEDULE	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION		DATE	CATEGORY
Graphic Scanning	(Sold)	7/18/86	2,998
Stokely USA	(Sold)	1/ 3/86	2,598

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description *of gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and *value of all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
SEE ATTACHED SCHEDULE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Jack Buechner	5-13-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING [illegible]

ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT

JOHN WILLIAM BUECHNER

Continuation Sheet

III. HOLDINGS

IDENTITY		CATEGORY
A deed of trust owned jointly with A. T. Coon secured by commercial property located at 8300 Flexolite, St. Louis County, Missouri		A
25% interest, 3 Bedroom House, 3861 Botanical, St. Louis, MO		A
Insituform (OTC)	150 Shares	A
Magellan Petroleum	2428 Shares	B
American Cablevision of St. Louis	20 Shares	D
XPLOR (OTC)	400 Shares	A
Insurance Company of North America	Annuity	E
IRA		B
16.67% General Partner, Buechner, McCarthy, Leonard, Kaemmerer, Owen & Laderman, a Missouri General Partnership with principal place of business in St. Louis County, Missouri.		C
25% General Partner, Buechner, McCarthy, Leonard & Owen, a Missouri General Partnership with principal place of business in St. Louis County, Missouri		C
10% General Partner, Chaparral Land & Cattle Co., a Joint Venture Agreement with principal place of business in Moberly, Missouri		B
11.87% LTD Partner, Brighton Partners, a Missouri Limited Partnership with principal place of business in Glenco, Missouri.		B
.5% General Partner, Wilmac Housing Partners, an Illinois Limited Partnership with principal place of business in Wilmac, Illinois.		A
25% General Partner, Rajad Housing Partners, a Missouri General Partnership with principal place of business in Farmington, Missouri and Decatur, Illinois.		A
1.667% General Partner, Fayette Housing Partners, a Missouri Limited Partnership with principal place of business in Fayette, Missouri.		A
1.25% General Partner, Blue Mound Housing Partners, an Illinois Limited Partnership with management offices in St. Louis County Missouri, properties located in Blue Mound, Illinois.		A
1.25% General Partner, Bement Housing Partners, an Illinois Limited Partnership with management offices in St. Louis County, Missouri, properties located in Bement, Illinois.		A
1.25% General Partner, Macon Housing Partners, an Illinois Limited Partnership with management offices in St. Louis County, Missouri, properties located in Macon, Illinois.		A
20.25% General Partner, Lovington Housing Partners, an Illinois Limited Partnership with management offices in St. Louis County, Missouri, properties located in Lovington, Illinois.		A
1.25% General Partner, Atwood Housing Partners, an Illinois Limited Partnership with management offices in St. Louis County, Missouri, properties located in Atwood, Illinois.		A
1.25% General Partner, Morrisonville Housing Partners, an Illinois Limited Partnership with management offices in St. Louis County, Missouri, properties located in Morrisonville, Illinois		A

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ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT

JOHN WILLIAM BUECHNER

Continuation Sheet

VIII. POSITIONS

POSITION	NAME OF ORGANIZATION
Partner	Buechner, McCarthy, Leonard, Kaemmerer, Owen & Laderman
Partner	Buechner, McCarthy, Leonard & Owen
Partner	Buechner, Hellman, Kennedy & Leonard
Director	Rajad Investment Corporation
Director	American Cablevision of St. Louis County
Partner	West Eldorado Partners
Partner	West Prairie Partners
Partner	Morrisonville Housing Partners
Co-Trustee	With N. A. Riley for Loretta James under will of John K. James
Partner	Chaparral Land & Cattle Company
Partner	Blue Mound Housing Partners
Partner	Brighton Partners
Partner	Bement Housing Partners
Partner	Macon Housing Partners
Partner	Lovington Housing Partners
Partner	Wilmac Housing Partners
Partner	Atwood Housing Partners
Partner	Rajad Housing Partners
Partner	Fayette Housing Partners
Director	Drda Sales
Vice President	Citizens for Missouri's Children
Member	Missouri Tourism Commission

HAND DEL [illegible]

June 9, 1987

Clerk, United States House
of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

Re: John William Buechner, 14 Ponca Trail
Kirkwood, MO 63122
United States House of Representatives
Second District, Missouri

Mc L

Dear Sir:

Pursuant to the letter dated May 17, 1987, from the Honorable Julian C. Dixon, Chairman and Floyd D. Spence, Ranking Minority Member of the Committee of Standards of Official Conduct, I am herewith amending by letter the financial disclosure statement filed by me of due date May 15, 1987.

Part I - B is amended as follows:

Addition to read:
1) Sale of 3 S&P options, Type - Capital gain, Category A.
2) Sale of 3 R.J. Reynolds options, Type - Capital gain, Category A.

Part III is amended as follows:

1) West Eldorado & West Prairie Partners decreased in value to less than $1,000.
2) ICT, Ltd. assets were depleted and company liquidated.
3) Int. Thor. Brdrs. decreased in value to less than $1,000.
4) Electromedics should be added and read Category A.
5) Rampart General decreased in value to less than $1,000.
6) Priam sold 8/15/86 for $298.25.
7) Advance Energy decreased in value to less than $1,000.
8) Rajad Investment Corp. decreased in value to less than $1,000.
9) Public Service Company should be added and read Uniform Gift to Minors Holdings For Children:
Patrick and Terry, Category A.
10) Acme Electric is valued at less than $1,000. Uniform Gift to Minors Holdings For Children: Patrick and Terry

Clerk, United States House
  of Representatives
June 9, 1987
Page Two

Part IV is amended to add new holdings to read as follows:

1) Deed of trust - 8300 Flexolite. Acquired an interest in this from RAJAD Investment Corp. in 1986.
2) Insituform, purchase 7/16/86 for $3,095.50.
3) XPLOR, Acquired in 1982, valued less than $1,000.
4) Fayette Housing Partners, added to report of due date May 15, 1986, by amendment letter dated 7/18/86, copy attached.
5) Morrisonville Housing Partners, acquired in 1983. Had a value below $1,000 in 1986.

25% interest, 3 Bedroom House, 3861 Botanical, St. Louis. the interest in the house has decreased from 50% to 25% by mutual agreement with other owner to settle a dispute between the two of us as to original agreement relating to work performed by other owner on the house.

Yours very truly,

Jack Buechner

John Wm. Buechner
Member of Congress

JWB/dmh

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Jim Bunning
(Full Name)

30 Winston Hill
(Mailing Address)

Ft. Thomas, KY 41075

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 4 State KY

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

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- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Jim Bunning Agency, Inc.	salary	89,000.00	
Equitable Life Assurance Company	pension	28,615.60	
Drew Gross - Kingwood Texas	appearance	2,250.00	
Cowen & Co.	salary	1,513.60	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Jim Bunning Agency, Inc.	dividend	A
C B Data	rent	D
More Owen Thomas	rent	C
1200 Tarpon Woods Blvd., 55, Palm Harbor Fla.	rent	C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
1200 Tarpon Woods Blvd. - Unit 55, Palm Harbor, Fla.	D
Marine Equipment - Houseboats	D
C. B. Data - IBM System - Software	C
Jim Bunning Agency, Inc.	D
Fidelity Equity Income Fund	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
Newport National Bank, Newport, KY	E
Premium Capitcl, Inc., Cincinnati, Ohio	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
President	Jim Bunning Agency, Inc.
Partner	C. B. Data
Representative	Cowen & Co.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature Jim Bunning	Date May 7, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Danny L. Burton
(Full Name)

12141 East 79th Street
(Mailing Address)

Indianapolis, Indiana 46236

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 6 State Indiana

Officer or Employee—Employing Office

Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Dan Burton Agency - Spouse	Commissions		
See Continuation Sheet	Honorariums	4,690	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See Continuation Sheet		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See Continuation Sheet	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
Sold		
750 Shares Anacomp, Inc.	5/12/86	A
Bought		
100 Shares ICN Pharmaceutical's Corp.	3/27/86	A
City Securities Corp. Stock	June 1986	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
N/A	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description *of gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION

B. The source, a brief description, and value *of all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
See Continuation Sheet	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13

POSITION	NAME OF ORGANIZATION
Consultant	Burton Insurance Agency

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13

DATE	PARTIES TO	TERMS OF AGREEMENT
	N/A	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Dan Burton	5-14-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

[illegible]

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Danny L. Burton
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*		
Part IIA	1986 Honorariums:		
	Los Angeles Times		$ 250
	Columbia Institute for Political Research		1,000
	Association Membership Programs		1,000
	First Assembly of God		400
	ISC Defense & Space Group, Inc.		1,000
	Chinese Anti-Communist League		1,000
	Indianapolis Newspapers, Inc.		40
			$4,690
Part IIB	Source	Type	Category
	Equitable Assurance	Interest	A
	New York Life Insurance Company	Interest	A
	Thompson McKinnon Securities, Inc. Earnings from Individual Retirement Accounts	Dividends	B
	Thompson McKinnon Securities, Inc. Earnings from Keogh Plan	Dividends	A
	Thompson McKinnon Securities, Inc. (Dep. Child)	Dividends & Int.	B
	Thompson McKinnon Securities, Inc. (Dep. Child)	Dividends & Int.	B
Part III	Holdings		
	Identity		Category
	Kentucky Land 20 Acres, London, Kentucky		C
	Johnson County, Indiana Land, Approximately 20 Acres		C
	Life of Indiana Insurance Company Investment - 1000 Shares		B
	Thompson McKinnon Securities, Inc. IRA's		B
	Burton Ranch (Farm Land), 48 Acres		E
	Thompson McKinnon Securities, Inc. Keogh Plan		A
	Thompson McKinnon Securities, Inc. (Holding of Dep.)		A
	Thompson McKinnon Securities, Inc. (Holding of Dep.)		A
	Dan Burton Insurance Agency		D
	First Federal of Michigan: Certificate of Deposit		B
	First Federal of Michigan: Certificate of Deposit		B
	2 Acres - Brown County, Indiana		A
	Thompson McKinnon		A
	Anacomp - 250 shares		A
	NMT Discount insured series #11 - seven units		B
	ICN Pharmaceuticals Corp.		A
	City Securities Corp.		B
Part VII	Reimbursements:		
	Source	Description	
	New England Life	Airfare to Carrabassett Valley, Maine for Charitable Function	
	Columbia Institute	Airfare to California for Speech	

GPO: 1986 61-680 (m)

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AND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A–For use by Members, officers, and employees

Albert G. Bustamante
(Full Name)

1116 Longworth House Office Building
(Mailing Address)

Washington, D. C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives–District 23 State Texas

☐ Officer or Employee–Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ✓ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Continuation Sheet Page 1 of 3			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Continuation Sheet Page 2 of 3		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ✓ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Continuation Sheet Page 3 of 3	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Federated National Bank (personal loan)	C
San Antonio Savings Association (personal loan)	C
Gross Bank (personal loan)	B
Gross Bank (stock purchase loan)	E
Texas Independent Bank (stock purchase loan)	B
Summit Savings Association	E

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of gifts of transportation, lodging, food, or entertainment aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of all other gifts aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
National Association of Latino Elected & Appointed Officials (symposium participant) 5/17/86	Roundtrip airfare Wash,DC to Los Vegas, NV; 2 days food/lodging
LTV Corporation (speech) 7/27/86	Roundtrip airfare Wash,DC to Dallas,TX
Killebrew & Harding (DThompson Charity golf tournament participant) 8/26/86	Roundtrip airfare Wash,DC to Sun Valley, ID; 3 days food/lodging (self & spouse)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment, leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Albert G. Bustamante	5-12-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

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Page ___ of ___

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Albert G. Bustamante
(Name)

Continuation Sheet

Part
II. Income

Source, Type, Amount, Category, Description or Value (As Applicable)

	A. Source	Type	Amount	Dispositio
	Self-employment as attorney	Atty. Fees	Spouse	
2/[illegible]/86	Pratt & Witney	Honorarium	$1,000-	
4/14/86	Standard Manufacturing Company	Honorarium	$2,000-	
5/19/86	Boeing Company	Honorarium	$2,000-	
6/6/86	League of United Latin American Citizens	Honorarium	$1,000-	
7/17/86	LTV Corporation	Honorarium	$1,000-	
7/29/86	Enterprise Development Corporation	Honorarium	$1,000-	
7/24/86	Latin American Manufacturers Association	Honorarium	$1,000-	
8/15/86	Rockwell International	Honorarium	$1,000-	
8/26/86	Marquardt Company	Honorarium	$2,000-	
9/22/86	National Association of State Credit Union Supervisors	Honorarium	$1,500-	
11/17/86	Burlington Northern	Honorarium	$1,000-	
12/15/86	Northrup Corporation	Honorarium	$2,000-	

Page 2 of 3

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Albert G. Bustamante
Name
Albert G. Bust

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*		
II. Income			
B. Source		Type	Category
J. E. Saathoff - Pasture Lease on farm/ranch		Rent	B
Dr. Carlos Lozano - Second lien on real estate		Interest	C
Federated National Bank		Interest	A
Franklin Life Insurance		Interest	A
San Antonio Savings Association		Interest	A
Union Bank		Interest	A
First American Bank of Virginia		Interest	A

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Page 3 of 3

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Albert G. Bustamante
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
III. Holdings	

DESCRIPTION	CATEGORY
87.8 acres - farm/ranch Route 1, Box 50, Hondo, Texas	E
Office equipment and furniture (law office of spouse)	A
Federated National Bank Stock (1,000 shares of stock)	B*
Partnership interest in OMAR Investments (spouse)	B*
San Antonio Savings Checking Account (spouse business account)	B
Second lien on real estate, 429 West Broadview, San Antonio, Texas	C

*Purchase Price

[illegible]

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A (For use by Members, officers, and employees)

Beverly Byron
(Full Name)

306 Grove Blvd.
(Mailing Address)

Frederick, Maryland 21701

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 6 State Maryland

☐ Officer or Employee—Employing Office

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "print-outs" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts, such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION." **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See Attached			—

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Dividends on Publicly Traded Stocks	Dividends	A
Maryland National Bank	Interest	A
Duck Key, Florida	Rents	Gross D
Municipal Bonds	Interest	A
Condominium--Washington, DC (spouse)	Rents	Gross D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ___ NO X NA ___

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See Attached	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Marine Bank of Monroe County--Duck Key Rental Unit	C
National Bank--Washington, DC Condominium	C
Maryland National Bank--Demand Loans	B
Home Federal--Condominium (spouse)	D
Riggs--Unsecured (spouse)	C
BKF Leasing (spouse)	C
BFK Leasing	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
See Attached	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to future employment, leave of absence during period of government service, continuation of payments by a former employer other than the U.S. Government, and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 14, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Beverly B. Byron
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*			
II.-A	SCHEDULE OF INCOME:	TYPE:	DATE:	AMOUNT:
	U.S. Government Pension-GEB	Pension		$18,237.00
	U.S. Government Pension-GEB-Mary	Pension		2,801.00
	Real Estate-Spouse	Self-employed		
	B.P.W. Ky.	Honorarium	1-23	1,000.00
	General Dynamics Land Systems	Honorarium	3-5	2,000.00
	Rockwell International	Honorarium	3-24	1,000.00
	McDonnell Douglas	Honorarium	4-2	1,000.00
	Northrop Corporation	Honorarium	4-1	2,000.00
	American Nuclear Energy Council	Honorarium	4-19	250.00
	General Electric	Honorarium	5-15	1,000.00
	Oshkosh	Honorarium	6-2	2,000.00
	Martin Marietta	Honorarium	6-18	1,000.00
	Boeing	Honorarium	12-15	2,000.00

III	SCHEDULE OF HOLDINGS:	
	IDENTITY:	CATEGORY:
	Unimproved Lot, 304 Grove Blvd., Frederick, MD	C
	Rental Property, Duck Key Florida	F
	28 Shares Exxon Corporation	A
	40 Shares Mobil Corporation	A
	36 Shares Westinghouse Electric	A
	10 Shares Minnesota Mining and Manufacturing	A
	86 Shares of 4 Publicly Traded Stocks, each holding less than $1,000	A
	Maryland National Bank IRA	A
	City of Detroit Bond	A
	Massachusetts Turnpike Authority Bond	A
	Condominium-Washington, DC	D
	Condominium-Washington, DC (Spouse)	E

VII.	REIMBURSEMENTS:		
	Kentucky Business Professional Women's Club	speaking engage.	Airfare/lodging [1]
	American Institute of Aeronautics and Astronautics	speaking engage.	Airfare/lodging [2]
	General Electric	plant tour	Airfare/lodging [3]
	Oshkosh Trucks	plant tour	Airfare/lodging [4]
	Boeing	plant tour	Airfare/lodging [5]

1. Frankfort, Kentucky
2. Monteray, California
3. Boston, Massachusetts
4. Oshkosh, Wisconsin
5. Seattle, Washington and other West Coast plants

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UNITED STATES HOUSE OF REPRESENTATIVES

[illegible] DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

HERBERT LEON CALLAHAN
(Full Name)

3952 BYRONELL COURT
(Mailing Address)

MOBILE, ALABAMA 36609

HC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District ONE State ALABAMA

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION" **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
U. S. HOUSE OF REPRESENTATIVES	SALARY	75,100	
THE FINCH COMPANIES, INC.	DIRECTOR FEES	12,000	
SEE CONTINUATION SHEET	HONORARIUMS		

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
AmSOUTH BANK, N.A.	INTEREST	A
SOUTHTRUST BANK	INTEREST	A
SPRING HILL INSURANCE CO.	INTEREST	A
SPRING HILL INSURANCE CO.	DIVIDENDS	C
SEE CONTINUATION SHEET		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
SEE CONTINUATION SHEET	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
DOUBLE M JOINT VENTURE SALE	1986	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
THE FINCH COMPANIES, INC.	E
AmSOUTH BANK, N.A.	F
FIRST ALABAMA BANK	C
SOUTH TRUST BANK	C
INTERNAL REVENUE SERVICE	C
STATE OF ALABAMA	A

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. *The source and a brief description of gifts of transportation, lodging, food, or entertainment aggregating $250 or more in value received from any source during calendar year 1986.*

SOURCE	BRIEF DESCRIPTION
NONE	

B. *The source, a brief description, and value of all other gifts aggregating $100 or more in value received from any source during calendar year 1986.*

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NATIONAL MOVING & STORAGE ASSOCIATION	TRAVEL AND LODGING
NMSA MEETING AT INNSBRUCK	$2,178 4/3/86 - 4/7/86
ALABAMA ASSOCIATION OF HOUSING AND	AIRLINE TICKET
REDEVELOPMENT AUTHORITY	$286 7/28/86

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
SEE CONTINUATION SHEET	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
4-1-85	THE FINCH COMPANIES, INC.	$12,000 per annum as Chairman of the Board

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature H L Callahan	Date 5/13/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

[illegible] 7 7 3

Page 5 of 7

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

HERBERT LEON CALLAHAN
(Name)

Continuation Sheet

Part II-A

Source, Type, Amount, Category, Description or Value (As Applicable)

LOYAL AMERICAN LIFE INSURANCE CO.	4-26-86	$ 3,000*
OLIN CO.	7-16-86	1,000
PATRICK HENRY JR. COLLEGE	6-13-86	200
COASTAL TRAINING INSTITUTE, MOBILE	7-14-86	500
ALABAMA WHOLESALE BEER & WINE ASSOC.	8-25-86	500

* $1,000 donated to Joe Langan Memorial Scholarship Fund

PART II - B

SOURCE	TYPE	CATEGORY
DOUBLE M JOINT VENTURE	CAPITAL GAINS	D
THE FINCH COMPANIES, INC.	DIVIDENDS	G

Page 6 of 7

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

HERBERT LEON CALLAHAN
(Name)

Continuation Sheet

Part III- HOLDINGS

Source, Type, Amount, Category, Description or Value (As Applicable)

COMMON STOCK IN ITEMS 1 - 3
PARTNERSHIP INTEREST IN ITEMS 4 - 8
METHODS OF VALUATION FOR HOLDINGS

a. Book value according to federal income tax return and/or financial statement.

b. Purchase price

c. For properties available for sale I have listed the asking price to the public.

d. For certain real estate partnership holdings I have relied on the estimated value of the properties by the developer.

e. Personal evaluation

	METHOD OF VALUATION	CATEGORY
1. The Finch Companies, Inc.	E	F
2. Finch REal Estate Corporation	A	A
3. Badger-Stonewall Insurance Agency, Inc.	E	B
4. Double M. Joint Venture	E	B
5. H. B. Development Partnership	D	C
6. Westview Apartments, Ltd.	D	B
7. Mini Warehouse - Pensacola, Florida	B	D
8. Unimproved Real Estate, West Beach, Gulf Shores, Alabama 1/3 Interest	C	C
9. Condo - Romar House - Gulf Shores, Alabama	C	E
10. Wilcox County, Alabama (Camp)	C	B
11. U. S. Savings Bonds	B	C
12. * Savings Accounts	B	C
13. Five Acres - Unimproved (Dog River)	B	E
14. The Finch Companies, INc. Pension Plan	B	D
15. Willowick, LTD.	D	B

*First Federal Savings & Loan - Mobile, Alabama
Commercial Guarantee Bank - Mobile, Alabama
AmSouth - Mobile, Alabama

Page 7 of 7

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

HERBERT LEON CALLAHAN
(Name)

Continuation Sheet

Part VIII. POSITIONS	Source, Type, Amount, Category, Description or Value (As Applicable)
DIRECTOR	THE FINCH COMPANIES, INC.
CHAIRMAN - BOARD	FINCH REAL ESTATE CORP.
PARTNER	DOUBLE M JOINT VENTURE
PARTNER	WILLOWICK, LTD.
PARTNER	H. B. DEVELOPMENT
PARTNER	WESTVIEW APARTMENTS, LTD.
DIRECTOR	BADGER-STONEWALL INSURANCE AGENCY, INC.
PARTNER	BALDWIN COUNTY ACREAGE
PARTNER	MINI WAREHOUSES - FLORIDA

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Honorable Ben Nighthorse Campbell
(Full Name)

1724 Longworth House Office Building
(Mailing Address)

Washington D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 3rd State Colorado

☐ Officer or Employee—Employing Office

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the ~~section being~~ continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO x NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Colorado General Assembly	Salary	16, 975	
Ranch Income	Salary	4,164	
Spouse(Ignacio School District) (Teacher)	Salary		
Jewelry Income (1986)	Sales	20, 090	
Citizens for Good Government	Honorarium	500.00	
Climax Rural In Service Program	Honorarium	180.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Hillary &Faye Carver c Mortgage	Prinicple/INterest	E
County Road 334,#408, Ignacio	Rent	C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO XX ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Real Estate, 115 Acres	F
Bank of Ignacio, Savings Account	B

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sold, 1 Lot , Carefree Rollings Hills Carefree Arizona	July 86	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME, any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item, and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Rocky Mountain Escrow, P. O. Box 2106 Durango, Colorado	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
none	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Smithonsian Institution (Speech)	Round trip airfare from Denver Co. to Washington D.C.
Nucleus Club, Pheonix Arizona (Speech)	Round trip airfare, from Durango, Colorado, to Pheonix, Arizona

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987 [illegible]

BEN NIGHTHORSE CAMPBELL
3D DISTRICT, COLORADO

COMMITTEES
AGRICULTURE
INTERIOR AND INSULAR AFFAIRS
SMALL BUSINESS

Congreſs of the United States
House of Representatives
Washington, DC 20515

PLEASE REPLY TO:
☐ [illegible]
☐ [illegible] N. MAIN [illegible]
☐ [illegible] E. SECOND AVE
SUITE [illegible]
DURANGO CO 81301
[illegible]
☐ [illegible] N. 5TH STREET
SUITE 520
GRAND JUNCTION CO 81501
(303) 242-2400

June 10, 1987

Donald K. Anderson
Clerk of the House,
U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

Mc

Dear Mr. Anderson:

Pursuant to the letter dated May 15, 1987, received by me from the House Committee on Standards of Official Conduct, please consider this letter as formal amendment to my Financial Disclosure Statement. The following information was requested by the Committee.

Part III. Holdings
The "Carver" income is actually mortgage payments that are paid to my wife and I as a result of the sale of the Weimar, California, property, which was sold by us in 1980.

The "County Road 334" rent is rental income my family receives from the rental of a house located on our ranch (not the main, family house, but one located on property we purchased a few years ago located adjacent to the family ranch).

Part V. Liabilities
The debt to Rocky Mountain Escrow is a mortgage debt. My wife and I purchased property adjacent to our Ignacio ranch property a few years ago (the same property on which the rental house described above is located). Regular mortgage payments are made by us to Rocky Mountain Escrow.

I attach a copy of the Financial Disclosure Checklist-Form A, provided to me by the Committee, reflecting the information being requested. I trust this letter adequately answers those requests.

Sincerely,

Ben Nighthorse Campbell

BNC/kll

Enclosure

[illegible]

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A For use by Members, officers, and employees

BENJAMIN L. CARDIN
(Full Name)

2509 Shelleydale Drive
(Mailing Address)

Baltimore, Maryland 21209

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 3 State Maryland

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

[illegible]

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts, such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Sole Proprieter-practice of Law	earned income	$121,843	(gross)
		49,236	(net)
State of Maryland-Legislative Salary	salary	26,000	
(25% of this income is deferred)			
B. Green & Company, Inc.	director fee	3,600	
Park School (spouse)	salary	--	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See attached sheet.		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See attached sheet.	

7 7 0 4 3 2 0 3 5 9 1

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See attached sheet.		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None.	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Not applicable...not a member of Congress during	1986.

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
Not applicable...not a member of Congress	during 1986.	

3 7 0 2 2 2 3 5 9 5

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Not applicable...not a member of Congress in 1986.	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
See attached list	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Benjamin L Cardin	5/13/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

CONGRESSMAN BENJAMIN L. CARDIN

FINANCIAL DISCLOSURE STATEMENT FOR 1986

II. INCOME

B. SOURCE	TYPE	CATEGORY
B. GREEN AND COMPANY, INC.	DIVIDEND	E
NORTHEAST UTILITIES (SPOUSE)	DIVIDEND	A
NCR	DIVIDEND	A
STERLING DRUG	DIVIDEND	A
OLIN CORPORATION	DIVIDEND	A
SQUIBB BEACHNUT	DIVIDEND	A
CONSOLIDATED EDISON	DIVIDEND	A
NIAGARA MOWHAWK	DIVIDEND	A
SMITH, BARNEY ACCOUNT	DIVIDEND	B
WARNER COMMUNICATIONS	DIVIDEND	A
UNITED MERCHANTS & MANUFACTURERS	INTEREST	A
MARYLAND STATE BOND (NON-TAXABLE)	INTEREST	A
MARYLAND HEALTH & HIGHER ED BOND (NON-TAXABLE)	INTEREST	A
ISRAEL BOND (JOINT WITH SPOUSE)	INTEREST	A
DUKE POWER	CAPITAL GAINS	C (GROSS GAIN)
CONSOLIDATED EDISON	CAPITAL GAINS	E (GROSS GAIN)
RAYMOND ENGINEERING	CAPITAL GAINS	C (GROSS GAIN)

SWISS MT. CONDOMINIUM, UNIT 2404 SEVEN SPRINGS, PA. (JOINT WITH SPOUSE)	RENTAL	C (GROSS)
VENTURE B. G. PARTNERSHIP (PARTNERSHIP HOLDS LAND LOCATED AT 3601 WASHINGTON BLVD., BALTIMORE, LEASED TO B. GREEN AND COMPANY.)	PARTNERSHIP INCOME	D (GROSS)
GOODYEAR CORP (DAUGHTER)	DIVIDEND	A
GOODYEAR CORP (SON)	DIVIDEND	A
UNION PACIFIC (DAUGHTER)	DIVIDEND	A
UNION PACIFIC (SON)	DIVIDEND	A
B. GREEN AND CO., INC. (DAUGHTER)	INTEREST	B
B. GREEN AND CO., INC. (SON)	INTEREST	B
SEQUOIA SAVINGS & LOAN (DAUGHTER)	INTEREST	B
SEQUOIA SAVINGS & LOAN (SON)	INTEREST	B
SWISS MT. MTG. (DAUGHTER)	INTEREST	C
SWISS MT. MTG. (SON)	INTEREST	C
ISRAEL BOND (SON)	CAPITAL GAINS	A (GROSS GAIN)

[illegible]

CONGRESSMAN BENJAMIN L. CARDIN

FINANCIAL DISCLOSURE STATEMENT FOR 1986

III HOLDINGS

IDENTITY	CATEGORY
B. GREEN & CO., INC., BALTIMORE, MD. (CONTINGENT BENEFICIARY OF A TRUST. EVALUATION BASED ON INDEPENDENT APPRAISAL - FEB., 1986)	F
B. GREEN & CO., INC, BALTIMORE, MD. (COMMON STOCK)	A
NORTHEAST UTILITIES (SPOUSE) - COMMON STOCK	B
N.C.R. - COMMON STOCK	C
WARNER COMMUNICATIONS - COMMON STOCK	B
STERLING DRUG - COMMON STOCK	B
OLIN CORP. - COMMON STOCK	B
SQUIBB BEACHNUT - COMMON STOCK	C
CONSOLIDATED EDISON - COMMON STOCK (SOLD IN APRIL, 1986 - EVALUATION BASED ON SALES PRICE)	C
NIAGARA MOHAWK - COMMON STOCK	A
BLACK & DECKER - COMMON STOCK	A
BTR - COMMON STOCK	B
RAYMOND ENGINEERING - COMMON STOCK (SOLD IN OCTOBER, 1986 - EVALUATION BASED ON SALES PRICE)	B
MCI CORP - COMMON STOCK	A
RADIATION SYSTEM - COMMON STOCK	A
UNITED MERCHANTS & MANUFACT. - DEBENTURE (REDEEMED IN JUNE, 1986 - EVALUATION BASED ON REDEMPTION VALUE)	A

ISRAEL BONDS (SOME WITH SPOUSE OR IN SPOUSE'S NAME)	B
MARYLAND STATE BOND	A
MARYLAND HEALTH & HIGHER EDUCATION BOND	A
VENTURE BG PARTNERSHIP (PARTNERSHIP THAT HOLDS LAND AT 3601 WASHINGTON BLVD, LEASED TO B. GREEN & CO, INC., 2% PARTNERSHIP INTEREST, EVALUATION BASED ON APPRAISAL DEC., 1984	C
SMITH BARNEY ACCOUNT - CASH MANAGEMENT ACCOUNT	B
DUKE POWER - COMMON STOCK (SOLD IN JAN, 1986 - EVALUATION BASED ON SALES PRICE)	B
CORDIS - COMMON STOCK	A
GOODYEAR CORP - COMMON STOCK (DAUGHTER)	B
GOODYEAR CORP - COMMON STOCK (SON)	B
UNION PACIFIC - COMMON STOCK (DAUGHTER)	B
UNION PACIFIC - COMMON STOCK (SON)	B
WARNER COMMUNICATION - COMMON STOCK (DAUGHTER)	B
WARNER COMMUNICATION - COMMON STOCK (SON)	B
AT&T - COMMON STOCK (DAUGHTER)	A
AT&T - COMMON STOCK (SON)	A
B. GREEN & CO., INC. - NOTE - (DAUGHTER)	B
B. GREEN & CO., INC. - NOTE - (SON)	B
ISRAEL BONDS (DAUGHTER)	B
ISRAEL BONDS (SON)	B
SWISS MTN. CONDOMINIUM, UNIT 2404, SEVEN SPRINGS, PA., JOINT WITH SPOUSE - RENTAL PROPERTY - EVALUATION BASED ON PURCHASE PRICE, OCT., 1984	D
MORTGAGE (MORTGAGEE -CHILDREN), MORTGAGOR SELF & SPOUSE - SWISS MTN. CONDO, UNIT 2404, SEVEN SPRINGS, PA.	D
EQUIPMENT - LAW FIRM - 211 ST. PAUL PLACE, BALTIMORE, MD., EVALUATION BASED ON BOOK VALUE	A

[illegible]

DEFERRED COMPENSATION PLAN - STATE OF MARYLAND	E
SEQUOIA SAVINGS & LOAN ASSOC, IRA	B
SEQUOIA SAVINGS & LOAN ASSOC, IRA (SPOUSE)	B
KEOUGH - AMERICAN BAR ASSOC. RETIREMENT PLAN - BENJAMIN L. CARDIN - RETIREMENT PLAN	E
LEGISLATIVE RETIREMENT PLAN - STATE OF MARYLAND	C
SEQUOIA SAVINGS AND LOAN ASSOC AND BALTIMORE FEDERAL FINANCIAL - SAVINGS ACCOUNTS (DAUGHTER)	C
SEQUOIA SAVINGS AND LOAN ASOC AND BALTIMORE FEDERAL FINANCIAL - SAVINGS ACCOUNTS (SON)	C
U.S. SAVINGS BOND (SON)	A

CONGRESSMAN BENJAMIN L. CARDIN

FINANCIAL DISCLOSURE STATEMENT FOR 1986

IV TRANSACTIONS

BRIEF DESCRIPTION	DATE	CATEGORY
SALE OF COMMON STOCK - CONSOLIDATED EDISON	4-8-86	C
SALE OF COMMON STOCK - RAYMOND ENGINEERING	10-28-86	B
SALE OF COMMON STOCK - DUKE POWER	1-3-86	B
REDEMPTION OF DEBENTURES - UNITED MERCHANTS & MANUFACTURERS	6-19-86	A
GIFT RECEIVED OF COMMON STOCK FROM FATHER - B. GREEN & CO., INC.	9-5-86	A
ADDITION TO IRA - SEQUOIA SAVINGS & LOAN, ASSOC., INC.	4-86	A
ADDITION TO IRA - SEQUOIA SAVINGS & LOAN, ASSOC., INC. (SPOUSE)	4-86	A
ADDITION TO KEOUGH - AMERICAN BAR ASSOCIATION MEMBER RETIREMENT PLAN	4-86	B
ADDITION TO DEFERRED COMPENSATION - STATE OF MARYLAND	MONTHLY	B
ADDITION TO RETIREMENT - STATE OF MARYLAND	MONTHLY	A
SALE OF EQUIPMENT - BENJAMIN L. CARDIN - LAW FIRM	12/86	A

[illegible]

CONGRESSMAN BENJAMIN L. CARDIN

FINANCIAL DISCLOSURE STATEMENT FOR 1986

VIII POSITIONS

POSITIONS	NAME OF ORGANIZATION
SOLE PROPRIETOR	BENJAMIN L. CARDIN LAW FIRM
EMPLOYEE	STATE OF MARYLAND - LEGISLATOR (SPEAKER OF THE HOUSE)
TRUSTEE/EXECUTIVE COMM.)	BALTIMORE MUSEUM OF ART
DIRECTOR	PARK SCHOOL - BALTIMORE
DIRECTOR	ASSOC. JEWISH CHARITIES - BALTIMORE
DIRECTOR	UNIVERSITY OF MARYLAND ALUMNI INTERNATIONAL
DIRECTOR (SPOUSE)	BALTIMORE CITY LIFE MUSEUM
DIRECTOR (SPOUSE)	JOHN F. KENNEDY INSTITUTE - BALTIMORE, MARYLAND
DIRECTOR (SPOUSE)	JEWISH COMMUNITY CENTER - BALTIMORE
DIRECTOR (SPOUSE)	PARK HEIGHTS STREET ACADEMY - BALTIMORE
EMPLOYEE (SPOUSE)	PARK SCHOOL - BALTIMORE, MD.

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

INSIDE MAIL

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A For use by Members, officers, and employees

Thomas R. Carper
(Full Name)

807 W. 10th Street
(Mailing Address)

Wilmington, DE 19801

MC (Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District AL State Delaware

☐ Officer or Employee—Employing Office

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed, identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure; all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? **YES** ____ **NO** X **NA** ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION." **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
U.S. House of Representatives	Salary	$75,100.00	
DuPont Co. (Spouse)	Salary		
No Honoraria Accepted			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Mellon Bank (DE)	Money Market Account	A
Wilmington Trust	Account	A
1st Union Bank of North Carolina	C.D.'s	B
Merrill Lynch	Money Market Account	A
DuPont Co.	Common Stock Dividends	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ___ NO X NA ___

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
First Union Bank of North Carolina C.D.'s	C
IRA (Wilmington Trust Co.)	B
IRA of Spouse (Wilmington Trust Co.)	B
House at 220 Mendell Place, New Castle, DE	D
House at 110 Sundown Lane, Pineville, N.C.	D
State of Delaware Deferred Compensation Program	A
Mellon Bank (DE) Money Market Account	C
Wilmington Trust Account	B
(see attachment)	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
Mortgage for Property at 220 Mendell Pl., New Castle, DE	C
(Stockton, Whatley, Davin & Co.)	
Mortgage for Property at 110 Sundown Lane, Pineville, N.C.	C
(Marsh Mortgage Company	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
American Israel Public Affairs Commission	$491.00 for travel and lodging expenses incurred in conjunction with speeches in Greensboro, N.C. and Charlotte, N.C. November 8-9, 1986

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Thomas R Carper	May 12, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987 [illegible]

ATTACHMENT FOR FINANCIAL DISCLOSURE FORM
THE HONORABLE TOM CARPER (DE-AL)

SECTION III - HOLDINGS

Merrill Lynch Money Market Account	B
DuPont Co. Thrift Plan	C
DuPont Co. Common Stock	B
Jefferson Standard Life Insurance Policy Cash Value	B

THOMAS R. CARPER
DELAWARE AT LARGE

COMMITTEES
BANKING, FINANCE
AND URBAN AFFAIRS
MERCHANT MARINE
AND FISHERIES

Congress of the United States
House of Representatives
Washington, DC 20515

131 CANNON HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-4165

DISTRICT OFFICES
5021 J. CALEB BOGGS
FEDERAL OFFICE BUILDING
844 KING STREET
WILMINGTON, DE 19801
(302) 573-6181

ALLEN FREAR FEDERAL BUILDING
300 S. NEW STREET
DOVER, DE 19901
(302) 736-1666 (KENT)
(302) 856-3334 (SUSSEX)

✓

May 20, 1987

MC

The Honorable Donn Anderson
The Clerk of the House
U.S. House of Representatives
H-105, The U.S. Capitol
Washington, D.C., 20515

Dear Mr. Anderson:

Let me take this opportunity to respond to the Committee's May 14 1987 inquiry and to explain: 1) Why the following holdings were listed in my 1986 financial disclosure statement, but not in my 1985 statement; and, 2) why no acquisition or transaction was reported in conjunction with these holdings.

In 1986, I married Martha Ann Stacy. At the time of our marriage, she already owned the following assets: CD's at First Union; a house in Pineville, N.C.; Wilmington Trust Co. account; Merrill Lynch money market account; DuPont Co. thrift plan; DuPont Co. common stock, and a Jefferson Standard life insurance policy.

In 1986, I moved from my home in New Castle, Delaware to live with my wife in a home in Wilmington. We continue to own the home in New Castle, which has become a rental property. Since Members are not asked to list principal residences on our financial disclosure statements, I did not report the New Castle property on my 1985 statement, nor did I report our Wilmington home on my 1986 statement.

If there are any further questions on this matter, please feel free to direct them to me.

Sincerely,

Tom Carper

TOM CARPER
Member of Congress

TC:hwg

THIS STATIONERY PRINTED ON PAPER MADE WITH RECYCLED FIBERS

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Bob Carr
(Full Name)

2439 Rayburn H.O.B.
(Mailing Address)

Washington, DC 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 6 State MI

☐ Officer or Employee—Employing Office

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "print outs" are used the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO ____ [✓ NA X]

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION" **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
SEE ATTACHED SHEET			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
PRUDENTIAL LIFE	DIVIDEND	A
APARTMENT	RENT	C
SHEARSON MUNI FUND	DIVIDEND	A
SHEARSON DAILY TAX FREE FUND	DIVIDEND	A
BOLT BERANEK & NEWMAN	"	A
CBS, INC	"	A

CONTINUED ON ATTACHED SHEET

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO _____ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
AIRCRAFT	D
HOUSE AND LOT, WASHINGTON, DC	C
ASHTON TATE COMMON STOCK	B
BOLT BERANEK AND NEWMAN COMMON STOCK	A
CBS INC COMMON STOCK	C
WALT DISNEY COMPANY COMMON STOCK	B
INSITUFORM EAST COMMON STOCK 2	A
INTERNATIONAL BANK NOTE COMMON STOCK	A
" " WARRANTS	B

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION			DATE	CATEGORY
Ashland Oil	Common Stock	Sold	11-13-86	B
[illegible] Dearborn - Newman	″ ″	″	3-19-86	A
CBS Inc.	″ ″	″	7-8-86	C
IBM	″ ″	″	4-24-86	B
″	″ ″	″	6-9-86	C
Rockefeller Center Prop	″ ″	″	4-29-86	B
Storage Technology	″ ″	″	10-8-86	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
NBC Commerce Bank	E
First Commercial Bank	C
American Security Bank	D
Wright Patman Credit Union	B
Shearson Lehman Bros.	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
[illegible]	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
[illegible]	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government, and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
[illegible]		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	[illegible] 87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

Page ___ of ___

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Bob Carr

Continuation Sheet

Item	Source, Type, Amount, Category, Description or Value (As Applicable)		
II. A.	Aircraft Internat'l Inc. ([illegible]/86)	Honorarium	1,000.
II. A.	AMS (2/25/86)	Honorarium	1,000.
II. A.	UAW (3/7/86)	Honorarium	500.
II. A.	AFIA (3/11/86)	Honorarium	1,000.
II. A.	Michigan Electric Cooperative (4/1/86)	Honorarium	750.
II. A.	Akin Gump (4/7/86)	Honorarium	2,000.
II. A.	Emerson Electric (4/25/86)	Honorarium	1,000.
II. A.	Nantec (4/28/86)	Honorarium	1,000.
II. A.	Ralph Parsons Co. (4/29/86)	Honorarium	1,000.
II. A.	Consumers Power Co. (5/16/86)	Honorarium	1,000.
II. A.	MSU - College of Agriculture (6/7/86)	Honorarium	500.
II. A.	Michigan Roadbuilders (7/8/86)	Honorarium	2,000.
II. A.	Boeing (8/20/86)	Honorarium	2,000.
II. A.	Natl Treasury Emp Union (9/3/86)	Honorarium	750.
II. A.	Beech Aircraft (9/15/86)	Honorarium	2,000.
II B	WALT DISNEY COMPANY	DIVIDEND	A
II B	INTL BUSINESS MACHINES	"	"
II B	MERRILL LYNCH & CO	"	"
II B.	NWA INC	"	"
II B.	PAINE WEBBER GROUP INC	"	"
II B.	ROCKEFELLER CENTER PROPERTIES	"	"
II B	TAFT BROADCASTING CO.	"	"
II B	TIME INC	"	"
III	IBM COMMON STOCK		C
III	MALRITE COMMUNICATIONS COMMON STOCK		D
III	MERRILL LYNCH " "		A
III	NWA INC " "		A
III	PAN AM " "		B
III	PARK COMMUNICATIONS " "		B
III	ROCKEFELLER CENTER PROPERTIES " "		B
III	[illegible] TECHNOLOGY " "		C
III	TAFT BROADCASTING " "		C
III	TIME INC " "		B
III	PAINE WEBBER GROUP INC. " "		B
VII.	AMS	Travel to Honorarium	
VII.	UAW	Travel to Honorarium	
VII.	Emerson Electric	Travel to Honorarium	
VII.	Consumers Power Co.	Travel to Honorarium	
VII.	Boeing	Travel to Honorarium	
VII.	Beech Aircraft	Travel to Honorarium	

UNITED STATES HOUSE OF REPRESENTATIVES

INSIDE MAIL

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A For use by Members, officers, and employees

Bob Carr
(Full Name)
2439 Rayburn HOB
(Mailing Address)
Washington, D.C. 20515

mc
'87 JUN -1 [illegible] 38
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 6th State Michigan

Officer or Employee—Employing Office

☒ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA X

For more information, see detailed Instruction Booklet at page 7

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See attached sheet			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See attached sheet		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ___ NO X NA ___

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See attached sheet	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION		DATE	CATEGORY
Ashton-Tate	Common Stock	Sold 11/18/86	B
Bolt Beranek & Newman	Common Stock	Sold 8/19/86	A
CBS Inc	Common Stock	Sold 7/2/86	C
IBM	Common Stock	Sold 4/24/86	B
IBM	Common Stock	Sold 6/9/86	C
Rockfeller Center Prop.	Common Stock	Sold 4/29/86	B
Segate Technology	Common Stock	Sold 10/8/86	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
NBD Commerce Bank	E
First Commercial Bank	C
American Security Bank	D
Wright Patman Credit Union	B
Shearson Lehman Bros.	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
See attached sheet	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 14.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government, and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 14.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5/29/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

Page ____ of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Bob Carr
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II. A.	Airlift Internat'l Inc. (2/15/86)	Honorarium	$1,000.
II. A.	KMS (2/25/86)	Honorarium	1,000.
II. A.	UAW (3/7/86)	Honorarium	500.
II. A.	APTA (3/11/86)	Honorarium	1,000.
II. A.	Michigan Electric Cooperative (4/1/86)	Honorarium	750.
II. A.	Akin Gump (4/7/86)	Honorarium	2,000.
II. A.	Emerson Electric (4/28/86)	Honorarium	1,000.
II. A.	Rantec (4/28/86)	Honorarium	1,000.
II. A.	Ralph Parsons Co. (4/26/86)	Honorarium	1,000.
II. A.	Consumers Power Co. (5/16/86)	Honorarium	1,000.
II. A.	MSU - College of Agriculture (6/7/86)	Honorarium	500.
II. A.	Michigan Roadbuilders (7/8/86)	Honorarium	2,000.
II. A.	Boeing (8/20/86)	Honorarium	2,000.
II. A.	Natl Treasury Emp Union (9/5/86)	Honorarium	750.
II. A.	Beech Aircraft (9/15/86)	Honorarium	2,000.
II. B.	Prudential Life	Dividend	A
II. B.	Kristen Solberg	Rent	C
II. B.	Shearson Muni Fund	Dividend	A
II. B.	Shearson Daily Tax Free Fund	Dividend	A
II. B.	Bolt Beranek & Newman	Dividend	A
II. B.	CBS, Inc.	Dividend	A
II. B.	Walt Disney Company	Dividend	A
II. B.	Intl Business Machines	Dividend	A
II. B.	Merrill Lynch Co.	Dividend	A
II. B.	NWA Inc.	Dividend	A
II. B.	Paine Webber Group Inc.	Dividend	A
II. B.	Rockefeller Center Properties	Dividend	A
II. B.	Taft Broadcasting Co.	Dividend	A
II. B.	Time Inc.	Dividend	A
III.	Aircraft		D
III.	House and Lot, Washington, D.C.		C
III.	Ashton Tate	Common Stock	B
III.	Bolt Beranek & Newman	Common Stock	A
III.	CBS Inc.	Common Stock	C
III.	Walt Disney Company	Common Stock	B
III.	InsituForm East	Common Stock	A
III.	International Bank Note	Common Stock	A
III.	International Bank Note	Warrants	B
III.	IBM	Common Stock	C
III.	Malrite Communications	Common Stock	B
III.	Merrill Lynch	Common Stock	A
III.	NWA Inc.	Common Stock	A
III.	Pan Am	Common Stock	B
III.	Park Communications	Common Stock	B
III.	Rockfeller Center Properities	Common Stock	B
III.	Seagate Technology	Common Stock	C
III.	Taft Broadcasting	Common Stock	C
III.	Time Inc.	Common Stock	B
III.	Paine Webber Group Inc.	Common Stock	B

[illegible]

Page ____ of ____

ETHICS IN GOVERNMENT ACT--FINANCIAL DISCLOSURE STATEMENT

Bob Carr
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
VII.	KMS	Travel to Honorarium
VII.	UAW	Travel to Honorarium
VII.	Emerson Electric	Travel to Honorarium
VII.	Consumers Power Co.	Travel to Honorarium
VII.	Boeing	Travel to Honorarium
VII.	Beech Aircraft	Travel to Honorarium

GPO: 1[illegible] 61–[illegible] (m)

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HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Rodney Dennis Chandler
(Full Name)

223 Cannon Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 8 State Washington

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 7

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
Illinois State Bar Assn.	Rec'd 1/14/86	Honorarium	$1500.	
Milliman & Roberts	Rec'd 2/3/86	Honorarium	$2000.	
Capital Forum	Rec'd 2/6/86	Honorarium	$ 500.	
Health & Life Organization	Rec'd 3/24/86	Honorarium	$ 500.	
Assn. of Private Pensions & Welfare	Rec'd 5/9/86	Honorarium	$ 500.	
(see attached)				

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Personal Home Rental in Redmond, Washington	Rent	D
Congressional Federal Credit Union	Interest	A
Forum Group, Stock	Dividend	A
Washington Mutual Savings Account son John	Interest	A
Washington Mutual Savings Account Daughter Amy	Interest	A
M.L. Fund for Tomorrow, Stock	Dividends	A
(see attached)		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO ____ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Plaza Hotel, Seattle, Washington; Limited Partnership	C
Juanita Office Associates, Kirkland, Washington; Limited Partnership	C
Townhouse, Arlington, Virginia	E
House, Redmond, Washington	E
Puget Sound Power and Light; Stock	B
Townhouse in Bellevue, Washington	E

7 0 7 3 2 1 2 1 3 7

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
House in Redmond, Washington; sold	9-86	E
Puget Sound Power and Light, stock; sold	9-86	B
Clark Equipment, stock; sold	10-86	A
Forum Group, Inc., stock; sold	10-86	A
M.L. Fund for Tomorrow, stock; sold	10-86	A
(see attached)		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
Wright Patman Federal Congressional Credit Union; Washington, D.C	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
N/A	

B. The source, a brief description, and value *of all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
N/A		

[illegible] 2 1 3 1

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Illinois State Bar Assn.	Round-trip Airfare DC to Chicago
(Speech, Member only)	
Milliman & Roberts	Round-trip Airfare DC to Seattle
(Speech, Member only)	Accomodations, transportation
(see attached)	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Receptionist	Temps and Company

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
N/A		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5-12-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Rodney Dennis Chandler
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
IIA	American Electronic Assn.	Rec'd 7/2/86 Honorarium $ 500.
IIA	American Bankers Assn	Rec'd 7/10/86 Honorarium $2000.
IIA	Labor Policy Assn.	Rec'd 9/11/86 Honorarium $1000.
IIA	Southern Pension Conference	Rec'd 11/22/86 Honorarium $ 750.
IIB	SeaFirst National Bank	Interest A
IV	Townhouse, Bellevue, Washington; Purchase	10-86 E
VII	American Mining Congress (speech, Member Only)	One way airfare; DC to Las Vegas to Seattle; Transportation, Meals Accomodation (Las Vegas)
VII	Southern Pension Conference (speech, Spouse and Member)	One way airfare; Corpus Christi, TX to DC.; Transportation, Accomodation, Meals.
VII	National Employee Benefits Inst. (Speach; Member Only)	One way airfare; Seattle to Corpus Christi, TX; Transportation, Accomodation.

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ND DELIVERED

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Rodney Dennis Chandler
(Full Name)

223 Cannon Building
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 8 State Washington

☐ Officer or Employee—Employing Office ______

☒ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts, such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
Illinois State Bar Assn.	Rec'd 1/14/86	Honorarium	$1500.	
Milliman & Roberts	Rec'd 2/3/86	Honorarium	$2000.	
Capital Forum	Rec'd 2/6/86	Honorarium	$ 500.	
Health & Life Organization	Rec'd 3/24/86	Honorarium	$ 500.	
Assn. of Private Pensions & Welfare	Rec'd 5/9/86	Honorarium	$ 500.	
(see attached)				

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B-$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Personal Home Rental in Redmond, Washington	Rent	D
Congressional Federal Credit Union	Interest	A
Forum Group, Stock	Dividend	A
Washington Mutual Savings Account son John	Interest	A
Washington Mutual Savings Account Daughter Amy	Interest	A
M.L. Fund for Tomorrow, Stock	Dividends	A
(see attached)		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Plaza Hotel, Seattle, Washington; Limited Partnership	C
Juanita Office Associates, Kirkland, Washington; Limited Partnership	C
Townhouse, Arlington, Virginia	E
House, Redmond, Washington	E
Puget Sound Power and Light; Stock	B
Townhouse in Bellevue, Washington	E

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
House in Redmond, Washington; sold	9-86	E
Puget Sound Power and Light, stock; sold	9-86	B
Clark Equipment, stock; sold	10-86	A
Forum Group, Inc., stock; sold	10-86	A
M.L. Fund for Tomorrow, stock; sold	10-86	A

(see attached)

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Wright Patman Federal Congressional Credit Union; Washington, D.C.	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
N/A	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
N/A		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Illinois State Bar Assn. (Speech, Member only)	Round-trip Airfare DC to Chicago
Milliman & Roberts (Speech, Member only) (see attached)	Round-trip Airfare DC to Seattle Accomodations, transportation

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Receptionist	Temps and Company

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
N/A		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Rod Chandler	5-12-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Rodney Dennis Chandler
(Name)

Continuation Sheet

Part		Source, Type, Amount, Category, Description or Value (As Applicable)	
IIA		American Electronic Assn.	Rec'd 7/2/86 Honorarium $ 500.
IIA		American Bankers Assn	Rec'd 7/10/86 Honorarium $2000.
IIA		Labor Policy Assn.	Rec'd 9/11/86 Honorarium $1000.
IIA		Southern Pension Conference	Rec'd 11/22/86 Honorarium $ 750.
IIB	o	SeaFirst National Bank	Interest A
IV	✓	Townhouse, Bellevue, Washington; Purchase	10-86 E
VII		American Mining Congress (speech, Member Only)	One way airfare; DC to Las Vegas to Seattle; Transportation, Meals Accomodation (Las Vegas)
VII		Southern Pension Conference (speech, Spouse and Member)	One way airfare; Corpus Christi, TX to DC.; Transportation, Accomodation, Meals.
VII		National Employee Benefits Inst. (Speech; Member Only)	One way airfare; Seattle to Corpus Christi, TX; Transportation, Accomodation.

GPO : [illegible]

ROD CHANDLER
8TH DISTRICT, WASHINGTON

COMMITTEE
WAYS AND MEANS

223 CANNON BUILDING
WASHINGTON, DC 20515
[illegible]

Congress of the United States
House of Representatives
Washington, DC 20515

DISTRICT OFFICES
[illegible] AVENUE [illegible]
BELLEVUE, WA [illegible]
[illegible]

[illegible] SOUTH 320TH
FEDERAL WAY, WA [illegible]
[illegible]
[illegible]

May 18, 1987

The Clerk, U.S. House of Representatives
Office of Records and Registratation
1036 Longworth House Office Building
Washington, D.C. 20515-6612

✓
MC

Dear Mr. Anderson:

Please note that SECTION 3, HOLDINGS has been amended on my 1986 financial disclosure statement. Originally the box reading, "Do you, your spouse or dependent child receive income from or have a beneficial interest in a trust or other financial arrangement whose holdings were not reported because the trust is a 'qualified blind trust' or other excepted trust" was left unchecked. This box should be marked NO.

Thank you for your attention to this matter.

Sincerely,

ROD CHANDLER
Member of Congress

RC:jab

THIS STATIONERY PRINTED ON PAPER MADE WITH RECYCLED FIBERS

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Jim Chapman
(Full Name)

429 Cannon House Office Bldg.
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 1 State Texas

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Tobacco Institute (8/26/86)	Honorarium	$1,000.	
American Society of Assn. Executives (8/13/86)	"	1,000.	
American Iron & Steel Institute (1/27/86)	"	1,000.	
Northeast TExas Electric Cooperative (4/2/86)	"	2,000.	
National Restaurant Association (5/8/86)	"	1,000.	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Mobil Oil Corp.	Royalty	B
Exxon Corp.	"	B
Texaco	Dividends	A
Sun Oil Company	Royalty	A
Getty Oil Company	"	A
Atlantic Richfield	"	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Trust: Consisting of Cash on Deposit in:	
BancTexas Sulphur Springs, Texas	A
Texas Commercial Savings & Loan, Sulphur Springs, Texas	C
Paris Savings & Loan, Paris, Texas	C
Note Receivable (Trust)	B
Real estate (Unimproved) Como, Texas	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
50 acres farmland - sold in tracts	10,11,12-86	D
Como, Texas		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Idell Chapman, Sulphur Springs, Texas	C
BancTexas Sulphur springs, Texas	C
Joe N. Chapman, Jr., Sulphur Springs, Texas	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
National Restaurant Association (speech)	Round trip airfare-Wash/Chicago-food & lodging
Livingston Kosberg (fact-finding tour)	Airfare/lodging/food Wash/Israel & return

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13

POSITION	NAME OF ORGANIZATION
Director	Chapman, Price, Hughes & Bauer, P.C.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Jim Chapman	May 14, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

Page ____ of ____

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Jim Chapman
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*		
II-B	Amoco	Royalty	A
	Kerr-McGee	"	A
	Office Bldg.-Church St.Sul.Spgs.,TX	Rent	B
	Office Bldg.-College St.Sul.Spgs.,TX	Rent	C
	House - Church St.Sul.Spgs.,TX	Rent	A
	50 acres unimproved- Como, TX	Capital gains	C
	Keocut Hospital Bonds	Interest	C
	Consolidated Capital	Capital gains	B
	Winchester Energy	Distribution	A
	Prudential Bache	Interest	A
III	Trust (Cont.) House-West Oak Circle, Sul.Sprgs.,TX		C
	Royalties - Mobil, et al		A
	Prudential Bache PTNS, et al		A
	Callon Petroleum	Stock	A
	BancTexas	Stock	B
	Texaco	Stock	A
	Texas Energy	Stock	A
	Sunshine Mining	Stock	A
	Keocut Hospital District	Bonds	B
	50 acres farm - Como, TX		C
	Office Bldg. - Church St., Sulphur Springs, TX		C
	Office Bldg. - College St., Sulphur Springs, TX		C
	House - Church St., Sulphur Springs, TX		E
	Mobil	Oil Royalty	B
	Various common stocks(less than $1,000. in value)		A
	Exxon	Oil Royalty	B
	Texaco	Oil Royalty	A
	Kerr-McGee	Oil Royalty	A
	Amoco	Oil Royalty	A
	Sun Oil Company	Oil Royalty	A
	Atlantic Richfield	Oil Royalty	A
	Getty Oil Company	Oil Royalty	A
	Consolidated Capital	Partnership	A
	Chapman, Price, Hughes & Bauer,P.C.	Law Practice	C

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A (For use by Members, Officers and Employees)

William Vernon Chappell, Jr.
(Full Name)

2468 Rayburn House Office Building
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

(Check the appropriate box and fill in the blanks)

☒ Member of the U.S. House of Representatives—District 4th Dist. Florida

☐ Officer or Employee: Employing Office

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
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WHERE TO OBTAIN ASSISTANCE. Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION" **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
Technologies Forum	1/10/86	Honorarium	500.00	
General Electric	1/15/86	" "	2000.00	
Pratt & Whitney	1/24/86	" "	1000.00	
Martin Marietta	2/11/86	" "	2000.00	
AVCO Aerostructures	2/18/86	" "	2000.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
American Capital Enterprise Fund	Interest	A
Vista Bank	Interest	A
Prudential Insurance	Dividend	A
*Mrs. Chappell:		
Flag Federal Credit Union	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY		CATEGORY
American Capital Enterprise Fund	stock	A
Blinder Robinson	stock	C
Jefferson Islands Club	stock	A
Harold Ellzey Plumbing, Inc.	stock	E
United Development Corp. of Ocala, Inc.	stock	D
Farm - Kendrick, FL	real estate	F

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION		DATE	CATEGORY
63rd Avenue House	Purchase	4/28/86	D
Vista Bank Stock (3,544 shares)	Sold	7/23/86	D
House-Kendrick Rental Property(½interest)	Purchase	4/28/86	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
Barnett Bank of Volusia County	B
Mid-State Federal Savings & Loan Association	C
Vista Bank of Marion County	D
Florida Federal Savings & Loan Association	E
Harold Elizey Plumbing Company, Inc.	C
Sun Bank of Volusia County	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
National Baseball League	Season Pass
American Baseball League	Season Pass
Walt Disney World	Silver Pass

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Pratt & Whitney	airfare--honorarium date
Jacksonville Chamber of Commerce	airfare, entertainment, accommodations (FL Cong. Weekend 1/24-26/86
Martin Marietta	airfare--honorarium date
McDonnnell Douglas	airfare--honorarium date

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
President, Chrmn of Bd.	Harold Ellzey Plumbing Company, Inc.
President, Chrmn of Bd.	United Development Corporation of Ocala, Inc.
President	Jefferson Islands Club
Member,Bd. of Directors	Sunshine Boat Lines, Inc.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	n/a	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
William V. Chappell	

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

Page 3 of 3

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

William Venroe Chappell, Jr.
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Valu (As Applicable)*			
II.				
A.	United Tech/Norden Systems	2/18/86	Honorarium	$2000.00
	McDonnell Douglas	2/19/86	" " "	1000.00
	Harris	2/26/86	" " "	2000.00
	Fairchild Industries, Inc.	2/26/86	" " "	2000.00
	TRW	3/26/86	" " "	1000.00
	American University	4/10/86	" " "	400.00
	American Security Council	4/15/86	" " "	1000.00
	King Communications	5/1/86	" " "	1000.00
	Sikorsky	5/7/86	" " "	2000.00
	American University	5/9/86	" " "	400.00
	Sperry Corporation	6/11/86	" " "	2000.00
	Ross Assoc.	9/26/86	" " "	400.00***
	***(Rodheaver Boys Ranch - $200.00 - Charity)			
	Naval Reserve (retirement)	1/86-12/86	Pension	10,896.00
	Mrs. Jeane B. Chappell:			
	State of Florida	1/86-5/86	Salary	
	Air Travel Service, Inc.	9/86-12/86	Salary	
III.				
	63rd Avenue House		Real Estate	D
	Salt Springs House & Land		Real Estate	E
	House- Kendrick Rental Property - is no longer being used as rental property - destroyed by vandals.			

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A For use by Members, officers, and employees

Richard B. Cheney
(Full Name)

Room 104, Cannon House Office Building
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks:

XX Member of the U.S. House of Representatives—District AL State Wyoming

Officer or Employee—Employing Office

Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO XX NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions, the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Spouse, National Endowment for the Humanities	Salary		
Spouse, Washingtonian Magazine	Salary		
Spouse, Newsweek Magazine	Free-Lance Fee		
Spouse, Schecter Communications	Movie Option		
Continued on Attachment			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000

B. SOURCE	TYPE	CATEGORY
Child, Elizabeth	Income from Trust	B
Washington Federal	Interest	B
Congressional Credit Union	Interest	A
CMA Money Fund, Merrill Lynch	Dividend	A
Twentieth Century Fund	Dividend	C
Strong Total Return	Dividend	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES [✓ NO] NA

For more information see detailed Instruction Booklet at page 8

IDENTITY	CATEGORY
House, 242 West 14th, Casper, Wyoming	D
House, 7434 Hallcrest Drive, McLean, Virginia	E
Mutual Fund, Twentieth Century Growth	C
Mutual Fund, Strong Total Return	B
Washington Federal (two accounts)	C
IRA, Self/Spouse, Fidelity Magellan Mutual Fund	B
Continued on Attachment	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children

NOTE: A computer printout may be attached to this form if it contains the information requested

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
Strong Total Return -- sold	12/86	B
Self/Spouse bought Fidelity Magellan	3/86	A
CD, Washington Federal (cashed in -- Childrens' trust)	12/86	B
CD, First Interstate (rollover -- Childrens' trust)	11/86	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
Mortgage, 7434 Hallcrest Drive, McLean, Virginia, No. Va. Savings & Loan	D
Mortgage, 242 West 14th, Casper, Wyoming	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation"

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
1/13-15/86 Colorado College	Airfare, Speeches to Students
1/1[illegible]-18/86 University of California	Airfare, lodging, meals; participated in "25 Years of Presidency" conf.
2/13-15/86 Sit Investment	Airfare, lodging, meals; speeches at conference
Continued on Attachment	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Dick Cheney	5/18/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

GOVERNMENT PRINTING OFFICE [illegible]

RICHARD B. CHENEY
Room 104, Cannon HOB
Washington, D.C. 20515

II. INCOME

A.	Source	Type	Amount
1/3/86	Mellon Bank	Honoraria	$2,000
1/4/86	Bradley, Woods and Company	Honoraria	$1,000
1/13/86	Washington Campus	Honoraria	$ 500
1/14-15/86	Colorado College	Honoraria	$1,000
1/16-18/86	University of California	Honoraria	$1,000
1/29/86	FEIAA/Alan Freed & Associates	Honoraria	$ 250
2/5/86	Public Affairs Council	Honoraria	$1,000
2/6/86	FEIAA/Alan Freed & Associates	Honoraria	$ 500
2/7/86	Bradley, Woods and Company	Honoraria	$1,000
2/13-15/86	Sit Investment	Honoraria	$2,000
2/24/86	Bradley, Woods and Company	Honoraria	$1,000
2/25/86	Washington Campus	Honoraria	$ 500
2/27/86	Paine Webber	Honoraria	$2,000
2/27/86	FEIAA/Alan Freed & Associates	Honoraria	$ 250
3/1/86	Johnston-Lemon	Honoraria	$2,000
3/3/86	Three D Club	Honoraria	$1,000
3/5/86	Paine Webber	Honoraria	$2,000
3/24/86	Congressional Quarterly	Honoraria	$ 300
3/24/86	Adhesive & Sealant Association	Honoraria	$2,000
3/28/86	Brookings Institute	Honoraria	$1,000
4/10/86	Delaware Management Company	Honoraria	$1,500
4/15/86	Alliance Capital Management Corp.	Honoraria	$2,000
4/15/86	Associated General Contractors	Honoraria	$2,000
4/17/86	Washington Campus	Honoraria	$ 500
4/22/86	Washington Campus	Honoraria	$ 500
5/12/86	Owens-Corning Fiberglas Corp.	Honoraria	$1,000
5/14/86	Paine Webber	Honoraria	$2,000
5/20/86	Washington Breakfast Seminar	Honoraria	$1,000
5/20/86	Washington Campus	Honoraria	$ 500
5/21/86	Paine Webber	Honoraria	$2,000
5/22/86	Autranet Symposium	Honoraria	$ 500
6/3/86	Bradley, Woods and Company	Honoraria	$1,000
6/4/86	Washington Campus	Honoraria	$ 500
6/4/86	Aiken, Gump, Strauss	Honoraria	$2,000

6/16/86	Washington Campus	Honoraria	$ 500
6/17/86	Bell Atlantic	Honoraria	$2,000
6/20-22/86	Vail Valley Foundation	Honoraria	$ 750
6/23/86	Advest, Inc.	Honoraria	$1,500
6/24/86	Washington Campus	Honoraria	$ 500
6/26/86	Washington Campus	Honoraria	$1,000
6/27/86	Bradley, Woods and Company	Honoraria	$1,000
7/18/86	IBM	Honoraria	$2,000
8/14/86	Am. Council for Cap. Formation	Honoraria	$2,000
9/10/86	Washington Campus	Honoraria	$ 500
9/15/86	Coalition Against Regressive Tax.	Honoraria	$2,000
9/22/86	Washington Campus	Honoraria	$ 500
9/22/86	Paine Webber	Honoraria	$2,000
9/23/86	Lockheed	Honoraria	$ 500
10/6/86	Washington Campus Speech	Honoraria	$ 500
10/7/86	Lou Harris Group/Razors Edge	Honoraria	$1,000
10/23/86	Rocky Mountain Energy	Honoraria	$2,000
12/2/86	American Mining Congress	Honoraria	$ 500
12/6/86	Brookings Institute	Honoraria	$ 300
12/11/86	Paine Webber	Honoraria	$2,000
12/11/86	Army Finance	Free-Lance Fee	$ 150

Donated the following:

Shepherd of the Hills Presbyterian Church, Casper, Wy.	$ 38.11
Vietnam Veterans Arts Group, Dubois, Wy.	$ 250.00
Peace Officers Association, Casper	$ 25.00
Central High School, Cheyenne	$1,000.00
Evron M. Kirkpatrick Fund/APSA	$ 50.00
Buffalo Bill Historical Society, Cody	$ 550.00
Casper College Foundation	$1,000.00
Betty Ford Center	$3,000.00
Combined Federal Campaign	$ 500.00
The Hospital Chaplaincy, Inc.	$ 500.00
Blue Envelope Health Fund, Casper	$2,000.00
Dance Wyoming, Cheyenne	$ 100.00
Cathedral Home for Children, Laramie	$ 500.00
Laramie County Girl Scouts	$ 100.00
Wyoming Girl Scout Council	$ 500.00
Salvation Army Capital Campaign Fund	$ 500.00
Jeff Kern Fund, Cheyenne	$ 250.00
Needs, Inc, Cheyenne	$ 250.00
Wyoming Congressional Award Council	$ 500.00
University of Wyoming Foundation	$1,000.00
University of Wyoming Centennial Campaign	$1,000.00
Child Development Services of Fremont County	$ 250.00
Make-a-Wish Foundation of Wyoming	$1,000.00
St. Joseph's Children's Home, Torrington	$ 250.00
Crime Stoppers of Central Wyoming	$ 250.00
Wyoming Heritage Society	$1,000.00

Wyngaard Scholarship Fund	$ 250.00
Diocese of Marsabit	$1,000.00
Symphony and Choral Society of Cheyenne	$ 500.00
Casper Symphony Orchestra	$ 500.00
Ft. Phil Kearny/Bozeman Trail Association	$ 250.00
Yellowstone Fishery Fund	$ 200.00
Cowboy Joe Club, Laramie	$ 250.00
Yale Alumni Fund	$ 250.00
Central Wyoming College	$ 500.00
Wyoming Society for Crippled Children and Adults	$ 250.00
Donald R. Fortier Children's Fund	$ 500.00
Museum of the Mountain Men, Pinedale	$ 100.00
American Heart Association of Wyoming	$1,000.00
Holy Trinity Greek Orthodox Church of Rock Springs	$ 500.00
Wyoming Hispanic Coalition	$ 500.00
Memorial Hospital of Sweetwater County	$ 250.00
YWCA of Sweetwater County	$ 250.00
United Way of Sweetwater County	$ 500.00
Stage III Community Theatre, Casper	$ 100.00
Margaret Demorest Lecture Series, Casper	$ 200.00
Citizens for Multiple Use, Dubois	$ 50.00
Northwest Community College, Powell	$ 500.00
Meals on Wheels, Inc, Casper	$ 500.00
Boys Club of Wyoming	$ 250.00
United Way, Casper	$1,000.00
Jackson Hole Land Trust	$ 500.00
Nicolaysen Art Museum	$ 250.00
McLean High School	$ 500.00
Gerald R. Ford Library	$1,000.00
Youth Crisis Center, Casper	$ 250.00
American Red Cross, Laramie County Chapter	$ 500.00
Multiple Sclerosis Society, Wyoming Chapter	$ 250.00
Service League of Casper	$ 250.00
Muscular Dystrophy, Casper	$ 500.00
Institute on Religion and Democracy	$ 500.00
APSA/William A. Steiger Fund	$2,000.00
Casper Troopers	$1,000.00
Colorado College	$1,000.00
First United Methodist Church, Casper	$1,500.00
Stride Learning Center, Cheyenne	$1,000.00
Laramie County Library	$ 500.00
Teton County Library	$ 500.00
Fremont County Library	$ 500.00
Campbell County Library	$ 500.00
Saratoga Branch Library	$ 500.00

RICHARD B. CHENEY
Room 104 Cannon HOB
Washington, D.C. 20515

II. INCOME

B.

Source	Type	Category
Mort Bunce	Rent	C
Connie Lazarus	Rent	D
Spouse IRA, Citicorp	Interest	A
Self IRA, Citicorp	Interest	A
Spouse IRA, Fidelity Magellan	Dividend and Capital Gain	A
Self IRA, Fidelity Magellan	Dividend and Capital Gain	A

RICHARD B. CHENEY
Room 104, Cannon HOB
Washington, D.C. 20515

III. HOLDINGS

Identity	Category
Keogh, Spouse, TIGR Bond	B
Keogh, Spouse, Mid-South Utilities	A
Keogh, Spouse, First Interstate	A
IRA, Self/Spouse, CD's, Citicorp	C
Trust, Children:	
CD's, Washington Federal	C
CD/Market Interest Account, First Interstate	B
New York Life Insurance Policy, Cash Value	B
Congressional Credit Union	D
CMA Fund (Checking Account)	B

RICHARD B. CHENEY
Room 104, CHOB
Washington, D.C. 20515

VII. REIMBURSEMENTS

Source		Description
2/23-24/86	Bradley, Woods and Company	Airfare, lodging, meals, taxis; speeches in Houston
3/26-31/86	Brookings Institute	Airfare, lodging, meals; Conference in Seoul
4/2-6/86	Aspen Institute	Airfare, lodging, meals; Conference in Bermuda
6/2-3/86	Bradley, Woods and Company	Airfare,lodging, meals, taxis; speeches in New York
6/20/86	American Enterprise Institute	Airfare,lodging, meals; World Forum
7/21/86	Milliken and Company	RT Airfare to Greenville, South Carolina, to visit textile plants
10/21/86	BATUS	Airfare, Speech in Louisville
11/13/86	Bradley, Woods and Company	Airfare,lodging, meals; speeches in New York

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A For use by Members, officers, and employees

James McClure Clarke
Full Name
[illegible] Farm
[illegible], Box [illegible]
Mailing Address

Fairview, North Carolina [illegible]

MC
Office Use Only

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 11 State N.C.

☐ Officer or Employee—Employing Office

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO ✓ NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION." **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Social Security Benefits - James McClure Clarke	pension	$10,117	
Social Security Benefits - Elspeth McClure Clarke	pension	3,0[illegible]	
Board of Pensions of the Presbyterian Church	pension	[illegible],801	
IRA withdrawals (1st Union National Bank of N.C.)	pension	1,000	
Farm in Buncombe County, N.C.	farming	(loss)	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
(See Supplemental Page A, Attached)		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from, (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO ✓ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
(See Supplemental Page B, Attached)	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See Supplemental Page [illegible], Mitchell		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Federal Intermediate Credit Bank; PO Box 1100, Columbia, S.C. (farming loan)	A
First Federal Savings; Hendersonville, NC (Mortgage loan for residence	
at 611 G Street, which was used as a rental property during 1986)	I
First Federal Savings & Loan; Front Royal, VA (Mortgage loan for residence	
at 611 G Street, which was used as a rental property during 1986)	I
North Carolina National Bank; Charlotte, NC (personal note)	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of gifts of transportation, lodging, food, or entertainment aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of all other gifts aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Secretary	James G.K. McClure Educational & Development Fund; Asheville,
Trustee	James G.K. McClure Educational & Development Fund; Asheville,
Trustee	Warren Wilson College, Swannanoa, NC

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
McClure Clarke	May 11, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

Page 1 of ____

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

James McClure Clarke
(Name)

Continuation Sheet

Part

Source, Type, Amount, Category, Description or Value (As Applicable)

Section II (Income - Unearned)

SOURCE OF UNEARNED INCOME	TYPE	AMOUNT
Rental House in Fairview, NC ("Bend House"); gross rentals	Rental	B
Rental House in Fairview, NC ("Field House"); gross rentals	Rental	A
Rental House in Jackson County, NC ("Bolt House"); gross rentals	Rental	A
Rental House at [illegible] P St., Washington, DC; gross rentals	Rental	D
Bank of New York; New York, NY; Trust No.13-6080795	Dividends	A
Bank of New York; New York, NY; Trust No.13-6080795	Interest	B
American Home Products Corp.	Dividends	A
First Union Real Estate Equity & Mortgage Investments	Dividends	A
International Business Machine Corp.	Dividends	A
Citicorp	Dividends	A
Dart and Kraft, Inc.	Dividends	A
Dow Jones & Co., Inc.	Dividends	A
Eastman Kodak Co.	Dividends	B
First Lake Forest Corporation	Dividends	B
General Electric Co.	Dividends	A
General Motors Corp.	Dividends	B
Household International Inc.	Dividends	A
Pacific Gas & Electric	Dividends	A
Quaker Oats Co.	Dividends	B
RJR Nabisco, Inc.	Dividends	A
Sherwin Williams Co.	Dividends	A
U.S. Treasury Notes	Interest	D
Federal National Mortgage Association Bonds	Interest	D
Honeywell Finance, Inc.	Interest	A
Dreyfus Cash Management Fund	Interest	C
North Carolina National Bank Money Market Fund	Interest	C
Washington Gas Lite Company Bonds	Interest	A
General Motors Acceptance Corporation	Interest	A
Payments from Hunting/Fishing Club for privilege of hunting/fishing on real estate holdings	Other	B

GPO 1986 61-688 (m)

Page B of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

James McClure Clarke
(Name)

Continuation Sheet

Part

Source, Type, Amount, Category, Description or Value (As Applicable)

Section III _ Holdings

Identity	Category
"Dumont Clarke, Jr. & Others" Trust (Trust ID No. 13-6080795); c/o The Bank of New York; 48 Wall St., New York, N.Y. 10015	C
General Electric Co. Common Stock	C
Sherwin Williams Co. Common Stock	A
American Home Products Corp. Common Stock	C
Citicorp Common Stock	B
Dow Jones & Co., Inc. Common Stock	B
Eastman Kodak Co. Common Stock	D
General Motors Corp. Common Stock	C
General Motors Corp. Class E Stock	A
General Motors Corp. Class H Stock	A
Household International Inc. Common Stock	C
Inland Steel Industries Inc. Common Stock	A
International Business Machines Corp. Common Stock	C
Kraft, Inc. Common Stock	B
Pacific Gas & Electric Co. Common Stock	B
Premark International Inc. Common Stock	A
Quaker Oats Co. Common Stock	E
RJR Nabisco, Inc. Common Stock	C
First Union Real Estate Equity & Mortgage Investment Units	B
North Carolina National Bank Money Market Fund	E
U.S. Treasury Notes	D
General Motors Acceptance Corp. Bonds	B
640 Acres of Farmland in Buncombe County, N.C.	F
210 Acres of Farmland in Henderson County, N.C.	F
280 Acres of Undeveloped Mountain Land in Jackson County, NC	F
8 Rental Houses on Farmland in Buncombe County, NC	E
1 Vacation Cabin on Land in Jackson County, N.C.	C
House at 614 D Street, Washington, D. C.	E

Page ____ of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

James McClure Clarke
(Name)

Continuation Sheet

Part — *Source, Type, Amount, Category, Description or Value (As Applicable)*

Section IV – Transactions (Supplemental Data)

Brief Description	Date	Category
Sale of cows & calves – reported as supplemental gains	1986	C
Sold Standing Timber from Real Estate Holdings to Gilkey Lumber Company of Forest City, NC at various dates during 1986	1986	C
Sold 1405 shares of First Lake Forest Corporation Common Stock	12/31/86	D
Sold (Redeemed at Maturity) 75 Federal National Mortgage Association Bonds	7/10/86	D
Sold (Redeemed at Maturity) 20 Honeywell Finance Co. Inc. Bonds	3/15/86	C
Sold 5 Washington Gas Lite Company Bonds	5/30/86	B
Sold 679 Shares of Dreyfus Cash Management Fund at various dates during 1986	1986	D
Purchased 1,612 shares in North Carolina National Bank Money Market Account at Various Dates during 1986.	1986	E

HAND DELIVERED

JAMES McCLURE CLARKE
11TH DISTRICT, NORTH CAROLINA

COMMITTEE ON INTERIOR AND INSULAR AFFAIRS

COMMITTEE ON FOREIGN AFFAIRS

SELECT COMMITTEE ON AGING

Congress of the United States
House of Representatives
Washington, DC 20515

217 CANNON HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-6401

ONE NORTH PACK SQUARE
SUITE 434
ASHEVILLE, NC 28801
(704) 254-1747

301 WEST MAIN STREET
SPINDALE, NC 28160
(704) 286-4890

319 WEST MAIN STREET
SYLVA, NC 28779
(704) 586-6831

June 4, 1987

MC ✓

The Honorable Julian C. Dixon
Chairman
Committee on Standards of Official Conduct
Suite HT-2, U. S. Capitol
Washington, D. C. 20515

Dear Mr. Dixon:

Enclosed is a clarification of the deficiencies listed by the Committee on my Financial Disclosure Statement.

Also, revised copies of Section III Holdings and Section IV Transactions (Supplemental Data).

With best wishes.

Sincerely,

James McClure Clarke

James McClure Clarke

JMC:ao

Enclosure

FINANCIAL DISCLOSURE CHECKLIST--FORM A

FILER: HONORABLE JAMES McCLURE CLARKE

PART III. HOLDINGS

Committee Comment:

1. "Danny (sic) (Dairy) Farm and Orebard (sic) (Orchard),Fairview, N.E. (sic) (N.C.)".
   - A. This holding was reported in 1985.
   - B. For 1986, this holding was reported as the following line items:
     - 1) 640 acres of farmland in Buncombe County, N.C.
     - 2) 210 acres of farmland in Henderson County, N.C.
   - C. Note that the Dairy Farm and Orchard in Fairview, N.C. are, in fact, comprised of the 640 acres of farmland in Buncombe County, N.C. and the 210 acres of farmland in Henderson County, N.C.

Committee Comment:

2. "2/3 interest in 450 acres of timberland, Jackson County, N.C."
   - A. On the 1985 statement, this holding should have been described as "2/3 of a 3/10 interest in 450 acres of timberland, Jackson County, N.C."
   - B. During 1986, this holding was given by the Congressman to 6 of his 7 non-dependent children.
   - C. This gift was inadvertently omitted from the original statement. An amended Section IV - Transactions has been attached to include this transaction.

Committee Comment:

3. "7/16 interest in 640 acres of timberland in Jackson County, N.C."
   - A. This holding was listed on the 1985 statement.
   - B. This holding was incorrectly reported on the original version of the 1986 statement as "280 acres of undeveloped mountain land in Jackson County, N.C."
   - C. This holding is correctly reported on the attached amended version of Section III - Holdings as "240 acres of undeveloped mountain land in Jackson County, N.C."
   - D. During 1986, Congressman Clarke made a gift of 1/16 of the original 7/16 of the 640-acre tract to one of his non-dependent children and one of his non-dependent daughters-in-law. Thus, Congressman Clarke's holdings were reduced from 7/16 of 640 acres (280 acres) to 6/16 of 640 acres (240 acres).
   - E. The attached amended Section IV - Transactions includes the gift of 1/16 of the 640 acres to the son and the daughter-in-law.

Committee Comment:

4. "Separately identify 8 rental houses".
   - A. The original Section III - Holdings listed 8 rental houses on farmland in Buncombe County, N.C. This has been corrected to 7 rental houses on the attached amended Section III - Holdings.
   - B. Note that, of the 7 Buncombe County, N.C. rental houses, only 2 were actually rented during 1986. Thus, only 2 of the 7 Buncombe County, N.C. rental houses are listed in Section II, Income - Unearned.
   - C. The 7 rental houses are identified, as follows:
     1. Rental house on 640 acres of farmland in Fairview, N.C.; ("Road House"); 2-story wooden frame house built approximately 1920, containing approximately 1,240 square feet, and 1 bathroom.
     2. Rental house on 640 acres of farmland in Fairview, N.C.; ("Field House"); 1-story wooden frame house, built approximately 1920, containing approximately 800 square feet, and 1 bathroom.
     3. Rental house on 640 acres of farmland in Fairview, N.C.; ("Abell House"); 1½-story wooden frame house, built approximately 1948, containing approximately 2,000 square feet, and 2 bathrooms.
     4. Rental house on 640 acres of farmland in Fairview, N.C.; ("N. Settles House"); 1-story wooden frame house built approximately 1875, containing approximately 700 square feet, and 1 bathroom.
     5. Rental house on 640 acres of farmland in Fairview, N.C.; ("Studio House"); 1-story wooden frame house, built approximately 1920, containing approximately 600 square feet, and 1 bathroom.
     6. Rental house on 640 acres of farmland in Fairview, N.C.; ("C.L. Settles House"); 2-story wooden frame house built approximately 1920, containing approximately 1,200 square feet, and 1 bathroom.
     7. Rental house on 640 acres of farmland in Fairview, N.C.; ("L. Wright House"); 1-story wooden frame house built approximately 1920, containing approximately 900 square feet, and 1 bathroom.

[illegible]

PART IV TRANSACTIONS

Committee Comment:

1. "Entries new in 1986 shown on Page B (except N.C. National Bank, vacation cabin, and house in D.C.), not in transactions. All sales transactions were not listed in Candidate Financial Disclosure Statement (Form B) in 1986"

2. The information that is listed on Page B is correct, with regard to the holdings of the Candidate at the end of 1986.

3. A partial share of the "Dumont Clarke, Jr. and others" trust (Trust ID Number 13-6080795) was owned by the Candidate during 1985, but was erroneously omitted from the Candidate's 1985 Statement of Holdings.

4. The other common stocks, bonds, and treasury notes that are listed by the Candidate as holdings for 1986 were, in fact, owned by the Candidate during 1985 and prior years.

5. All of these common stocks, bonds, and treasury notes have been held by the North Carolina National Bank in a custodial account for the Congressman for a number of years.
6. Thus, when the Congressman's 1985 Disclosure Statement was filed, these holdings were listed under the single, combined heading of North Carolina National Bank custodial account.
7. The 1986 statement simply details all of the underlying elements that comprise the Congressman's custodial accounts that are held by the North Carolina National Bank.

PART IV TRANSACTIONS

Committee Comments:

1. "All sales transactions were not listed in Candidate Financial Disclosure Statement (Form B) in 1986".
   A. Sale of cows and calves - these cows and calves were part of the Congressman's Dairy Farm holdings that were reported in 1985. While the actual individual numbers and names of all cows and calves were not listed on the 1985 form, they were included under the general category of dairy farm.
   B. Sold standing timber - although the Congressman did not report standing timber as a separate holdings line item in 1985, the standing timber was, in effect, reported in 1985 since this was a sale of trees that were located on the dairy farm/apple orchard that was reported in the 1985 Statement.
   C. Sold 1,405 shares of First Lake Forest Corporation common stock - this common stock was reported by the Congressman on his 1985 Statement in the general category of his "North Carolina National Bank" reporting of his custodial account with that institution.
   D. Sold 75 Federal National Mortgage Association Bonds, sold 20 Honeywell Finance, Inc. Bonds, sold 5 Washington Gas Lite Company Bonds, and sold 679 shares of Dreyfus Cash Management Fund: All of these holdings were reported by the Congressman on his 1985 Statement in the general category of his "North Carolina National Bank" reporting of his custodial account with that institution.
   E. As noted above, the gift transactions (to the Congressman's children) have been added to the amended Section IV - "Transactions".

HAND DELIVERED

Page 2 of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

James McClure Clarke
(Name)

revised 5/28/87

JMC ✓

Continuation Sheet

Part

Source, Type, Amount, Category, Description or Value (As Applicable)

Section III - Holdings

Identity	Category
"Dumont Clarke, Jr. & Others" Trust (Trust ID No. 13-6080795; c/o The Bank of New York; 48 Wall St., New York, N.Y. 10015	C
General Electric Common Stock	C
Sherwin Williams Co. Common Stock	A
American Home Products Corp. Common Stock	C
Citicorp Common Stock	B
Dow Jones & Co., Inc. Common Stock	B
Eastman Kodak Co. Common Stock	B
General Motors Corp. Common Stock	C
General Motors Corp. Class E Stock	A
General Motors Corp. Class H Stock	A
Household International Inc. Common Stock	C
Inland Steel Industries Inc. Common Stock	A
International Business Machines Corp. Common Stock	C
Kraft, Inc. Common Stock	B
Pacific Gas & Electric Co. Common Stock	B
Premark International Inc. Common Stock	A
Quaker Oats Co. Common Stock	[illegible]
RJR Nabisco, Inc. Common Stock	C
First Union Real Estate Equity & Mortgage Investment Units	B
North Carolina National Bank Money Market Fund	[illegible]
U.S. Treasury Notes	D
General Motors Acceptance Corp. Bonds	B
[illegible] acres of Farmland in Buncombe County, N.C.	[illegible]
[illegible] acres of Farmland in Henderson County, N.C.	[illegible]
[illegible] acres of Undeveloped Mountain Land in Jackson County, NC	[illegible]
[illegible] rental houses on farmland in Buncombe County, NC	[illegible]
Vacation cabin on land in Jackson County, N.C.	[illegible]
House at [illegible] Street, Washington, D.C.	[illegible]

GPO 1986 [illegible]

[illegible] 8 7 3 4 1 2 2 0 5 3 1

Page C of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

James McClure Clarke (Name) *revised 5/28/87*

Continuation Sheet

Part — *Source, Type, Amount, Category, Description or Value (As Applicable)*

Section IV - Transaction (Supplemental Data)

Brief Description	Date	Category
Sale of cows & calves - reported as supplemental gains	1986	C
Sold Standing Timber from Real Estate Holdings to Gilkey Lumber Company of Forest City, NC at various dates during 1986	1986	C
Sold 1405 shares of First Lake Forest Corporation Common Stock	12/31/86	D
Sold (redeemed at maturity) 75 Federal National Mortgage Association Bonds	7/10/86	D
Sold (redeemed at Maturity) 20 Honeywell Finance Co. Inc. Bonds	5/15/86	C
Sold 5 Washington Gas Lite Company Bonds	5/30/86	B
Sold 679 Shares of Dreyfus Cash Management Fund at various dates during 1986	1986	D
Purchased 1,612 shares in North Carolina National Bank Money Market Account at Various Dates during 1986.	1986	E
Gift: Congressman Clarke gave to 6 of his 7 non-dependent children 2/3 of a 3/10 interest in 450 acres of timberland in Jackson County, N.C.	1986	D
Gift: Congressman Clarke gave to one of his non-dependent children and to one of his non-dependent daughters-in-law 1/16 of a 7/16 interest in 640 acres of timberland in Jackson County, N.C.	1986	C

UNITED STATES HOUSE OF REPRESENTATIVES

INSIDE MAIL

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A (For use by Members, officers, and employees)

William L. Clay
(Full Name)

2470 Rayburn House Office Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks

XX Member of the U.S. House of Representatives—District First State Missouri

Officer or Employee—Employing Office ____________

Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure; all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION" **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Speeches (See Attached)	Honorari	$25,200.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
State of N.Y. Mortgage Agency	Bond	A
Certificate of deposit -Sandy Spring Bank	Interest	B
Certificate of deposit - Pulaski Savings	Interest	A
Dormitory Authority, N.Y.	Bond	A
Wright Patman Credit Union	Interest	B
Union Mutual Insc.	Dividend	B
Boatmen Bank - St. Louis Mo. -----	Interest	D

NOTE: For Parts III, IV and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
State of N.Y. Mortgage	A
Domitory Authority, N.Y.	A
Certificate of Deposit - Sandy Spring Bank	B
Certificate of Deposit - Sandy Spring Bank	B
Certificate of Deposit - Sandy Spring Bank	A
Certificate of Deposit - Boatmen's Nat'l Bank	B

(See continuation Sheet)

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
General Bank - (CD) redeemed	11/86	C
General Bank - (CD) redeemed	11/86	C
Sandy Spring Bank (CD) renewed	1/87	B
Sandy Spring Bank (CD) renewed	2/86	B
Wright Patman - purchased	10/86	D
(See Continuation sheet)		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date 4-21-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Congressman William L. Clay
Name

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
IV (pg. 3)	TRANSACTIONS	
	General Bank (CD) redeemed	C
	General Bank (CD) redeemed	C
	General Bank (CD) redeemed	D
	Sandy Spring Bank (CD) redeemed	A
	Sandy Spring Bank (CD) redeemed	A
	Sandy Spring Bank (CD) redeemed	A
III	HOLDINGS	
	Golden Isles, LTD (Stone Gate Townhouses, LTD)	C
	Certificate of Deposit - Pulaski Savings	A
	Certificate of Deposit - Wright Patman C.U.	D
	Certificate of Deposit - Wright Patman C.U.	A
	Certificate of Deposit - Wright Patman C.U.	B

[illegible]

[illegible] 1980

[illegible]

National Association of Letter Carriers	$ 500.00 7/21/80
National Association of Postal Supervisors	2000.00 2/20
International Association of Fire Fighters	1000.00 4/9
[illegible] Corporation of America	1500.00 2/28
[illegible] Industries, Inc.	2000.00 4/18
AFL-CIO Building & Construction Trade	1500.00 4/15
[illegible]	2000.00 5/10
American Postal Workers Union	1000.00 8/15
National Association Letter Carriers	1500.00 7/20
United Association of Pipe Fitters	2000.00 7/28
American Postal Workers Union	2000.00 7/20
American [illegible] Association	2000.00 8/[illegible]
[illegible] Mail Handlers	500.00 4/19
[illegible]	1000.00 5/31
National [illegible] Committee for [illegible]	2000.00 9/17
[illegible]	2000.00 3/15
Sub-total	[illegible]
[illegible]	[illegible]
Total	$21,[illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A For use by Members, officers, and employees

WILLIAM CLAY CLINGER, JR.
(Full Name)

ROOM 1122 LONGWORTH HOUSE OFFICE BUILDING
(Mailing Address)

WASHINGTON, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 23rd State PA

☐ Officer or Employee—Employing Office:

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure; all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide; and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information see detailed Instruction Booklet at page 7

A. SOURCE		TYPE	AMOUNT	DISPOSITION
THE BROOKINGS INSTITUTION	(02/17/86)	HONORARIUM	$500	NONE
THE BROOKINGS INSTITUTION	(03/13/86)	HONORARIUM	$500	NONE
NATIONAL UTILITY CONTRACTORS	(03/24/86)	HONORARIUM	$1,000	NONE
THE BROOKINGS INSTITUTION	(06/05/86)	HONORARIUM	$500	NONE
THE BROOKINGS INSTITUTION	(06/24/86)	HONORARIUM	$500	NONE

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

H = UNEARNED INCOME OF HUSBAND
W = UNEARNED INCOME OF WIFE

B. SOURCE	TYPE	CATEGORY
(H) AMERICAN ELECTRIC POWER	DIVIDEND	A
(H) BELL SOUTH	DIVIDEND	A
(H) CBS INC.	DIVIDEND	A
(H) CHASE MANHATTAN CORP.	DIVIDEND	A
(H) CHRYSLER CORP.	DIVIDEND	A
(H) CITICORP	DIVIDEND	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X ____ NA ____

For more information, see detailed Instruction Booklet at page 8

H = HOLDINGS OF HUSBAND
W = HOLDINGS OF WIFE

IDENTITY	CATEGORY
(H) AMERICAN ELECTRIC POWER	A
(H) AMERICAN TELEPHONE & TELEGRAPH	A
(H) CBS INC.	B
(H) CHASE MANHATTAN CORP.	A
(H) CHRYSLER CORP.	A
(H) CITICORP	B

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
(W) DUPONT - COMMON STOCK SOLD	9/26/87	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to future employment, leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government, and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
William F. Clinger	May 15, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

☆ GOVERNMENT PRINTING OFFICE: 1987 [illegible]

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ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

WILLIAM F. CLINGER, JR.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)				
II. A cont:	SOCIETY OF PROFESSIONAL ENGINEERS	(08/05/86)	HONORARIUM	$2,000	NONE
	OUTDOOR ADVERTISING ASSOC. OF AM.	(09/05/86)	HONORARIUM	$1,000	NONE
	THE BETHLEHEM STEEL CORPORATION	(10/03/86)	HONORARIUM	$1,000	NONE
	AM. COUNCIL OF HIGHWAY ADVERTISERS	(11/14/86)	HONORARIUM	$1,000	NONE
	THE BROOKINGS INSTITUTION	(12/17/86)	HONORARIUM	$300	NONE
II. B cont:	(H) CMA TAX EXEMPT FUND		DIVIDEND	B	
	(H) EASTMAN KODAK		DIVIDEND	A	
	(H) EATON CORP.		DIVIDEND	A	
	(H) EXXON CORP.		DIVIDEND	A	
	(H) FISHER COMPANIES		DIVIDEND	B	
	(H) GENERAL ELECTRIC COMPANY		DIVIDEND	A	
	(H) GENERAL MOTORS CORP.		DIVIDEND	A	
	(H) HALLIBURTON CO.		DIVIDEND	A	
	(H) IBM CORP.		DIVIDEND	A	
	(H) LELLA MAY CLINGER TRUST		DIVIDEND	D	
	(H) MANUFACTURERS NATIONAL		DIVIDEND	A	
	(H) MINNESOTA, MINING & MANUF.		DIVIDEND	A	
	(H) NEW PROCESS COMPANY		DIVIDEND	D	
	(H) PACIFIC TELESIS		DIVIDEND	A	
	(H) PENNBANK CORP.		DIVIDEND	A	
	(H) PENNSYLVANIA POWER & LIGHT		DIVIDEND	A	
	(H) PFIZER INC.		DIVIDEND	A	
	(H) PNC FINANCIAL CORP.		DIVIDEND	A	
	(H) SCOTT PAPER CO.		DIVIDEND	A	
	(H) SEARS ROEBUCK & CO.		DIVIDEND	A	
	(H) SOUTHERN COMPANY		DIVIDEND	A	
	(H) SPERRY CORP.		DIVIDEND	A	
	(H) WARNER LAMBERT CO.		DIVIDEND	A	
	(H) WEYERHAEUSER		DIVIDEND	A	
	(H) LA CENTRAL NET PROFITS UNITS		ROYALTY	B	
	(H) BEAVER CTY. INDUSTRIAL DEVELOP. AUTHORITY		INTEREST	A	
	(H) KANSAS CITY SINGLE FAMILY MORTGAGE REV. BONDS		INTEREST	A	
	(W) AMERICAN TELEPHONE & TELEGRAPH		DIVIDEND	B	
	(W) AMERITECH		DIVIDEND	A	
	(W) BELL ATLANTIC		DIVIDEND	A	
	(W) BELL SOUTH		DIVIDEND	A	
	(W) BORDEN		DIVIDEND	B	
	(W) CHEMICAL FUND		DIVIDEND	A	
	(W) CMA TAX EXEMPT FUND		DIVIDEND	D	
	(W) DUPONT		DIVIDEND	A	
	(W) EASTMAN KODAK		DIVIDEND	A	
	(W) GENERAL ELECTRIC COMPANY		DIVIDEND	A	
	(W) GENERAL MOTORS CORP.		DIVIDEND	A	
	(W) IBM CORP.		DIVIDEND	B	
	(W) JC PENNEY CO.		DIVIDEND	B	
	(W) JOHNSON & JOHNSON		DIVIDEND	B	
	(W) MAY DEPARTMENT STORES		DIVIDEND	C	
	(W) MINNESOTA, MINING & MANUF.		DIVIDEND	B	
	(W) MONEY MARKET MANAGEMENT		DIVIDEND	A	
	(W) NYNEX		DIVIDEND	A	
	(W) PEPSICO		DIVIDEND	A	
	(W) PETROLEUM & RESOURCES CORP.		DIVIDEND	A	
	(W) PHILADELPHIA ELECTRIC CO.		DIVIDEND	B	
	(W) POLAROID CORP.		DIVIDEND	A	
	(W) QUAKER STATE OIL		DIVIDEND	B	

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Page 6 of 7

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

WILLIAM F. CLINGER, JR.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II. B cont:	(W) SKYLINE CORP.	DIVIDEND	A
	(W) SOUTHWESTERN BELL CORP.	DIVIDEND	A
	(W) SQUIBB CORP.	DIVIDEND	B
	(W) WESTINGHOUSE CORP.	DIVIDEND	B
	(W) XEROX CORP.	DIVIDEND	A
	(W) T. ROWE PRICE INTN'L. STOCK	DIVIDEND	A
	(W) AMERICAN TELEPHONE & TELEGRAPH	INTEREST	A
	(W) UNITED VIRGINIA BANK	INTEREST	A
III. cont:	(H) EASTMAN KODAK		B
	(H) EATON CORP.		A
	(H) EXXON CORP.		C
	(H) FISHER COMPANIES		E
	(H) GENERAL ELECTRIC		C
	(H) GENERAL MOTORS		B
	(H) GULF RESOURCES & CHEMICAL		A
	(H) HALLIBURTON		B
	(H) IBM CORP.		B
	(H) MARINE BANCORP.		A
	(H) MINNESOTA, MINING & MANUFACTURING		A
	(H) NEW PROCESS COMPANY		E
	(H) PACIFIC TELESIS GROUP		A
	(H) PENNBANK CORP.		A
	(H) PENNSYLVANIA POWER & LIGHT		A
	(H) PFIZER INC.		A
	(H) SCOTT PAPER		B
	(H) SEARS ROEBUCK & CO.		B
	(H) SOUTHERN COMPANY		A
	(H) WARNER LAMBERT		B
	(H) WEYEPHAEUSER		C
	(H) BELL SOUTH		A
	(H) CMA TAX EXEMPT FUND		C
	(H) PNC FINANCIAL CORP.		A
	(H) LOUISIANA CENTRAL NET PROFITS UNITS		B
	(H) BEAVER COUNTY INDUSTRIAL DEVELOPMENT AUTHORITY		A
	(H) KANSAS CITY SINGLE FAMILY MORTGAGE REVENUE BONDS		A
	I DO NOT HAVE SPECIFIC SHARE HOLDINGS FOR EACH STOCK HELD IN THE LELLA MAY H. CLINGER TRUST B. THE TOTAL VALUE OF THE TRUST, AS OF DECEMBER 31, 1986, WAS $602,753.61, OF WHICH MY BENEFICIAL INTEREST IS ONE-THIRD.		E
	(W) CMA TAX EXEMPT FUND		D
	(W) AMERICAN TELEPHONE & TELEGRAPH		C
	(W) BORDEN		C
	(W) CHEMICAL FUND		C
	(W) EASTMAN KODAK		B
	(W) GENERAL ELECTRIC		C
	(W) GENERAL MOTORS		C
	(W) GREAT WESTERN FINANCIAL		A
	(W) IBM CORP.		E
	(W) JC PENNEY CO.		D
	(W) JOHNSON & JOHNSON		C
	(W) MAY DEPARTMENT STORES		D
	(W) MINNESOTA, MINING & MANUFACTURING		D
	(W) PACIFIC TELESIS GROUP		A
	(W) PEPSICO INC.		C

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Page 7 of 7

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

WILLIAM F. CLINGER, JR.
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*	
III. cont:	(W) PETROLEUM & RESOURCES CORP.	B
	(W) PHILADELPHIA ELECTRIC CO.	B
	(W) POLAROID CORP.	C
	(W) QUAKER STATE OIL	D
	(W) SKYLINE CORP.	B
	(W) SQUIBB CORP.	D
	(W) T. ROWE PRICE FUNDS	B
	(W) TEXAS INSTRUMENTS	A
	(W) WESTINGHOUSE ELECTRIC CO.	C
	(W) XEROX	C
	(W) MONEY MARKET MANAGEMENT	B
	(W) SOUTHWESTERN BELL CORP.	B
	(W) AMERITECH	B
	(W) NYNEX	B
	(W) BELL SOUTH	B
	(W) US WEST	B
	(W) BELL ATLANTIC	B
	(W) NATIONAL PATENT DEVELOPMENT CORP.	A

GPO 1986 61-488 (m)

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A (For use by Members, officers, and employees)

Daniel R. Coats
(Full Name)

1417 Longworth Building
(Mailing Address)
House of Representatives
Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

X Member of the U.S. House of Representatives—District 4 State IN

Officer or Employee: Employing Office

Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed, identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "print outs" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts, such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION." **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Honoraria (See continuation sheet)			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Regal Beloit Corporation	Dividends	C
Land Sales Contracts	Interest	D
Coats & Harms Partnership	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ...... NO X ...... NA ......

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Regal Beloit Corp. common stock	E
Residence - Ft. Wayne, IN (Coats & Harms partnership)	B
Spouse Interest in real estate contracts	B
Daniel R. Coats Children's Educational Trust	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sold 100 Shares Regal Beloit Corp. stock	1-13-86	A
Sold 400 Shares Regal Beloit Corp. stock	3-18-86	B
Sold 400 Shares Regal Beloit Corp. Stock	3-19-86	B
Sold 500 Shares Regal Beloit Corp. Stock	12-22-86	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME, any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Mortgages on Real Estate Contracts	C
Mortgages on Ft. Wayne Residence (Coats & Harms partnership)	C
Personal Note - Summit Bank	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Congressional Charity Golf & Tennis Tournament	Participation in annual tennis & golf charity events-White Sulphur Springs West, VA 2/28 3/1 & 11/7-11/9 1986--self & spouse.
U.S. Telephone Assoc.	Participation in Telephone Leaders Conference-White Sulphur Springs, W.VA 9/4 & 9/5 1986

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
Annual Congressional Golf Tournament	Replica Alamo Long Rifle	$275

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
(See Continuation Sheet)	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Partner	Coats & Harms
Advisory Board	Big Brothers/Big Sisters of Greater Ft. Wayne, IN.
Board Member	Anthony Wayne Rehabilitation Center Ft. Wayne, IN.
Advisory Board	National Family Strengths Project
Council of Reference	Huntington College, Huntington, IN.
Honorary Board of Trustees,	Oak Leaf Center, Bethesda, MD

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to future employment, leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government, and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature Daniel R. Coats	Date May 13, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Daniel R. Coats
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
IIA	1/10/-1/13 Nat'l Assn. Broadcasters-Participation in Annual Legislative Forum - St. Martins $2,000
	2/18 Brotherhood Mutual Ins. Co. - Speech to Nat'l Assn. of Mutual Ins. Co. - Wash.,D.C. $100
	2/18 American Assn. Christian Schools - Speech to annual meetting group - Wash.,D.C. $300
	2/20/21 Magnavox Gov't & Electronics Industry-Speech to Board of Trustees & Board of Directors West Palm Beach, Fla. $2,000
	3/18 North American Van Lines, Inc. - Frank Jones Testimonial Breakfast, Ft. Wayne, IN $500
	3/19 U.S. Telecom - Speech to telecommunications group - Wash., D.C. $500
	3/21 Central Soya Co., Inc. - Speech to International Mgmt. Group, Ft. Wayne, IN $1,500
	5/8 National Assn. of Broadcasters - Participation in Annual Convention - Dallas, TX $1,500
	6/10 Television & Radio Political Action Committee Speech to IN. Broadcasters Assn. - Wash., D.C. $1,000
	6/17 CAP-12 Speech to insurance co. reps. $500
	7/23 American Trucking Assn. - Speech to trucking industry reps., Wash., D.C. $1000
	9/18 American Frozen Food Inst. - Speech to Convocation of Committees, Wash., D.C. $1000
	9/30 Nat'l Soybean Producers Assn. - Speech to Soybean Assn. Congressional meeting in Wash., D.C. $500
VII	Transportation Food & Lodging aggregating $250 or more in connection with a speaking engagement in II-A above:
	Nat'l Assn. of Broadcasters-St. Martins (self & spouse) Dallas, TX. Magnavox Gov't & Electronics Industries Miami,Fl. North American Van Lines - Ft. Wayne, IN Central Soya Co., Inc. Ft. Wayne, IN
	Transportation Food & Lodging aggregating $250 or more in connection with speaking events:
	3/21 - 4/4 Electronics Industries Assoc. Captiva, Island FL. (self & spouse)

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A: For use by Members, officers and employees

John Howard Coble
(Full Name)

5741 L Bramblegate
(Mailing Address)

Greensboro, North Carolina 27409

HC
[illegible]

Check the appropriate box and fill in the blanks.

X Member of the U.S. House of Representatives—District 6th State NC

Officer or Employee: Employing Office:

Check if amended statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296 as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed, identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item, and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual, and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure; all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES __ NO __ NA x

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION." IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE		TYPE	AMOUNT	DISPOSITION
The Tobacco Institute	4-30-86	honorarium	1000.00	
Television & Radio PAC	5-15-86	honorarium	1000.00	
The Capitol Forum	5-19-86	honorarium	500.00	
Rutledge Education System, Inc.	6-29-86	honorarium	200.00	
Paul Revere Study Club		honorarium	100.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
see addendum		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO ____ NA X

For more information, see detailed Instruction Booklet at page 8

IDENTITY	CATEGORY
see addendum	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION			DATE	CATEGORY
Forum	(500 shares)	purchase	8-13-86	total-A
Food Lion	(300 shares)	purchase	8-14-86	total-A
Kinder Care	(110 shares)	purchase	11-12-86	total-A
Ryan Steak House	(100 shares)	purchase	11-12-86	total-A
Allied Signal	(101 shares)	sold	11-12-86	total-A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
First American Mortgage Corporation, rental property mortgage	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
see addendum	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
none		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
none	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13

POSITION	NAME OF ORGANIZATION
Board of Associates	Greensboro College, Greensboro, NC
Congressional Advisory Board	Public Service Research Council, Washington, DC

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13

DATE	PARTIES TO	TERMS OF AGREEMENT
	none	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
John Howard Coble	5-13-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

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U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

John Howard Coble
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II. B.	Southeastern Savings and Loan	dividends	A
	Gate City Savings and Loan	interest	B
	State Employees Credit Union	interest	A
	First Home Federal Savings and Loan	interest	B
	Mr. and Mrs. A. Strickler	rent	C
	U Filler Up, Inc.	dividends	A
	Miscellaneous Other	dividends	A
III.	Gate City Savings and Loan Association	Savings	C
	First Home Federal Savings and Loan Association	Savings	C
	Merrill Lynch Ready Asset Trust		B
	State Employees Credit Union	Savings	A
	First Home Federal Savings and Loan Association	Checking	B
	U.S. House of Representatives, Sgt. at Arms	Checking	B
	1 acre and dwelling, Blowing Rock, NC		D
	78 acre farm, Henry County, VA (½ interest)		D
	Lot, Corolla, NC (½ interest)		C
	Southeastern Savings and Loan Association	Stock Certificate	B
	U Filler Up, Inc.	Stock Certificate	B
	First Union National Bank	Checking	B
VI.	Congressional Charity Tennis Tournament, Inc.	2/28/86-3/2/86	
	roundtrip bus fare to White Sulphur Springs, WV		
	3 days food and lodging		
	Anti Defamation League of B'nai B'rith	1/5/86-1/12/86	
	roundtrip air fare from Greensboro, NC to Israel to Ireland		
	and back to Greensboro, NC		
	8 days food and lodging		

HAND DELIVERED

HOWARD COBLE
6TH DISTRICT, NORTH CAROLINA

430 CANNON HOUSE OFFICE BUILDING
WASHINGTON, DC 20515-3306
(202) 225-3065

COMMITTEES
JUDICIARY
MERCHANT MARINE AND FISHERIES

Congress of the United States
House of Representatives
Washington, DC 20515-3306

DISTRICT OFFICES
P.O. BOX 299
324 WEST MARKET STREET
GREENSBORO, NC 27402-0299
(919) 333-5005

510 FERNDALE BOULEVARD
HIGH POINT, NC 27260-4742
(919) 888-5106

P.O. BOX 814
124 WEST ELM STREET
GRAHAM, NC 27253-0814
(919) 229-0159

P.O. BOX 1813
118A WEST SECOND STREET
LEXINGTON, NC 27293-1813
(704) 249-7011 EXT. 385

June 9, 1987

mc l-

The Honorable Julian C. Dixon
Chairman, Committee on Standards of
Official Conduct
U.S. House of Representatives
HT2 The Capitol
Washington, D.C. 20515

Dear Mr. Chairman:

I am writing to amend my 1986 Financial Disclosure Statement.

First, I amend Part II B. (Unearned income), with respect to "miscellaneous other income." This entry should read as follows:

Source--Merrill Lynch Ready Asset Cash Management Account
Type--dividends
Category--A

Further, with respect to Part II B., I amend by adding a description of the rental property for which rents were paid by Mr. and Mrs. A. Strickler:

Condominium residence located at 1840 Villa Drive, Greensboro, North Carolina, 27403.

Accordingly, Part III (Holdings) is amended to reflect ownership of that same condominiun residence located at 1840 Villa Drive, Greensboro, North Carolina, 27403, the value of which is in Category C.

Part III is further amended to reflect as holdings those purchases listed as transactions in Part IV (Transactions), as follows:

Brief Description		Date	Category
Forum Group	(500 shares) purchase	8-13-86	A
Food Lion	(300 shares) purchase	8-14-86	A
Kinder Care	(110 shares) purchase	11-12-86	A
Ryan Steak House	(100 shares) purchase	11-12-86	A

REPRESENTING THE COUNTIES OF ALAMANCE, DAVIDSON, AND GUILFORD

The Honorable Julian C. Dixon
Page 2
June 9, 1987

In addition, Part III is amended by indicating ownership of Allied Signal stock, with a value in Category A. Please note that ownership of Allied Signal was inadvertently omitted from my 1985 Financial Disclosure Statement. However, in an effort to report fully and completely comply with all disclosure requirements, I wish to report that I owned this stock at the time I entered Congress.

Part VI (Gifts) is amended by deleting the entry pertaining to the Anti Defamation League trip to Israel.

Part VII (Reimbursements) is amended to show the following reimbursement, with the source and a brief description:

Anti Defamation League of B'nai B'rith 1-5-86 to 1-12-86
roundtrip air fare from Greensboro, NC to
Israel to Ireland and return to Greensboro, NC
8 days food and lodging

As may be apparent, disclosure of the trip to Israel was inadvertently listed as a gift on the original disclosure form.

Sincerely,

HOWARD COBLE
Member of Congress

HC:mh

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A For use by Members, officers, and employees

Anthony L. Coelho
(Full Name)

H-148, The Capitol, Washington, D.C. 20515
(Mailing Address)

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

X Member of the U.S. House of Representatives—District 15 State CA

Officer or Employee—Employing Office

Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

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- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

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I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? **YES** ______ **NO** X______ **NA** ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Honoraria - Schedule Attached Earned		$60,174.82	
Less Honoraria Distributed to Charity - Schedule Attached		$38,589.60	
Honoraria Limit Allowed		$22,530.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Gross Rents - Rental dwellings - Merced	Rent	D
Gross Rents - Merced Duplex	Rent	D
Congressional Federal Credit Un.	Interest	B
Gain - BCI Holdings Subordinated Debentures	Stock Sale	D
BCI Holdings Subordinated Debentures	Interest	D
Gain - Northwood Interest	Sale/Interest	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from, (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X ____ NA ____

For more information, see detailed Instruction Booklet at page 8

IDENTITY			CATEGORY
1035 W. 21st, Merced CA	Rental Dwelling		D
Yosemite Ave, Merced CA	Rental Duplex		E
DMA (A California Limited Partnership)		2.475%	B
Energy Fund 1982 - Limited Partnership		0.300%	A
Consolidated Investors - Limited Partnership		2.394%	A
Walsh Greenwood & Co. - Limited Partnership		0.370%	D

(List Continues on Attachment)

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Purchased - BCI Holdings Subordinated Debentures	[illegible]-1-86	E
Sold - BCI Holdings Subordinated Debentures	10-28-86	[illegible]
Sold - Northwood on the Creek Interest	6-1-86	[illegible]

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME, any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Federal City National Bank - Commercial Loan	D
Norma Hansen - Mortgage - Rental Dwelling	D
Bank of America - Mortgage - Rental Duplex	E
Security Pacific Bank - Satisfied 10-28-86	C
Wells Fargo Bank - Satisfied 10-28-86	[illegible]
Security Pacific Bank	[illegible]
(List Continues on Attachment)	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
Mr. Terry McAuliffe	Turkey Shot Gun	$400.00

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986 is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 1[illegible]

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
see attached list [illegible]	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
[illegible]	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
[illegible]		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
T Coelho	5/10/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

1986 Honoraria Received Total = 60,174 82

	1	2	3	4	5
1	RECEIVED FROM:	DATE OF ENGAGEMENT	AMOUNT	DATE RECEIVED	TOTAL MONEY
2	National Advertising Co. 3M	1/13/86	2000	1/21/86	2000
3	The Tobacco Institute	1/14/86	2000	1/21/86	4000
4	National Association Hm. Builders	1/20/86	2000	1/30/86	6000
5	Drexel Burnham Lambert	2/3/86	2000	2/3/86	8000
6	Morgan Stanely	2/3/86	2000	2/3/86	10000
7	Wine Institute	2/25/86	1000	3/13/86	11000
8	Mobil	2/24/86	2000	3/17/86	13000
9	Bell Atlantic	2/17/86	2000	3/17/86	15000
10	American Podiatric Medical Assn.	3/19/86	2000	3/19/86	17000
11	Brown & Williamson Tobacco Corp.	3/24/86	2000	3/24/86	19000
12	Edison Electric Institute	3/11/86	1000	3/31/86	20000
13	American Dental Assoc.	4/9/86	2000	4/24/86	22000
14	Nat'l Water Resources Assoc.	4/15/86	1000	4/28/86	23000
15	R.J. Reynolds	4/18/86	2000	4/21/86	25000
16	Chicago Mercantile Exchange	5/12/86	500	5/13/86	25500
17	Bd. of Trade of the City of Chicago	5/12/86	500	5/13/86	26000
18	Waste Management	5/12/86	2000	5/13/85	28000
19	American Meat Institute	5/13/86	1000	5/21/86	29000
20	Smokeless Tobacco Council, Inc.	5/18/86	1000	5/21/86	30000
21	Strano Farms	3/16/86	2000	5/27/86	32000
22	American Nuclear Energy Council	5/14/86	2000	5/27/86	34000
23	The Washington Post	4/27/86	175	5/28/86	34175
24	American Society of Assoc. Execs.	5/21/86	2000	6/3/86	36175
25	Blue Cross Blue Shield	5/30/86	2000	6/13/86	38175
26	Philip Morris Inc.	5/28/86	2000	6/13/86	40175
27	Connell Rice & Sugar Co., Inc.	6/13/86	2000	6/16/86	42175
28	Batus	6/13/86	2000	6/16/86	44175

1986 Honoraria Received

	1	2	3	4	5
29	Advest Inc.	6/23/86	1500	6/23/86	45675
30	American Horse Council Inc.	6/10/86	1000	6/26/86	46675
31	Hawaiian Sugar Planters Assoc.	4-2-86	399,82	7-2-86	47074.82
32	American Stock Exchange	6/10/86	2000	7/8/86	49074.82
33	Nat'l Conf on Public Employee	6/23/86	500	7/22/86	49574.82
34	Retirement Systems				
35	Agricultural Educational Foundation	3/14/86	500	8/7/86	50074.82
36	The Christian Science Publishing	8/13/86	100	9/9/86	50174.82
37	Society				
38	Nat'l Beer Wholesalers Assoc. Inc.	9/15/86	2000	9/19/86	52174.82
39	Health Industry Manufacters Assoc .	10/3/86	2000	10/10/86	54174.82
40	The Nat'l Assoc of Life Underwriters	9/8/86	2000	10/24/86	56174.82
41	Heron, Burchette, Ruckert &	11/25/86	2000	11/25/86	58174.82
42	Rothwell				
43	American Council of Life Ins.	11/17/86	2000	12/5/86	60,174.82

	1	2	3
1	12/31/86	A Women's Place	100
2	12/31/86	Agnes Witson Center	500
3	12/31/86	Agri-Business Comm. Scholarship	200
4	12/31/86	Air Force Assoc.	100
5	6/11/86	Amateur Sports Dev. Team	100
6	4/16/86	Amer. Cancer Society Addabbo Memorial	100
7	12/31/86	American Cancer Society	1000
8	12/31/86	Assoc. of Retarded Citizens	250
9	6/11/86	BASH	484.6
10	12/31/86	Bailey's Crossroads Fire Dept.	250
11	12/31/86	Big Bros/Big Sisters of Stan County	250
12	6/24/86	Brandeis Hillel Day School /Jonas Schaefer	5
13	12/31/86	Bring Up A Child Development Corp.	250
14	12/31/86	Bulldog Foundation	250
15	12/31/86	CA Mineral Exhibit Assoc of Mariposa	500
16	12/31/86	CA Ag Museum	250
17	12/31/86	CA St. College Stan Scholarship	500
18	12/31/86	CA State Univ Stan Foundation	1000
19	12/31/86	CAPH of Modesto Jr. College	500
20	12/31/86	Can Tree	250
21	12/31/86	Castle Air Museum	1000
22	12/31/86	Catholic Churches of Merced	2000
23	12/31/86	Catholic Information Center	250
24	12/31/86	Catholic Univ. of America	100
25	7/23/86	Central California Hispanic	300
26	12/31/86	Central Catholic High School	500
27	12/31/86	Christian Berets Camp	500
28	12/31/86	Close Up Foundation	300
29	12/31/86	Coalition Cent for the Disabled Inc.	500
30	12/31/86	Community Hospice Inc. of Stan County	500
31	12/31/86	Congressional Awards	1000
32	12/31/86	Coro Foundation	250
33	12/31/86	Dia Del Nino Festival	250
34	12/31/86	Disabled Students of Modesto Jr. College	500
35	12/31/86	Dos Palos Memorial Hospital	250
36	12/31/86	Epilepsy Found. Nat'l Cap. Area	200
37	12/31/86	Epilepsy Foundation of America	2000
38	12/31/86	Epilepsy Society of Fresno	500
39	12/31/86	Faith Home Teen Ranch	100
40	12/31/86	Fowler Sr. Citizens	250
41	12/31/86	Fresno Cnty 4-H Sponsoring Comm.	100
42	12/31/86	Friends of Music Scholarship	100
43	1/23/86	Gaza Fund	150
44	12/31/86	Hanot Foundation	250
45	12/31/86	Holmes Intermediate School	150
46	12/31/86	Hospice of Merced/Mariposa Counties	250
47	12/31/86	House Child Care Center	1000
48	12/31/86	Hughson 20th Century Club	250
49	12/31/86	John C. Fremont Hospital Assn.	250
50	12/31/86	John Thurman Scholarship	250
51	12/31/86	Joseph Diseases Foundation	250
52	8/14/86	Kerman Union High School	100
53	3/24/86	Kids On The Block	250

1986 Honoraria Money Dispursed

	1	2	3
54	12/31/86	Kids On The Block	250
55	12/31/86	Laton Fire Dept.	250
56	12/31/86	Living Landscape Fund	100
57	12/31/86	Living Memorial Exhibit to Hubert Humphrey	250
58	12/31/86	Livingston 4th of July Festival	500
59	12/31/86	Livingston Cherish Lunch Program	250
60	12/31/86	Loyola Marymount Alumni Assoc.	500
61	12/31/86	Mariposa Cnty Friends of the Library	250
62	12/31/86	Mariposa Golden Agers	1000
63	12/31/86	Marjorie Mason Battered Women's Shelter	250
64	12/31/86	Medic Alert	500
65	12/31/86	Merced College Foundation	250
66	12/31/86	Merced College Scholarship	200
67	12/31/86	Merced Regional Arts Council	1000
68	12/31/86	Mercy Health Foundation of Merced	250
69	3/12/86	Minority Women's Network	100
70	12/31/86	Miss Merced County Pageant	100
71	12/31/86	Modesto Jr. Chamber of Commerce	250
72	12/31/86	Modesto Jr. College Scholarship	300
73	12/31/86	Modesto Performing Arts	250
74	12/31/86	Muir Trail Girl Scout Council	250
75	12/31/86	Nat'l Rehabilitation Hospital	1000
76	12/31/86	Navidad Del Vallee	100
77	12/31/86	Patterson Lions Club	500
78	11/13/86	Peace Development Fund	500
79	12/31/86	Phi Sigma Kappa Foundation Inc.	1000
80	12/31/86	S.B.F. Portuguese Hall In Kerman	250
81	12/31/86	Salvation Army	250
82	12/31/86	Sickle Cell Anemia Found. of Stan Cnty	100
83	12/31/86	Soararsis Scholarship Fund	100
84	12/31/86	Sr. Opportunity Service Program of Stan.	100
85	12/31/86	St. Stanislaus School	250
86	12/31/86	Stan Assoc. of Retarded Citizens	100
87	12/31/86	Stanislaus County Dept. of Education	250
88	7/23/86	The National AIDS Network	500
89	12/31/86	The Yosemite Fund	250
90	12/31/86	Therapeutic Riding Center	1000
91	12/31/86	Third Floor	250
92	12/31/86	United Cerebral Palsy Assoc of Stan Cnty	250
93	12/31/86	United Cerebral Palsy Fresno	100
94	12/31/86	Valley Children's Hospital	500
95	12/31/86	Volunteer Bureau of Modesto	500
96	12/31/86	Volunteers In Action	100
97	12/31/86	West Coast Christian College	250
98	12/31/86	Women's Cent. Advisory Bd.	500
99	12/31/86	World Hunger Year Organization	500
100	12/31/86	YMCA Indian Guide Program	250
101	12/31/86	Yosemite Area Boy Scouts Council	250
102	12/31/86	Yosemite Institute	250
103	12/31/86	Young Men's Institute	150

CONTINUATION OF III - HOLDINGS

IDENTITY	CATEGORY
Congressional Federal Credit Union	[illegible]
Guarantee Savings - IRA	A
First Fidelity Savings & Loan Common Stock	B
RCI Holdings Subordinated Debentures (Sold 10-20-86)	B
Northwood Joint Venture Interest (Sold 6-1-86)	C

CONTINUATION OF V - LIABILITIES

IDENTITY	CATEGORY
Pacific Valley National Bank - Commercial Loan Satisfied 9-30-86	C
Merced Bank of Commerce - Commercial Loan Satisfied 9-30-86	C

VII Reimbursements

DATE	LOCATION	PURPOSE	REIMBURSED BY	AIR /OR LODGING
1/16	Fresno to Los Banos Los Banos to Turlock	Speaking Engagement	Land Preservation	Air Fare
1/22	Dallas to Houston	Speaking Engagement	HNG Internorth	Air Fare
1/23	Houston to Dallas	Speaking Engagement	HNG Internorth	Air Fare
1/24	Dallas Ft. Worth to Jacksonville Fl.	Speaking Engagement	Young President's Organization	Air Fare and Lodging
2/24	DC/Nat'l - Lakefront New Orleans Lakefront - Global Marine High Island High Island - Main Pass Platform Lakefront to Wash/Nat'l	Speaking Engagement Honoraria	Mobil Oil	Air Fare
3/24	Wash/Nat'l to Louisville KY Louisville KY to Wash/Nat'l	Speaking Engagement Honoraria	Brown & Williamson Tobacco Co.	Air Fare
3/27 - 4/5	Roundtrip Airfares: WDC to Maui, Hawaii	Speaking Engagement Honoraria	Hawaiian Sugar Planters Assn.	Air Fare 2 days food lodging for M/M Tony Coelho
" "		Appearances	AMFAC	3 days food lodging for M/M Tony Coelho
" "		Speaking Engagement	Hawaiian State Labor Council	2 days food lodging for M/M Tony Coelho
5/5				
5/12	Wash/Nat'l to Chicago Meigs field to Chicago	Speaking Engagement Honoraria	Waste Management	Air Fare
5/28	Wash/Dulles to Teeterburo NY Teeterburo to Wash/Dulles	Speaking Engagement Honoraria	Philip Morris	Air Fare

VII Reimbursements

DATE	LOCATION	PURPOSE	REIMBURSED BY	AIR /OR LODGING
6/13 - 6/15	Wash/Nat'l to Newark Newark to Wash/Nat'l	Speaking Engagement Honoraria	Batus	Air fare & lodging for M/M Coelho
11/6 - 11/7	Wash/Nat'l to LaGuardia NY LaGuardia NY to Wash/Nat'l	Speaking Engagement Honoraria	Nat'l Assoc of Realtors	Air Fare & Lodging
11/17	Wash/Dulles to San Francisco CA	Speaking Engagement Honoraria	American Council of Life Ins.	Air Fare & Lodging

[illegible]

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A: For use by Members, officers, and employees

E. THOMAS COLEMAN
(Full Name)

2344 RHOB
(Mailing Address)

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 6 State MO.

☐ Officer or Employee—Employing Office

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information see detailed Instruction Booklet at page 7

[illegible] 1 3 5 1

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A SOURCE	TYPE	AMOUNT	DISPOSITION
See Attached			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000, B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B SOURCE	TYPE	CATEGORY
FIRST FEDERAL SAVINGS & LOAN	Interest	C
CONDOMINIUMS	RENT	D
CAPITAL GAINS - SALE OF Real Estate	CAPITAL GAIN	E
CALVERT TAX FREE RESERVES, Money Mkt Portfolio	Interest	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
1/2 Interest in 5 Acres, Weed California	B
IRA, FIRST FEDERAL SAVINGS & LOAN	B
Keogh Plan FIRST FEDERAL SAVINGS & LOAN	B
SAVINGS ACCOUNT " " " "	B
CALVERT TAX Free Money Mkt. Portfolio	D
CONDOMINIUM, FAIRFAX CO. VA.	D
CONDOMINIUM, FAIRFAX CO. VA	D

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
SALE, CONDOMINIUM, FAIRFAX CO. VA	10-3-86	D
Purchase, IRA, FIRST FED. SAVINGS & LOAN	VARIOUS	A
" Keough FUND " " " "	"	A
Purchase, Calvert tax free Reserve Money MKt. Portfolio	VARIOUS	D

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
MANUFACTURERS Hanover - Mortgage ON CONDO	C
MIDLAND MORTGAGE CO. " " "	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Chicago Board of Trade - Chicago Mercantile Exchange (speech)	Roundtrip Airfare: Wash. D.C. to Chicago; 1 night food/lodging
Futures Industry Assoc (speech; Expenses for Self + Spouse) See Attached.	Roundtrip Airfare; Wash. D.C. to Boca Raton, Fl. 4 nights food/lodging.

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
E. Tom Coleman	5/14/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE

Page 1 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

E. Thomas Coleman
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II A	Source	Type	Amount
	Free The Eagle Citizens Lobby 1-29-86	HONORARIUM	$500
	COALITION of Higher Education ASSISTANCE ORGANIZATION 1-28-86	Honorarium	500
	The Government Research Corp. 2-11-86	Honorarium	750
	ASSOCIATION of Community College Trustees 2-23-86	"	1000
	CHicago BOARD of Trade 2-24-86	"	500
	CHicago Merchantile Exchange 2-24-86	"	500
	FUTURES Industry Assoc. 3/8/86	"	1000
	MONEX, ~~INC.~~ 3-17-86	"	1500
	UNITED Egg Producers 4-15-86	"	1000
	Southeastern Assoc. of Private Colleges + Schools 4-21-86	"	1500
	CONSUMER Bankers Assoc. 6-25-86	"	2000
	Coalition of Higher Education Assistance Organizations 8-25-86	"	1000
	McDonnell Douglas Corp. 8-25-86	"	1000
	Clayton Brokerage Co. 8-29-86	"	500
	FARM Credit Council, Inc. 8-28-86	"	2000
	Nat'l Assoc. of Secondary School Principals 9-17-86	"	100
	ConsumerBankers Assoc. 10-18-86	"	1000
	Nat'l Assoc. of Accredited Cosmetology Schools 9-29-86	"	2000
	MONSANTO Corp. 8-28-86	"	1000
	American Sugar Cane League of the USA 12-18-86	"	1000

[illegible]

Page 1 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

E. Thomas Coleman
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
VII	Money, Inc. (speech Expenses) Roundtrip AirFare Wash. D.C. & Newport Beach, CA. 1 Night food/lodging.
	Southeastern Assoc. of Private Colleges & Schools (Speech) Roundtrip Airfare - car rental Wash. DC. - Myrtle Beach, N.C. one night Food/lodging
	Coalition of Higher Education Assistance Organizations (Speech) Roundtrip Airfare WashDC to Chicago
	Nat'l Assoc. of Accredited Cosmetology Schools (Speech) Roundtrip AIR Fare Wash. D.C. to Las Vegas, Nev. 1 Night food/lodging.
	Monsanto Corp (speech) Roundtrip AirFare Wash. D.C. to St. Louis, Mo.
	American Sugar Cane League of the USA (Speech) Roundtrip Airfare Wash. D.C. to New Orleans, LA.
	Former Members of Congress (German Marshall Fund) (Conference) Expenses, Self + Spouse Roundtrip Airfare Wash. D.C. to Frankfurt, West Germany Food/Lodging [illegible] Nights)

HAND DELIVERED

E. THOMAS COLEMAN
6TH DISTRICT, MISSOURI

COMMITTEES
AGRICULTURE
SUBCOMMITTEES
CONSERVATION, CREDIT, AND RURAL DEVELOPMENT, VICE CHAIRMAN
DEPARTMENT OPERATIONS, RESEARCH AND FOREIGN AGRICULTURE

EDUCATION AND LABOR
SUBCOMMITTEES
POSTSECONDARY EDUCATION, RANKING
HUMAN RESOURCES

Congress of the United States
House of Representatives
Washington, DC 20515

WASHINGTON OFFICE
2344 RAYBURN HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-7041

DISTRICT OFFICES
851 NW 45TH STREET
KANSAS CITY, MO 64116
(816) 454-7117

POST OFFICE AND FEDERAL BUILDING
8TH AND EDMOND
ST. JOSEPH, MO 64501
(816) 364-3900

May 14, 1987

MC

1987 MAY 14 PM 12 39

The Committee on Standards of Official Conduct
United States House of Representatives
Washington, D.C.

Gentlemen:

Following is an amendment to Section IV Transaction, the Financial Disclosure Statement, 1986.

		Date	Category
Calvert Tax-free Reserve Money Market Portfolio	purchase	2-1-86	C
	purchase	4-25-86	B
	purchase	10-24-86	C
Keogh, First Federal Savings and Loan			
	purchase	8-1-86	A
	purchase	9-22-86	A
	purchase	10-16-86	A
IRA, First Federal Savings and Loan			
	purchase	1-3-86	A

Thank you.

Sincerely,

E. Tom Coleman

E. THOMAS COLEMAN
Member of Congress

ETC:csc

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A For use by Members, officers, and employees

Ronald D. Coleman, M.C.
(Full Name)

3025 Wheeling
(Mailing Address)

El Paso, Texas 79930

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 16 State TEXAS

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION." **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
National Assoc. of Letter Carriers	Honorarium	$ 500	
Pratt & Whitney	Honorarium	$1,000	
Outdoor Advertising Association	Honorarium	$1,000	
National Restaurant Association	Honorarium	$1,000	
American Trucking Associations	Honorarium	$1,000	
SEE CONTINUATION SHEET			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Prudential	Interest	A
Millicent Chatel Associates, Inc.	Interest	[illegible]
Bramblet and Bramblet, P.C.	Payment of outstanding fees (prior scale of partnership interest)	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES [✓ NO] NA

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Limited Partnership interest in Mauzy Drilling Program #1	B
15% undivided interest in commercial building in El Paso, Texas held as rental property (managed by Ronald D. Coleman Blind Trust)	E

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES.

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
University Credit Union	C
MBank	B
Western Bank	D
First Financial	D
Congressional Federal Credit Union	A

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or m[illegible]ue received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Pratt & Whitney	Speaking Engagement, 2/24-27/86, airfare, hotel & meals, West Palm Beach, Fla.
National Restaurant Assoc.	Speaking Engagement, 5/16-19/86, airfare, hotel & meals, Chicago, Ill.

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Limited Partner	Mauzy Drilling Program #1

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 14, 987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

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U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Ronald D. Coleman, M.C.

Name

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
II A	National Customs Brokers & Forwarders Assoc. of America, Inc.	Honorarium $500
II A	Jean Simpson, Inc.	Spousal Income
II A	American Business Products, Inc.	Spousal Income.
VII	Naval Association	Received award, 9/19-21/86, Reno, Nevada, airfare, hotel & meals.
VII	Miami Congressional Workshop	Workshop on U.S.-Latin America relations, 12/27-31/86, Miami, Fla., airfare, hotel & meals.

UNITED STATES HOUSE OF REPRESENTATIVES

[illegible] MAIL

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Cardiss R. Collins
(Full Name)

2264 Rayburn Office Building
(Mailing Address)

Washington, DC 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 7 State Illinois

☐ Officer or Employee—Employing Office __________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO ____ NA X

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts, such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE		TYPE	AMOUNT	DISPOSITION
National Bar Association	(8/29)	Honoria	1,000	N/A
Nat'l Assn. of Broadcasters	(5/14)	Honoria	1,500	N/A
Southern Illinois University	(6/22)	Honoria	1,500	N/A
Alpha Capa Alpha	(3/8)	Honoria	1,500	N/A

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Civil Service Survivor Annuity	Pension	E
Merrill Lynch	Dividends	A
Merrill Lynch	Interest	B
AMP, Inc.	Dividend	A
FPL Group, Inc.	Dividend	A
Commerce Clearing House	Dividend	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X ____ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY		CATEGORY
First National Bank of Chicago	Savings	C
First National Bank of Chicago	CD's	D
First National Bank of Chicago	IRA	B
First National Bank of Chicago	Money Market	A
First Lakeshore Tax Exempt M.M.		C
Pueblo County Rev. Bond		D

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
McDonald's Corp. Common Stock - Sold	7/7	A
Nebraska St. Mtg. Finance Bond - Sold	12/5	B
New Jersey Public Const. Bond - Sold	10/1	C
AMP, Inc. Common Stock - Purchased	7/22	B
Kroger Co. Common Stock - Purchased	10/8	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel related expenses provided by federal, state and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
	NONE

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to future employment, leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

Page 1 of 1

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Cardiss R. Collins
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II-B	Emerson Electric	Dividend	A
II-B	Federated Department Stores	Dividend	A
II-B	Georgia-Pacific Corp.	Dividend	A
II-B	Kroger Co.	Dividend	A
II-B	McDonald's Corp.	Dividend	A
II-B	National Medical Enterprises	Dividend	A
II-B	Norfolk Southern Co.	Dividend	A
II-B	First National Bank - Chicago	Interest	D
II-B	First National Bank - Chicago	Muni Interest	C
II-B	First Lakeshore Tax Exempt M.M. Fund	Interest	B
II-B	Va. Beach Dev. Auth. Bond	Interest	B
II-B	Westmoreland, P.A. - Rev. Bond	Interest	A
II_B	Pueblo County Rev. Bond	Interest	C
II-B	New Orleans, La. Rev. Bonds	Interest	C
II-B	Minneapolis, Minn. Rev. Bonds	Interest	C
II_B	Nebraska St. Mtg. Bonds	Interest	C
II_B	New Jersey St. 6% Const. Bonds	Interest	C
II-B	Chelan Cnty. Wash. Rev. Bonds	Interest	C
III	New Orleans Gen. Purp. Rev. Bond		D
III	Minneapolis, Minn. Rev. Bond		D
III	Nebraska St. Mtg. Rev. Bond		C
III	Westmoreland Cnty., Penn. Hosp. Rev. Bond		D
III	Chelan Cnty., Wash. Rev. Bond		D
III	FPL Group Common Stock		B
III	Middle South Utilities Common Stock		B
III	Commerce Clearing House Common Stock		B
III	McDonald's Corporation Common Stock		B
III	Federated Dept. Stores, Inc. Common Stock		B
III	Kroger Co. Common Stock		B
III	AMP, Inc. Common Stock		B
III	Emerson Electric Co. Common Stock		B
III	National Medical Enterprises Common Stock		A
III	Norfolk Southern Corp. Common Stock		B
III	Federal Express Corp. Common Stock		B
III	Intel Corp. Common Stock		A
III	Georgia-Pacific Corp. Common Stock		A
III	Merrill Lynch - CD & Money Market		A
IV	Middle South Utilities Common Stock - Purchased		B
IV	Westmoreland Cnty., Penn. Bond - Purchased		C

GPO [illegible] 61-[illegible] (m)

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A (For use by Members, officers, and employees)

Larry E. Combest
(Full Name)

[illegible] Longworth H.O.B.
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check (X) appropriate box and fill in the blanks:

Member of the U.S. House of Representatives—District 19 State TX.

Officer or Employee—Employing Office

Check if amended statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
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WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

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REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
see attached sheet			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Ken Hundley-Lubbock Texas-1606 Ave. x	rent	C
Susan Dobish-Houston, Texas-we hold a note for a townhouse	interest	B
First National Bank-Lubbock, Texas certificate of deposit	interest	A
Wright Patman Credit Union-on accounts	interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO __x__ NA ____

For more information, see detailed Instruction Booklet at page 8

IDENTITY	CATEGORY
home-1606 Ave. X-Lubbock, Texas 1½ lot with rental unit	E
note to Susan Dobish-Houston-for sale of townhouse	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
Settlement of note(payoff) by Susan Dobish	Aug-1,	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
Southwest Lubbock National Bank-Lubbock, Texas note due from business debt from my business closed in 1984--	D
Brownfield State Bank, Brownfield, Texas-note from business debt from my business closed in 1984.	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
none		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986 is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
see attached	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
none	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
none		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Larry Combest	5-1-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

Page [illegible]

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Larry [illegible] Combest

Continuation Sheet

II-INCOME: Spouse-received from Congressman Tom Loeffler-salary
Texas Cattle Feeders-2/11-Honoraria-$1000
Chicago Board of Trade-5/11-Honoraria-$500
Chicago Mercantile-5/11-Honoraria-$500
National Restaurant Association-6/1-Honoraria-$1000
Dow Chemical Co.-7/8-Honoraria-1500
Borden Foods-11/10-Honoraria-$1000
Bell Dairy Food-11/26-Honoraria-$500

VII-REIMBURSEMENTS:

Chicago Mercantile Co.-round trip airfare from Washington D.C. to Chicago, one night room and meals.

Chicago Board of Trade-½ of the above expenses.

National Restaurant Association-round trip airfare from Washington, D.C. to Chicago, two nights room and meals.

Dow Chemical Co.-round trip airfare from Washington, D.C to Midland, Mich. one night room and meals.

National Agricultural Chemicals Assoc. round trip airfare from Washington, D.C. to White Sulpher Springs, W. Va., one night room and meals.

Borden Foods.-round trip airfare from Washington, D.C. to Houston, Texas-down and back the same day. Also included lunch.

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A (For use by Members, officers, and employees)

SILVIO O. CONTE
(Full Name)

2300 Rayburn Office Building
(Mailing Address)

Washington,D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 1st State Massachusetts

Officer or Employee—Employing Office

Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225–7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE. *Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.*

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Berkshire Life Insurance Company	Board of Directors	2,600.	
United Technoligies	Honorarium	1,000.	
United States Tobacco Company	Honorarium	1,000.	
National Electrical Manufacturers Assoc.	Honorarium	2,000.	
Council for Advancement & Support of Education	Honorarium	250.	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Interest from savings		2,910.53
Amedco	Dividend	16.00
H&R Block Co.	Dividend	74.00
Burlington Industries	Dividend	820.00
Coca Cola Company	Dividend	312.00
Commins Engine Co.,Inc.	Dividend	55.00

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY		CATEGORY
H&R Block, Inc.	Stock	9,000.
Burlington Industries, Inc.	Stock	20,750.
Cob Laboratories	Stock	10,950.
Digiorgio Corp.	Stock	10,750
Digital Equipment Corp.	Stock	20,950.
Entex, Inc.	Stock	7,437.

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION		DATE	CATEGORY
Apple Bank for Savings	Stock sale	2/26/86	13,155.
Emhart Corporation	Stock purchase	5/8/86	7,400.
Emhart Corporation	Stock sale	10/1/86	6,536.
Greyhound Corporation	Stock purchase	10/1/86	6,534.
Hershey Food, Inc.	Stock purchase	10/1/86	4,937.

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
Mrtge-Perp. Amer. Bank-2455 39th Pl.,N.W.,Wash., D.C.	6.187.05
Mrtge-Perp. Amer. Bank-3802 Veazey St.,N.W.,Wash., D.C.	3,014.26
Mrtge-John Hancock Ins.Co.-3620 Warren St.,N.W, Wash.,D.C.	13,129.85
Mrtge-John Hancock Ins.Co.-3713 W St.N.W.,Wash.,D.C.	12,372.90
Mrtge-Citicorp Savings-3516 34th St.,N.W.,Wash.,D.C.	13,181.33
Mrtge-Country Bank for Savings-Petersham Road, Hardwick, MA	25,677.56

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation."

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
United Technologies	Transportation
Ocean Spray Cranberry Co.,Inc.	Transportation
Lockheed Corporation	Transportation
N.E. Assoc. of Educational Opportunity Programs	Transportation
Berkshire Life Ins. Co	Transportation

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, soc [illegible] fraternal, or political entities, and positions solely of an honorary nature

For more information, see detailed Instruction Booklet at page 13

POSITION	NAME OF ORGANIZATION
Board of Directors	Berkshire Life Insurance Company
Partnership	Consolati and Conte
Board of Trustees	Smithsonian Institution

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Sil o. Conte.	May 13, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

[illegible] GOVERNMENT PRINTING OFFICE: 1987 [illegible]

Page 2 of 7

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

SILVIO O. CONTE
(Name)

Continuation Sheet

Part	(Source, Type, Amount, Category, Description or Value As Applicable)		
II-A	Municipal Issuers Serv. Corp.	Honorarium	1,000.
II-A	U.S. Can Sugar Refiners Assoc.	Honorarium	2,000.
II-A	Ocean Spray Cranberries, Inc.	Honorarium	2,000.
II-A	Nat'l Retail Hardware Assoc.	Honorarium	1,500.
II-A	Nat'l Assoc. of Alcoholism Treatment	Honorarium	1,500.
II-A	Wine Institute	Honorarium	1,000.-Assigned to Charity
II-A	Lockheed Corporation	Honorarium	2,000. Assigned to Charity
II-A	American Trucking Assoc.	Honorarium	1,000. Assigned to Charity
II-A	Nat'l Assoc. of Regional Councils	Honorarium	250. Assigned to Charity
II-A	American Public Transit Assoc.	Honorarium	1,000. Assigned to Charity
II-A	Coalition for Employment Through Exports	Honorarium	2,000. Assigned to Charity
II-A	Int'l Union of Operating Engineers	Honorarium	1,500. Assigned to Charity
II-B	DiGiorgio Corporation	Dividend	80.00
II-B	Dresser Industries, Inc.	Dividend	200.00
II-B	Ensearch Expl. Ltd	Dividend	16.01
II-B	Entex, Inc.	Dividend	175.00
II-B	Glenfed, Inc.	Dividend	100.00
II-B	Gulf & Western Inc.	Dividend	270.00
II-B	K-Mart Corporation	Dividend	35.00
II-B	Kemper Corp.	Dividend	360.00
II-B	Marine Midland Banks	Dividend	192.00
II-B	Maytag Company	Dividend	287.50
II-B	Nat'l Distillers & Chem. Corp.	Dividend	99.00
II-B	Northeast Savings	Dividend	347.48
II-B	Service Corp. Int'l	Dividend	17.00
II-B	Stride Rite Corp.	Dividend	280.00
II-B	Transco Energy Company	Dividend	496.00
II-B	[illegible] Laboratories, Inc.	Dividend	80.00
II-B	[illegible] Corporation	Dividend	612.00
II-B	Washington Energy Company	Dividend	1,022.00
II-B	Xtra Corporation	Dividend	320.00
II-B	Zenith Nat'l Insurance Corp.	Dividend	160.00
II-B	Shearson Daily Dividend	Dividend	1,[illegible]9.78
II-B	Bemis, Inc.	Dividend	304.00
II-B	Eastman Kodak Company	Dividend	297.00
II-B	International Business Machines	Dividend	510.50
II-B	Merck & Company, Inc.	Dividend	270.00
II-B	Syntex Corporation	Dividend	1,151.00
II-B	Shearson Daily Dividend	Dividend	1,466.77
II-B	Challenger Int. Ltd.	Dividend	1.00
II-B	Coastal Corporation	Dividend	120.00
II-B	Computer Data Systems, Inc.	Dividend	50.00
II-B	[illegible] Corporation	Dividend	18.15
II-B	Continental Corporation	Dividend	310.00
II-B	Dresser Industries, Inc.	Dividend	190.00
II-B	Lone Star Industries, Inc.	Dividend	47.50
II-B	Marine Midland Banks, Inc.	Dividend	192.00
II-B	NWA, Inc.	Dividend	67.50
II-B	Philadelphia Electric Co.	Dividend	666.60
II-B	Questar Corporation	Dividend	175.00

Page 6 of 7

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

SILVIO O. CONTE
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II-B	Royal Ditch Petroleum Co.	Dividend	449.50
II-B	Santa Fe Southern Pacific Corp.	Dividend	115.00
II-B	Time, Inc.	Dividend	87.50
II-B	Shearson Daily Dividend	Dividend	138.96
II-B	U.S. Treasurer	Dividend	553.20
II-B	E.F. Hutton, Inc.	Dividend	1.58
II-B	Baxter Travel Labs	Dividend	90.00
II-B	Embart Corporation	Dividend	140.00
II-B	Hershey Foods Corporation	Dividend	27.00
II-B	Joseph Robison	Sale of Hay	250.00
II-B	Rental Income		32,179.40
II-B	Consolati & Conte	Partnership	8,590.00
III	Glenfed, Inc.	Stock	12,125.
III	Kemper Corporation	Stock	15,000.
III	Maytag Company	Stock	26,350.
III	Monarch Capital Corporation	Stock	18,152.
III	Nat'l Distillers Chem. Corp.	Stock	8,5[illegible]4.
III	Northeast Savings	Stock	11,900.
III	Service Corp. Int'l	Stock	11,137.
III	Stride Rite Corporation	Stock	5,700.
III	Transco	Stock	8,275.
III	Transco Partners Ltd	Stock	431.
III	Tyco Laboratories, Inc.	Stock	16,300.
III	UGI Corporation	Stock	10,250.
III	Washington Energy Company	Stock	17,325.
III	Xtra Corporation	Stock	13,437.
III	Zenith National Ins. Corp.	Stock	7,950.
III	Shearson Daily Dividend		13,374.
III	Bemis Company	Stock	23,730.
III	Syntex Corporation	Stock	46,100.
III	Shearson Daily Dividend		65,617.
III	Challenger Intl Ltd	Stock	55.
III	Coastal Corporation	Stock	10,500.
III	Computer Data Systems, Inc.	Stock	3,437.
III	Conrac Corporation	Stock	132.
III	Continental Corporation	Stock	4,475.
III	Mass. State DTD	Gov. Fund	10,323.
III	Mass. State G/O Const	State Fund	12,184.
III	NWA Inc.	Stock	6,100.
III	Philadelphis Electric Company	Stock	6,855.
III	Royal Dutch Petroleum Co.	Stock	9,550.
III	Santa Fe Southern Pacific Co.	Stock	3,406.
III	Time, Inc.	Stock	7,000.
III	Apple Bank for Savings	Stock	13,155.
III	Embart Corporation	Stock	6,536.
III	Greyhound Corporation	Stock	6,534.
III	Hershey Foods, Inc.	Stock	4,837.
III	Nat'l Semi Conducter	Stock	5,959.
III	Twin City Federal Savings	Stock	6,000
III	Baxter Travenol	Stock	6,562.
III	Sun Electric Company	Stock	4,333.
III	Central Block, Lee, MA	Rental Property	172,300.
III	Petersham Road, Hardwick, MA	Real Estate	37,500.
III	Mid-River Yacht & Country Club Stuart, Florida 1/2 interest in one lot-Real Estate		19,000
III	Bay Colony of Stuart, Florida-one lot	Real Estate	51,000

[illegible]

Page [illegible] of [illegible]

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

SILVIO O. CONTE
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
III	Hancock, MA	Real Estate		2,300.
III	Elizabeth Manor, Dameron, MD-1 lot	Real Estate		75,000.
III	Savings Accounts			18,727.
		PURCHASE PRICE		PRESENT ASSESSMENT
III	3713 W St.,N.W.,Wash.,D.C.	29,000		135,984.
III	3620 Warren St.,N.W.,Wash,D,C.	27,500		148,827
III	3802 Veazey St.,N.W.,Wash,D.C.	28,000		149,514
III	3516 34th St.,N.W.,Wash,D.C.	34,000		150,000
III	2455 39th Pl.,N.W. Wash.D.C.	27,000		136,633.
IV	Nat'l Semi Conducter	Stock sale	6/23/86	5,959.
IV	Twin City Federal	Stock purchase	6/24/86	6,000.
IV	Alamito Company	Stock sale	4/23/86	7,791.
IV	Bank America Corp.	Stock purchase	4/23/86	8,482.
IV	Bank America Corp.	Stock sale	10/15/86	7,339.
IV	H&R Block	Stock purchase	9/3/86	8,900.
IV	Coca Cola Company	Stock sale	8/13/86	22,404.
IV	Cummins Engine Company	Stock purchase	3/21/86	7,558.
IV	Cummins Engine Company	Stock sale	8/13/86	5,146.
IV	Digiorgio Corp.	Stock purchase	8/14/86	12,834.
IV	Digital Equipment Corp.	Stock pruchase	8/13/86	18,156.
IV	Dresser Industires Inc.	Stock sale	8/13/86	7,534.
IV	Entex, Inc	Stock purchase	11/17/86	9,684.
IV	Gelnfed, Inc.,	Stock purchase	7/18/86	12,657.
IV	Gulf & Western Ind.	Stock sale	7/18/86	26,457.
IV	K Mart Corp.	Stock sale	3/14/86	3,812.
IV	Marine Midland Banks	Stock sale	10/14/86	4,616.
IV	Maytag Company	Stock purchase	11/5/86	20,878
IV	NCR Corporation	Stock purchase	7/10/86	10,656.
IV	NCR Corporation	Stock sale	8/13/86	9,794.
IV	Nat'l Distillers Corpi	Stock purchase	10/14/86	4,177.
IV	Service Corp. Intn'l	Stock purchase	11/26/86	4,426.
IV	Stride Rite Corp.	Stock sale	7/1/86	9,955.
IV	Tyco Lab. Inc.	Stock purchase	7/18/86	7,172.
IV	UGI Corporation	Stock purchase	1/27/86	9,364.
IV	Zenith Nat'l Ins. Corop.	Stock purchase	3/21/86	9,025.
IV	Eastman Kodak	Stock sale	8/13/86	8,113
IV	International Bus. Machines	Stock sale	8/13/86	30,015.
IV	Merck and Co., Inc.	Stock sale	8/13/86	21,392.
IV	Continental Corp.	Stock sale	12/22/86	566.
IV	Delta Airlines Inc.	Stock sale	12/22/86	9,043.
IV	Lone Star Industries, Inc.	Stock sale	7/8/86	1,471.
IV	Marine Midland Banks, Inc.	Stock sale	10/41/86	4,616.
IV	Maytag Company	Stock purchase	12/22/86	4,733.
IV	NWA Inc.	Stock purchase	4/24/86	5,308.
IV	Nat'l Distillers, Inc.	Stock purchase	10/14/86	4,177.
IV	Questar Corporation	Stock sale	12/22/86	3,652.
IV	Time, Inc.	Stock sale	7/8/86	4,261.
IV	Time, Inc.	Stock purchase	9/11/86	3,994.
IV	Lot 1,Elizabeth Manor Dameron, Maryland	Real Est. purchase	7/25/86	95,000.
V	Mrtge-Berk.Co. Savings Bk Blythewood Drive,Pittsfield,MA			15,048.
V	Mrtge-W.L. & L.L. Callaway-Eliz.Manor, Dameron, MD.			74,497.

INSIDE MAIL

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A (For use by Members, officers, and employees)

John Conyers, Jr.
(Full Name)

2313 Rayburn Building
(Mailing Address)

Washington, D.C. 20515

(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 1 State Michigan

☐ Officer or Employee—Employing Office

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure; all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
1/22	Iota Beta Lambda Chap., Alpha Phi Alpha Frat., Inc., Cocoa, Fla., 1/18	Honor. "	1,000.00	
1/22	Phi Delta Kappa, Inc, Saginaw, 1/12	"	1,250.00	
3/13	State Univ. of NY, Stony Brook, 2/13	"	1,000.00	
	(continued)			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
U.S. Treasury, V.A. Ins.	Dividend	A
Conyers Ford, Detroit, MI	Use of auto	D
W. Patman Congressional Federal Credit Union	Interest	A
Home Federal Savings Bank, Detroit, MI	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES [✓ NO] NA

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Conyers Development Co.	D
IRA, Detroit Federal Employees Credit Union	A
IRA, Wright Patman Congressional Federal Credit Union	A

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a **SPEAKING ENGAGEMENT** or **FACT FINDING EVENT** related to official duties, whether those expenses were **REIMBURSED** to the individual or **PAID DIRECTLY** by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE		BRIEF DESCRIPTION
1/2	Fla. Assn. of Equal Oppor. Professionals, Inc.	Travel expense
1/22	Iota Beta Lambda Chapter, Alpha Phi Alpha Fraternity, Inc., Cocoa, Fla.	Travel expense
1/28	United Nations (continued)	Travel expense

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 14.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
John Conyers Jr	May 13, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

John Conyers, Jr.
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*		
Pt. II A. (cont'd)			
4/7	Princeton Coal. for Divestment, 4/4	Honor.	1,500.00
4/7	N. C. Prison & Jail Project, 3/7	"	150.00
4/8	Metro. Wash. Council AFL-CIO, 3/15	"	1,000.00
4/8	Chi. Alumni Chap. Kappa Alpha Psi Fraternity 4/19	"	1,250.00
6/3	School Dist., Highland Pk., 6/2	"	500.00
6/17	NAACP Vallejo, CA, 6/14	"	1,500.00
7/9	U. S. Peace Council, NY,NY, 6/20	"	700.00
Pt. VII (cont'd)			
4/7	NC Prison and Jail Project		Travel expense
4/24	Stony Brook, SUNY		Travel expense
8/14	Western Hills H.S., Cinc., OH		Travel expense
11/10	Kappa Alpha Psi, UCLA		Travel expense

GPO [illegible]

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

James H. S. Cooper
(Full Name)

125 Cannon House Office Building
(Mailing Address)

Washington, D. C. 20515

MC
(Office Use Only)

Check appropriate box and fill in the blanks

x Member of the U.S. House of Representatives—District 4th State Tn.

Officer or Employee—Employing Office:

[illegible]

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not, in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure; all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ___ NO X NA

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
World Wildlife Fund (spouse's employer), Wash., D. C.	salary		
Honoraria (see continuation sheet)		$2,500.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Peoples National Bank, Shelbyville, Tn.	interest	A
First American Bank, Washington, D. C.	interest	A
Hancock Bank, Gulfport, Ms.	interest	A
(see continuation sheet)		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Peoples National Bank, common stock, Shelbyville, Tn.	F
Cooper Family Trust - 1/3 interest in 863 acres in Bedford County with same improvements on Jenkins Chapel Rd. and Fishingford Pike, Shelbyville, Tn. - continued	F
(see continuation sheet)	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sale of lots on Maryland Way by PLC Properties Inc. in which I have a 4.5 limited partnership interest	12/15/86	E
Sale of 156 shares of People's National Bank stock	3/19/86	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Third National Bank, Nashville, Tn.	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Congressional Charity Golf Tournament, The Greenbrier, West Va., 11/7/86, George W. Koch, host	weekend of meals, lodging, and golf

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
Curt Prins Golf Tournament	afternoon of golf & miniature b/w TV	$100.00

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE		BRIEF DESCRIPTION
Conf. of Insurance Legislators	11/13-14/86	roundtrip airfare, Washington, D.C. to Nashville, Tn.
Friends of McWherter	10/16/86	roundtrip airfare, Washington, D. C. to Nashville, Tn.

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Ex-officio Trustee	Walters State Community College, Morristown, Tn.
Honorary Member Support Council	University of Tn. Space Institute, Tullahoma, Tn.
4.5% Limited Partner	PLC Trust
Co-Chairman	Sunbelt Institute - nonprofit - Washington, D. C.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 15.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 14, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

James H. S. Cooper
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II.A.	Honoraria		
	Consumer Bankers Assoc.	1/22/86	$ 500.00
	Groton School, Groton, Ma.	6/6/86	1000.00
	Nat'l. Restaurant Assoc.	8/12/86	1000.00
II.B.	Peoples Nat'l. Bank, Shelbyville	dividend	D
	USDA-ASCS, Bedford Co., Tn.	ccc payment	A
	USDA-ASCS, Henderson Co., Ky.	ccc payment	C
	United Resource Inc.	oil/gas lease	A
	Cooper Family Trust	1/3 interest - (loss)	(B)
	Martha Hays Trust	sole beneficiary	B
	PLC Properties, Ltd., Brentwood, Tn.	limited partner	A
	PLC Properties, Ltd., Brentwood, Tn.	capital gain	F
	Peoples National Bank Stock	capital gain	A
	Ashland Oil, Ashland, Ky.	royalty	A
III	Cooper Family Trust		
	1/3 interest in 1,057 acres in Smith Mills, Kentucky on Cooper/Cooper Road, Ky. Hwy. 266 and Morganfield Road - some improvements		F
	1/3 interest in Peoples Nat'l. Bank Branch Lot Madison St., Shelbyville, Tn. - improved		D
	1/3 interest in surrounding Madison St. Lot Shelbyville, Tn. - unimproved		C
	1/3 interest in former Parks-Belk Bldg. Public Square, Shelbyville, Tn. - improved		C
	1/3 interest in Holland St. Lot, Shelbyville, Tn. - improved		C
	1/3 interest in South Main St. Lot, Shelbyville, Tn. - unimproved		C
	1/3 interest in Depot & Thompson St. Lot, Shelbyville, Tn. - unimproved; 615 Landers		B
	PLC Partnership 4.5% Limited Partnership Interest in 285 acres on Maryland Way and Murray Lane, Brentwood, Tn. - unimproved		F
	Hays Trust . 100% interest, administered by Hancock Bank Trust Dept., Gulfport, Ms. (see attached print-out)		C
	Note Receivable - Cooper for Congress Committee		E
	IRA Accounts - A.G. Edwards & Co., Nashville, Tn., invested in Daily Cash Accumulation Fund		B
	First American Bank, checking, Washington, D. C.		B

Hancock Bank Trust Department

—STATEMENT OF ASSETS— 12/31/86 PAGE 1

ACCOUNT NUMBER 33-3183-02	HANCOCK BANK TRUSTEE U/A DTD 12/31/60 WITH ARTHUR W. MAYS FBO MARTHA BRYAN MAYS	ADM OFF - MWM INV OFF - GDB

PAR VALUE	—BONDS—	BOOK VALUE
10,000	FED NATL MTGE ASSOC 12.25% DTD 041084 DUE 041087	10,000.00
954.199	FEDERATED SHORT-INTERMEDIATE GOVT TR FUND #09	10,000.00
5,401	FEDERATED TRUST FOR SHORT TERM U. S. GOVT SECURITIES (PRIN) FUND #52	5,401.00
1,564	FEDERATED TRUST FOR SHORT TERM U. S. GOVT SECURITIES (INCOME) FUND #52 ** INVESTED INCOME **	1,564.00
877.193	FEDERATED GNMA FUND #016	10,000.00
	TOTAL BONDS	36,965.00 *

UNITS	—TIME DEPOSITS—	
10,000	HANCOCK BANK CD #110475 DTD 052385 DUE 112387 @ 9.60% INT MONTHLY	10,000.00
	TOTAL TIME DEPOSITS	10,000.00 *

	—MISCELLANEOUS—	
	1/3 INT IN REPUBLIC NAT'L LIFE INS POL #193642	1.00
	TOTAL MISCELLANEOUS	1.00 *

TOTAL ASSETS	46,966.00 **
INCOME CASH	80.45
PRINCIPAL CASH	.74
TOTAL CASH & ASSETS	47,047.19 ***

Hancock Bank Trust Department

—STATEMENT OF ASSETS— 12/31/86 PAGE 2

ACCOUNT NUMBER 33-3183-02

HANCOCK BANK
TRUSTEE U/A DTD 12/31/60 WITH
ARTHUR V. HAYS FBO MARTHA BRYAN HAYS

ADM OFF - WWM
INV OFF - GDB

—LIABILITIES—	BOOK VALUE
1/3 INT IN REPUBLIC NAT LF INS NOTE AGAINST POL 8193642 INSURING CRAWFORD CLEVELAND	2,659.87-
TOTAL LIABILITIES	2,659.87-*

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Lawrence Coughlin
(Full Name)

2467 Rayburn Bldg.
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 13 State Pa.

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
American Public Transit Ass.	3/11/86	Honorarium	$ 2,000	None
Bethlehem Steel Co	4/28/86	"	2,000	"
BATUS	5/2/86	"	2,000	"
American Electronics Labs, Inc	7/14/86	"	1,000	"
Foster Medical Corp.	8/14/86	"	1,000	"

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Congressional Federal Credit Union	Savings Interest	A
Columbia First Fed S&L	" "	A
Washington Federal S&L	" "	A
Maximum Savings Bank (Son)	" "	A
Tenneco, Inc.	Dividends	A
American Brands, Inc	"	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Congressional Federal Credit Union - Savings Account	B
Maximum Savings Bank - " " (Son)	B
Gaslight Square Ltd West Seneca - Limited partnership interest	C
227 S. Carolina Ave, S.E - Basement Apt.	D
Tax exempt money market fund, INC - Money fund	E

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sale Ex-Cell-O common stock	9/18/86	C
Also stock holdings listed were inherited as a		
result of the death of my mother.		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
BATUS	Transportation & lodging for honorarium 5/2/86

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Lawrence Coughlin	5/15/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

Lawrence Coughlin
Financial Disclosure Statement – 1986
Continuation

II – Income – cont'd

A. Source		Type	Amount	Disposition
Magnavox Corp.	9/18/86	Honorarium	1500	None
Export-Import Bank		Salary –	Wife	

B

Gaslight Square of West Seneca	Distribution	A
222 S. Carolina Ave. S.E. Wash DC	Rent	D
Tax exempt money market fund, Inc.	Dividends	D

III – Holdings – cont'd

American Brands, Inc.	Stock	B
Armco, Inc	"	A
Champion Int'l Corp	"	A
General Motors Corp.	"	A
Norfolk Southern Corp.	"	B
Tenneco, Inc.	"	B
Timnera Corp	"	D
UGI Corp	"	A
F.W. Woolworth Co.	"	A

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MADE IN U.S.A.

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

JIM COURTER
(Full Name)

2422 Rayburn HOB
(Mailing Address)

Washington, D.C. 20515

mc
(Office Use Only)

Check the appropriate box and fill in the blanks:

X Member of the U.S. House of Representatives—District 12 State New Jersey

Officer or Employee—Employing Office ______

Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? **YES** ____ **NO** X **NA** ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION." **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
SEE CONTINUATION SHEET			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
SEE CONTINUATION SHEET		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
SEE CONTINUATION SHEET	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
SEE CONTINUATION SHEET		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
SEE CONTINUATION SHEET	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
State of New Jersey	Governor's Bay House, Island Beach State Park; one week

B. The source, a brief description, and value *of all other gifts* aggregating $100 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION	VALUE
Mr. Cliff Sobel Short Hills, New Jersey	Hotel and dinner in Zurich after Moscow trip	$250.00

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
American Electronic Industries	Roundtrip airfare, Newark to Ft. Myer
Convention - participate; self and spouse	3 days and nights
Jewish Community of Metro West	Roundtrip airfare, New York to Moscow
	4 days and 3 nights

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13

POSITION	NAME OF ORGANIZATION
President	Courter, Kobert, Laufer, Purcel and Peace; P.A.
General Partner	Suburban Properties
Limited Partner	Cedar woods
Partner	D.J. Partnership
Partner	Courter Partnership
Partner	DCR Partnership
Partner	DMC Partnership

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Jim Co	June 5, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

Page 1 of 5

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

[illegible]
(Name)

Continuation Sheet

Item	Source, Type, Amount, Category, Description or Value (As Applicable)		
[illegible]	Washington Post	Op-Ed	$100.00
[illegible]	New York Times	Op-Ed	$150.00
[illegible]	AT&T	Honorarium	$2,000.00
4.11.86	Policy Review (Heritage)	Op-Ed	$500.00
4.22.86	Institute for Foreign Policy Analysis	Honorarium	$1,000.00
[illegible]	Technology Forum	Op-Ed	$500.00
6.86	American Spectator	Op-Ed	$150.00
6.86	Conservative Digest	Op-Ed	$150.00
[illegible]	Wall Street Journal	Op-Ed	$200.00
[illegible]	Warner/Lambert	Honorarium	$2,000.00
[illegible]	Christian Science Monitor	Op-Ed	$85.00
[illegible]	Institute for Foreign Policy Analysis	Honorarium	$750.00
[illegible]	Washington Post	Op-Ed	$100.00
12.19.86	Insight - Washington Times	Op-Ed	$300.00
12.86	Connell Rice and Sugar	Honorarium	$2,000.00

Part II B			
	AZP Group, Inc.	Dividends	A
	Allied Signal	"	A
	Alza Corp.		A
	American Cyanamid	"	B
	American Express	"	B
	American Info. Tech Corp.	"	A
	AT&T	"	A
	Baxter Travenol Exch.	"	A
	Baxter Travenol Labs.	"	A
	Bell Atlantic	"	A
	Bell South	"	A
	Bristol Myers	"	A
	Champion International	"	A
	Dow Chemical	"	A
	Eastman Kodak	"	B
	Elan Corp.	"	A
	General Electric	"	B
	Hemley Group	"	A
	Horizon Bankcorp	"	A
	IBM	"	B
	Long Island Lighting	"	C
	Masco Industries	"	A
	Masco Group	"	A
	Maytag	"	B
	LTS Mercury	"	A
	Micro C. Inc.	"	A
	3 M	"	B
	National Medical Ent.	"	A

Page 2 of 5

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

JIM COURTER
(Name)

Continuation Sheet

Part

Source, Type, Amount, Category, Description or Value (As Applicable)

NYNEX	Dividends	A
Pacific Telesis	"	A
Public Service Ent.	"	C
Sears Roebuck	"	A
South West Bell	"	A
US West	"	A
** Gilder Gagnon and Company		
Investment Managers		B
Teco	"	C
Merrill Lynch CMA		B
Sergeant at Arms Account		A
IRA - Merrill Lynch		A
Office Building - 256 Main Street		
Hackettstown, New Jersey		E
Rental Property - Hallcrest Drive		
McLean, Virginia (100% with wife)		Loss B
Rental Property - Roberts Lare		
Arlington Virginia (100% with wife)		Loss D
Rental Property - Wood Duck Court		
Hackettstown, New Jersey (100% with wife)		Loss B
Sandpiper West Sanibel, Florida		
Condominium (1/3 interest)		Loss C
Rental Property - Bennington Square		
Hackettstown, New Jersey (100% with wife)		Loss D
Carmen Courter (Spouse)		B
Donica Courter (daughter)		A
Katrina Courter (daughter)		A

** Gilder Gagnon and Co. are investment managers who deal in stocks through Bear, Sterns and Company. Transactions are frequent. Detailed information can be received directly from Gilder, Gagnon, 1775 Broadway, New York City, New York 10019.

GPO 1986 61-686 (m)

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ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

JIM COURTER
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
III		
	AZP Group, Inc.	A
	Allied Signal	C
	Alza Corp.	C
	American Cyanamid	D
	American Express	D
	American Information Tech. Corp.	A
	AT&T	C
	Baxter Travenol Exch.	B
	Baxter Travenol Labs	C
	Bell Atlantic	A
	Bell South	A
	Briston Myers	C
	Champion International	C
	Dow Chemical	C
	Eastman Kodak	C
	Elan Corp.	B
	General Electric	C
	Hemley Group	B
	Horizon Bankcorp	C
	IBM	E
	Long Island Lighting	C
	Masco Group	D
	Masco Industries	A
	Maytag	C
	LTS Mercury	B
	Micro D. Inc.	A
	3 M	C
	National Medical Ent.	C
	NYNEX	A
	Pacific Telesis	A
	Public Service Entpr.	D
	Sears Roebuck	A
	South West Bell	A
	US WEst	A
	Calder Gagnon and Company	E
	Investment Managers	
	Tesch	D
	Merrill Lynch CMA	B
	Sergeant at Arms Account	A
	IRA - Merrill Lynch	B
	Courter, Robert, Laufer, Purcell and Pease	C
	(50% owner - law firm)	
	* --Office Building - 256 Main Street	D
	Hackettstown, New Jersey	
	* --Rental Properties - Hallcrest Drive	D
	McLean, Virginia (100% with wife)	
	* --Rental Property - Roberts Lane	D
	Arlington, Virginia (100% with wife)	
	* --Townhouse Developement	B
	Little Egg Harbor Township, New Jersey	
	(10% owner - Courter Partnership)	
	* --Vacant Land	B
	Harmony Township, New Jersey	
	(1/3 owner - DJ Partnership)	
	* --Vacant Land	A
	Blairstown, New Jersey	
	(Limited Partner - 2% interest)	

GPO 1986 61-688 (m)

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ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

JIM COURTER
(Name)

Continuation Sheet

Part		*Source Type, Amount, Category, Description or Value (As Applicable)*	
III			
	*	-- Vacant Land	C
		Hope, New Jersey	
		(12% owner - Suburban Properties)	
	*	-- Rental Property	C
		Wood Duck Court, Hackettstown, New Jersey	
		(100 % with wife)	
	*	-- Sandpiper West Condominium	D
		Sanibel, Florida	
		(1/3 interest)	
	*	-- Rental Property	C
		Bennington Square, Hackettstown, New Jersey	
		(100 % with wife)	
	*	-- HLP Properties	E
		Little Egg Harbor Twsp, Ocean County, New Jersey	
		(1/2 interest)	
	*	-- DCR Partnership	A
		and Tranquility Inn Inc. loan receivable	
		-- Tall Cedars; 12 acres	C
		1/2 interest with brother Joseph Courter, Jr.	
		Carmen Courter (Spouse)	C
		Anchor Savings Bank	
		Hackettstown, New Jersey	
		Donica Courter (daughter)	
		Alza Corp.	B
		Baxter Travenol Labs	A
		IBM	B
		Bristol Myers	B
		La Petit Academy	A
		Merrill Lynch Fund	B
		Katrina Courter (daughter)	
		Alza Corp.	B
		Baxter Travenol Labs	A
		IBM	B
		Briston Myers	B
		La Petit Academy	A
		Merrill Lynch Fund	B
	*	Reflects good faith estimate at net fair market value	

GPO [illegible] 61-[illegible] (m)

Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

JIM COURTER
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
IV			
	Tandem Computer	Sold	B
	U.S. Treasury Bonds	"	B
	AMR Corp.	"	B
	Biocraft Labs	"	A
	WR Berkley Corp.	"	B
	Circuit City Stores	"	B
	Citicorp	"	A
	Clairs Stores	"	B
	Federal Express	"	B
	Home Depot	"	B
	Highland Superstores	"	A
	Home Shopping Network	"	B
	Lotus Development	"	B
	Navigators Group	"	B
	Pace Membership	"	B
	Saachi and Saachi	"	B
	Johnson and Johnson	"	C
	25 Min 01 NJ	"	A
	Baxter Travenol	"	A
	Safeway Stores	"	C
	Warner Lambert	"	C
	MFS Municipal Bonds	"	D
	Gilder, Gagnon and Comp.		E
	Hallcrest Drive, McLean Virginia (townhouse)		Net D/
	(sold)		Gross E
	Bennington Square, Hackettstown, NJ (townhouse)		E
	(bought)		
Part V			
	-- 7377 Hallcrest Drive		D
	McLean, Virginia		
	Perpetual American Bank		
	-- 3817 Roberts Lane		E
	Arlington, Virginia		
	Mrs. Arthur Millburn		
	-- 99 Wood Duck Court		C
	Hackettstown, New Jersey		
	Lakeland Savings and Loan		
	-- Sandpiper West		F
	Sanibel, Florida		
	Crossland Savings		
	1/3 interest with wife		
	-- 46 Bennington Square		D
	Hackettstown, New Jersey		
	Howard Savings Bank		
	-- Holly Lake Park Property		E
	Little Egg Harbor Towship, New Jersey		
	Joseph Courter, Sr.		
	-- Tall Cedars; 12 acres		C
	1/2 interest with brother Joseph Courter, Jr.		

1 3 7 1 1 1 2 1 3 5 TATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

William J. Coyne
(Full Name)

424 Cannon H.O.B
(Mailing Address)

Washington, D.C. 20515

Mc

(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 14 State PA

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA XX

For more information, see detailed Instruction Booklet at page 7.

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE		TYPE	AMOUNT	DISPOSITION
4/12/86	Fraternal Societies of Greater Pgh.	Honorarium	$200.00	
6/1/86	Electronics Institute	Honorarium	$1000.00	
6/16/86	Miller & Schroeder Financial, Inc.	Honorarium	$2000.00	
7/9/86	American Iron & Steel Institute	Honorarium	$2000.00	
10/86	Council of Industrial Development . Bond Issuers	Honorarium	$2000.00	

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B. SOURCE	TYPE	CATEGORY
Pittsburgh National Bank	Interest Income	B
Wright Patman Federal Credit Union	Interest Income	A
Dollar Savings Bank-Pittsburgh	Interest Income	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

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ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO XX NA ____

For more information, see detailed Instruction Booklet at page 8

IDENTITY	CATEGORY
Pittsburgh National Bank	C
Wright Patman Federal Credit Union	A
Dollar Savings Bank-Pittsburgh	B

[illegible] 2 [illegible] 2 1 8 3

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

[illegible]

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
Electronics Institute (Speech participant)	Roundtrip airfare (Wash. D.C. to Chicago, IL; One night lodging.
Miller & Schroeder Financial, Inc. (Speech participant)	Roundtrip airfare (Wash. D.C. to Minneapolis, MN.

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Board Member	Hill House Community Organization

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 1

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
William J. Coyne	4/30/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Larry E. Craig
(Full Name)

9008 Donny Brook Court
Boise, Idaho 83709
(Mailing Address)

Room 1034 Longworth Building
Washington, DC 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks:

X Member of the U.S. House of Representatives—District First State Idaho

Officer or Employee—Employing Office

Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II. A. as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Idaho Beef Council	Salary	Spouse	
Boise State University	Salary	Spouse	
Craig Ranches Inc., An S Corporation	S Corp.	E	
See Continuation Sheet	Honoraria	Var	None

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000, B—$1,001-$2,500, C—$2,501-$5,000, D—$5,001-$15,000, E—$15,001-$50,000, F—$50,001-$100,000, G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Contract Receivable Maxa, Weiser, Idaho	Interest	Spouse
Idaho Bank & Trust	Interest	A
United First	Interest	A
Idaho First National Bank	Interest	A
Idaho Bank & Trust	Ind. Retire Acct.	Spouse

NOTE: For Parts III, IV, and V below indicate Category of Value, as follows: Category A—not more than $5,000, B—$5,001-$15,000, C—$15,001-$50,000, D—$50,001-$100,000, E—$100,001-$250,000, F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ☐ NO ☒ NA ☐

For more information, see detailed Instruction Booklet at page 8

IDENTITY	CATEGORY
Contract Receivable Larry Maxa, Escrow at IFNB, Weiser, ID	C
Common Stock Craig Ranches Inc, Midvale, ID (S Corp)	A
Common Stock Weiseradio Inc., Weiser, ID	A
Shareholder Loan Receivable Weiseradio, Inc. Weiser, ID	A
Individual Retirement Account - Spouse	B
Civil Service Retirement	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Liquidation of Weiseradio, Inc. and Shareholder Loan	01/01/86	A
Sale of Houseboat - Residence	09/86	Exempt

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Note Payable Moore Financial Services, Boise ID	B
Note Payable Farmers & Merchants State Bank, Meridian, ID	C
Note Payable Treasure Valley Bank, Fruitland, ID	C
Note Payable Erhart College St. Petersburg, FL	C
Note Payable First National Bank of Maryland	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

[illegible]

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
National Rifle Association of America - Director	Travel and Lodging
Chicago Mercantile Exchange-Honoraria	Travel and Lodging - Speaker
Tax Limitation Research Fund - Speaker	Travel and Lodging - Speaker
Bonneville Group Inc. - Honoraria	Travel and Lodging - Speaker

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Corporate Officer	Craig Ranches, Inc.
Director	National Rifle Association

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature Larry E Craig	Date 5/12/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1636 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Larry E. Craig 1986
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
II	Income: Honoraria			
	Date	Source	Amount	Disposition
	04/07/86	IMPAC	A	None
	05/09/86	Conservative Digest Inc.	A	None
	05/06/86	Northwest Food Proc. Assn.	A	None
	05/14/86	National Coal Association	A	None
	06/11/86	Board of Trade of the City of Chicago	A	None
	06/11/86	Chicago Mercantile Exchange	A	None
	06/26/86	Washington State Association Tobacco & Candy Distributors	A	None
	06/18/86	The Tobacco Institute	A	None
	12/31/86	Bonneville Group, Inc.	A	None
III	Holdings: Savings Accounts			
	Identity			Catagory
	Idaho Bank & Trust			B

GPO 1986 [illegible]

LARRY E. CRAIG
1st District, Idaho

COMMITTEE ON INTERIOR AND INSULAR AFFAIRS
SUBCOMMITTEES
MINING AND NATURAL RESOURCES
VICE CHAIRMAN
ENERGY AND THE ENVIRONMENT
WATER AND POWER RESOURCES
PUBLIC LANDS

COMMITTEE ON GOVERNMENT OPERATIONS
SUBCOMMITTEE
COMMERCE, CONSUMER AND MONETARY AFFAIRS
VICE CHAIRMAN

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

Congress of the United States
House of Representatives
Washington, DC 20515

WASHINGTON OFFICE
1034 LONGWORTH HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-6611

DISTRICT OFFICES
304 NORTH 8TH, ROOM 136
P.O. BOX 1406
BOISE, ID 83701
(208) 342-1985

301 D STREET, SUITE 103
LEWISTON, ID 83501
(208) 743-0792

103 NORTH 4TH
COEUR D'ALENE, ID 83814
(208) 667-6130

June 10, 1987

The Honorable Donnald K. Anderson
Clerk of the U.S. House of Representatives
Suite H1-2, U.S. Capitol
Washington, D.C.20515

mcl

Dear Mr. Anderson:

Pursuant to the Ethics in Government Act of 1978 (2 U.S.C. §§701-709), I would like to make the following amendments to my 1986 Financial Disclosure Statement.

Craig Ranches, Inc., an S-Corporation was reported under Section A, earned income. Since I am a minority shareholder and not active in the management, the income should be reported under Unearned Income, Section B and be removed from earned income, Section A. The category of value is "K."

Section II - A. Honoraria.

Date	Source	Amount	Disposition
4/07/86	IMPAC	$ 500.00	None
5/09/86	Conservative Digest, Inc.	200.00	None
5/06/86	Northwest Food Proc. Assoc.	800.00	None
5/14/86	Nat'l Coal Association	200.00	None
6/11/86	Board of Trade, City of Chicago	500.00	None
6/11/86	Chicago Mercantile Exchange	500.00	None
8/26/86	Washington State Association Tobacco and Candy Distrib.	1,000.00	None
6/18/86	The Tobacco Institute	1,000.00	None
12/31/86	Bonneville Group, Inc.	500.00	None
	Total Honoraria earned	$5,200.00	

Under Section VII Reimbursements:

Date	Whom	Where to	Brief Description
6/11/86	National Rifle Assoc.	New Orleans	4/25 Round trip air-fare & meals
6/11/86	Chicago Mercantile Exchange	Chicago	6/13 Airfare to Chicago
7/22/86	Tax Limitation Research Fund	San Francisco	6/30 Round trip air-fare and transportation
12/31/86	Bonneville Group, Inc.	Phoenix	12/4 Airfare

Sincerely,

Larry E Craig

Larry E. Craig
Member of Congress

LEC/jk

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Philip Miller Crane
(Full Name)

1035 Longworth Building
(Mailing Address)

Washington, DC 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

XX Member of the U.S. House of Representatives—District 12th State Illinois

Officer or Employee—Employing Office

Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item, and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE		TYPE	AMOUNT	DISPOSITION
Household Finance	(01-06-86)	Honorarium	$2000	
United Technologies	(01-10-86)	"	1000	
Distilled Spirits Council	(01-31-86)	"	2000	
University of Michigan Student Org.	(04-02-86)	"	436	
Chicagoland Assoc of Savings Institutions	(2-26-86)	"	2000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Wright Patman Congressional Credit Union	Interest	D
Fountain County, Indiana, Farm	Farm Income	C
Arizona Public Service	Dividends	C
New England Life Money Market Account	Interest	A
Putnam Funds	Dividends	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO XXX NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Ann-Tex Limited Partnership, Ann Arbor, MI, 10%, real estate	A
FC Virginia Partnership, Alexandria, VA, 50%, real estate	B
Enmet Corporation, Ann Arbor, MI, common stock	B
AZP Corporation, Arizona, common stock	C
Fountain County Farm, Indiana	C
Coin Collection	D

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
Gold Coins (Purchased)	06-04-86	A
Silver Coins (Purchased)	08-05-86	C
MFS Municipal Income Trust (Purchased)	11-23-86	C
Enmet Corporation (sold)	06-26-86	A
Micromet Corporation (Purchased)	06-26-86	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
none	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
none	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION	VALUE
none		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
*United Technologies (Speech)	Airfare: DC-West Palm-DC; hotel
Kent College School of Law (Speech)	Airfare: DC-Chicago-DC
*Distilled Spirits Council (Speech)	Airfare: DC-San Diego-DC; hotel
*Republican Party of American Samoa (Speech)	Airfare: DC-Pago Pago-DC; hotel
*Dade County, FL Young Republican Club (Speech)	Airfare: DC-Miami-DC; hotel

*airfare and accomodations for spouse provided

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13

POSITION	NAME OF ORGANIZATION
Board of Advisors	John M. Ashbrook Center for Public Affairs, Ohio
Board of Trustees	Hillsdale College, Michigan
Trustee	Intercollegiate Studies Institute, Pennsylvania
Commissioner	Commission on the Bicentennial of the U.S. Constitution

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13

DATE	PARTIES TO	TERMS OF AGREEMENT
none		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Philip M. Crane	5/13/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987

Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Philip Miller Crane
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)				
II. Income A.					
	Semiconductor Industry Association	(03-14-86)	Honorarium	$ 500	
	Massachusetts Mutual	(03-03-86)	"	2000	
	Investment Seminars	(08-13-86)	"	500	
	Insight Magazine	(04-08-86)	"	1000	
	Nat'l Society of the D.A.R.	(04-04-86)	"	1000	
	Miller & Schroeder Financial, Inc.	(04-21-86)	"	2000	
	Gettemy Cudney & Associates, Inc.	(05-02-86)	"	1000	
	1000 Plus Club	(05-30-86)	"	500	
	Escambia Santa Rosa Bar Assoc	(06-03-86)	"	1000	
	U.S. Cane Sugar Refiners' Assoc	(01-15-86)	"	2000	
	AIADA Congress Committee	(06-12-86)	"	1500	
	IC Industries	(09-15-86)	"	2000	
	A.B.E.R., Inc.	(11-07-86)	"	553	
	Health Insurance Assoc of America	(11-18-86)	"	2000	Charity ($1872)
	Municipal Issuers Service Corporation	(12-31-86)	"	2000	Charity
	Assoc for Governmental Leasing & Finance	(12-31-86)	"	2000	Charity
	Rubber Manufacturers Assoc., Inc.	(11-19-86)	"	2000	Charity
	Illinois Bell	(12-10-86)	"	2000	Charity

III. Holdings		Category
	Putnam Income Mutual Fund	C
	Putnam Insured Mutual Fund	C
	Wright Patman Congressional Credit Union (savings acct)	D
	New England Life (money market account)	A
	MFS Municipal Income Trust	C
	*First American Bank of Virginia (savings account)	A
	*spouse	

VII. Reimbursements		
	University of Michigan Student Organization (Speech)	Airfare: DC-Detroit-DC
	Chicagoland Assoc of Savings Institutions (Speech)	Airfare: DC-Chicago-DC
	*Pasco County Republican Executive Committee (Speech)	Airfare: DC-Tampa-DC; hotel
	*Young Conservatives of Texas (Speech)	Airfare: DC-Austin-DC
	*Investment Seminars (Speech)	Airfare: DC-Palm Springs-DC
	*Electronics Industry Association (Speech)	Airfare: DC-Ft Myers-DC; hotel
	*Brandeis University (Speech)	Airfare: DC-Boston-DC
	Miller & Schroeder Financial (Speech)	Airfare: DC-Minneapolis-DC
	*FL Federation of Republican Women (Speech)	Airfare: DC-Miami-DC
	Escambia Santa Rosa Bar Association (Speech)	Airfare: DC-Pensacola-DC; hotel
	*Remnant Review (Speech)	Airfare: DC-Los Angeles-DC; hotel
	*Municipal Issuers Service Corporation (Speech)	Airfare: DC-Miami-DC; hotel
	*Assoc for Governmental Leasing & Finance (Speech)	Airfare: DC-Phoenix-DC; hotel
	*airfare and accomodations for spouse provided	

HAND DELIVERED

PHILIP M. CRANE
MEMBER OF CONGRESS
12TH DISTRICT OF ILLINOIS

WAYS AND MEANS
COMMITTEE
SUBCOMMITTEES
TRADE
SOCIAL SECURITY

REPUBLICAN STUDY COMMITTEE
EXECUTIVE COMMITTEE

Congress of the United States
House of Representatives
Washington, DC 20515

OFFICES
SUITE 1035
LONGWORTH BUILDING
WASHINGTON, DC 20515
202/225-3711

SUITE 101
1450 SOUTH NEW WILKE ROAD
ARLINGTON HEIGHTS, IL 60005
312/394-0790

56 NORTH WILLIAMS STREET
CRYSTAL LAKE, IL 60014
815/459-3399
312/223-3030

ROBERT C. COLEMAN
ADMINISTRATIVE ASSISTANT

June 2, 1987

MC ✓

The Honorable Donnald Anderson
Clerk of the House
Office of Records and Registration
1036 Longworth Building
Washington, D.C. 20515

Dear Mr. Anderson:

I would appreciate your assistance in making a correction on my Financial Disclosure Statement for 1986.

Under Section II, Income A, the notation "Charity ($587)" should have followed:

IC Industries (09-15-86) Honorarium $2000

Please let me know if you require any additional information in order to correct this oversight on my financial disclosure form.

Sincerely,

Phil Crane
Philip M. Crane, M.C.

PMC/kb

HAND DELIVERED [illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A For use by Members, officers, and employees

Geo. W. Crockett, Jr.
(Full Name)

1630 Upshur Street, N.W.
(Mailing Address)
Washington, D.C. 20011

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

XX Member of the U.S. House of Representatives—District 13 State Michigan

Officer or Employee—Employing Office

Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

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STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE. Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES XX NO ____ NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts, such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION." **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Wayne County, Michigan	Pension	$6617.76	
State of Michigan	Pension	$15425.04	
Spouse - self-employed physician	fees		

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
U.S. Treasury Bond	interest	B
Dreyfus Tax Exempt Bond Fund	interest	D
Dreyfus Intermediate Tax Exempt Bond Fund	dividends	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO XX NA

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Dreyfus Tax Exempt Bond Fund	E
Dreyfus Intermediate Tax Exempt Bond Fund	C
Civil Service Retirement Fund	C
U.S. Treasury Bond	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986 which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Mortgage - Lafayette Town Houses	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Kappa Alpha Psi Fraternity - Germany Chapter	airfare, hotel and meal expense for Mr. & Mrs. Crockett to Germany for speech 8/86
Lamar University, Beaumont, Texas	airfare and hotel expenses, speaking engagement
National Caucus & Center on Black Aged	airfare and lodging from D.C. to Los Angeles, Chicago, Atlanta and New York (2) for hearings

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Trustee	Morehouse College, Atlanta, Georgia

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
1/79	State of Michigan	Pension plan
1/79	Wayne County, Michigan	Pension plan

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Geo. W. Crockett Jr.	May 13, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Hon. Dan Daniel
(Full Name)

2308 Rayburn House Office Building
(Mailing Address)

Washington, D. C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 5 State Virginia

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Equitable Life Assurance Society of the U. S.	Pension	$5,337.24	
Social Security Administration (Self)	Benefits	9,925.40	
Social Security Administration (Spouse)	Benefits	2,668.00	
American Logistics Assn. (1-8-86)	Honorarium	2,000.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Mutual Savings & Loan Assn., Danville, Va.	Interest	C
Prudential Life Insurance Company	Interest	A
Peoples Bank of Danville, Danville, Va.	Interest	A
American National Bank & Trust Co., Danville, Va.	Interest	C
Charter Federal Savings & Loan Assn. Danville, Va.	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY		CATEGORY
Charter Federal Savings & Loan Assn.	Certificates	B
Peoples Bank of Danville	Certificate	C
United Virginia Bank	Certificate	B
American National Bank & Trust Co.	Certificates	C
Mutual Savings & Loan Assn.	Certificates	C
Laurel, Inc.	Stock	A

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION		DATE	CATEGORY
American National Bank & Trust Co.	Cert. renewed	6-2-86	C
Peoples Bank of Danville	Cert. cashed	1-10-86	C
Mutual Savings & Loan Assn.	Cert. renewed	5-4-86	C
Peoples Bank of Danville	Cert. bought	6-18-86	B
Charter Federal Savings & Loan	Cert. bought	7-23-86	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. *The source and a brief description of gifts of transportation, lodging, food, or entertainment aggregating $250 or more in value received from any source during calendar year 1986.*

SOURCE	BRIEF DESCRIPTION
Representatives of a foreign government during official visit	Two Indo-Keshan rugs, valued at $350.00 and $175.00; deposited with Clerk of the House and permission received from Committee on Standards of Official Conduct to display in my Congressional office during tenure in Congress.

B. The source, a brief description, and value of all other gifts aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
Northrop Corporation	Reimbursement of round trip air transportation to Los Angeles and lodging for speech.
K. M. C. Group, Inc.	Reimbursement of transportation and lodging (including Mrs. Daniel) for speech at Hilton Head, S. C.

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Board of Trustees	Averett College, Danville, Virginia
Board of Trustees	Patrick Henry Boys Plantation Brookneal, Virginia

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Dan Daniel	May 11, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

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Page 5 of 6

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Hon. Dan Daniel
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
Part II - A Earned Income	Narrow Fabrics Institute, Inc. (1-20-86)	Honorarium	$1,000.00
	Sikorsky Aircraft (2-6-86)	Honorarium	1,000.00
	Pratt and Whitney (2-6-86)	Honorarium	1,000.00
	The Tobacco Institute (2-14-86)	Honorarium	2,000.00
	Society of Travel Agents in Government, Inc. (3-11-86)	Honorarium	1,000.00
	McDonnell Douglas Helicopter Company (3-31-86)	Honorarium	1,000.00
	Armed Forces Marketing Council (4-1-86)	Honorarium	2,000.00
	The Garrett Corporation (4-23-86)	Honorarium	1,000.00
	Northrop Corporation (4-10-86)	Honorarium	2,000.00
	KMC Group, Inc. (5-27-86)	Honorarium	1,500.00
	Temple Business Men, Military Affairs (7-22-86)	Honorarium	2,000.00
	Chamber of Commerce, Military Affairs (7-24-86)	Honorarium	500.00
	Cambridge International (9-30-86)	Honorarium	500.00
	Mose E. Lewis (9-30-86)	Honorarium	250.00
	Angus McLean Associates (9-30-86)	Honorarium	250.00
	General Dynamics Land Systems (9-26-86)	Honorarium	250.00
	FMC Corporation (10-1-86)	Honorarium	250.00
	American Logistics Assn. (10-22-86)	Honorarium	2,000.00
Part II - B Unearned Income	United Virginia Bank Keysville, Va.	Interest	A
	Wheat First Securities Danville, Va.	Dividends	A
	Signet Bank (formerly Bank of Virginia), Danville, Va.	Dividends	B
	Coca-Cola Company	Dividend	A
	International Business Machines (Mrs. Daniel)	Dividend	A
	Dominion Resources (Mrs. Daniel)	Dividend	A
	Virginia-Carolina Broadcasting Corporation	Dividend	A
	Virginia Strand Associates Danville, Va.	Partnership	A
Part III Holdings	Signet Bank (formerly Bank of Virginia), Danville, Va.	Stock	C
	Virginia Strand Associates	Stock	B
	Glen Oak Country Club	Stock	A
	Providence Corporation Tulsa, Oklahoma	Stock	A
	Wheat First Securities	Stock	B
	Coca-Cola Company (Mr. and Mrs. Daniel	Stock	A
	International Business Machines (Mrs. Daniel)	Stock	A

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Page 6 of 6

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Hon. Dan Daniel
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
Part III Holdings (cont.)	Dominion Resources (Mrs. Daniel)	Stock	A
	Virginia-Carolina Broadcasting Company (Mrs. Daniel)	Stock	A
	Equitable Life Assurance Society	Pension	C
	Home in Pittsylvania County, Va.		E
	Apartment in Washington, D. C.		B
	Civil Service Retirement Fund		D
	Mutual Savings & Loan Assn.	NOW Account	B
Part IV Transactions	Charter Federal Savings & Loan	Cert. bought 7-29-86	A
	Virginia-Carolina Broadcasting Company (Mrs. Daniel) Sold to Favor Broadcast Income Fund Ltd.	4-14-86	A
	Wheat First Securities	Stocks sold and bought within same total value investment	B
	Civil Service Retirement Fund	Contributions	B
	Peoples Bank of Danville	6-18-86	A
		Funds from Savings used on certificate	
Part VII Reimbursements	Sikorsky Aircraft	Travel and lodging for speech at West Palm Beach, Florida	
	McDonnell Douglas Helicopter Company	Travel and lodging for speech at Mesa, Arizona	

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A (For use by Members, officers, and employees)

William E. Dannemeyer
(Full Name)

1214 Longworth House Office Building
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives District 39 State California

☐ Officer or Employee—Employing Office

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and
(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and
(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __*__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

	A. SOURCE		TYPE	AMOUNT	DISPOSITION
1.	JAN24 Stanford University	(RCD:FEB14)	HONORARIUM	$ 200.00---travel	NONE
2.	FEB06 Piedmont Economics Group	(RCD:FEB06)	HONORARIUM	$1000.00---travel/food/lodge	NONE
3.	FEB24 Petroleum Equipment Supply	(RCD:MAY04)	HONORARIUM	$ 250.00---travel/food/lodge	NONE
4.	FEB25 American Petroleum Instit.	(RCD:MAR07)	HONORARIUM	$1000.00---WADC	NONE
5.	MAR14 Nationwide Insurance Co.	(RCD:MAR17)	HONORARIUM	$1000.00---39TH	NONE

--continuation sheet--

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
1. Pioneer Bank	interest	A
2. Fullerton Savings & Loan	interest	A
3. Stanford Apartments	rent	E
4. Crown Valley Business Park	rent	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _*_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
1. Stanford Apartments: 18 one-bedroom apartments	F
2. Dannemeyer Professional Building: one acre of commercial property	F
3. Crown Valley Business Park: five acres of industrial land owned...	
a. 50% William E. Dannemeyer	
b. 50% William E. Dannemeyer Law Corporation Profit Sharing Plan	F
4. William E. Dannemeyer Law Corporation	D

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

	IDENTITY	CATEGORY
1.	Fullerton Savings & Loan	E
2.	Pioneer Bank	C
3.	William E. Dannemeyer Law Corporation Profit Sharing Plan	C
4.	SBA Flood Damage Loan	C
5.	Wells Fargo Bank	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
	NONE	

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

	SOURCE	BRIEF DESCRIPTION
1.	JAN24 (WED) Stanford University........................	SPEECH
	Stanford, CA	travel
2.	FEB06 (WED) Piedmont Economics Group.	SPEECH
	Greenville, South Carolina	travel/food/lodging
3.	FEB24 (m/m) Petroleum Equipment Supply..................	SPEECH
	Houston, TX	travel/food/lodging

--continuation sheet--

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
ATTORNEY	William E. Dannemeyer Law Corporation

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
William E. Dannemeyer	May 15, 87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

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Page 1 of 1

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

William E. Dannemeyer
(Name)

Continuation Sheet

Part — *Source, Type, Amount, Category, Description or Value (As Applicable)*

II. INCOME (A. Earned Income -- continued)

6. MAR15 Care Enterprises	(RCD:APR14)	HONORARIUM $1792.00---travel	NONE
7. MAR19 Georgetown University	(---------)	HONORARIUM $ 100.00---WADC	DONATIO
8. APR11 National Ocean Industries Association	(RCD:MAY04)	HONORARIUM $ 250.00---WADC	NONE
9. APR15 Bonner & Associates (HSIA)	(RCD:APR18)	HONORARIUM $ 500.00---WADC	NONE
10. MAY06 The Capitol Forum (NAII)	(RCD:MAY05)	HONORARIUM $ 500.00---WADC	NONE
11. JUL18 Smokeless Tobacco Council	(RCD:JUL25)	HONORARIUM $1000.00---WADC	NONE
12. JUL25 Pfizer	(RCD:AUG11)	HONORARIUM $1000.00---39TH	NONE
13. AUG04 Lutheran Church Missouri Synod	(---------)	HONORARIUM $ 50.00---WADC	DONATIO
14. 1985 William E. Dannemeyer Law Corporation	(---------)	EARNED $6420.00	

VII. REIMBURSEMENTS -- continued

4. FEB28-MAR02	(m/m)	Greenbrier Tennis Tournament............ Greenbrier, WV	CHARITY EVENT (travel/food/lodging)
5. MAR15	(m/m)	Care Enterprises........................ Lake Tahoe, CA	SPEECH (travel/food/lodging)
6. APR08	(WED)	AIDS Conference......................... Phoenix, AZ	SPEECH (travel)
7. APR18	(WED)	Sex Educators Conference................ Los Angeles, CA	SPEECH (travel/food/lodging)
8. MAY24-JUL01	(WED)	Foundation for Freedom Trip............. Pakistan	FACT-FINDING TOUR (travel/food/lodging)
9. JUL07	(WED)	Oprah Winfrey Show...................... Chicago, IL	TV TALK SHOW GUEST APPEARANCE (travel)
10. AUG15	(WED)	Aid Association for Lutherans........... Appleton, WI	SPEECH (travel)

GPO 1986 61-608 (m)

[illegible]

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A (For use by Members, officers, and employees)

George (Buddy) Darden
(Full Name)

1330 Longworth House of Representatives
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

X Member of the U.S. House of Representatives—District 7 State Georgia

Officer or Employee—Employing Office

Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

3 7 1 0 2 0 3 2 - 7

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION" **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION	DATE
Jury Duty	Compensation	$75		[illegible]
American Nuclear Energy Council	Honorarium	$250		1/17/86
American Trucking Company	" " " " " " " " " "	$500		1/29/86
Life Chiropractic College	" " " " " " " " " "	$500		8/2/86
Natl Assn of Letter Carriers	" " " " " " " " " "	$500		9/3/86
American Polygraph Assn.	" " " " " " " " " "	$500		[illegible]

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
NONE		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X__ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
NONE	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986 which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item, and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
United Technologies	Air travel Wash/New York/Atl.
General Electric	Air travel Atl/Cincinnati/Atl.
Georgia Beer Wholesalers	Air travel Wash/Savannah/Wash; & 3 days lodging & food
Association of Old Crows	Air travel Wash/Atlanta; lodging & meals for 1 day

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Board of Trustees	Richard B. Russell Foundation

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	Awtrey & Parker, P.C.	Written agreement to compensate for work done prior to election to House of Representatives in November, 1983.

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
George (Buddy) Darden	5/13/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

George (Buddy) Darden
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*
II	National Restaurant Assn - Honorarium - $750
	United Technologies - Sikorsky Aircraft - Honorarium - $1000
	General Electric - Honorarium - $1000
	Martin Marietta - Honorarium - $1000
	Rockwell Int'l - Honorarium - $1000
	General Dynamics - Honorarium - $1000
	BoeingAircraft - Honorarium - $2000
	Assn of Old Crows - Honorarium - $2000
	Northrop - Honorarium - $2000
	* Awtry & Parker, P.C. - Income for work performed prior to election November, 1983. - - $9976.00

* NOTE II.A. This item consists of legal fees earned prior to my Special Election to the House in November, 1983. Payment was deferred until final settlement of litigation.

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A (For use by Members, officers, and employees)

Congressman Hal Daub
(Full Name)

1019 Longworth Building
(Mailing Address)

Washington, D. C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks

X Member of the U.S. House of Representatives—District 2 State Nebraska

Officer or Employee—Employing Office

Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
U. S. Government, H.H.S. (wife, Cindy)	Salary		
Honorariums - see attached list		31,750	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
First Virginia Bank	interest	C
First National Bank (Omaha)	interest	A
Rental Property	rent	D
Congressional Federal Credit Union	interest	A
Woodmen of the World	interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X ____ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Rental Property (Arlington, VA)	E
96th & Q Partnership (Omaha, Nebraska)	C
Simex Corp. (100% owned by Cindy Daub - Export-Import Brokerage)	under $1000

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
Certificate of Deposit Cashed In	Dec., 1986	C
Bought 1/3 ownership in 96th & Q Partnership	Jan., 1986	C
Founded Simex Corp. (100_ owned by Cindy Daub)	Jan., 1986	under $1000

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor ~~or endorser~~, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME, any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
Rental Property Mortgage (Continental Federal Savings & Loan of Fairfax, Virginia)	E

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
See attached sheet	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 14, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

II. INCOME

SOURCE	TYPE	AMOUNT	DISPO-SITION
Wm & Mary College Alumni (11-15-85) (check not received til 2-19-86)	Honorarium	500	
Grocery Manufacturers of America (12-12-85) (check not received til 1-16-86)	Honorarium	1000	
Food Marketing Institute (1-13)	Honorarium	1000	
National Council of Farmer Coops (1-20)	Honorarium	1000	
College of American Pathologists (2-5)	Honorarium	1000	
Credit Union National Association (2-21)	Honorarium	500	
American Meat Institute (2-24)	Honorarium	1000	
Squibb Corporation (3-3)	Honorarium	2000	
National Association of Life Underwriters (3-5)	Honorarium	1000	
Piper Jaffray & Hopwood (3-8)	Honorarium	1000	
HNG/InterNorth (3-27)	Honorarium	2000	
Blue Cross/Blue Shield (4-3)	Honorarium	2000	
Structured Settlement Industry (4-11)	Honorarium	1000	
National Council of Community Hospitals (4-18)	Honorarium	2000	
Chicago Board of Trade (4-21)	Honorarium	1000	
National Electrical Contractors (4-22)	Honorarium	500	
National Asociation of Manufacturers (5-14)	Honorarium	1000	
Miller & Schroeder Municipalities (5-19)	Honorarium	2000	
National Society of Public Accountants (5-20)	Honorarium	1000	

[illegible]

SOURCE	TYPE	AMOUNT	DISPO-SITION
Employee Stock Ownership Association (5-16)	Honorarium	2000	Charity
American General Corporation (5-26)	Honorarium	1000	Charity
American Diecasting Institute (6-4)	Honorarium	250	Charity
Health & Life Organization (6-11)	Honorarium	500	Charity
Amway Diamond Club (6-13)	Honorarium	2000	Charity
Preston, Thorgrimson, Ellis & Holman (7-18)	Honorarium	1000	Charity
Grocery Manufacturers of America (7-28)	Honorarium	1000	Charity
American Society of Association Executives (8-13)	Honorarium	1000	Charity
Capitol Forum/Williams & Jensen (9-15)	Honorarium	500	Charity

1986 Total Honorarium = $31,750

[illegible]

CHARITABLE CONTRIBUTIONS

Nebraska Committee for the Humanities (6/3)	250
Roman Hruska Law Center - Nebraksa State Bar Association (6/3)	500
Church of the Covenant (6/12)	500
American Diabetes Association (6/3)	250
Washington University School of Business (6/3)	500
UNL College of Law (6/12)	500
Benson Presbyterian Church (6/3)	500
Korean Community Service Center (7/16)	250
Make-A-Wish Nebraska, Inc. (7/16)	260
Omaha Childrens' Museum (9/17)	250
Social Center For Psychiatric Rehabilitation (10/9)	300
Church of the Covenant (9/17)	500
Leadership Education/Action Development (9/17)	500
National Kidney Foundation of Nebraska (9/17)	250
The Madonna School (9/17)	250
Uta Halee Girls Village (9/17)	250
Joslyn Art Museum (9/17)	250
Combined Health Agencies Drive (9/17)	250
The Omaha Home for Boys (9/22)	250
Mid-America Council/Boy Scouts of America (9/17)	250
Omaha Girls Club (9/17)	250
Chicano Awareness Center (9/17)	100
Omaha Community Playhouse (9/22)	250
Nebraska Vietnam Veterans Leadership Program, Inc. (9/22)	100
Scottish Rite Foundation of Omaha (10/9)	250
Great Plains Girl Scouts Council, Inc. (10/9)	250

Urban League of Nebraska (10/9)	100
Benson High Endowment Fund (10/9)	100
YWCA Membership Drive (10/9)	250
The Kappa Sigma Fraternity (10/9)	100
The Salvation Army (10/20)	100
American Red Cross (10/20)	100
Omaha Symphony Association (10/20)	100
United Way of the Midlands (10/20)	120
The Nebraska Food Bank Network, Inc. (10/20)	100
The Nebraska Masonic Home (10/20)	100
The Shrine Bowl of Nebraska (10/20)	100
TOTAL	$9280

[illegible]

VII. REIMBURSEMENTS

SOURCE	DESCRIPTION
Food Marketing Institute (1/12-1/13)	Transportation & Lodging expenses for speaking engagement in Miami, FL.
National Council of Farmer Coops (1/19-1/20)	Transportation & Lodging expenses for speaking engagement in Miami, FL.
American Meat Institute ((2/23-2/24)	Transportation & Lodging expenses for speaking engagement in Marco Island, FL.
Squibb Corporation (3/3)	Transportation expenses for speaking engagement in Princeton, N. J.
Piper, Jaffray & Hopwood (3/7-3/8)	Transportation & Lodging expenses for speaking engagement in Tucson, AZ.
HNG/InterNorth (3/26-3/27)	Transportation & Lodging expenses for speaking engagement in Houston, TX.
Structured Settlement Industry (4/11)	Transportation expenses for speaking engagement in Phoenix, AZ.
National Council of Community Hospitals (4/17-4/18)	Transportation & Lodging expenses for speaking engagement in Amelia Island, FL.
Chicago Board of Trade (4/21)	Transportation expenses for speaking engagement in Chicago, IL.
National Association of Manufacturers (5/13-5/14)	Transportation & Lodging expenses for speaking engagement in Chicago, Il.
Miller & Schroeder Municipalities (5/18-5/19)	Transportation & Lodging expenses for speaking engagement in Minneapolis, MN.

[illegible]

SOURCE	DESCRIPTION
American General Corporation (5/25-5/26)	Transportation & Lodging expenses for speaking engagement in Houston, TX.
Amway Diamond Club (6/13)	Transportation expenses for speaking engagement in Grand Rapids, MI.
Grocery Manufacturers of America (7/27-7/28)	Transportation & Lodging expenses for speaking engagement in Monterey, CA.
Turkish Foreign Policy Institute (11/14-11/23)	Transportation & Lodging expenses for Member & wife in Turkey

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A (For use by Members, officers, and employees)

Jack D Davis
(Full Name)

RT 1 R 95T
(Mailing Address)

N. Lenox, IL 60451

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 4 State IL

☐ Officer or Employee—Employing Office

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

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STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION" **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
IL State [illegible]	Salary	32[illegible]	
Will Co. [illegible]	"	3[illegible]	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Rents – [illegible]	Interest/Rent	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

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GENERAL GUIDELINES:

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TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 8

IDENTITY	CATEGORY
N/A	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
[illegible]	[illegible]	[illegible]

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
[illegible]	[illegible]

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	N/A	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5-2-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

HAND DELIVERED [illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A For use by Members, officers, and employees

JACK DAVIS
(Full Name)

128 FIR
(Mailing Address)

NEW LENOX, IL 60451

Mc ✓
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 4 State IL

Officer or Employee—Employing Office

☒ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

3 2 1 3 1 1 5

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II. A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION." **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
IL. STATE GOVERNMENT	SALARY	33,[illegible]	
WILL CO. GOP	[illegible]		
	[illegible]	3,[illegible]	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II. B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
INTEREST AND RENTS	INTEREST	E

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO ____ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
BEECHER IL. SMALL FARM	E

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
CONDO Exchanged	12-29-86	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMEN or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and th nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986 is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
PARTNER	KURTZ MEMORIAL CHAPEL

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Jack Davis	5-20-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A–For use by Members, officers, and employees

Robert W. Davis
(Full Name)

2417 Rayburn HOB
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives–District 11 State Michigan

☐ Officer or Employee–Employing Office

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure; all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION" **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information see detailed Instruction Booklet at page 7

A. SOURCE		TYPE	AMOUNT	DISPOSITION
MD Communications		spouse salary		
Pratt & Whitney	1/3/86	honorarium	1,000.00	
Sikorsky	1/6/86	honorarium	1,000.00	
Kinross Manufacturing Corp.	2/3/86	honorarium	2,000.00	
Northrop	3/14/86	honorarium	2,000.00	
			(cont.)	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
None		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ___ NO X NA ___

For more information see detailed Instruction Booklet at page 8

IDENTITY	CATEGORY
NMP Corporation (partial interest in restaurant)	E

7 0 7 0 : 1 2 1 : 4

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
State Bank of Escanaba	C
Old Kent Bank of Gaylord	C
Capitol Federal Savings and Loan, Lansing	B
Wright Patman Federal Credit Union	B
Land Contract - Fred and Helen Berkovich	E

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
None	

B The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION	VALUE
None		

[illegible] 7 7 7 0 2 1 2 1 [illegible] 5

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
United Technologies	transp. & meals for Air Force Assoc. dinner
Pratt & Whitney	transp. for speaking engagement
Northrop	transp. for speaking engagement
General Dynamics	transp. for speaking engagement
Lockheed	transp. for speaking engagement

(cont.)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Robt W. Davis	May 19, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

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Page _____ of _____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Robert W. Davis
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
II. Income (A)				
	General Dynamics	3/7/86	honorarium	1,000.00
	Lockheed	4/14/86	honorarium	1,000.00
	Magnavox	3/26/86	honorarium	1,000.00
	Rockwell International	4/30/86	honorarium	1,000.00
	General Electric	6/2/86	honorarium	1,000.00
	Empreses Diaz	7/14/86	honorarium	2,000.00
	Sundstrand	7/15/86	honorarium	500.00
	Technology Forum, Wash. D.C.	7/30/86	honorarium	500.00
	Pacific Car & Foundry Co.	8/8/86	honorarium	500.00
	Am. Waterways Operators	8/12/86	honorarium	1,000.00
	KMS Fusion	9/10/86	honorarium	1,000.00
	Michigan Bell	10/17/86	honorarium	750.00
	Textron	11/3/86	honorarium	1,000.00
	Long Island Aerospace Comm.	11/6/86	honorarium	2,000.00
	National Marine Bankers Assoc	12/4/86	honorarium	500.00
	Preston, Thorgrimson, Ellis & Holman	12/23/86	honorarium	1,000.00
VII. Reimbursements	Rockwell International	transp. for speaking engagement		
	General Electric	transportation for speaking engagement		
	Wedtech Corp.	transp. & lodging for conferences		
	Pacific Car & Foundry Co.	transp. for speaking engagement		
	KMS Fusion	transp. for speaking engagement		
	Long Island Aerospace Comm.	transp. for speaking engagement		
	National Marine Manufacturers	transp. & lodging for speaking eng.		
	Wedtech of Michigan	transp. & lodging for conferences		

GPO 1986 61-[illegible] (m)

ROBERT W. DAVIS
11TH DISTRICT, MICHIGAN

COMMITTEES
ARMED SERVICES
MERCHANT MARINE AND
FISHERIES

Congress of the United States
House of Representatives
Washington, DC 20515

WASHINGTON OFFICE
1224 LONGWORTH HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-4735
CALL TOLL FREE IN MICHIGAN
1-800-482-0663

June 18, 1987

The Honorable Donnald K. Anderson
Clerk, U.S. House of Representatives
H1-2, U.S. Capitol
Washington, D.C. 20515

mc ✓

Dear Mr. Anderson:

This letter is to notify you that I am amending my 1986 Financial Disclosure Statement, Section VII.

As requested by the Committee on Standards of Official Conduct, I have given a more detailed description of the reimbursements. In doing so, I found several mistakes that were inadvertently made in that section and have made the corrections.

Thank you for your attention to this matter.

Sincerely,

Bob Davis
ROBERT W. DAVIS

RWD:ch

DISTRICT OFFICES:

☐ 410 N. MAIN STREET
CHEBOYGAN, MI 49721
(616) 627-4603

☐ 218 W. WASHINGTON STREET
MARQUETTE, MI 49855
(906) 228-3700

☐ CITY-COUNTY BUILDING
SAULT STE. MARIE, MI 49783
(906) 635-6261 ext. 36

☐ 118 N. 22D STREET
ESCANABA, MI 49829
(906) 786-4504

☐ 100 PORTAGE
HOUGHTON, MI 49931
(906) 482-2404

☐ 147 W. MAIN STREET
GAYLORD, MI 49735
(517) 732-3181

☐ 200 E. AYER
IRONWOOD, MI 49938
(906) 932-4001

☐ FEDERAL BUILDING
ALPENA, MI 49707
(517) 356-2028

☐ 207 E. MITCHELL STREET
PETOSKEY, MI 49770
(616) 347-4960

INSIDE MAIL

VII. REIMBURSEMENTS

mc ✓

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
United Technologies	transp. & meals 4/4-4/6 for Air Force Assoc. dinner, NYC
Pratt & Whitney	transp. meals and lodging 1/1-1/4 for speaking engagement - West Palm Beach
Northrop	transp., meals & lodging 3/14-3/15 for speaking engagement in Los Angeles

(continued)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13

POSITION	NAME OF ORGANIZATION

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13

DATE	PARTIES TO	TERMS OF AGREEMENT

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	6/18/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987 69-252 (a)

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ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Robert W. Davis
Name

Continuation Sheet

For	Source, Type, Amount Category, Description or Value (As Applicable)	
VII. Reimbursements		
	Lockheed	transportation and meals for speaking engagement in SanFrancisco area.4/14
	Rockwell International	transp.,meals and lodging for speaking engagement in Los Angeles area, 4/27-4/28
	General Electric	transportation and meal for speaking engagement in Cincinnati 5/29
	Wedtech Corp.	transp., meals and lodging for conferences in San Juan, 6/30-7/1
	Pacific Car & Foundry Co.	1/2 of transp., meals & lodging for speaking engagement in Seattle, 7/8-7/9
	Sundstrand	1/2 of transp., meals & lodging for speaking engagement in Seattle, 7/8-7/9
	KMS Fusion Inc.	transp. and meal for speaking engagement in Detroit, 9/8
	Grumman Corporation	transp. and meal for speaking engagement on Long Island, 10/27
	Maritime Law Association	lodging and meals 11/15-11/18 for speaking engagement, Hawaii
	National Marine Manufacturers	transp., lodging and meals for speaking engagement in Hawaii, 11/19-11/22
	Wedtech of Michigan	transp, meals and lodging for conferences in San Juan, 10/13-10/14

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Peter A. DeFazio
(Full Name)

1729 LHOB
(Mailing Address)

Washington D.C. 20015

MC (Office Use Only)

Check the appropriate box and fill in the blanks

☑ Member of the U.S. House of Representatives—District 4TH State OR

☐ Officer or Employee—Employing Office

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ✓ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION" **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
LANE COUNTY OREGON (SELF)	SALARY	$32,000	
CITY OF EUGENE OREGON (SPOUSE)	SALARY	23,500	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
RESIDENCE 1934 WALNUT GROVE SOLD UNDER LAND SALE CONTRACT TO J. JACKSON/ESCROW SOY CAPITAL BANK DECATUR ILL.	MONTHLY INSTALL. LAND SALE CONTRACT	B
OREGON BANK CERT. OF DEPOSIT	INTEREST	B
SELCO CRED. UNION CERT OF DEPOSIT, SAVINGS	INTEREST	A
PEFCO CRED. UNION CERT OF DEPOSIT, SAVINGS	INTEREST	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO ✓ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
135 ACRES TREE FARM, BEAR VALLEY FARMS, PARTNERSHIP ⅓ INT, TL #4132271530, SENECA GRANT CTY OR., NO INCOME	C
OREGON BANK CERT. OF DEPOSIT (SEE, IB)	A
SELCO CREDIT UNION, CERT. OF DEPOSIT (SEE, IB)	B
PEFCO CREDIT UNION SAVINGS	A

DeFazio

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
MARY GREEN c/o LAND TITLE & ESCROW, LAND SALE CONTRACT ON BEAR VALLEY FARMS AS LISTED IN III	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13

POSITION	NAME OF ORGANIZATION
PARTNER	BEAR VALLEY FARMS (see III)

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Pete A DeFazio	12 May 87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE [illegible] 69-236 (a)

[illegible]

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

E. (Kika) de la Garza, M.C.
(Full Name)

1401 Longworth House Office Building
(Mailing Address)
Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks:

XX Member of the U.S. House of Representatives—District 15 State Texas

Officer or Employee—Employing Office

Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN.

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO XX NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE. AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
American Farm Bureau	honorarium	$1000	None
American Rice, Inc	honorarium	2000	None
Idaho Irrigation District	honorarium	2000	None
Albany Chamber of Commerce	honorarium	2000	None
Chicago Mercantile Exchange	honorarium	1000	None

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
NONE		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO XX NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Texas Regional Bank (shares)	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item, and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
Albany Chamber of Commerce (Speech)	Airfare from Texas to Georgia to D.C.; 2 days food/lodging
Chicago Mercantile Exchange (Speech)	Round trip airfare D.C. to Boca Raton; 2 days food/lodging
Mexican-American Grocery Assn (Speech;expenses for self and spouse)	Round trip airfare D.C. to Palm Springs; 1 night lodging

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
de la Garza	14 May 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987 [illegible]

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

E (Kika) de la Garza, M C
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
IIA	R J Reynolds	honorarium	$2000	None
IIA	Iowa Bankers	honorarium	1000	None
IIA	Mexican-American Grocery Assn	honorarium	1000	None
IIA	American Cotton Shippers	honorarium	1000	None
IIA	National Telephone Coop	honorarium	2000	None
IIA	Georgia Seedsmen Assn	honorarium	1000	None
IIA	Nat'l Rural Utilities Coop Fin Corp	honorarium	1000	None
IIA	Michigan Elec Coop Assn	honorarium	500	None
IIA	Nat'l Rural Elec Coop Assn	honorarium	500	None
IIA	BPI...A Grower's Organization	honorarium	500	None
IIA	R J Reynolds Industries	honorarium	2000	None
IIA	Nat'l Soybean Processors	honorarium	1000	None
IIA	Renewable Fuels Assn	honorarium	1000	None
IIA	Nat'l Ag Chemicals Assn	honorarium	1000	Charity
IIA	Nat'l Ag Chemicals Assn	honorarium	500	Charity
IIA	Gold Kist, Inc	honorarium	1500	Charity
IIA	Georgia Agric Business	honorarium	500	Charity (470)

VII	American Cotton Shippers(Speech; expenses for self & spouse)	Airfare from D.C. to San Diego,Ca; 2 nights lodging/food
VII	Nat'l Telephone Coop(Speech)	Round trip D.C. to Anaheim,Ca; 2 nights lodging/food
VII	Georgia Agric Business(Speech)	Airfare D.C. to Atlanta to Austin; 1 night lodging

GPO [illegible] 61-[illegible] (m)

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

E (Kika) de la Garza, M C
(Full Name)

1401 Longworth House Office Building
Washington, D C 20515
(Mailing Address)

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 15 State Texas

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XX ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A SOURCE		TYPE	AMOUNT	DISPOSITION
American Farm Bureau	Received 1-6-86	honorarium	$1000	None
American Rice, Inc	Received 1-23-86	honorarium	2000	None
Idaho Irrigation District	Received 1-29-86	honorarium	2000	None
Albany Chamber of Commerce	Received 2-19-86	honorarium	2000	None
Chicago Mercantile Exchange	Received 2-13-86	honorarium	1000	None

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B SOURCE	TYPE	CATEGORY
NONE		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Texas Regional Bank (shares)	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
Albany Chamber of Commerce (Speech)	Airfare from Texas to Georgia to D C.; 2 days food/lodging
Chicago Mercantile Exchange (Speech)	Round trip airfare D.C. to Boca Raton; 2 days food/lodging
Mexican-American Grocery Assn (Speech;expenses for self and spouse)	Round trip airfare D.C. to Palm Springs 1 night lodging

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
E de la Garza	14 May 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

E (Kika) de la Garza, M C
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)				
IIA	R J Reynolds	honorarium	$2000	None	Rcv'd 3-17-86
IIA	Iowa Bankers	honorarium	1000	None	Rcv'd 4-7-86
IIA	Mexican-American Grocery Assn	honorarium	1000	None	Rcv'd 4-28-86
IIA	American Cotton Shippers	honorarium	1000	None	Rcv'd 6-20-86
IIA	National Telephone Coop	honorarium	2000	None	Rcv'd 6-27-86
IIA	Georgia Seedsmen Assn	honorarium	1000	None	Rcv'd 7-14-86
IIA	Nat'l Rural Utilities Coop Fin Corp	honorarium	1000	None	Rcv'd 7-23-86
IIA	Michigan Elec Coop Assn	honorarium	500	None	Rcv'd 7-18-86
IIA	Nat'l Rural Elec Coop Assn	honorarium	500	None	Rcv'd 7-18-86
IIA	BPI...A Grower's Organization	honorarium	500	None	Rcv'd 7-18-86
IIA	R J Reynolds Industries	honorarium	2000	None	Rcv'd 9-30-86
IIA	Nat'l Soybean Processors	honorarium	1000	None	Rcv'd 10-2-86
IIA	Renewable Fuels Assn	honorarium	1000	None	Rcv'd 10-31-86
IIA	Nat'l Ag Chemicals Assn	honorarium	1000	Charity	Rcv'd 11-15-86
IIA	Nat'l Ag Chemicals Assn	honorarium	500	Charity	Rcv'd 12-2-86
IIA	Gold Kist, Inc	honorarium	1500	Charity	Rcv'd 11-5-86
IIA	Georgia Agric Business	honorarium	500	Charity (470)	Rcv'd 12-29-86
VII	American Cotton Shippers(Speech; expenses for self & spouse)	Airfare from D.C. to San Diego,Ca; 2 nights lodging/food			
VII	Nat'l Telephone Coop(Speech)	Round trip D.C. to Anaheim,Ca; 2 nights lodging/food			
VII	Georgia Agric Business(Speech)	Airfare D.C. to Atlanta to Austin; 1 night lodging			

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Thomas D. DeLay
(Full Name)

1039 Longworth House Office Building
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks:

X Member of the U.S. House of Representatives—District 22 State Texas

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed, identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA X

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See Continuation Sheet			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Exxon	Dividends	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Exxon	C
Albo Pest Control, Inc.	E

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
See Continuation Sheet	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Chairman of the Board	- Albo Pest Control, Inc.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Thomas D. DeLay	5/14/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 49-232 (a)

Page 1 of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Thomas D. DeLay
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
IIA	Chicago Board of Trade	Honorarium	$ 500
IIA	Chicago Mercantile	Honorarium	500
IIA	Private Truck Council of America	Honorarium	1000
IIA	Alliance for Simple, Equitable & Rational Truck Taxation	Honorarium	500
IIA	Private Carrier Conference, Inc.	Honorarium	1000
IIA	Pfizer, Inc.	Honorarium	1000
IIA	Transportation Brokers of America	Honorarium	1000
IIA	Footwear Retailers of America	Honorarium	500
IIA	Private Carrier Conference, Inc.	Honorarium	1000
IIA	National Industrial Transportation League	Honorarium	500
IIA	National Grocers Association	Honorarium	500
IIA	Virginia Pest Control Association	Honorarium	300
IIA	Society of Industrial and Office Realtors	Honorarium	1000
IIA	Albo Pest Control	Fees	6700
IIA	TEX-AID	Honorarium	1000
VII	Taiwan Government	Agricultural Fact Finding Tour Roundtrip Airfare,6 days,Taiwan Spouse included	
VII	Private Carrier Conference, Inc.	Speech Roundtrip Airfare Hou-Austin-DC	
VII	Private Truck Council of America	Speech Roundtrip Airfare Hou-Dallas-Hou	
VII	Pfizer	Speech Roundtrip Airfare,Indianappolis	
VII	Transportation Brokers of America	Speech Roundtrip Airfare Hou-Dallas-Hou	
VII	American Medical International	Speech Roundtrip Airfare, 3 days, Puerta Vallarata	
VII	Footwear Retailers of America	Speech Roundtrip Airfare, 1 day, Florida	
VII	Private Carrier Conference, Inc.	Speech Roundtrip Airfare DC-Georgia-DC	
VII	Society of Industrial and Office Realtors	Speech Roundtrip Airfare Hou-Austin-Hou	
VII	Chicago Board of Trade	Speech Roundtrip Airfare DC-Chicago-DC	
VII	TEX-AID	Speech Roundtrip Airfare 1 day, Dallas	

GPO 1986 61-648 (m)

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A–For use by Members, officers, and employees

Ronald V. Dellums
(Full Name)
2136 Rayburn House Office Building
(Mailing Address)
Washington, D. C. 20515

mc
(Office Use Only)

Check the appropriate box and fill in the blanks

X Member of the U.S. House of Representatives–District 8th State CA

Officer or Employee–Employing Office

Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.

- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986 for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.

- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE. Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO XX NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
SEE ATTACHED LIST			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
NONE		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __XX__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
NONE	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Sovran - D.C. National Bank	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

[illegible]

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
SEE ATTACHED	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
	NONE

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 26, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

[illegible]

Page 1 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Ronald V. Dellums
(Name)

Continuation Sheet

Part	Source	Type	Amount	Disposition
II-A	Boston University (1/15/86)	Honorarium	$2,000	
	Nat'l. Conf. of Christians and Jews (1/18/86)	Honorarium	$2,000	
	Wash. State MLK Commission Seattle, WA (1/20/86)	Honorarium	$2,000	
	Wine & Spirits Wholesalers of America, Wash.,D.C.(1/29/86)	Honorarium	$1,000	
	A. Phillip Randolph Institute Wilmington,N.C.(2/1/86)	Honorarium	$1,000	
	Tulane University, Tulane, LA (2/20/86)	Honorarium	$2,000	
	Methodist Health Systems Memphis, TN (2/28/86)	Honorarium	$1,500	
	Progress Baptist Church Oakland, CA (2/28/86)	Honorarium	$ 200	
	Oshkosh Truck Oshkosh, WI (3/7/86)	Honorarium	$2,000	
	Bell Helicopter Texas (4/18/86)	Honorarium	$2,000	
	American Maintenance Co. Texas (4/18/86)	Honorarium	$2,000	
	Killen Chamber of Commerce Texas (4/18/86)	Honorarium	$1,000	
	New Jersey Chamber of Commerce (5/10/86)	Honorarium	$2,000	
	Committee to Re-elect Ed Townes, N.Y.(5/17/86)	Honorarium	$ 500	
	S.W. Wash. Blacks in Gov't. Portland, OR (6/6/86)	Honorarium	$1,330	
	Washington Post (10/15/86)	Honorarium	$ 100	(Donated to Washington Ofc. on Africa

Part — Source, Type, Amount, Category, Description or Value (As Applicable)

Page 2 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Ronald V. Dellums
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
VII	1. Boston University provided roundtrip airfare between Wash., D.C. and Boston: one day's food and lodging
	2. National Conference of Christians and Jews provided roundtrip airfare between Wash., D.C. and Des Moines; one day's food and lodging
	3. Washington State Martin Luther Kind Commission provided roundtrip airfare between Washington, D.C. and Seattle: one day's food and lodging
	4. A. Phillip Randolph Institute provided roundtrip train fare between Wash., D.C. and Wilmington, N.C.
	5. Tulane University provided roundtrip airfare between Washington, D.C. and New Orleans; one day's food and lodging
	6. Committee to Elect Harold Ford paid roundtrip airfare between Wash., D.C. and Memphis, TN
	7. Oshkosh Truck provided roundtrip airfare to Oshkosh, WI
	8. New Jersey Education Association provided roundtrip Wash., D.C. to Newark and lodging and food for one day
	9. Committee to Re-elect Ed Townes provided roundtrip Wash., D.C. to New York, lodging and food for one day
	10. S.W. Washington Blacks in Government provided roundtrip San Francisco to Portland, lodging and food for one day

GPO: [illegible] 61-[illegible] (m)

HAND DELIVERED [illegible]

RONALD V DELLUMS
8TH DISTRICT CALIFORNIA

CHAIRPERSON
COMMITTEE ON THE
DISTRICT OF COLUMBIA

ARMED SERVICES COMMITTEE

CHAIRPERSON
SUBCOMMITTEE ON
MILITARY INSTALLATIONS
AND FACILITIES

☐ 2136 RAYBURN BUILDING
WASHINGTON DC 20515
(202) 225-2661

CARLOTTIA SCOTT
ADMINISTRATIVE ASSISTANT

RUBERT BRAUER
SPECIAL COUNSEL

Congreſs of the United States
House of Representatives

ANY REPLY TO THIS LETTER
SHOULD BE ADDRESSED TO
OFFICE CHECKED

☐ 201 13TH STREET SUITE 105
OAKLAND CA 94617
(415) 763-0370

☐ 1720 OREGON STREET
BERKELEY CA 94703
(415) 548-7767

☐ 3557 MT DIABLO BOULEVARD
LAFAYETTE CA 94549
(415) 283-8125

DONALD R HOPKINS
DISTRICT ADMINISTRATOR

June 3, 1987

Honorable Donnald K. Anderson
Clerk of the House
H-105, The Capitol
Washington, D. C. 20515

Dear Mr. Anderson:

When my Financial Disclosure form was typed, a matter was unintentionally left out.

Under Item II, INCOME; the following should be included:

Spouse	Salary	Assembly State of California

Sincerely,

Ronald V. Dellums
Ronald V. Dellums
Member of Congress

RVD:rb
Encl.

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

HON. RON DE LUGO
(Full Name)

2238 RAYBURN H.O.B.
(Mailing Address)

WASHINGTON, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District At Large State Virgin Islands

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Fortuna Bay Estates	Capital Gains	E
Apartment - Puerto Rico	Rental	C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY		CATEGORY
Fortuna Bay Estates, Inc.	A/C Rec.	F
Fortuna Bay Estates, Inc.	Stock	A
Fortuna Bay Estates, Inc.	Land	E
Estate Fortuna #3	5 A/C	D
Dyco Oil & Gas Programs		A
Estate Fortuna-Parcel No. 4-1-6- (Sec. A.)		C

(continued)

Page 2 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

HON. RON DE LUGO
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
III	HOLDINGS:	CATEGORY
	Apartment - Puerto Rico	D
	Ottley Communications Corporation	D
	Franklin Custodian Funds, Inc. - I.R.A.	B
	Vanguard World Fund	A
	Vanguard Fund - Windsor II	A
	Vanguard Fund - Energy	B
	Vanguard Money Market Prime Portfolio	A
	Vanguard Fund - Gold	A
	Fidelity Investments - American Gold	B
	Evergreen Total Return Fund	B
	Evergreen Total Return Fund (I.R.A.)	B
	Mutual Shares	B
	Value Line Tax Exempt Money Market Fund	B
	Strong Total Return Fund	A
	Twentieth Century Select Fund	A
	U.S. Savings Bonds	A

GPO [illegible]

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sale Land Estate Fortuna	1986	C
Mutual Funds	1986	D

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Mortgage - Puerto Rico Apartment (Banco de Santander)	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
President	Fortuna Bay Estates, Inc.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Ron de Lugo	May 15/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987 [illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A For use by Members, officers, and employees

HONORABLE RON DE LUGO
(Full Name)

2238 RAYBURN H.O.B.
(Mailing Address)

WASHINGTON, D.C. 20515

mc
(Office Use Only)

Check the appropriate box and fill in the blanks:

KX Member of the U.S. House of Representatives—District At Large State Virgin Islands

Officer or Employee—Employing Office

XX Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES XX NO ____ NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A. as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
NONE			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Fortuna Bay Estates (4K)	Capital Gains	E
Apartment - Puerto Rico	Rental	C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO XX NA ____

For more information, see detailed Instruction Booklet at page 8

IDENTITY	CATEGORY
See attached	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See attached.		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Mortgage - Puerto Rico Apartment (Banco de Santander)	C
Mortgage - Parkfairfax Condomirium (Fleet Funding Mortgage Corp.)	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
President	Fortuna Bay Estates, Inc.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	June 15, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

[illegible]

Page 5 of 5

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

HONORABLE RON DE LUGO
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*		
III	HOLDINGS		
	Parkfairfax Condominium		D
	Fortuna Bay Estates, Inc.	A/C Rec.	F
	Fortuna Bay Estates, Inc.	Stock	A
	Fortuna Bay Estates, Inc.	Land	E
	Estate Fortuna #3	5 A/C	D
	Estate Fortuna (Remainder 4K)	Land	C
	Estate Fortuna Parcel #4-1-60 (Sec. A)	Land	C
	Wife's Personal Property #4-d-4 Estate Rosendahl		D
	Dyco Oil & Gas Programs		A
	Apartment - Puerto Rico		D
	Ottley Communications Corp.		D
	Wright Patman Cong'l Credit Union (I.R.A.)		B
	Franklin Custodian Funds, Inc. (I.R.A.)		B
	Vanguard World Fund		A
	Vanguard Fund (Wellesley Income Fund)		A
	Vanguard Fund (Energy)		B
	Vanguard Money Market Prime Portfoloio		A
	Fidelity Select (American Gold)		B
	Value Line Tax Exempt Money Market Fund		B
	U.S. Savings Bonds		A
	NOTE: Chase Manhattan Bank (wife's CD) -- inadvertently listed in previous disclosure; not required to report -- should be deleted & will not appear in future disclosures.		
IV	TRANSACTIONS		
	Estate Fortuna Parcel #4-1-60 (Sec.A.) 4/4/86 (Purchase)		C
	Estate Fortuna (part of 4K) -- 4/86		C
	Value Line Cash Fund -- 11/86 (Sold)		B
	Value Line Leverage Growth (Sold)		A
	Value Line Leverage Growth I.R.A. -- 1/86 (Transfer to Franklin Custodian Funds, Inc. (IRA)		A
	Vanguard World Fund -- 9/86 (Purchase)		A
	Vanguard Fund (Wellesley Income Fund) - 9/86 (Purchase)		A
	Vanguard Fund (Energy) -- 9/86		A
	Vanguard Money Market Prime Portfolio - 9/86 (Purchase)		A
	Fidelity Select (American Gold) -- 8/86 (Purchase)		B
	Value Line Tax Exempt Money Market -- 2/86 (Purchase)		B
	U.S. Savings Bonds (Purchase)		A

GPO [illegible]

HAND DELIVERED

RON DE LUGO
DELEGATE, VIRGIN ISLANDS

COMMITTEE ON INTERIOR AND INSULAR AFFAIRS

CHAIRMAN: SUBCOMMITTEE ON INSULAR AND INTERNATIONAL AFFAIRS

COMMITTEE ON PUBLIC WORKS AND TRANSPORTATION

COMMITTEE ON POST OFFICE AND CIVIL SERVICE

Congress of the United States
House of Representatives
Washington, DC 20515

WASHINGTON OFFICE
2238 RAYBURN HOUSE OFFICE BUILDING
WASHINGTON DC 20515
(202) 225-1790

DISTRICT OFFICES
U.S. FEDERAL BUILDING, SUITE 256
ST THOMAS, VI 00801
(809) 774-4408

P.O. BOX 5998
SUNNY ISLE SHOPPING CENTER
ST CROIX, VI 00820
(809) 778-5900

June 15, 1987

MCL

The Honorable Julian C. Dixon
Chairman, Committee on Standards of Official Conduct
HT-2, U.S. Capitol
Washington, D.C. 20515

Dear Mr. Chairman:

This is in response to your letter regarding the apparent deficiencies on my 1986 Financial Disclosure which was submitted on May 15, 1987.

By receipt of this letter and enclosure, this will serve to correct and amend my Financial Disclosure Statement for 1986.

In addition, I would like to, however, bring to your attention that the following items should be deleted from my original statement as they are 1987 holdings and were listed inadvertently as 1986 holdings:

Vanguard Fund (Windsor II)
Vanguard Fund (Gold)
Evergreen Total Return Fund
Evergreen Total Return Fund (I.R.A.)
Mutual Shares
Strong Total Return Fund
Twentieth Century Select Fund

Your attention to this matter is sincerely appreciated.

Sincerely,

Ron de Lugo
Member of Congress

RdL:nml

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Butler Carson Derrick, Jr.
(Full Name)

201 Cannon House Office Building
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 3rd State South Carolina

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION." **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
American Sugar Cane Intitute Co.	1/9/86	Honorarium	$[illegible]	Personal
Liberty Life Insurance Co.	[illegible]	Honorarium	$[illegible]	Personal
WasteManagement Incorporated	[illegible]	Honorarium	$[illegible]	Personal
The Tobacco Institute	[illegible]	Honorarium	$[illegible]	Personal
International Thomson Transport	[illegible]	Honorarium	$[illegible]	Personal [illegible]

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Mrs. Anne Sullivan, Edgefield, SC	rent	A
R Boucner, 651 A Street, SE, Wash, DC	rent	A
John Bowles, 651 A Street, SE, Wash, DC	rent	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO XXX NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
1. The Blocker House, Hwy 25	
Edgefield County (Farmland Acreage & Dwelling) Residence	E
2. Business Development Corp., Edgefield, SC/Bonds	A
3. 651 A Street, SE, Washington, DC,/residence	E
4. Dwelling & Acreage, Martin Town Road, Edgefield, SC	E

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
First American Savings & Loan/Mortgage Payment, Wash DC (residence)	D
South Carolina National Bank, Mort. Payment, SC residence	B
County Bank, Greenwood, SC	C
C & S National Bank, Edgefield, SC	A

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
Longview Petroleum Reps.	Travel Expenses, speech
Merritt Tool	Travel Expenses, speech
American Sugar Cane Institute Co.	Travel Expenses, speech
International Thomson Transport Press	Travel Expenses, speech
The Thread Institute, Inc.	Travel Expenses, speech

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government, and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date 5/12/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

Page ____ of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Butler C. Derrick, Jr.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)				
II.A.					
	Manville Corporation	4/24/86	Honorarium	$2,000.00	personal
	The Thread Institute	5/12/86	Honorarium	2,000.00	personal
	Gulf & Western Industries	6/6/86	Honorarium	1,000.00	per/charity
	Congressional Quarterly	12/4/86	Honorarium	500.00	charity
	Drexel Burnham Lamber	5/22/86	Honorarium	2,000.00	personal
	Morgan Stanley	5/20/86	Honorarium	2,000.00	personal
	National Telephone Coop.Assn	5/19/86	Honorarium	300.00	personal
	American Assn. of Equip Lessor	1/9/86	Honorarium	1,000.00	Personal
	Palmetto Business Forum	2/10/86	Honorarium	500.00	Charity
	Brookings Institute	4/1/86	Honorarium	300.00	charity
	American Pioneer	6/5/86	Honorarium	1,000.00	personal/char
	National Restaurant Assn.	11/13/86	Honorarium	1,000.00	Charity
	International Management and Development	7/15/86	Honorarium	1,000.00	charity
	American Insurance	10/16/86	Honorarium	1,000.00	charity
	Miller & Schroeder Financial	6/10/86	Honorarium	2,000.00	charity
	Auto Dealers Drivers for Free Trade Political Action Cmte.	6/3/86	Honorarium	2,000.00	personal
	Merritt Tool	1/2&13/86	Honorarium	1,000.00	personal
	Longview Petroleum	1/2&15/86	Honorarium	1,250.00	personal

VII.	Reimbursements	
	New York Stock Exchange	travel expenses/speech
	Miller & Schroder Financial, Inc.	travel expenses/speech
	Manville Corporation	travel expenses/speech
	National Rural Electric Coop.	travel expenses

GPO [illegible] (m)

[illegible]

[illegible]

[illegible] Personal
[illegible] Charity

[illegible] Personal
[illegible] Charity

[illegible] Personal
[illegible] Charity

[illegible] TOTAL PAYMENT.

[illegible]

1. [illegible]
2. [illegible]
3. Darrell Hamilton [illegible]
4. [illegible]
5. Donald Hawkins [illegible]
6. [illegible] Maxwell [illegible]
7. [illegible]
8. [illegible]
9. [illegible]

[illegible] $1,[illegible]

1. Howard Douglas [illegible]
2. [illegible]
3. [illegible]
4. [illegible]
5. [illegible]
6. [illegible]
7. [illegible]

BUTLER DERRICK
3d District, South Carolina
Leo Cox
Administrative Assistant
201 Cannon House Office Building
Washington, DC 20515
(202) 225-5301
Committees
Rules
Chairman, Subcommittee on
the Legislative Process
Budget
Select Committee on Aging
Democratic Steering and
Policy Committee

Congress of the United States
House of Representatives
Washington, DC 20515

District Offices
Post Office Box 4128
Anderson, SC 29622
(803) 224-7401
Barbara [illegible]
District Manager
5 Federal Building
211 York Street NE
Aiken, SC 29801
(803) 649-5571
129 Federal Building
Greenwood, SC 29648
(803) 223-8251

May 21, 1987

√
MC

The Honorable Donn Anderson
Clerk
U.S. House of Representatives
H-105 The Capitol
Washington, D.C. 20515

Dear Donn:

Pursuant to the request from Chairman Dixon of the Committee on Standards of Official Conduct, I am enclosing amendments to my 1986 Financial Disclosure Statement. The items in question are V-Liabilities and VII-Reimbursements.

Please let me know if any additional information is required.

Thank you for your assistance and cooperation in this regard.

With warm personal regards, I am

Respectfully,

BUTLER DERRICK

Enclosures

D/rr

V. LIABILITIES

1. County Bank, Greenwood, South Carolina

   Personal Loan

   Loan has not been paid in full as of December 31, 1986

2. C & S National Bank, Edgiefield, South Carolina

   Personal Loan

   Loan has not been paid in full as of December 31, 1987

###

VII. REIMBURSEMENTS

1. Longview Petroleum Reps.*

Honorarium: $1,250.00

Date: December 26-30, 1986

Airfare: Columbia, South Carolina/New Orleans, Louisiana/Lubbock, Texas

Place: Longview, Texas

2. Merritt Tool*

Honorarium: $1,900.00

Date: December 26-30, 1986

Airfare: Columbia, South Carolina/Atlanta/New Orleans, Louisiana/Lubbock, Texas

Place: Kilgore, Texas

3. American Sugar Cane Institute Company*

Honorarium: $1,000.00

Date: December 26-30, 1987

Place: New Orleans, Louisiana

Airfare: Columbia, South Carolina/Atlanta/New Orleans, Louisiana/Lubbock, Texas

NOTE: **Honorarium remuneration and travel related expenses for items 1-3 were paid and divided by several individuals. Please see attachment #1.**

4. International Thomson Transport

Honorarium: $2,000.00

Date: February 24, 1986

Airfare: Columbia, South Carolina/New York City/Washington National Airport

Place: New York, New York

VII. REIMBURSEMENTS cont......

5. Thread Institute

Honorarium: $2,000.00

Date: May 8-9, 1986

Airfare: Washington National Airport/Jacksonville, Florida/Anderson, South Carolina

Place: Jacksonville, Florida

Additional Expenses: Food/Lodging

6. New York Stock Exchange

Honorarium: No Remuneration

Date: June 22-23, 1986

Airfare: Washington National Airport/New York City/Washington National Airport

Place: New York City

Additional Expenses: Rental Car for transportation from Asheville, North Carolina to airport to travel to New York City for honorarium; and food and lodging while in New York City

7. Miller and Schroder

Honorarium: $2,000.00

Date: June 9, 1986

Airfare: Washington National Airport/Milwaukee, Wisc./Washington National Airport

8. National Rural Electric

Honorarium: $1,000.00 (paid in January, 1987)

Date: November 22-26, 1986

Airfare: Washington National Airport/West Palm Beach/Columbia, South Carolina.

Place: Clewiston, Florida

Additional Expenses: Food/Lodging

[illegible]

ATTACHMENT #1

1. LONGVIEW PETROLEUM REPS.

a. Howard Coglan	01/02/86	$ 200.00
b. John Neal	01-02-86	$ 200.00
c. Robert Cargill	01-02-86	$ 200.00
d. John Darby	01-02-86	$ 200.00
e. Wenert Trich	01-15-86	$ 50.00
f. Art Machin	01-15-86	$ 200.00
g. J.C. Robbins	01-15-86	$ 200.00

2. MERRITT TOOL

a. H.L. Long	01-01-86	$ 500.00
b. Sam Roosth	01-02086	$ 200.00
c. Darrell Hamilton	01-02-86	$ 200.00
d. Wisenbaker Production	01-02-86	$ 200.00
e. Donald Hawkins	01-02-86	$ 200.00
f. J.K. Maxwell	01-13-86	$ 100.00
g. Lloyd Bolding	01-13-86	$ 100.00
h. G.A. Hinton	01-13-86	$ 200.00
i. R.L. Gibson	01-13-86	$ 200.00

3. AMERICAN SUGAR CANE INSTITUTE COMPANY

a. R. Charles Hodson	01-02-86	$1,000.00

#######

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

(RICHARD) MIKE DeWINE
(Full Name)

2587 Conley Road
(Mailing Address)
Cedarville, Ohio 45314

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 7 State Ohio

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __x__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
DeWine, Rose, Haller & Sidell/member	partnership	$3,516.	none
Chicago Mercantile Exchange/member/3/10	honoraria	500.	none
Chicago Board of Trade/member/3/10	honoraria	500.	none
National Cable Television Assocn./member/3/15	honoraria	1,500.	none
National Assocn. of Broadcasters/member/4/13&14	honoraria	1,500.	none
National Restaurant Assocn./member/5/17&18	honoraria	1,000.	none

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
The Ohio Twine Co./member	partnership	F
The Ohio Twine Co./children	partnership	F
DeWine International Seeds, Inc./member	dividend	A
DeWine Enterprises, Inc./member and spouse	dividend	D
DeWine Enterprises, Inc./children	dividend	D
Wright Patman Cong. Fed. Credit Union/member, children	interest	A
see continuation sheet		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from, (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8

IDENTITY	CATEGORY
DeWine Enterprises, Inc./member,spouse,children stock	F
DeWine International Seeds Inc./member stock	C
The Ohio Twine Co. partnership/member, children	F
DeWine, Rose, Haller & Sidell, law partnership/member	A

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME, any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
DeWine Enterprises, Inc./member	C
DeWine Enterprises, Inc./children	E

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
none	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
none		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986:

SOURCE	BRIEF DESCRIPTION
Chicago Mercantile Exchange/Board of Trade	1 Roundtrip airfare D.C.-Chicago
National Cable Television Assocn.	2 Roundtrip airfare D.C.-Dallas, 2 nights lodging, meals
National Restaurant Assocn.	2 Roundtrip airfare D.C.-Chicago, 1 night lodging and meals
see continuation sheet	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Partner, member	DeWine, Rose, Haller & Sidell-Atty at Law
Partner, member	Ohio Twine Company
Partner, children	Ohio Twine Company
Partner, spouse	DSRB
Director, member	DeWine Enterprises, Inc.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Richard Michael DeWine	May 15, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

MIKE DeWINE
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II. A	New York Times/member/4/23	op ed article	$150.
II. A	All-Industry Television Station Music License Committee/member/5/14	honoraria	1,000.
II. A	Ohio Bell Telephone/member/6/20	honoraria	750.
II.A	National Agricultural Chemical Assocn./member/6/10	honoraria	1,000.
II. A	Bristol-Myers Company/member/7/24	honoraria	500.
II. A	Capital Forum/member/11/17	honoraria	500.
II. B	Miamibank/member, children	interest	A
II. B	Home Federal Savings and Loan/member	interest	A
II. B	Personal residence, home district/member,spouse	gross rent	B
VII.	National Assocn. of Broadcasters	1 Roundtrip airfare D.C.-Dallas, one night lodging and meals	
VII.	Council for a Secure America	2 Roundtrip airfare D.C.-Israel, 7 nights lodging and meals	
VIII.	Director, spouse	DeWine Enterprises, Inc.	
VIII.	Director, member/spouse	DeWine Export & Import	
VIII.	Director, member	DeWine International Seeds, Inc.	

GPO 1986 61-668 (m)

MIKE DEWINE
COMMITTEES:
FOREIGN AFFAIRS
JUDICIARY

CONGRESS OF THE UNITED STATES
HOUSE OF REPRESENTATIVES

HAND DELIVERED

May 19, 1987

MC

The Honorable Donnald K. Anderson
Clerk of the House
H 105 Capitol

Dear Mr. Anderson:

I am writing to amend my 1986 Financial Disclosure form. Please make the following additions which were inadvertently omitted.

III Holdings

DSRB-spouse-one third interest rental unit	B
Personal residence in Ohio-member, spouse-rental	E
Smith Seed Company, Inc. member, stock	no value

Thank you for your assistance in this matter.

Very respectfully yours,

Mike DeWine
MIKE DeWINE

THIS STATIONERY PRINTED ON PAPER MADE WITH RECYCLED FIBERS

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

William L. Dickinson
(Full Name)

2406 Rayburn House Office Building
(Mailing Address)

Washington, D. C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 2nd State Alabama

☐ Officer or Employee—Employing Office ____________________

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed, identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA __X__

For more information, see detailed Instruction Booklet at page 7

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Attachment I - Honoraria			
Spouse - Self Employed - Owner of Clothing Boutique			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000, B—$1,001-$2,500, C—$2,501-$5,000, D—$5,001-$15,000, E—$15,001-$50,000, F—$50,001-$100,000, G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Equitable Assurance	Interest	A
Equitable Assurance	Interest	A
First American Bank (IRA)	Interest	A
First American Bank - Joint A/C	Interest	A
Torchmark Industries	Dividends	A

NOTE. For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000, B—$5,001-$15,000, C—$15,001-$50,000, D—$50,001-$100,000, E—$100,001-$250,000, F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ___ NO ___ NA X

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Attachment II	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Attachment III		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Attachment IV	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see revised Instruction Booklet at page 12.

Reimbursements and direct payments for travel expenses aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities and positions solely of an honorary nature.

For more information, see revised Instruction Booklet at page 14.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see revised Instruction Booklet at page 15.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
W L Dickinson	May 15, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

Attachment 1

WILLIAM L. DICKINSON, MEMBER OF CONGRESS (2nd Dist. Alabama)
ATTACHMENT TO FINANCIAL DISCLOSURE STATEMENT FOR 1986

PART II, A. EARNED INCOME - HONDRARIA RECEIVED

SOURCE	DATE RECEIVED	AMOUNT RECEIVED
U. S. Space Foundation	1/22/86	$ 2,000
General Electric, Inc.	2/21/86	2,000
United Technologies - Sikorsky Aircraft	2/24/86	1,000
Paine Webber	3/14/86	1,000
Oshkosh Truck Corporation	3/7/86	2,000
Military Logistics Forum	3/18/86	2,000
Pacific Car and Foundry Company	4/8/86	2,000
TRW, Inc.	4/8/86	2,000
The Boeing Company	4/8/86	2,000
Northrop Corporation	4/9/86	2,000
Lockheed Corporation	4/21/86	2,000
American Building Maintenance Co.	4/21/86	2,000
Citizens of Killeen Texas	4/17/86	2,000
Bell-Textron Helicopter	4/19/86	2,000
General Electric, Inc.	5/19/86	2,000
Association of Old Crows	6/3/86	2,000
Raytheon Company	6/17/86	2,000
American Security Council Foundation	6/19/86	2,000
Magnavox	7/9/86	2,000
The Tobacco Institute	7/24/86	1,000
AVCO Systems Division - Division of Textron, Inc.	7/3/86	2,000
The Heritage Foundation	10/3/86	250
Total Honorariums Received		$ 39,250
Less: Amounts Contributed to Charity		
Montgomery Charitable Foundation		(16,720)
NET HONORARIA		$ 22,530

Attachment II

WILLIAM L. DICKINSON, MEMBER OF CONGRESS (2nd Dist. Alabama)
ATTACHMENT TO FINANCIAL DISCLOSURE STATEMENT FOR 1986

PART II - HOLDINGS

IDENTITY		CATEGORY
National Health Care, Inc.	Common Stock	B
Virginia Firearms, Inc. (Located in Alexandria, Virginia)	Common Stock	C
Superior Marketing Research (Located in Salt Lake City, Utah)	Preferred Stock	B
First American Bank	Ind. Rtrmnt. Acct.	B
Civil Service Retirement Fund	Rtrmnt Annuity	D
First American Bank	Certificate of Deposit	A
Trust Agreement with Steven E. Streit, Trustee	Underlying Assets of the Trust are in receivership	D
1/3 Interest in Montgomery Investment Club	Underlying Assets of the Partnership are in receivership	A
Virginia Firearms, Inc.	Note Receivable	B

Attachment III

PART IV - TRANSACTIONS

Brief Description	Date	Category
Sold Torchmark Stock	2/18/86	B
Entered into Trust Agreement with Steven E. Streit	5/15/86	C
Additional Contribution to Trust with Steven E. Streit	5/26/86	C
Entered into a Joint Venture 1/3 interest in Montgomery Investment Club	10/15/86	A
Montgomery Investment Club entered into trust with Steven E. Streit	10/15/86	F
Withdrawal of Certificate of Deposit	6/86	A

Attachment IV

WILLIAM L. DICKINSON, MEMBER OF CONGRESS (2nd Dist. Alabama)
ATTACHMENT TO FINANCIAL DISCLOSURE STATEMENT FOR 1986

PART V - LIABILITIES

Identity	Category
Ben Collier - Business	C
AmSouth Bank Business, loan for Members Spouse's business	C
Citizen Bank of Enterprise - Personal	E
Wright Patman Federal Credit Union Personal - Paid in Full in 1986	B
Wright Patman Federal Credit Union Personal - Paid in Full in 1986	B

[illegible] Attachment V

WILLIAM L. DICKINSON, MEMBER OF CONGRESS (2nd Dist. Alabama)
ATTACHMENT TO FINANCIAL DISCLOSURE STATEMENT FOR 1986

PART VII - REIMBURSEMENTS

Source	Brief Description
Space Foundation	Roundtrip airfare; Wash., D.C. to Colorado Springs, CO: 2 days Food/Lodging
United Technologies - Sikorsky Aircraft	Roundtrip airfare; Wash., D.C. to Conn; Lunch
Oshkosh Truck Co.	Roundtrip airfare - Wash., D.C. to Oshkosh, Wisc.; Lunch
*Pacific Car and Foundry Co., TRW, Boeing, Lockheed and Northrop	Airfare, Wash., D.C. to Seattle to Los Angeles to Wash., D.C.; 3 days food/lodging. Reimbursements were split by the five (5) sources
General Electric	Roundtrip airfare; Wash., D.C. to Valley Forge, PA; Lunch
Raytheon	Roundtrip airfare; Wash., D.C. to Lowell, Mass; Lunch
AVCO Systems Division	Roundtrip airfare; Washington, D.C. to Boston, Mass.; Dinner, Spouse accompanied the reporting individual

NOTE: All the above reimbursements were related to speaking engagements.

*Since the above honorarious were all on the West Coast, the five companies split the roundtrip airfare from D.C. to Seattle to Los Angeles to D.C. and the 3 days food/lodging except for the meals mentioned above. All five events were separate, independent events. Expenses were shared due to the close proximity of separate events.

INSIDE MAIL

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Norman DeValois Dicks
(Full Name)

2429 Rayburn House Office Bldg.
Washington, D.C. 20515
(Mailing Address)

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

XX Member of the U.S. House of Representatives—District 6th State Washington

Officer or Employee—Employing Office

Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ [✓ NO] NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
see attached sheet			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Rental Property	rent	D
Sale of Farm Property in Sunnyside, WA	interest	D
(spouse)	& capital gains	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ [✓ NO] ____ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
924 Shorewood Drive, Unit 60, Bremerton, Washington (rental property)	D
IRA Account--Union Central Life, Cincinnati, Ohio	B
IRA Account--Union Central Life, Cincinnati, Ohio (spouse)	B
IRA Account--Fidelity Bankers Life, Richmond, VA	A
IRA Account--Fidelity Bankers Life, Richmond, VA (spouse)	A

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
Rainier Financial Services, Seattle, WA (mortgage on Bremerton, WA property)	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
see attached sheet	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Norman D. Di	5 May 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

[illegible]

Page ____ of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Norman Dicks
(Name)

Continuation Sheet

Part — *Source, Type, Amount, Category, Description or Value (As Applicable)*

IIA. INCOME Spouse Salary--Washington Inc and Grocery Manufacturers Association

Honoraria:

Caddex	$2000	1/23/86
John F. Kennedy School of Government	$500	1/28/86
John F. Kennedy School of Government	$500	3/19/86
Alpase	$2000	2/7/86
Northrop	$2000	1/29/86
Boeing	$2000	3/11/86
Wincom	$2000	4/23/86
Sikorsky	$1000	3/18/86
Sperry	$2000	4/4/86
Ocean Spray	$2000	4/9/86
LTV	$1000	4/9/86
Congressional Quarterly	$600	4/8/86
Textron	$1000	7/9/86
Olin	$2000	7/11/86
Los Angeles Times	$150	10/14/86
Bogle and Gates	$500	11/25/86
Center for Naval Analysis	$500	12/4/86
Van Ness, Feldman and Sutcliffe	$750	12/11/86

VII. REIMBURSEMENTS

Alpase, transportation to Los Angeles for self and spouse in connection with a speaking engagement.

Boeing, transportation to Wichita, Kansas, hotel and meals for self only in connection with a speaking engagement.

Wincom, transportation to Costa Mesa, California, hotel and meals for self only in connection with a speaking engagement.

Ocean Spray Cranberries, transportation to Dallas, Texas, hotel and meals for self only in connection with a speaking engagement.

National Association of Collegiate Directors of Athletics, transportation to Marco Island, Florida, hotel and meals for self only in connection with a speaking engagement.

Friedrich Elbert Stiftung Foundation, transportation to Bonn, West Germany, hotel and meals for self only in connection with a speaking engagement.

Grocery Manufacturers Association, transportation to West Virginia, hotel and meals for self and spouse in connection with the Congressional Charity Tennis Tournament.

Paccar, transportation, lodging and meals in Canada for self only in connection with legislative meeting.

GPO : 1985 - 43-135.

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A For use by Members, officers, and employees

The Honorable John D. Dingell
(Full Name)

2221 Rayburn House Office Building
(Mailing Address)

Washington, D. C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

X Member of the U.S. House of Representatives—District 16 State Michigan

Officer or Employee—Employing Office

Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
Wittenburg Associates	1-9-86	Honorarium	$2000.00	
Motor & Equipment Manufacturers Assn.	1-16-86	"	$1500.00	
Goldman Sachs & Company	1-30-86	"	$2000.00	
Association, Maximum Service Telecasters	2-14-86	"	$2000.00	
Bell Atlantic	2-25-86	"	$2000.00	

(see continuation sheet)

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Wright Patman Credit Union	Interest	A
Selected American Shares	Dividend	C
Oppenheimer Money Market Fund	Interest	A
Municipal Bonds Oakland County, Mich.	Interest	A
U.S. Series H Bonds	Interest	A
Nat'l Distillers	Dividend	A

(see continuation sheet)

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES X NO ___ NA ___

For more information see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Selected American Shares	C
Oppenheimer Money Market Fund, Inc.	C
U.S. Series H Bonds	B
National Distillers	A
U.S. Treasury Bond	A
Mobil Stock	A

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sale of Hickory and Landover properties,Virginia(2nd trusts)	12-86	D
Purchase of Washington Federal Certificate of Deposit	4-15-86	A
Purchase of Washington Federal Money Fund Account	10-17-86	A
Purchase of Roney & Co.(Kemper) tax exempt fund	1-8-86	C
Purchase of Liberty Bank Money Market Certificate	5-5-86	B
Sale of RCA stock (Spouse)	6-13-86	A

(see continuation sheet)

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Shearson/Lehman Brothers (loan against personal mutual fund account)	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE		BRIEF DESCRIPTION
Oklahoma State University	1-17-86	Air Fare (Wash. Natl. to Tulsa to Detroit Metro) and one night meals and lodging-speech for endowment of Wes Watkins Distinguished Lectureship
		(see continuation sheet)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Board of Directors	National Rifle Association

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 14, 1986

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

Page 1 of 3

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

The Honorable John D. Dingell
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*			
II-Income-A	U.S. Steel Corporation	2-28-86	Honorarium	$2000.00
	Compiel	2-28-86	"	$2000.00
	U.S. Telephone Association	3-7-86	"	$2000.00
	Ernest Wittenberg Associates	3-10-86	"	$2000.00
	U S West	3-12-86	"	$2000.00
	North American Securities Administrators Association	3-17-86	"	$1500.00
	Arent, Fox, Kintner, Plotkin & Kahn and Broadcasting Magazine	3-18-86	"	$1000.00
	Florida Power & Light	3-8-86	"	$ 500.00
	Michigan Pharmacists Association	4-7-86	Honorarium (Assigned to Charity)	$2000.00
	CBS Affiliates	4-9-86	Honorarium (Assigned to Charity)	$2000.00
	Florida Power & Light	5-8-86	Honorarium (Assigned to Charity)	$ 500.00
	Air Conditioning & Refrigeration Institute	5-20-86	Honorarium (Assigned to Charity)	$1000.00
	Oklahoma State University	5-26-86	Honorarium (Assigned to Charity)	$ 350.00
	National Association of Broadcasters Radio Television Board Mtg.	6-27-86	Honorarium (Assigned to Charity)	$1000.00
	National Association of Retail Druggists	6-27-86	Honorarium (Assigned to Charity)	$2000.00
	National Environmental Development Association	6-27-86	Honorarium (Assigned to Charity)	$1000.00
	Cellular Telecommunications Industry Association	7-2-86	Honorarium (Assigned to Charity)	$1500.00
	American Paper Instiute	7-2-86	Honorarium (Assigned to Charity)	$1000.00
	Computer & Communications Industry Association	7-2-86	Honorarium (Assigned to Charity).	$1000.00
	Grocery Manufacturers of America, Inc.	7-2-86	Honorarium (Assigned to Charity	$2000.00
	U.S. Chamber of Commerce	7-3-86	Honorarium (Assigned to Charity)	$ 500.00
	Machinery & Allied Products Institute	7-3-86	Honorarium (Assigned to Charity)	$1500.00
	BATUS Issues Forum	7-28-86	Honorarium (Assigned to Charity)	$2000.00
	MCI Communications Corporation	8-14-86	Honorarium (Assigned to Charity)	$2000.00
	Connell Rice and Sugar	8-14-86	Honorarium (Assigned to Charity)	$2000.00
	American Trucking Association	8-19-86	Honorarium (Assigned to Charity)	$2000.00
	Proprietary Association	9-16-86	Honorarium (Assigned to Charity)	$ 500.00
	General Motors (Spouse)		Salary	

Page _2_ of _3_

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

The Honorable John D. Dingell
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*		
II-Income-B	Woolworth	Dividend	A
	Unisys (spouse)	Dividend	A
	General Motors (spouse)	Dividend	C
	General Motors Corp. CLE (spouse)	Dividend	A
	General Motors Corp. CLH (spouse)	Dividend	A
	General Mills (spouse)	Dividend	A
	Marsh & McLennon (spouse)	Dividend	A
	Proctor & Gamble (spouse)	Dividend	A
	Pepsico (spouse)	Dividend	A
	General Electric (spouse)	Dividend	A
	Shearson Aggressive Growth Fund	Dividend	C
	Shearson High Yield Fund	Dividend	A
	Shearson Daily Dividend Fund	Dividend	A
	Hickory Property(Virginia)(W.S. Brooks)	Mortgage Int.	C
	Landover Property(Virginia)(L.L. Price)	Mortgage Int.	C
	Washington Federal Savings & Loan	Interest	A
	K-Mart (spouse)	Dividend	A
	Sun Life Assurance	Interest	A
	RCA (spouse)	Dividend	A
	Union Carbide (spouse)	Dividend	A
	Mobil Stock	Dividend	A
	Mobil Bonds	Interest	A
	Roney & Company Tax Exempt Money Market Fund	Dividend	B
	Liberty Bank	Interest	A
	Shearson FMA Cash Fund (Spouse)	Dividend	A
III-Holdings	Municipal Bonds Oakland County, Michigan		A
	Mobil Bond		A
	Shearson/Lehman Brothers Aggressive Growth Fund		C
	Shearson/Lehman Brothers Daily Dividend Fund		A
	Shearson/Lehman Brothers High Yield Fund		A
	Woolworth		A
	Roney & Company tax exempt money market fund		C
	Unisys (spouse)		B
	General Electric (spouse)		A
	General Motors (spouse)		C
	General Motors Corp. CLE (spouse)		A
	General Motors Stock Savings Program (spouse)		C
	General Motors Corp. CLH (spouse)		A
	General Motors Employee Stock Ownership Plan		B
	K Mart (spouse)		A
	General Mills (spouse)		A
	Pepsico (spouse)		B
	Proctor & Gamble (spouse)		A
	RCA (spouse) (sold 6/13/86)		A
	Union Carbide (spouse)		A
	RTS Union Carbide (spouse)		A
	Kenner Parker Toys (spouse)		A
	Crystal Brands, Inc. (spouse)		A
	Marsh & McLennon (spouse)		B
	Hickory House(Virginia)(W.S. Brooks) - 2nd Trust		C
	Landover House(Virginia)(L.L. Price) - 2nd Trust		C
	Shearson FMA Cash Fund (spouse)		B
	Savings Accounts:		
	Wright Patman Credit Union		D
	Michigan Nat'l Bank (closed 1/86)		A
	First American Bank (spouse)		B

Page 3 of 3

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

The Honorable John D. Dingell
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*			
III-Holdings continued	Savings Accounts Continued:			
	Washington Federal Savings & Loan (Cert. of Deposit #1)			A
	(Cert. of Deposit #2)			A
	(Cert. of Deposit #3)			A
	(Cert. of Deposit #4)			A
	(Cert. of Deposit #5)			A
	(Cert. of Deposit #6)			A
	(Cert. of Deposit #7)			A
	(Cert. of Deposit Money Fund Acct)			A
	Liberty Bank Money Mkt Cert.			B
	Wright Patman Federal Credit Union (Dependent Account)			A
IV-Transactions	Purchase of Shearson FMA Cash Fund(Spouse)	6/13/86		A
	Closed Mich. Natl. Bank Account	1/86		A
VI-Reimbursements	Florida Power & Light	2-27-86	Airfare (round trip Wash. Natl. to Palm Beach, Florida) four nights lodging & meals for speech to FP&L employees, tour of facility and dedication of new training facility (Member and spouse)	
	BATUS Corp	6-13-86	Round trip airfare (Wash. Natl. to Newark) two nights meals and lodging for speech to BATUS employees and executives (Member and spouse)	
	Business Roundtable	9-10-86	Round trip airfare (Wash. Natl. to New York) for speech to Business Roundtable	

GPO: 19[illegible] 61-[illegible] (m)

MAY 15 1987

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT FINANCIAL DISCLOSURE STATEMENT FOR 1986

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[illegible]

[illegible]

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Every Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $68,135, as of May 15, 1987.
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WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Nondisclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item, and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual, and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

[illegible] all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR ACTIVITIES OF A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO ____ X NA

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME [illegible] when it exceeds [illegible] Part II-A as to its SOURCE, TYPE, AND GROSS AMOUNT. [illegible] accepted for actual travel and subsistence expenses for yourself [illegible] paid or donated [illegible] agent's fees or commissions, the DATE OF RECEIPT [illegible] Earned income to Members is LIMITED to 30% of the Congressional salary [illegible] THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess [illegible] 26 U.S.C. 170(c). ANY honorarium [illegible] should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE AND TYPE, but not the AMOUNT of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

[illegible] Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
(SEE ATTACHED SCHEDULE)			

UNEARNED INCOME — Includes but is not limited to earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of [illegible] need be disclosed: Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
(SEE ATTACHED SCHEDULE)		

NOTE: For Parts III, IV and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust (described below), the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ___ NO X NA ___

[illegible] Instruction Booklet at page 8.

IDENTITY	CATEGORY
(SEE ATTACHED [illegible]LE)	

IV. TRANSACTIONS

GENERAL GUIDELINES:

[illegible] PURCHASE, SALE, OR EXCHANGE [illegible] $1,000 [illegible] NOT [illegible] CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: [illegible] between the reporting individual, his spouse or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

DESCRIPTION	DATE	CATEGORY
Sale of [illegible], Fl. Building Lot-Unimproved	12/22/86	F

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest, need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Ocala Florida Real Estate -	
John J. Asselta (Mtge. on Ocala Condo)	B
Mid-State Federal S&L (Mtge. on Res. Lots)	E
JJD Creative Enterprises, Inc. P.S. Plan	C
INCOME TAXES (1986) - 4TH QTR DECLARATION (PAID 1/15/87)	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. *The source and a brief description of gifts of transportation, lodging, food, or entertainment aggregating $250 or more in value received from any source during calendar year 1986.*

SOURCE	BRIEF DESCRIPTION
NONE	

B. *The source, a brief description, and value of all other gifts aggregating $100 or more in value received from any source during calendar year 1986.*

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

3 7 2 1 2 3

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

EACH VII [illegible] with a **SPEAKING ENGAGEMENT** or **FACT-FINDING EVENT** [illegible] official [illegible] were **REIMBURSED** to the [illegible] or **PAID DIRECTLY** by the sponsoring organization. Thus a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during the calendar year [illegible] be listed rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel [illegible] provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds need not be reported.

For more information see detailed Instruction Booklet at page 12.

The [illegible] aggregating $250 [illegible] received from any source during [illegible]

SOURCE	BRIEF DESCRIPTION
1/12/86-1/15/86 [illegible] Congressional Workshop on Economic & Security	Reimbursement of Travel and 4 Hotel Nights
7/3/86-7/5/86 [illegible]	Reimbursement of 2 Nights Lodgings

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
	(SEE ATTACHED SCHEDULE)

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to future employment, leave of absence during period of government service, continuation of payments by a former employer other than the U.S. Government, and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
4/1/84	JJD Creative Enterprises Inc. Employee Profit Sharing Plan	Participant in Future Plan Benefits (Funded by Rollover of Arthur Andersen & Co. Keogh Plan Distribution upon Withdrawal from the Partnership).

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Joseph J DioGuardi	5/14/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for [illegible] Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon

ETHICS IN GOVERNMENT ACT FINANCIAL DISCLOSURE STATEMENT

JOSEPH J. DIOGUARDI

Continuation Sheet

Part — Source, Type, Amount, Category, Description or Value As Applicable

	SOURCE		TYPE	AMOUNT
II - A	01/[illegible]/86	Securities Industry	Honorarium	$ 750.00
	02/24/86	Special Reformed Church	Honorarium	200.00
	04/0[illegible]/86	Full Gospel Businessmen's International WP Chapter	Honorarium	150.00
	04/[illegible]8/86	Sydney Reynolds Associates	Honorarium	500.00
	04/[illegible]/86	U.S. Tobacco	Honorarium	1,000.00
	05/[illegible]3/86	Fannell, Kerr Forster	Honorarium	2,000.00
	06/20/86	National Bonded Warehouses	Honorarium	500.00
	10/0[illegible]/86	Price Waterhouse	Honorarium	2,000.00
	12/04/86	National Association of Accountants	Honorarium	2,000.00
	12/31/86	Municipal Issuers Service Corp.	Honorarium	1,000.00

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

JOSEPH J. DIOGUARDI

Continuation Sheet

Item *Source, Type, Amount, Category, Description or Value (As Applicable)*

II - B

SOURCE	TYPE	CATEGORY
Bank of New York	Interest	A
Wright Patman Savings & Checking	Interest	A
European American Bank	Interest	A
Merrill Lynch - CMA	Dividend	A
DGD Partnership	Capital Gains	B
DGD Partnership	Ordinary Income	B
Hampton Investment Group	Ordinary Income	C
DD Associates I	Capital Gains	E
Sale of Unimproved Real Estate-CT	Capital Gains	G

ETHICS IN GOVERNMENT ACT FINANCIAL DISCLOSURE STATEMENT

[illegible]

Continuation Sheet

[illegible]

	IDENTITY	CATEGORY
III	European American Bank-Checking A/C	A
	Merrill Lynch - CMA A/C	A
	Ocala, Florida Real Estate Residential Lots 52-Unimproved	F
	-Commercial Acreage 5-Unimproved	E
	Sargent of Arms - House of Representatives Checking A/C	A
	Wright Patman Congressional Credit Union Savings Acct.	D
	Artworks - Various	C
	Hampton Investment Group(50% Int.)(One Share [illegible],NY	C
	JJD Creative Enterprises Inc.(100%Int.) Trade Marks	B
	Molecular Electronics Corp.	C
	Pepper Fine Arts Partnership(1%Int.) Painting	A
	Oil & Gas Properties in East Texas & Louisiana	E
	Notes Receivable Loan Guarantee from James H. Fable-III	C
	Mortgage Receivable - Property Sold in Reid K,Fl Mortgagor: Stanley B. & Victoria E. Oliver, Fort Lauderdale, Fl Mortgagee: Joseph J. DioGuardi This mortgage completely satisfied in Oct.,1986	C
	Ocala, Florida - Single Family Home - Address: 4797 N.W. 78th Ave.-1/3 Interest	C
	- Condominium- Crownwood Condominiums, 1/3 interest	A
	People for DioGuardi - Campaign Loans	E
	Balance of Sales Proceeds Receivable on Sale of Redding CT Lot	F
	Bank of New York - Checking & Savings	C
	LOAN RECEIVABLE - ILANA [illegible] (ISRAEL)	B

[illegible]

Page 4 of 4

ETHICS IN GOVERNMENT ACT FINANCIAL DISCLOSURE STATEMENT

JOSEPH J. DIOGUARDI

Continuation Sheet

Type	POSITION	NAME OF ORGANIZATION
	Source, Type, Amount Category, Description or Value (As Applicable)	
VIII	Business Investment:	
	Partner	D D Associates - I
	Partner	Hampton Investment Group
	Officer/Director	JJD Energy Associates, Inc.
	Officer/Director	JJD Creative Enterprises, Inc.
	Partner	DGD Associates - I
	Partner	Golden Hills Country Club Estates
	Officer/Director	JJD Energy Partners
	Partner	DK Associates - I
	Partner	DK Associates - II
	Director	Pheonix West Inc.
	Director	Flick Productions, Inc.
	Not-For-Profit:	
	Director	Pheonix House Development Fund
	Executive Council	Boy Scouts of America
	Officer/Director	Common Sense Educational Fund, Inc.
	Director	Citizens Against Waste
	Special Gifts Director	Boys Towns of Italy
	Director	Accountants for Public Interest

HAND DELIVERED

HON. JOSEPH J. DIOGUARDI
50 Baraud Road
Scarsdale, NY 10583

June 8, 1987

Clerk of the House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515-6612

Mc ✓

Dear Sir:

This letter is in response to the letter from Julian C. Dixon dated May 20, 1987, and will serve as an amendment to the Financial Disclosure Statement submitted to your office on May 15, 1987.

Part III - HOLDINGS

The following assets generated income at Part II-B and not listed under Holdings:

DGD Partnership - holds a mortgage receivable for land previously sold in Ocala, Florida, the value of which was originally included in Ocala Land (Category C).

DD Associates I - a partnership holding title to one building lot in Ocala, Florida, the value of which was originally included in Ocala, Land (Category B).

Part IV - TRANSACTIONS

Bank of New York - after further discussion with the Ethics Committee, we were told to disregard this item as it should not have been on the checklist in the first place.

Loan Receivable - Ilana Gerard (Israel) - a loan to Gerard Associates, Ltd., Israel - personally guaranteed by Ilana Gerard, a resident of Israel.

OTHER

Although the campaign organization "People for DioGuardi" repaid loans to me during 1986, these payments were solely principal and included no interest.

Sincerely,

Joseph J. DioGuardi

Rep. Joseph J. DioGuardi

JJD:rml

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A (For use by Members, officers, and employees)

JULIAN C. DIXON
(Full Name)

2400 Rayburn House Office Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks:

X Member of the U.S. House of Representatives—District 28 State CA

Officer or Employee—Employing Office

Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure; all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION" **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Much Ado About Something, Inc., Wash.,D.C.(spouse)	Salary		
Mir Kanon, Inc. & Peideau, Inc., Los Angeles, CA. (spouse)	Dir.Fee		
World Jewish Congress (received 2/26/86)	Honoraria	$1,000.00	
Wine Institute (received 2/26/86)	Honoraria	$1,000.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Queen City Bank, Long Beach, CA.	Interest on Savings	B
Two Bedroom Condo, McLean, VA. (spouse)	Rental	B
Two Bedroom Condo, McLean, VA.	Capital Gain	E

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Queen City Bank (shares)	C
Industrial Bank of Washington (shares)	A
Store Inventory, Much Ado About Something, Inc. (Gifts & Accents Shop), 2000 Pennsylvania Avenue, N.W., Washington, D.C.	D
One-Half Interest in Much Ado About Something, Inc.	D

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Two Bedroom Condo, McLean, VA. (spouse)--Sold	Feb., 1986	D

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Century National Bank; line of credit for inventory and real improvements, Much Ado About Something, Inc. (spouse)	E
Continental Bank of Illinois; Mortgage on Two Bedroom Condo, McLean, VA. (spouse)	E
Queen City Bank, Long Beach, CA.; Business Loan for Much Ado About Something, Inc. (spouse)	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
World JewishCongress (speech; self)	Roundtrip airfare; Wash., D.C. to Tel Aviv, Israel
NAACP (Sacramento, CA. Branch) (speech; self)	Roundtrip airfare; Los Angeles to Sacramento, CA.
Connell Rice & Sugar (speech; self)	Roundtrip airfare; Wash., D.C. to Newark, N.J.

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
President (spouse)	Much Ado About Something, Inc.
Vice President (Member)	Much Ado About Something, Inc.
President (Member)	CBC Foundation, Inc.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 8, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987 [illegible]

[illegible] 3 7

Page 5 of 5

ETHICS IN GOVERNMENT ACT- FINANCIAL DISCLOSURE STATEMENT

JULIAN C. DIXON
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*
II-A	NAACP (Sacramento, CA. Branch)--Received 10/24/87--Honoraria--$500.00
	Connell Rice & Sugar--Received 11/25/86--Honoraria--$1,000.00
III	One-Half Interest in Much Ado About Something, Inc. (spouse) Category: D
	12% Interest in Mir Kannon, Inc., and Peideau, Inc., Los Angeles, CA. Category: E (spouse)
VII	William Lehman Campaign Fund 1984 (speech; self); Roundtrip Airfare; Wash., D.C. to Miami, Fla.

GPO: 1986 61-688 (m)

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Brian Donnelly
(Full Name)

59 Clearwater Drive
(Mailing Address)

Dorchester, MA 02126

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 11 State MA

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.

- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.

- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

[illegible]

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts, such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION" **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
see continuation sheet page 1			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
property/house Dennis MA *	rent	D
property/house, Monroe County, FL *	rent	C
*suffered net loss		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Lehman Brothers Capital Fund IRA	A
property/house Dennis, MA	F
property/house Monroe County, FL	E
Fairfield Noble (stock)	C
France Fund (stock)	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
Rockefeller Center Properties -sold	3/7/86	C
Banker's Note, Inc. - purchased	3/25/86	C
sold	5/8/86	C

(see page 2)

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
U.S. Trust - Braintree, MA	E
Workingmen's Co-operative Bank, Boston, MA.	E

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Brian Donnelly	May 15, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

Page 1 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Congressman Brian Donnelly
(Name)

Continuation Sheet

Part II INCOME

Source, Type, Amount, Category, Description or Value (As Applicable)

Disposition	SOURCE	TYPE	AMOUNT
	Massachusetts Mutual Life Insurance February 4, 1986	honoraria	2,000.00
	John Hancock Life Insurance June 20, 1986	honoraria	1,000.00
donated to charity	United Coal Company June 9, 1986	honoraria	2,000.00
	Anheuser-Busch Company September 23, 1986	honoraria	2,000.00
	Washington Tax Seminars October 1, 1986	honoraria	1,000.00
	Boston Gas Company October 20, 1986	honoraria	500.00
	John H. Perry, Jr. Company October 10, 1986	honoraria	500.00

GPO 1986 61-[illegible] (m)

Page ____ of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Congressman Brian Donnelly
(Name)

Continuation Sheet

Part *Source, Type, Amount, Category, Description or Value (As Applicable)*

IV TRANSACTIONS

BRIEF DESCRIPTION	DATE	CATEGORY
Italy Fund - purchased	1/7/86	C
sold	3/19/86	C
Texas Utilities -sold	5/1/86	A
Kansas Gas & Electric - sold	5/1/86	A
Union Electric - sold	5/1/86	A
Indianapolis Power & Light - sold	5/1/86	A
France Fund - purchased	5/10/86	C
Fairfield Noble - purchased	5/10/86	C

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Byron Leslie Dorgan
(Full Name)

1431 McLean Mews Ct.
(Mailing Address)

McLean, VA 22101

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District AL State N.D.

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and
(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and
(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Spouse - Kimberly Olson Dorgan			
Employment - Senior Legislative Associate, APCO, Washington, D.C.	Salaried Employee		
Chicago Mercantile Exchange (1/14/86)	Honorarium	$ 500	
Chicago Board of Trade (1/24/86)	"	500	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000

B. SOURCE	TYPE	CATEGORY
Capital Credit Union, Bismarck, N.D.	Interest	A
Wright Patman Congressional Federal Credit Union	"	A
Sale of Stock - Nordstrom, Supervalue, Nike	Capital Gain	D
Sale of Residential Lots in Bismarck, ND	Capital Gain	A
Frontier Apartments, 113 W. Interstate Ave., Bismarck, ND	Net income from rent**	D
S&S Apartments, 1001 E. Central Ave., Bismarck, ND	Net income from rent**	

**Gross rents from 2 apartment buildings totaled $72,257, but represented net income of $5,129 after expenses.

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO XX NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Frontier Apartments, 113 W. Interstate Ave., Bismarck, ND *	D
S&S Apartments, 1001 E. Central Ave., Bismarck, ND *	D
Capital Heights Investment - ½ interest in 2 commercial lots	C
Nordstrom Stock	B
Supervalue Stock	B
Nike Stock	A

*See continuation sheet

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
Sold Nordstrom Stock	12/16/86	B
Sold Supervalue Stock	12/16/86	B
Sold Nike Stock	12/86	A
Sold Capital Heights Investment	11/4/86	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
Northwestern Fed. Savings & Loan, Bismarck, ND - 1st mortgage on 11-unit bldg.	(see "Holdings"
Kavaney Investors, Inc., Bismarck, ND - 2nd mortgage on 11-unit bldg.	"
Metropolitan Fed. Savings & Loan, Bismarck, ND - mortgage on 8-unit bldg.	"
Bismarck State Bank, Bismarck, ND - ½ of a $28,000 loan	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986 is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
Davis & Hanson	provided roundtrip airfare for speaking engagement
Nat'l Assn. of Wheat Growers	provided roundtrip airfare & expenses for speaking engagement
Massachusetts Mutual	provided roundtrip airfare, lodging, and expenses for Congressman & wife for speaking engagement

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Partner	Capital Heights Investment - own 2 commercial lots

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 10, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

Page 1 of 1

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

The Hon. Byron Leslie Dorgan
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II.			
2/24/86	CUNA	Honorarium	$1,000
2/25/86	Tobacco Institute	"	1,000
2/27/86	Massachusetts Mutual	"	2,000
3/17/86	Nat'l Assoc. of Federal Credit Unions	"	500
3/18/86	Nat'l Utility Contractors Assoc.	"	2,000
3/20/86	Nat'l Coal Association	"	250
4/14/86	Connell Rice & Sugar, Inc.	"	2,000
4/28/86	National Grain & Feed Assoc.	"	2,000
5/19/86	Direct Marketing Association	"	1,000
6/4/86	BATUS	"	500
6/23/86	IBM	"	1,000
6/25/86	American Horse Council	"	1,000
7/14/86	Nat'l Assoc. of Tobacco Distributors	"	500
8/5/86	Staley Continental	"	2,000
9/16/86	Miller & Schroeder	"	2,000
10/2/86	Nat'l Assoc. of Independent Insurers	"	2,000
10/15/86	American Institute of CPA's	"	2,000

** A total of $1,300 was donated to various charitable organizations in 1986 on the Congressman's behalf.

Part		
III.	Wright Patman Congressional Federal Credit Union	C
	Capital Credit Union, Bismarck, ND	B

* The two apartment units described above--Frontier Apartments and S&S Apartments--have a total value of from $450,000-$500,000. The estimated value on the Frontier Apartments is $260,000; on the S&S Apartments, $230,000. My equity in those buildings I estimate to be $130,000 ($50,000 in the S&S Apartments and $80,000 in the Frontier Apartments). The category I've designated on the disclosure form represents my equity interest in these buildings. The difference between the gross value of the two apartment buildings and my estimated equity is represented by a first and second mortgage at Northwestern Federal Savings and Loan in Bismarck, and Kavaney Investors in Bismarck on the Frontier Apartments, and by a real estate loan of approximately $160,000 and a personal note of $25,000 at Metropolitan Federal Savings and Loan in Bismarck on the S&S Apartments.

VII.

National Grain and Feed Assn. provided roundtrip airfare for speaking engagement.

North Dakota Credit Union League provided roundtrip airfare for speaking engagement.

Connell Rice & Sugar, Inc. provided roundtrip airfare reimbursement for speaking engagement.

American Horse Council provided all expenses, roundtrip airfare and 3 days lodging for Congressman and wife during business/speaking engagement.

IBM provided expenses reimbursement for speaking engagement.

Miller & Schroeder provided roundtrip airfare and expenses reimbursement for speaking engagement.

Nat'l Assn. of Independent Insurers provided 1 night lodging, expense reimbursement and roundtrip airfare for speaking engagement.

GPO 1986 [illegible]

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Byron Leslie Dorgan
(Full Name)

1431 McLean Mews Ct.
(Mailing Address)

McLean, VA 22101

mc ✓
(Office Use Only)

Check the appropriate box and fill in the blanks

X Member of the U.S. House of Representatives—District AL State N.D.

Officer or Employee—Employing Office

Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987

- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.

- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and
(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and
(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO XX NA ____

For more information, see detailed Instruction Booklet at page 7

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Spouse - Kimberly Olson Dorgan			
Employment - Senior Legislative Associate, APCU, Washington, D.C. Salaried Employee			
Chicago Mercantile Exchange (1/14/86)	Honorarium	$500	
Chicago Board of Trade (1/24/86)	"	500	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Capital Credit Union, Bismarck, N.D.	Interest	A
Wright Patman Congressional Federal Credit Union	"	A
Sale of Stock - Nordstrom, Supervalue, Nike	Capital Gain	D
Sale of Residential Lots in Bismarck, N.D.	Capital Gain	A
Frontier Apartments, 113 W. Interstate Ave., Bismarck, ND	Net income from rent**	D
S&S Apartments, 1001 E. Central Ave., Bismarck, ND	Net income from rent**	

**Gross rents from 2 apartments totaled $72,257 but represented net income of $5,129 after expenses.

NOTE: For Parts III, IV, and V below indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO XX ___ NA ______

For more information, see detailed Instruction Booklet at page 8

IDENTITY	CATEGORY
Frontier Apartments, 113 W. Interstate Ave., Bismarck, ND*	D
S&S Apartments, 1001 E. Central Ave., Bismarck, ND*	D
Capital Heights Investment - ½ interest in 2 commercial lots	C
Nordstrom Stock	B
Supervalue Stock	B
Nike Stock	A

* See "Continuation Sheet"

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sold Nordstrom Stock	12/16/86	B
Sold Supervalue Stock	12/16/86	B
Sold Nike Stock	12/86	A
Sold Capital Heights Investment	11/4/86	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Northwestern Fed. Savings & Loan, Bismarck, ND - 1st mortgage on 11-unit bldg.	(see "Holdings")
Kavaney Investors, Inc., Bismarck, ND - 2nd mortgage on 11-unit bldg.	"
Metropolitan Fed. Savings & Loan, Bismarck, ND - mortgage on 8-unit bldg.	"
Bismarck State Bank, Bismarck, ND - ½ of a $28,000 loan	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Davis & Harmon	provided roundtrip airfare (Chicago/Wash.) for speaking engagement
Nat'l Assn. of Wheat Growers	provided roundtrip airfare(Reno,NV/Wash.) 1 night lodging, & expenses for speaking engage
Massachusetts Mutual	provided roundtrip airfare(Phoenix,AZ/Wash lodging (3 nights Byron,2 nights wife) and expenses for speaking engagement.

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Partner	Capital Heights Investment - own 2 commercial lots

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Byron L. Dorgan	May 21, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987 [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

The Hon. Byron Leslie Dorgan
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*		
II.			
2/24/86	CUNA	Honorarium	$1,000
2/25/86	Tobacco Institute	"	1,000
2/27/86	Massachusetts Mutual	"	2,000
3/17/86	Nat'l Assn. of Federal Credit Unions	"	500
3/18/86	Nat'l Utility Contractors Assoc.	"	2,000
3/20/86	Nat'l Coal Association	"	250
4/14/86	Connell Rice & Sugar, Inc.	"	2,000
4/28/86	National Grain & Feed Assn.	"	2,000
5/19/86	Direct Marketing Association	"	1,000
6/4/86	BATUS	"	500
6/23/86	IBM	"	1,000
6/25/86	American Horse Council	"	1,000
7/14/86	Nat'l Assn. of Tobacco Distributors	"	500
8/5/86	Staley Continental	"	2,000
9/16/86	Miller & Schroeder	"	2,000
10/2/86	Nat'l Assn. of Independent Insurers	"	2,000
10/15/87	American Institute of CPA's	"	2,000
	**A total of $1,300 was donated to various charitable organizations in 1986 on the Congressman's behalf.		
III.	Wright Patman Congressional Federal Credit Union	C	
	Capital Credit Union, Bismarck, ND	B	
	* The two apartment units described above--Frontier Apartments and S&S Apartments--have a total value of from $450,000-$500,000. The estimated value on the Frontier Apartments is $260,000; on the S&S Apartments, $230,000. My equity in those buildings I estimate to be $130,000 ($50,000 in the S&S Apartments and $80,000 in the Frontier Apartments). The category I've designated on the disclosure form represents my equity interest in these buildings. The difference between the gross value of the two apartment buildings and my estimated equity is represented by a first and second mortgage at Northwestern Federal Savings and Loan in Bismarck, and Kavaney Investors in Bismarck on the Frontier Apartments, and by a real estate loan of approximately $160,000 and a personal note of $25,000 at Metropolitan Federal Savings and Loan in Bismarck on the S&S Apartments.		
VII.			
3/25/86	National Grain and Feed Assn. provided roundtrip airfare (San Antonio,TX/Wash.) for speaking engagement.		
4/12/86	North Dakota Credit Union League provided roundtrip airfare (Bismarck,ND/Wash.) for speaking engagement.		
4/14/86	Connell Rice & Sugar, Inc. provided roundtrip airfare (Westfield,NJ/Wash.) for speaking engagement.		
5/2/86	American Horse Council provided all expenses, roundtrip airfare (Lexington,KY/Wash.) and 3 days lodging for Congressman and wife during speaking/business engagement.		
5/23/86	IBM provided roundtrip airfare (Scottsdale,AZ/Wash.), all expenses and 1 night lodging for speaking engagement.		
9/15/86	Miller & Schroeder provided roundtrip airfare (Minneapolis,MN/Wash.) and expenses for speaking engagement.		
9/29/86	Nat'l Assn. of Independent Insurers provided 1 night lodging, expenses and roundtrip airfare (Chicago/Wash.) for speaking engagement.		

GPO 1986 61-690 (m)

2 / 2 7 3 3 1 1 4 5

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

ROBERT K. DORNAN
(Full Name)

301 CANNON HOUSE OFFICE BUILDING
(Mailing Address)

WASHINGTON, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks

XX Member of the U.S. House of Representatives—District 38th State CALIFORNIA

☐ Officer or Employee—Employing Office ______________________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? **YES** ______ **NO** ______ **NA** XXX

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION" **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
NATIONAL CONSERVATIVE PAC, HOUSTON, TX 1/9	HONORARIUM	$1,000	
INDEP. BANKERS ASSOC., PALM SPRINGS, CA 4/26	HONORARIUM	$1,000	
CNN, ATLANTA, GA, "CROSSFIRE" GUEST HOST	SCALE	$7,250.	
INST. MANAGEMNT. RESOURCES, WESTLAKE VILL.CA	'84EARNED	$2,000.	
AM. SPACE FRONTIER COMM. WASH,D.C. (PRESIDENT)	'83EARNED	$6,700.	
20th CENTURY FOX CORP., L.A., CA 1966 TV SHOW	RESIDUALS	$1,574.26	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
---NONE---		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA XX

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
---NONE---	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
---NONE---		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME, any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item, and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
---NONE---	

VI GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
DISNEYLAND, ANAHEIM, CALIFORNIA	FAMILY PASS TO PARK

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
---NONE---		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
---NONE---	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13

POSITION	NAME OF ORGANIZATION
	---NONE---

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13

DATE	PARTIES TO	TERMS OF AGREEMENT
	---NONE---	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Robert K. Dornan	MAY 14, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A (For use by Members, officers, and employees)

Wayne Dowdy
(Full Name)

301 Cherokee Drive
(Mailing Address)
McComb, Mississippi 39648

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

X Member of the U.S. House of Representatives—District 4 State ______

Officer or Employee—Employing Office ______

Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed, identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Spouse's income(1/1-5/1 WAKK-WAKH, 5/1-12/30 WAPF-WXLT McComb, Ms.	salary		
First Tenn. Bank National Assn. (3/4/86 rec'd)	honoraria	$1000	
Nat. Cable TV Assn. Convention (3/31/86 rec'd)	honoraria	$1500	
Nat. Assn. of Broadcasters (5/15/86 rec'd)	honoraria	$1500	
Pfizer, Inc. (4/30/86 rec'd)	honoraria	$1000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
WMLT-WQZY (FM) Dublin, Ga.	dividend	C
KNWA-WCWD (FM) Harrison, Arkansas	dividend	D
Celt Oil Company	royalty	A
Texaco, Inc.	royalty	A
Office Building, 811 Delaware, McComb, Ms.	rent	D
Office Bldg., 909 Delaware, McComb, Ms.	rent	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ [✓ NO] NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
WMLT-WQZY (FM) Dublin, Ga. (25% of stock)	E
KNWA-DCWD (FM) Harrison, Ark. (22.5% of stock)	E
WSUH-WWMS (FM) Oxford, Ms. (27.3% of stock)	E
WKKY (FM) Pascagoula, Ms. (30% of stock)	E
KPFM (FM) Mountain Home, Ark. (25% of stock)	D
WAPF-WXLT (FM) McComb, Ms. (60% of stock)	E

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
purchase of WAPF-WZLT (FM), McComb, Ms. (60% interest)	10/1/86	F
sale of WAKK-WAKH (FM) McComb, Ms. (33 1/3 % interest) and North Front Square office Buildings (1/3 interest), McComb, Ms. and Southwest Communications (towers leasing) 1/3 interest)	6/1/86	F

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS. Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Deposit Guaranty Bank, McComb, Ms. (includes mortgage on unimproved rural land, Amite County, Ms.)	D
First Bank, McComb, Ms	C
First National Bank, McComb, Ms. (personal unsecured notes)	B
Bank of Hazlehurst, Hazlehurst, Ms. (personal unsecured notes)	B
First Federal, McComb, Ms. (mortgages on West St. apts, office bldgs. at 811 Delaware and 909 Delaware Ave., McComb, Ms.	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
(none)	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
(none)		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
First Tenn. Bank National Assn. (speech)	Memphis-D.C. (air) meal
Nat. Cable TV Assn (panel participant) Dallas (self & spouse)	Roundtrip airfare New Orleans-Dallas (2 days food/lodging)
Nat. Assn. of Broadcasters (panel participant) (Dallas)	Roundtrip airfare New Orleans-Dallas (self) (2 days food/lodging (spouse)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature

For more information, see detailed Instruction Booklet at page 13

POSITION		NAME OF ORGANIZATION
Director- Secretary	WMLT-WQZY (FM)	Dublin, Ga.
Director- Secretary	WAKK-WAKH (FM)	McComb, Ms. (until 6/1/86)
Director- Secretary	KNWA-KCWD (FM)	Harrison, Arkansas
Director- Secretary	WKKY (FM)	Pascagoula, Ms.
Director- Secretary	KPFM (FM)	Mountain Home, Arkansas

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13

DATE	PARTIES TO	TERMS OF AGREEMENT
	N/A	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 14, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

Page 5 of 6

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Wayne Dowdy
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
II. INCOME A	Source	Date rec'd	Type	Amount
	BellSouth D.C., Inc.	7/17/86	honoraria	$1000
	United Coal Company	6/11/86	honoraria	$2000
	South Central Bell	6/17/86	honoraria	$1000
	Television and Radio (PAC) NAB RAdio convention	9/15/86	honoraria	$1000
	American Council of Highway Advertisers	11/14/86	honoraria	$1000
	Connell Rice & Sugar Co.	12/5/86	honoraria	$2000

Part	Source	Type	Category
II. INCOME B	Apartments, West St., McComb, Ms.	rent	D
	Duplex, 810 Minnesota Ave., McComb, Ms.	rent	B
	Office Bldg. N. Front St. McComb, Ms.	rent	D

Part	Identity	Category
III. HOLDINGS	KPET Lamesa, Texas (25% of stock)	C
	Office Bldg., 909 Delaware Ave., McComb, Ms. (1/2 interest)	D
	Office Bldg., 908 Delaware Ave., McComb, Ms. (1/3 interest)	C
	Office Bldg., 811 Delaware Ave., McComb, Ms. (1/2 interest)	D
	Apartments, West St., McComb, Ms. (1/2 interest)	E
	Mineral interest, Walthall County, Ms.	A
	Mineral interest, Marion County, Ms.	A
	unimproved rural land, Amite County, Ms. (1/2 interest)	C
	unimproved lot, Fernwood, Ms. (1/3 interest)	A
	Construction Permit for new FM Station, Lamesa, Texas (25% interest)	A
	1000 shares, Pike County National Bank, McComb, Ms.	B
	unimproved rural land, Harrison, Arkansas (1/4 interest)	A
	Duplex, 810 Minnesota Ave., McComb, Ms. (1/2 interest)	C
	Spouse - interest in construction permit UHF-TV Station, McComb, Ms.	A

Part	Brief Description	Date	Category
IV. TRANS-ACTIONS	sale of unimproved commercial lot, McComb, Ms. (1/2 Interest)	9/1/86	B
	purchase of KPET, Lamesa, Texas (1/4 interest)	5/1/86	C

Part	Source	Brief Description
VII. REIMBURSE-MENTS	Pfizer, Inc. (tour of plant & speech) Atlanta, Ga.	airfare: New Orleans-Atlanta-D.C. 1 meal - 1 day
	United Coal Co. (tour of mines & speech)	airfare roundtrip: D.C.- Bristol, Va. meals - 1 day
	NAB RAdio Convention (panel participant)	airfare: D.C. - New Orleans - 2 days lodging & meals - spouse (no air)
	American Council of Highway Advertisers (speech) self & spouse expenses	roundtrip airfare: New Orleans-Barbados, 3 days, lodging & meals

Page 6 of 6

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Wayne Dowdy
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
VII REIMBURSEMENTS	Source	Brief Description
	Connell Rice & Sugar (speech) (Westfield, N. Jersey)	one-ay airfare: New Orleans -NY-DC roundtrip air fare (spouse) New Orleans-NY-New Orleans; lodging, meals 3 days
	Grocery Manufacturers Assn. (participate- charity event) Greenbrier, W. Virginia	2 days; self & spouse, lodging & meals
VIII POSITIONS	Position	Name of Organization
	Director-Secretary	WSUH-WWMS (FM) Oxford, Ms.
	Director-President	WAPF-WXLT (FM) McComb, Ms. (after 10/1/86)
	Director-Secretary	KPET Lamesa, Tx. (after 5/1/86)

GPO 1986 61-488 (m)

INSIDE MAIL

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Thomas J. Downey
(Full Name)

155 Cedar Street
(Mailing Address)

Amityville, New York 11701

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 2 State New York

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225–7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "print-outs" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
see attached			
Prima Pizza (wife)	salary		

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
155 Cedar Street Amityville, New York 11701 (2-family dwelling)	Rent	C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
155 Cedar Street Amityville, New York 11701	C
Prima Pizza 210 2nd Street, S.E. Washington, D. C. 20002	C
323 D Street, S.E. Washington, D. C. 20003	E

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
Purchase - 323 D Street, S.E.		
Washington, D. C. 20003 (Rental)	12-19-86	E

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

	IDENTITY	CATEGORY
12/19/86	Crossland Mortgage Corporation - P.O. Box 30065, Salt Lake City, Utah	F
	Anchor Mortgage Services - 1460 Valley Road, Wayne, New Jersey	C
	*refinanced with Crossland Mortgage 12/19/86	
	Bank of Long Island - 48 Deer Park Avenue, Babylon, New York	C
	*refinanced with Crossland Mortgage 12/19/86	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
see attached	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13

POSITION	NAME OF ORGANIZATION
President	Parliamentarians Global Action

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. $706 and 18 U.S.C. §1001).

Signature	Date
	5/13/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

II. INCOME

A. SOURCE	TYPE	AMOUNT	DISPOSITION
January 10 Public Securities Association New York City	Honorarium	$1,000	
January 18 Security Industry Association New York City	Honorarium	$1,000	
February 13 American Data Processing Washington, D. C.	Honorarium	$1,000	
February 27 Grocery Manufacturers Washington, D. C.	Honorarium	$2,000	
March 6 American Trucking Association Washington, D. C.	Honorarium	$1,000	
March 20 American Frozen Foods Washington, D. C.	Honorarium	$ 500	
April 4 Drexel, Burnham Los Angeles, California	Honorarium	$2,000	
April 7 Seagrams, Inc. Laguna Niguel, California	Honorarium	$2,000	
April 15 Forrest Electric Corporation Washington, D. C.	Honorarium	$2,000	
April 28 New York Telephone New York City	Honorarium	$1,500	
May 7 Sperry Corporation Washington, D. C.	Honorarium	$1,000	
May 12 NY State Society of CPA's New York City	Honorarium	$2,000	

[illegible]

II. INCOME (continued)

A. SOURCE	TYPE	AMOUNT	DISPOSITION
May 21 Alliance for Capital Access Washington, D. C.	Honorarium	$ 500	
May 23 Fannie Mae New York City	Honorarium	$2,000	
May 28 New York Life Insurance New York City	Honorarium donated	$2,000	$1,000 donated Church of the Brethre
June 9 Car and Truck Renting/Leasing Atlantic City, New Jersey	Honorarium	$2,000	
July 15 American Unversity Washington, D. C.	Honorarium donated	$ 50	$50 donated Friends of Hospice Islip, New York
September 23 National Custom Brokers and Forwarders Washington, D. C.	Honorarium donated	$ 500	$500 donated Church of the Brethre

[illegible]

VII. REIMBURSEMENTS

A. SOURCE	BRIEF DESCRIPTION
April 7 Seagrams, Inc. Laguna Niguel, California	Speech on Legislative update w/wife - round-trip food/lodging
February 13 - 19 Parliamentarians Global Action Argentina	Meeting with officials peace initiative round-trip - food/lodging

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A: For use by Members, officers, and employees

DAVID TIMOTHY DREIER
(Full Name)

ROOM 410, Cannon House Office Building
(Mailing Address)

Washington, DC 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

XX Member of the U.S. House of Representatives—District 33rd State California

☐ Officer or Employee—Employing Office ____

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO ____ NA XXX

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION" **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
SEE CONTINUATION SHEET			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
SEE CONTINUATION SHEET		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO XX NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
SEE CONTINUATION SHEET	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
SEE CONTINUATION SHEET		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
SEE CONTINUATION SHEET	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Member of the Board of Trustees	Foundation For The Private Sector
Vice-President	Dreier Development Company

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature David Timothy Dreier	Date 5/15/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

9 7 1 ' 1 2 1 1 J 9 1

Page 1 of 3

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

David Timothy Dreier
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)				
INCOME II-A:	Date	Source	Type	Amount	Disposition
	2/7/86	Textron	Honorarium	$1,000	
	2/10/86	Pepperdine University	Honorarium	1,000	
	2/19/86	Dealer Bank Association	Honorarium	1,200	
	6/12/86	Associated Locksmiths of	Honorarium	250	
	7/11/86	America, Inc.		250	
	7/2/86	Bristol-Meyers Company	Honorarium	500	
	5/12/86	L.A. Management Council	Honorarium	1,000	
	7/16/86	Credit Union Exec. Society	Honorarium	2,000	
	7/25/86	Securities Industry Ass'n.	Honorarium	2,000	
	7/11/86	Sheet Metal & Air Conditioning Contractors' Ass'n., Inc.	Honorarium	1,000	
	9/4/86	Security Pacific Corp.	Honorarium	2,000	
	9/9/86	Nat'l Institute of Thrift Executives	Honorarium	1,500	
	9/23/86	Int'l Management & Development Institute	Honorarium	1,000	
	10/2/86	Society of Real Estate Appraisers	Honorarium	500	
	10/16/86	General Dynamics	Honorarium	1,000	
	10/22/86	American Bankers Ass'n.	Honorarium	2,000	
	10/30/86	Great Western Financial Corporation	Honorarium	2,000	
	10/27/86	Pfizer Inc.	Honorarium	1,000	
	11/24/86	Clinica Santa Monica Central Medical Group, Inc.	Honorarium	2,000	$1,000 donated to Claremont McKenna College

Part	Source	Type	Catagory
INCOME II-B:			
	Cash Equivalent Fund - Money Market	Dividend	A
	Wright Patman Cong. Federal Credit Union	Dividend	A
	Business Men's Assurance Co. of America	Dividend	A
	1st American Bank - Money Market	Dividend	C
	American Capital Pace Fund	Dividend	C
	Roseville Telephone Co.	Dividend	A
	Merrill Lynch Cash Management Account	Dividend	A
	MIT Sr. 16th California	Dividend	C
	MIT Sr. 13th California	Dividend	C
	International Business Machines	Dividend	A

Page 2 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

DAVID TIMOTHY DREIER
(Name)

Continuation Sheet

Part — *Source, Type, Amount, Category, Description or Value (As Applicable)*

III.- HOLDINGS

Identity	Catagory
1,242 Shares - Roseville Telephone Company	B
200 Shares - IBM Corporation	C
512 Shares - Business Men's Assurance Co. of America	B
30 Units - Municipal Invest. Trust Fund-13th Cal.Ser.	C
50 Units - Municipal Invest. Trust Fund-16th Cal.Ser.	D
1,500 Shares - AMC Entertainment, Inc. (See Part IV)	C
3,125 Shares - American Performance	A
10,900 Shares- Westmore International, Inc.	A
2,370 Shares- American Capital Pace Fund, Inc.	D
* 7,172 Shares- Texas Hitech , Inc.	C
8,675 Shares- National Pay Telephone Corporation	A
20% Ownership- Dreier Development Company (See Part IV) Gascony Apartments 622-626 West 43rd Terrace Kansas City, Missouri 64111	C
Certificate of Deposit - Wright Patman Cong. Fed. C. U.	A
IRA Plan - Calvert Group	B
Cash - Merrill Lynch Cash Management Account	B
Cash - 1st American Money Market Account	D

IV.- TRANSACTIONS

Date	Description	Category
3/7/86	Purchase - 1,000 Shares Roseville Telephone Co.	B
4/24/86	Sold - 1,500 Shares AMC Entertainment	C
1/15/86	Purchase - 21,500 Shares Texas Hitech, Inc.	A
4/22/86	Purchase - 4,220 Shares Texas Hitech, Inc.	A
5/1/86	Purchase - 46,000 Shares Texas Hitech, Inc.	C
4/21/86	Purchase - 8,675 Shares National Pay Telephone	A
12/31/86	Sold - 1,000 Shares New Cinch Uranium	A
12/31/86	Sold 20% Ownership - Dreier Development Company Gascony Apartments 622-626 West 43rd Terrace Kansas City, Missouri 64111	C
4/13/86	Contributed 2,000 to IRA Plan, Calvert Group	A

VII- REIMBURSEMENTS

Source	Brief Description
The Chinese National Association of Industry and Commerce	Fact-finding tour of Taiwan from 1/4/86 through 1/10/86, which included round-trip airfare, meals and lodging

* Fewer shares held as of 12/31/86 than at date of purchase, as a result of reverse stock split.

DAVID DREIER
CALIFORNIA

HAND DELIVERED

[illegible]

[illegible]

Congress of the United States
House of Representatives
Washington, DC 20515

BANKING, FINANCE AND
URBAN AFFAIRS
COMMITTEE

SMALL BUSINESS
COMMITTEE

VICE CHAIRMAN
SUBCOMMITTEE ON
ENERGY AND AGRICULTURE

[illegible]

REGULATORY REFORM TASK FORCE

[illegible] TASK FORCE ON AFGHANISTAN

May 26, 1987

Hon. Donnald K. Anderson, Clerk
U.S. House of Representatives
Office of Records and Registration
Room 1036, Longworth House Office Building
Washington, DC 20515

MK, ✓

Dear Sir:

By this letter I am amending my Financial Disclosure Statement for 1986, filed with your office on May 15, 1987.

Please be advised that while the pertinent facts regarding the following information were reported under Holdings-III and Transactions-IV of the Financial Disclosure Statement, they were inadvertently omitted from Income-II-B:

INCOME II-B:

Source	Type	Category
Sale - 1,500 Shares AMC Entertainment	Capital Gain	D
Sale - 20% Ownership - Dreier Development Company Gascony Apartments 622-626 West 43rd Terrace Kansas City, Missouri 64111	Capital Gain	F

Thank you very much for your attention and assistance in this matter.

Sincerely,

David Dreier

David Dreier
Member of Congress

DD:tmb

7 2 7 2 1 3 1 3 3

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

JOHN J. DUNCAN
(Full Name)

2206 Rayburn H. O. B.
(Mailing Address)

Washington, D. C. 20515

Mc
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 2d State Tennessee

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ✓ NA ______

For more information, see detailed Instruction Booklet at page 7

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information see detailed Instruction Booklet at page 7

A SOURCE		TYPE	AMOUNT	DISPOSITION
Honoraria	1) Robert A. Sanger Co.	Honoraria	$2,000	
"	2) International Taxicab Co.	" " "	$2,000	
"	3) D'Accord Tax Conference	" " "	$2,000	
"	4) Charls Walker Associates	" " "	$2,000	
" -	5) American Institute of CPAs	" " "	$1,000	

CONTINUED ON CONTINUATION SHEET P-5

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000

B SOURCE	TYPE	CATEGORY
W - Columbia Federal Savings & Loan	Savings	B
W - Wright Patman Fed. Credit Union	" " " "	C
H & W - Internal Revenue Service - Interest on late refund		C

DIVIDENDS ATTACHED PAGE 6

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from, (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST" as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ___ NO ✓ NA ___

For more information, see detailed Instruction Booklet at page 8

IDENTITY	CATEGORY
SEE ATTACHED PAGE 6	

1 7 0 3 3 1 3 4 7 5

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
Sold 100 shares Matrix Scientific	5-1-86	A
"" 100 shares Zurn Industries	5-1-86	A
"" 100 shares Goodyear	12-29-86	B
"" 100 shares Sears	5-1-86	A
"" 100 shares Pick & Save	5-1-86	A
"" 1057 Shares Shoneys	5-1-86	C
"" 183 shares Marriott	5-1-86	C
"" 53 shares Riceville Bank	6-1-86	A
"" 20 shares Sperry Corp.	3-1-86	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
Owe about $20,000 - loans on life insurance policies from	
Shenandoah Life Ins. Co. and Prudential Life policies.	
Mortgage on homes in Knoxville and Alexandria, VA, owe	
approximately $60,000.	

VI GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
Received food, lodging & transportation from sponsoring organizations which was paid for by the sponsoring organizations, not paid to me. Exact amounts unknown. Paid on numbers 1,2,7,8,9,17, 19 of Honoraria listed.	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Trustee	Knoxville College
"	Lincoln Memorial University
Chairman	Estes Kefauver Scholars Program at Hiwassee College

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
John J Duncan	5-26-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987

1986
JOHN J. DUNCAN
Continuation Sheet No. II-A

	Honoraria	6) Washington Non-Profit Tax Conference	Honoraria	$2,000
	" " "	7) Stephan Chemical Conference	" " "	$2,000
	" " "	8) American Tobacco Institute	" " "	$1,000
	" " "	9) Miller and Schroeder Forum	" " "	$2,000
**	" " "	10) American Assn. of Assoc. Executives	" " "	$ 500
	" " "	11) Finley and Kimble	" " "	$2,000
**	" " "	12) Tennessee Nurse Anesthetists	" " "	$1,000
**	" " "	13) New England Life Insurance	" " "	$2,000
	" " "	14) Wine and Spirits Association	" " "	$1,000
	" " "	15) U.S. Chamber of Commerce Conference	" " "	$ 500
	" " "	16) American Assoc. of Venturers	" " "	$1,000
	" " "	17) Brown and Williamson Co.	" " "	$2,000
**	" " "	18) Pharmaceutical Industries Assn.	" " "	$1,000
**	" " "	19) Electronics Industry Assoc.	" " "	$1,000
**	" " "	20) Real Estate Tax Institute	" " "	$1,000
**	" " "	21) American Assoc. Equipment Lessors	" " "	$1,000
				$30,000

**Note - Number 10, 12, 13, 18, 19, 20, 21 above donated to IRS recognized charities.

1986
JOHN J. DUNCAN
Continuation Sheet No. II-B

	STOCK DIVIDENDS	Category
W - Sears	" " "	A
W - Marriott	" " "	A
W - Bell Atlantic	" " "	A
W - Louisiana Pacific	" " "	A
W - Zurn Industries	" " "	A
W - Shoneys	" " "	A
W - Goodyear	" " "	A
W - Pacific Telesis	" " "	A
W - Southwestern Bell	" " "	A
W - Nynex	" " "	A
W - Ameritech	" " "	A
W - U.S. West	" " "	A
H - IDS Mutual Fund	" " "	A

*Note - miscellaneous income from stocks - all income less than $1,000 from each stock. Total of income of all combined, category A. (All owned by wife marked "W".)

Own one half interest in 50 acres of unimproved farm land, Scott County, Tennessee. Value $12,500.

Owned undivided interest in family condominium in New Smyrna, Fla., during 1986. Valued at cost $33,000. Income loss.

[illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A (For use by Members, officers, and employees)

Richard J. Durbin
(Full Name)

1525 Bates Avenue
(Mailing Address)

Springfield, Illinois 62704

HC (Office Use Only)

Check the appropriate box and fill in the blanks:

☒ Member of the U.S. House of Representatives—District 20 State Illinois

☐ Officer or Employee—Employing Office ____

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
U.S. House of Representatives (Richard)	salary	75,100.00	
Law Practice (Richard)	fees	912.00	
Honoraria (Richard)(see continuation sheet)	honoraria	14,450.00	
State of Illinois (Loretta)	salary	10,270.34	
University Professionals of Illinois(Loretta)	salary	1,645.00	

see attached continuation sheet

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Law Practice (Richard)	rental income	$3,600.00 C
Illinois National Bank of Springfield (Christine)	interest	14.52 A
Marine Bank of Springfield (Christine)	interest	68.61 A
Town & Country Bank of Springfield (Paul)	interest	47.32 A
Illinois National Bank of Spfld (118+172)	interest	90.00 A
Bear Stearns (820-08255)	interest	74.00 A

see attached continuation sheet

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _x_ NA ____

For more information, see detailed Instruction Booklet at page 8

IDENTITY	CATEGORY
see continuation sheet	
see attachment schedules D and E, Form 1040	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See attached schedules [illegible] and E, Form [illegible]		
See "[illegible] Holdings" for additional detailed information		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME, any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item, and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Bear-Stearns - Atlanta, Georgia "margin account" $[illegible]	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of gifts of transportation, lodging, food, or entertainment aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of all other gifts aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
See attached continuation sheet	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	Catholic Charities - Springfield, Illinois
Partner	[illegible] West Chenery Associates, Springfield, Illinois
Proprietor	Richard J. Durbin, Attorney at Law, Springfield, Illinois
[illegible] Professor	SIU School of Medicine, Springfield, Illinois
Director	Sacred Heart Academy Advisory Board, Springfield, Illinois

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Richard J. Durbin	May 15, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

[illegible] 1 1 : 3 3

Page ____ of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Richard J. Durbin
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
[illegible]	[illegible] - Wine Institute		$1,[illegible]
Honoraria	[illegible] - ASA Service Corp.		[illegible]
	[illegible] - National Restaurant Association		[illegible]
	[illegible] - Irving Company		[illegible]
	[illegible] - Springfield Public Schools		[illegible]
	[illegible] - Renewable Fuels		[illegible]
	[illegible] - Manville Sales Corporation		[illegible]
	[illegible] - Board of Trade of the City of Chicago		[illegible]
	[illegible] - Chicago Mercantile		[illegible]
	[illegible] - [illegible] Rice & Sugar		[illegible]
	[illegible] - [illegible] Continental		[illegible]
	[illegible] - Miller & Schroeder Financial, Inc.		[illegible]
	[illegible] - National Rural Electric Company		[illegible]
	[illegible] - National Soybean Processors Association		[illegible]
[illegible]			
Continued	State of Illinois (Christine)	Salary	[illegible]
	Richard Day Research (Christine)	Salary	[illegible]
	City of Springfield (Paul)	Salary	[illegible]
[illegible]			
Continued	A.G. Edwards ([illegible])	Interest	[illegible] B
	Germania Bank ([illegible])	Interest	[illegible] A
	Town & Country Bank of Springfield	Interest	[illegible] A
	Merrill Lynch ([illegible])	dividends	[illegible] A
	Merrill Lynch ([illegible])	dividends	[illegible] A
	A.G. Edwards ([illegible])	dividends	[illegible] A
	Fidelity Magellan Fund ([illegible])	dividends	[illegible] B
	Hospital Corp. of America ([illegible])	dividends	[illegible] A
	See attachment Schedule D and E, Form 1040		
[illegible]	Holdings		
Continued	Merrill Lynch Ready Assets Trust ([illegible]) (12/31/86)		[illegible] B
	San Diego, CA Mortgage Rev. Bond (12/31/86)		[illegible] B
	A.G. Edwards I.R.A. (12/31/86)		[illegible] A
	M. L. Fund for Tomorrow (942 shares)		[illegible] B
	Templeton World Fund (898 shares-Jt-IRA)		[illegible]
	Texas Air Corp. Debenture (9-15-00)(sold 11/4/86)		[illegible] A
	UAL Corp.Pfd.(1000 shares)(sold 6/12/86)		[illegible] C
	Coleman,Tx.Hsg. Rev. Bond (sold 10/21/86)		[illegible] A
	Fidelity Magellan Fund (1000 shares sold 11/24/86)		[illegible] C
	Lone Star Technologies (sold 12/17/86)		[illegible] B
	TWA pf (1,007 shares sold 1/27/87)		[illegible] C
	Merrill Lynch Fund for Tomorrow (226 sh.Keogh/RJD)		1,440.[illegible] A
	Merrill Lynch Ret. Ben. (427 sh.-Keogh/RJD)		5,460.00 B
	C.D. - People Heritage-Maryville KA (sold 4/19/86) (Keogh/RJD)		[illegible] A
	C.D. - Glendale S&L (Keogh/RJD)(sold 4/9/86)		1,041.16 A
	Fidelity Magellan Fund (159.7 sh - IRA/RJD)		7,776.96 B
	Fidelity Magellan Fund (159.7 sh - IRA/LMD)		7,776.96 B
	Safeway Stones Hldg. Corp.Jr. (IRA/LMD)		1,980.00 A
	Sealed Power Corp.Cv S/D (IRA/LMD)		2,078.00 A
	Sylvan Learning Corp. (300 sh - IRA/RJD)		2,025.00 A
	Safeway Stoner Hldg. Corp. J.C. (IRA/RJD)		1,980.00 A
	Hadson Corp (Wt - 95 sh) 5/14/87		531.25 A
	Hadson Petroleum Note ($[illegible] @ 9 3/4 %		NA
	Family Home (1525 Bates, Springfield IL (est)		[illegible] B
	Office Equipment - Law Practice (cost)		[illegible] B

Page 2 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Richard J. Durbin
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*			
VII	TRAVEL REIMBURSEMENT			
	3/6	- airfare, Washington, D.C.; to Albuquerque, N.M.; Springfield Illinois for Campus Outreach as guest of Congressman Bill Richardson	- speech	$1,011.00
	3/7			
	3/22 -	airfare to Minneapolis, MN for Miller & Schroeder Financial, Inc.	- speech	270.00
	4/16 -	estimated airfare to Denver CO for Manville Sales Corporation	- speech	350.00
	4/24 -	airfare to Los Angeles for Member and spouse for Renewable Fuels	- speech	406.60
	4/29 -	airfare to El Paso, Texas for Campus Outreach as guest of Congressman Ron Coleman (Coleman for Congress campaign)	- speech	352.78

SCHEDULE D
(Form 1040)
Department of the Treasury
Internal Revenue Service

Capital Gains and Losses and Reconciliation of Forms 1099-B

▶ Attach to Form 1040. ▶ See Instructions for Schedule D (Form 1040).
For Paperwork Reduction Act Notice, see Form 1040 Instructions.

OMB No. 1545-0074
1986
Attachment Sequence No. 12

Name(s) as shown on Form 1040: Richard J. & Loretta M. Durbin
Your social security number: 493 46 1338

1 Report here, the total sales of stocks, bonds, etc., reported for 1986 by your broker to you on Form(s) 1099-B or an equivalent substitute statement(s) — 1 — 87786

If this amount differs from the total of lines 2b and 9b, column (d), attach a statement explaining the difference. See the instructions for line 1, Schedule D (Form 1040) for examples.

Part I Short-term Capital Gains and Losses—Assets Held Six Months or Less

(a) Description of property (Example, 100 shares 7% preferred of "Z" Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold (Mo., day, yr.)	(d) Sales price (see instructions)	(e) Cost or other basis (see instructions)	(f) LOSS If (e) is more than (d), subtract (d) from (e)	(g) GAIN If (d) is more than (e), subtract (e) from (d)
2a Form 1099-B Transactions (Sales of Stocks, Bonds, etc.):						
2b Total (add column (d)) ▶						
2c Other Transactions:						

3 Short-term gain from sale or exchange of a principal residence from Form 2119, lines 6 or 12 — 3
4 Short-term gain from installment sales from Form 6252, lines 22 or 30 — 4
5 Net short-term gain or (loss) from partnerships, S corporations, and fiduciaries — 5
6 Short-term capital loss carryover from years beginning after 1969 — 6
7 Add all of the transactions on lines 2a and 2c and lines 3 through 6 in columns (f) and (g) — 7 ( )
8 Net short-term gain or (loss), combine columns (f) and (g) of line 7 — 8

Part II Long-term Capital Gains and Losses—Assets Held More Than Six Months

(a) Description of property	(b) Date acquired	(c) Date sold	(d) Sales price	(e) Cost or other basis	(f) LOSS	(g) GAIN
9a Form 1099-B Transactions (Sales of Stocks, Bonds, etc.):						
3375H ML Fund	7-09-85	4-21-86	5089	1266		3823
5280 Itel	9-11-85	6-19-86	52000	39750		12250
180 Coleman	4-22-85	10-21-86	4750	3803		947
585H Tx Air	2-09-81	10-30-86	2232	891		1341
Fidelity Fund	12-21-83	11-24-86	12715	10000		2715
1000SH Lone St	6-09-86	12-17-86	6000	10125	4125	
9b Total (add column (d)) ▶			87786			
9c Other Transactions:						

10 Long-term gain from sale or exchange of a principal residence from Form 2119, lines 6, 8, or 12 — 10
11 Long-term gain from installment sales from Form 6252, lines 22 or 30 — 11
12 Net long-term gain or (loss) from partnerships, S corporations, and fiduciaries — 12
13 Capital gain distributions — 13 — 1011
14 Enter gain from Form 4797, lines 6 or 8 — 14
15 Long-term capital loss carryover from years beginning after 1969 — 15
16 Add all of the transactions on lines 9a and 9c and lines 10 through 15 in columns (f) and (g) — 16 (4125) — 27087
17 Net long-term gain or (loss), combine columns (f) and (g) of line 16 — 17 — 22962

[illegible]

Schedule D (Form 1040) 1986 Attachment Sequence No. 12 Page

Note: *If you have capital loss carryovers from years beginning before 1970, do not complete Parts III or IV. See Form 4798 instead.*

Name(s) as shown on Form 1040 (Do not enter name and social security number if shown on other side.)	Your social security number
Richard J. & Loretta M. Durbin	493 46 1338

Part III Summary of Parts I and II

18	Combine lines 8 and 17, and enter the net gain or (loss) here			18	22962
	Note: *If line 18 is a loss, skip lines 19 through 21 and complete lines 22 and 23. If line 18 is a gain, complete lines 19 through 21 and skip lines 22 and 23.*				
19	If line 18 shows a gain, enter the smaller of line 17 or line 18. Enter zero if there is a loss or no entry on line 17	19	22962		
20	Enter 60% of line 19 *If line 20 is more than zero, you may be liable for the alternative minimum tax. See Form 6251.*			20	13777
21	Subtract line 20 from line 18. Enter here and on Form 1040, line 13			21	9185
22	If line 18 shows a loss, enter one of the following amounts: a If line 8 is zero or a net gain, enter 50% of line 18, b If line 17 is zero or a net gain, enter line 18; or c If line 8 and line 17 are net losses, enter amount on line 8 added to 50% of the amount on line 17			22	
23	Enter here and as a loss on Form 1040, line 13, the smallest of: a The amount on line 22; b $3,000 ($1,500 if married and filing a separate return); or c Taxable income, as adjusted (see instructions)			23	

Part IV Computation of Post-1969 Capital Loss Carryovers From 1986 to 1987

(Complete this part if the loss on line 22 is more than the loss on line 23)

24	Enter loss shown on line 8; if none, enter zero and skip lines 25 through 28, then go to line 29	24	
25	Enter gain shown on line 17. If that line is blank or shows a loss, enter zero	25	
26	Subtract line 25 from line 24	26	
27	Enter smaller of line 23 or line 26	27	
28	Subtract line 27 from line 26. This is your short-term capital loss carryover from 1986 to 1987	28	
29	Subtract line 27 from line 23. (Note: If you skipped lines 25 through 28, enter amount from line 23.)	29	
30	Enter loss from line 17; if none, enter zero and skip lines 31 through 34	30	
31	Enter gain shown on line 8. If that line is blank or shows a loss, enter zero	31	
32	Subtract line 31 from line 30	32	
33	Multiply amount on line 29 by 2	33	
34	Subtract line 33 from line 32. This is your long-term capital loss carryover from 1986 to 1987	34	

Part V Complete This Part Only if You Elect Out of the Installment Method and Report a Note or Other Obligation at Less Than Full Face Value

Check here if you elect out of the installment method ▶ ☐

Enter the face amount of the note or other obligation ▶

Enter the percentage of valuation of the note or other obligation ▶

Part VI Reconciliation of Forms 1099-B For Bartering Transactions

Complete this part if you received one or more Form(s) 1099-B or an equivalent substitute statement(s) reporting bartering income. Enter the amount on the line that indicates the form or schedule you used to report the bartering income.

			Amount of bartering from Form 1099-B or equivalent statement
35	Form 1040, line 22	35	
36	Schedule C (Form 1040)	36	
37	Schedule D (Form 1040)	37	
38	Schedule E (Form 1040)	38	
39	Schedule F (Form 1040)	39	
40	Other (identify) (if not taxable, indicate reason—attach additional sheets if necessary) ▶	40	
41	Total (add lines 35 through 40)	41	

Note: *The amount on line 41 should be the same as the total bartering on all Forms 1099-B or equivalent statements received.*

Schedule E (Form 1040) 1986 Attachment Sequence No. 13 Page

Name(s) as shown on Form 1040 (Do not enter name and social security number if shown on other side.)
Richard J. & Loretta M. Durbin

Your social security number
493 46 1338

Part II Income or (Loss) from Partnerships, S Corporations, Estates, or Trusts

If you report a loss below, and have amounts invested in that activity for which you are not at risk, you may have to file Form 6198. See Instruct

Partnerships and S Corporations

(a) Name	(b) Enter appropriate code	(c) Check if foreign partnership	(d) Employer identification number	(e) Net loss (see Instructions for at risk limitations)	(f) Net income
Hadson 1982 Oil & Gas	P		73-1146332		211
IDC-per K-1 Supplement				229	
Offering Cost-per K-1 Supp				524	
Depletion-Sec 613A-K-1 Supp				48	

Codes: P for partnership; S for S corporation

27 Add amounts in columns (e) and (f) and enter the total(s) here	27	(801)	211
28 Combine amounts in columns (e) and (f), line 27, and enter the net income or (loss) here		28	(590)
29 Deduction for section 179 property (from Form 1065, Schedule K-1, and Form 1120S, Schedule K-1) (See Instructions for limitations.)		29	(
30 Total partnership and S corporation income or (loss). Combine amounts on lines 28 and 29. Enter the total here and include in line 36 below		30	(590)

Estates and Trusts

(a) Name	(b)	(c)	(d) Employer identification number	(e) Net loss (see Instructions for at risk limitations)	(f) Net income
	▨	▨			
	▨	▨			
	▨	▨			
	▨	▨			

31 Add amounts in columns (e) and (f) and enter the total(s) here	31	( )	
32 Total estate and trust income or (loss). Combine amounts in columns (e) and (f), line 31. Enter the total here and include in line 36 below		32	

Part III Windfall Profit Tax Summary

33 Windfall profit tax credit or refund received in 1986 (see Instructions)	33	
34 Windfall profit tax withheld in 1986 (see Instructions)	34	( )
35 Combine amounts on lines 33 and 34. Enter the total here and include in line 36 below	35	

Part IV Summary

36 TOTAL income or (loss). Combine lines 26, 30, 32, and 35. Enter total here and on Form 1040, line 18 ▶		36	(590)
37 Farmers and fishermen: Enter your share of GROSS FARMING AND FISHING INCOME applicable to Parts I and II (see Instructions)	37		▨

Part V Optional Depreciation Worksheet.—You may use this worksheet to figure depreciation only on property placed in service before 1981. If you placed any property in service after 1980, you must use Form 4562 for all property.

	(a) Description of property	(b) Date acquired	(c) Cost or other basis	(d) Depreciation allowed or allowable in prior years	(e) Depreciation method	(f) Life or rate	(g) Depreciation for this year
A							
	Total (Property A)						
B							
	Total (Property B)						
C							
	Total (Property C)						

RICHARD J. DURBIN
20TH DISTRICT, ILLINOIS

COMMITTEE ON APPROPRIATIONS
SUBCOMMITTEE ON AGRICULTURE AND RURAL DEVELOPMENT
SUBCOMMITTEE ON TRANSPORTATION

COMMITTEE ON THE BUDGET

SELECT COMMITTEE ON CHILDREN, YOUTH AND FAMILIES

Congress of the United States
House of Representatives
Washington, DC 20515

WASHINGTON OFFICE
417 CANNON BUILDING
WASHINGTON, DC 20515
(202) 225-5271

IN SPRINGFIELD
1307 S. 7TH ST. 62703
(217) 492-4062 OR
P.O. BOX 790 62705

IN DECATUR
ROOM 110, 363 S. MAIN ST. 62523
(217) 428-4745 OR
P.O. BOX 1508 62525

IN QUINCY
ROOM 306, 531 HAMPSHIRE ST. 62301
(217) 228-1042

May 20, 1987

MC

The Clerk, U.S House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

Dear Sir:

By receipt of this letter, please change the following typographical error on my 1986 Financial Disclosure Statement filed on May 15, 1987.

> Item V. Liabilities - Bear-Stearns - Atlanta, Georgia (margin account) $9,463.68; Category designation "D" should be Category "B".

Thank you very much for your assistance.

Very truly yours,

Richard J. Durbin

Richard J. Durbin
Member of Congress

RJD:kb

THIS STATIONERY PRINTED ON PAPER MADE WITH RECYCLED FIBERS

HAND DELIVERED [illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A (For use by Members, officers, and employees)

Bernard J. Dwyer
(Full Name)

11 Mason Drive
(Mailing Address)

Edison, NJ 08820

MC (Office Use Only)

Check the appropriate box and fill in the blanks:

x Member of the U.S. House of Representatives—District 6 State New Jersey

Officer or Employee (Employing Office) ____

Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION" **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
State of New Jersey	Pension	$189	
Fraser Brothers, Inc. (spouse)	Salary	N/A	
General Cable Company (spouse)	Pension	N/A	
Fraser Brothers, Inc.	Renewals	$42,850	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
First Savings of Perth Amboy	Interest	D
National State Bank	Interest	B
Village Gate - Metuchen, NJ	Rent	D
Fraser Brothers, Inc.	Dividend	G
General Public Utilities	Capital Gain	B
Cleveland Cliffs Iron Co.	Dividend	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See,* Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY		CATEGORY
Village Gate - Metuchen, NJ	Rental Property	E
Waltuma, Inc.	Common Stock	C
Fraser Brothers, Inc.	Common Stock	F
Columbia Gas Systems	Common Stock	A
First Fidelity Bancorp.	Common Stock	D
General Telephone and Electronics	Common Stock	B

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION		DATE	CATEGORY
General Public Utilities	Sold Stock	5/10/86	A
Puerto Rico Water Resources Authority	Redeemed bonds	1/2/86	A
NJ MFA	Redeemed bonds	10/1/86	D
Bernards Twp. School District	Purchased bonds	12/30/86	B
NJ EDA	Purchased bonds	12/10/86	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
First Savings of Perth Amboy Mortgage - Village Gate, Metuchen, NJ	E

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Officer	Fraser Brothers, Inc.
Director	Waltuma, Inc.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature Bernard J. Dwyer	Date May 15, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE

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Page 1 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Bernard J. Dwyer
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*		
II.B.	First Fidelity Bancorp.	Dividend	D
	General Telephone and Electronics	Dividend	B
	Columbia Gas Systems, Inc.	Dividend	B
	Whittaker Corp.	Dividend	A
	Fraser Brothers, Inc.	Cap. Gain	G
	NJ MFA RY	Interest	A
	NJ HFA	Interest	B
	NJ Health Care	Interest	B
	NJ General Obligation	Interest	A
	NJ General Obligation	Interest	A
	Jersey City Sewer	Interest	A
	Puerto Rico Commonwealth	Interest	A
	Puerto Rico Water Resources Authority	Interest	A
	NJ Health - Middlesex Gen'l Hosp.	Interest	A
	Township of Woodbridge	Interest	A
	NJ MFA	Interest	B
	North Wildwood, NJ	Interest	B
	North Bergen, NJ	Interest	A
	Hudson County Sewerage Authority	Interest	A
	Vernon Township Board of Education	Interest	A
	East Brunswick Township	Interest	A
	Washington Public Power Supply System	Interest	A
	Boro of South Plainfield	Interest	A
	Boro of North Arlington	Interest	A
	Millville School District	Interest	A
	NJ EDA	Interest	A
	Cape May County MUA	Interest	B
	NJ HFA	Interest	C
	NJ EDA	Interest	A
	NJ Highway Authority	Interest	C
	NJ Education Facility	Interest	B
	Township of Brick - General Obligation	Interest	B
	NJ MFA	Interest	A
	NJ EDA	Interest	B
	NJ EDA	Interest	A
	NJ Transportation	Interest	A
	County of Middlesex	Interest	A
	NJ Higher Education	Interest	A
	City of Jersey City	Interest	A
	NJ General Obligation	Interest	C
	Delaware River Port Authority	Interest	A
	Parking Authority - New Brunswick	Interest	A
	Howell Township	Interest	A
	Washington Twp Board of Education	Interest	A
	City of Bayonne	Interest	A
III.	Cleveland Cliffs Iron Co.	Common Stock	B
	Whittaker Corporation	Common Stock	B
	Fedders	Common Stock	A
	First Savings of Perth Amboy	Savings	F
	National State Bank	Savings	D
	North Wildwood, NJ	Bonds	C
	North Bergen, NJ	Bonds	A
	Hudson County Sewerage Authority	Bonds	C
	Vernon Township Board of Education	Bonds	C
	East Brunswick Township	Bonds	A
	NJ HFA	Bonds	C
	NJ Health Care	Bonds	C
	NJ General Obligation	Bonds	B

GPO 1986 61-688 (m)

Page 2 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Bernard J. Dwyer
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
III.	NJ General Obligation	Bonds	B
	Jersey City Sewer	Bonds	A
	Puerto Rico Commonwealth Highway	Bonds	A
	NJ Health - Middlesex Gen'l Hosp.	Bonds	B
	Woodbridge Township	Bonds	A
	Washington Public Power Supply System	Bonds	C
	NJ MFA RY	Bonds	A
	Boro of South Plainfield	Bonds	A
	Boro of North Arlington	Bonds	A
	Milleville School District	Bonds	B
	NJ EDA	Bonds	B
	Cape May County MUA	Bonds	C
	NJ HFA	Bonds	C
	NJ EDA	Bonds	A
	NJ Highway Authority	Bonds	C
	NJ Turnpike Authority	Bonds	B
	NJ Education Facility	Bonds	B
	Township of Brick - General Obligation	Bonds	B
	NJ MFA	Bonds	B
	NJ Economic Development	Bonds	C
	NJ Economic Development	Bonds	B
	State of NJ Transportation	Bonds	A
	County of Middlesex	Bonds	A
	State of New Jersey - Higher Education	Bonds	A
	City of Jersey City	Bonds	A
	NJ General Obligation	Bonds	C
	Delaware River Port Authority	Bonds	A
	Parking Authority - New Brunswick	Bonds	A
	Howell Township	Bonds	A
	Washington Township Board of Education	Bonds	A
	City of Bayonne	Bonds	A
	Bernards Twp. School District	Bonds	B
	NJ EDA	Bonds	B

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A: For use by Members, officers, and employees

MERVYN M. DYMALLY
(Full Name)

1717 Longworth House Office Building
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 31 State California

☐ Officer or Employee: Employing Office

☐ Check if Amended Statement

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WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure; all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts, such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions, the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See Attachments (1) a & b	Honorarium	$22,825	Charity ($300)
California State Retirement System	Retirement	22,383.61	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Crenshaw Commerical - 50%	Rent	A
Acacia Condo	"	A
Mulberry Duplex	"	A
Sixty-Fifth Street	"	A
Malibu Club Condo	"	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See,* Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Crenshaw Commerical Bldg. - 50%	E
Mulberry Duplex	D
Acacia Condo	D
Sixty-Fifth Street Single Family	D
Malibu Club Condo	C
U.S. Savings Bonds	A
Miller Typesetting Note	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Crenshaw Commerical Bldg. - 50% Deeded	12/31/86	E

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
See Attachment (2)	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
See Attachment (3)	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986 is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986:

SOURCE	BRIEF DESCRIPTION
Pott Industries	Travel to Guyana & Trinidad
Atlanta University ($133.77)	Expenses for Seminar

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
See Attachment (4)	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
1985	Miller Printing & Typesetting	Note payable when due

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5/8/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

Attachment (1)a

II. INCOME

Honoraria 1986

JANUARY		
2	First Amendment Research Institute	$ 2,000.00
12	National Association of Letter Carriers	500.00
15	Pathfinder Press	1,000.00
FEBRUARY		
6	Altiyed Foundation	1,000.00
12	West Bay Imports	1,000.00
17	North Carolina Association of Educators	1,000.00
18	National League of Postmasters	1,000.00
MARCH		
3	Pierian Press	125.00
28	Soochon University of Law	2,000.00
APRIL		
8	American Association of Community & Junior Colleges	2,000.00
12	Caribbean Action Labor Committee	2,000.00
MAY		
20	Atlanta University Center	1,000.00
	Chums, Inc.	200.00
JUNE		
--		
JULY		
6	American Federation of Ramallah	2,000.00
29	National League of Postmasters	1,000.00
AUGUST		
1	D.C. Postsecondary Education Fund	1,000.00
SEPTEMBER		
21	Chicago Businessmen Dialogue	1,500.00
OCTOBER		
31	Long Beach Naval Shipyard Employees Association	250.00
NOVEMBER		
3	Federal Managers Association Long Beach	250.00
DECEMBER		
10	Friends of the United Holy Land Fund	2,000.00
		$22,825.00

[illegible] Attachment II(b)

CHARITY

1.) Mervyn M. Dymally Scholarship Fund, Inc	$100.00
2.) United Negro College Fund	100.00
3.) Hands Across America	50.00
4.) Save the Children	50.00
	$300.00

LIABILITIES

Identity	Category
1.) Crocker Bank Loan	C
2.) Queen City Bank Loan	C
3.) Crenshaw Commerical Bldg. 50% (Deeded)	
Hemet Savings and Loan	D
4.) Mulberry Duplex	
*Merrill Lynch	C
Heritage Mortage	C
5.) Acacia Condo	
Founders Savings and Loan	D
Boggs Realty	A
6.) Sixty-Fifth Street Single Family Rental	
*Lomas & Nettleton	C
7.) The Malibu Club Condo	
Gold Coast Savings and Loan	C
8.) Imperial Thrift Note	D

* Loans Assumed

VI. GIFTS

	Date	Source	Brief Description
1.)	01/07/86	N.C. Association of Educators	Travel to Raleigh, N.C.
2.)	01/19/86	UCO, Inc.	Travel to Guyana
3.)	04/28/86	Caribbean Action Labor Committee	Travel to New York
4.)	05/12/86	Texim Corporation	Statelite Birthday Gift
5.)	05/14/86	American Assoc. of Community and Junior Colleges	Travel to Florida
6.)	05/16/86	Chums, Inc.	Travel to Raleigh, N.C.
7.)	06/13/86	Arab-American Discrimination Committee	Travel to Detroit
8.)	07/06/86	American Federation of Ramallah	Travel to Chicago
9.)	08/18/86	National League of Postmasters	Travel to Boston
10.)	11/29/86	Friends of United Holy Land Fund	Travel to New York

[illegible] Attachment (4)

POSITIONS

Position	Name of Organization	
1. Chairman	Congressional Caucus on Science & Tech.	D.C.
2. Member of the Board	Congressional Inst. for Sc., Space & Tech.	D.C.
3. Chairman of the Board	Caribbean Action Lobby	D.C.
4. Member	Nat'l. Voter Education & Research Action	CA
5. Member, Board of Directors	Nat'l. Black Leadership Roundtable	D.C.
6. Member, Board of Governors	Joint Center for Political Studies	D.C.
7. Member, Board of Trustees	Shaw University (Resigned)	N.C.
8. Member, Nat'l. Adv. Council	Nat'l. Civil Rights Museum (Inactive)	IN
9. Member, Board of Directors	Appollo Music Hall of Fame (Inactive)	N.Y.
10. Member, Board of Directors	Nat'l. Democratic Institute (Resigned)	D.C.
11. Member, Board of Directors	Int'l. United Black Fund (Inactive)	D.C.
12. Commissioner	D.C. Crime Prevention Commission (Inactive)	D.C.
13. Chairman of the Board	Caribbean American Research Institute	D.C.
14. Chairman	Congressional Black Caucus	D.C.
15. Chairman of the Board	Mervyn M. Dymally Scholarship Fund, Inc.	D.C.

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A (For use by Members, officers, and employees)

MERVYN M. DYMALLY
(Full Name)

1717 Longworth House Office Building
(Mailing Address)

Washington, D.C. 20515

Mc
(Office Use Only)

Check the appropriate box and fill in the blanks

X Member of the U.S. House of Representatives—District 31 State California

Officer or Employee—Employing office

✓ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See Attachments (1) a & b	Honorarium	$22,825	Charity ($300)
California State Retirement Systems	Retirement	22,383.61	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Crenshaw Commerical - 50%	Rent	A
Acacia Condo	"	A
Mulberry Duplex	"	A
Sixty-Fifth Street	"	A
Malibu Club Condo	"	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

✓ III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See,* Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8

IDENTITY	CATEGORY
Crenshaw Commerical Bldg. - 50%, Los Angeles, CA	E
Mulberry Duplex, Compton, CA	D
Acacia Condo, Compton, CA	D
Sixty-Fifth Street Single Family, Los Angeles, CA	D
Malibu Club Condo, Margate, FL	C
U.S. Savings Bonds	A
Miller Typesetting Note	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Crenshaw Commerical Bldg. - 50% Deeded	12/31/86	E
Malibu Club Condo - Purchase, Margate, FL	07/86	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
See Attachment (2)	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

Ⓐ The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
See Attachment (3)	
See Note A, circled above	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION	VALUE

✓ VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
Pott Industries, Los Angeles, CA	Reimbursement for Air Travel
To explore construction of an ethanol plant	to Guyana & Trinidad
Atlanta University ($133.77)	Hotel & Meal expenses for
NASA Conference about Blacks in Space	Seminar

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13

POSITION	NAME OF ORGANIZATION
See Attachment (4)	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13

DATE	PARTIES TO	TERMS OF AGREEMENT
1985	Miller Printing & Typesetting	Note payable when due

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature

Date 6/1/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

MERVYN M. DYMALLY
THIRTY FIRST DISTRICT
CALIFORNIA

[illegible] LONGWORTH BUILDING
WASHINGTON, DC 20515
(202) 225-5425

COMMITTEES
FOREIGN AFFAIRS
POST OFFICE AND CIVIL SERVICE
EDUCATION AND LABOR
DISTRICT OF COLUMBIA
CHAIRMAN, SUBCOMMITTEE
ON JUDICIARY AND EDUCATION

Congress of the United States
House of Representatives
Washington, DC 20515

June 24, 1987

Honorable Donnald K. Anderson
Clerk of the House
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

MC

Dear Mr. Anderson:

After an informal consultation with the staff of the Committee on Standards of Official Conduct, I have come to the conclusion that my interpretation of "Reimbursements" was too literal.

I, therefore, by way of this letter, wish to request that Section VI (Gifts), be changed to Section VII (Reimbursements.)

Please see attachments: Page 4 of 1986 Financial Disclosure Statement and attachment 3 (b).

Sincerely,

Mervyn M. Dymally

MERVYN M. DYMALLY
Member of Congress

MMD:me
Enclosures

[illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

MERVYN M. DYMALLY
(Full Name)

1717 Longworth House Office Building
(Mailing Address)

Washington, D.C. 20515

(Office Use Only)

Check the appropriate box and fill in the blanks

X Member of the U.S. House of Representatives—District 31 State California

Officer or Employee—Employing Office

X Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

2 7 0 7 0 2 2 1 0 3 3

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION".
IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See Attachments (1)a & b	Honorarium	$22,825	Charity ($300)
California State Retirement Systems	Retirement	22,383.61	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Crenshaw Commerical - 50%	Rent	A
Acacia Condo	"	A
Mulberry Duplex	"	A
Sixty-Fifth Street	"	A
Malibu Club Condo	"	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.**
IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Crenshaw Commerical Bldg. - 50%, Los Angeles, CA	E
Mulberry Duplex, Compton, CA	D
Acacia Condo, Compton, CA	D
Sixty-Fifth Street Single Family, Los Angeles, CA	D
Malibu Club Condo, Margate, FL	C
U.S. Savings Bonds	A
Miller Typesetting Note	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Crenshaw Commerical Bldg. - 50% Deeded	12/31/86	E
Malibu Club Condo - Purchase, Margate, FL	07/86	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
See Attachment (2)	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
See Attachment (3)	
Note Change - See Attachment (3)b date 6/24/87	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
See Attachment (3)b dated 6/24/87	
Pott Industries, Los Angeles, CA	Reimbursement for Air Travel
to explore construction of an ethonal plant	to Guyana & Trinidad
Atlanta University ($133.77)	Hotel & Meal expenses for
NASA Conference about Blacks in Space	Seminar

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
See Attachment (4)	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
1985	Miller Printing & Typesetting	Note payable when due

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Signature	Date
	6/24/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

Attachment (1)a

II. INCOME

Honoraria 1986

JANUARY		
2	First Amendment Research Institute	$ 2,000.00
12	National Association of Letter Carriers	500.00
15	Pathfinder Press	1,000.00
FEBRUARY		
6	Altiyed Foundation	1,000.00
12	West Bay Imports	1,000.00
17	North Carolina Association of Educators	1,000.00
18	National League of Postmasters	1,000.00
MARCH		
3	Pierian Press	125.00
28	Soochon University of Law	2,000.00
APRIL		
8	American Association of Community & Junior Colleges	2,000.00
12	Caribbean Action Labor Committee	2,000.00
MAY		
16	Chums, Inc.	200.00
20	Atlanta University Center	1,000.00
JUNE		

JULY		
6	American Federation of Ramallah	2,000.00
29	National League of Postmasters	1,000.00
AUGUST		
1	D.C. Postsecondary Education Fund	1,000.00
SEPTEMBER		
21	Chicago Businessmen Dialogue	1,500.00
OCTOBER		
31	Long Beach Naval Shipyard Employees Association	250.00
NOVEMBER		
3	Federal Managers Association Long Beach	250.00
DECEMBER		
10	Friends of the United Holy Land Fund	2,000.00
		$22,825.00

[illegible] Attachment (1)b

CHARITY

1.)	Mervyn M. Dymally Scholarship Fund, Inc.	$100.00
2.)	United Negro College Fund	100.00
3.)	Hands Across America	50.00
4.)	Save the Children	50.00
		$300.00

Attachment (2)

LIABILITIES

	Identity	Category
1.)	Crocker Bank Loan	C
2.)	Queen City Bank Loan	C
3.)	Crenshaw Commerical Bldg. 50% (Deeded)	
	Hemet Savings and Loan	D
4.)	Mulberry Duplex	
	*Merrill Lynch	C
	Heritage Mortage	C
5.)	Acacia Condo	
	Founders Savings and Loan	D
	Boggs Realty	A
6.)	Sixty-Fifth Street Single Family Rental	
	*Lomas & Nettleton	C
7.)	The Malibu Club Condo	
	Gold Coast Savings and Loan	C
8.)	Imperial Thrift Note	D

* Loans Assumed

Attachment (3)

VI. GIFTS

Date	Source	Brief Description
1.) 01/07/86	N.C. Association of Educators	Travel to Raleigh, N.C.
2.) 01/19/86	UCO, Inc.	Travel to Guyana
3.) 04/28/86	Caribbean Action Labor Committee	Travel to New York
4.) 05/12/86	Texim Corporation	Statelite Birthday Gift
5.) 05/14/86	American Assoc. of Community and Junior Colleges	Travel to Florida
6.) 05/16/86	Chums, Inc.	Travel to Raleigh, N.C.
7.) 06/13/86	Arab-American Discrimination Committee	Travel to Detroit
8.) 07/06/86	American Federation of Ramallah	Travel to Chicago
9.) 08/18/86	National League of Postmasters	Travel to Boston
10.) 11/29/86	Friends of United Holy Land Fund	Travel to New York

Attachment (3)b
6/24/87

VII. REIMBURSEMENTS

	DATE	SOURCE	BRIEF DESCRIPTION
1.)	01/07/86	N.C. Association of Educators (Speech)	Travel to Raleigh, N.C.
2.)	01/19/86	UCO, Inc. (Fact Finding)	Travel to Guyana
3.)	04/28/86	Caribbean Action Labor Committee(Speaker)	Travel to New York
4.)	05/14/86	American Association of Community and Junior Colleges (Speech)	Travel to Florida
5.)	05/16/86	Chums, Inc. (Speech)	Travel to Raleigh, N.C.
6.)	06/13/86	Arab-American Discrimination Committee (Speech)	Travel to Detroit
7.)	07/06/86	American Federation of Ramallah (Speech)	Travel to Chicago
8.)	08/18/86	National League of Postmasters (Speech)	Travel to Boston
9.)	11/29/86	Friends of United Holy Land Fund(Speech)	Travel to New York

[illegible] Attachment (4)

POSITIONS

Position	Name of Organization	
1. Chairman	Congressional Caucus on Science & Tech.	D.C.
2. Member of the Board	Congressional Inst. for Sc., Space & Tech.	D.C.
3. Chairman of the Board	Caribbean Action Lobby	D.C.
4. Member	Nat'l. Voter Education & Research Action	CA
5. Member, Board of Directors	Nat'l. Black Leadership Roundtable	D.C.
6. Member, Board of Governors	Joint Center for Political Studies	D.C.
7. Member, Board of Trustees	Shaw University (Resigned)	N.C.
8. Member, Nat'l. Adv. Council	Nat'l. Civil Rights Museum (Inactive)	IN
9. Member, Board of Directors	Appollo Music Hall of Fame (Inactive)	N.Y.
10. Member, Board of Directors	Nat'l. Democratic Institute (Resigned)	D.C.
11. Member, Board of Directors	Int'l. United Black Fund (Inactive)	D.C.
12. Commissioner	D.C. Crime Prevention Commission (Inactive)	D.C.
13. Chairman of the Board	Caribbean American Research Institute	D.C.
14. Chairman	Congressional Black Caucus	D.C.
15. Chairman of the Board	Mervyn M. Dymally Scholarship Fund, Inc.	D.C.

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A (For use by Members, officers, and employees)

ROY DYSON
(Full Name)

POST OFFICE BOX 5
(Mailing Address)

GREAT MILLS, MARYLAND 20634

MC
(Office Use Only)

Check the appropriate box and fill in the blanks:

X Member of the U.S. House of Representatives—District 1 State MD

Officer or Employee—Employing Office ____

Check if amended Statement

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For more information, see detailed Instruction Booklet at page 7.

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EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

* DISPOSITION - some of these honoraria were assigned to charities

A. SOURCE					
1. Smokeless Tobacco Council, Inc.	Rec'd	5/18/86	Honorarium	$1,000.00	*
2. Litton Systems, Inc.	"	10/2/86	"	1,000.00	*
3. AAI Corp	"	7/21/86	"	1,500.00	*
4. Sperry Corp.	"	8/11/86	"	2,000.00	*
5. United Chem-Con Corp	"	3/20/86	"	1,000.00	*
6. Rockwell International	"	7/29/86	"	1,000.00	*

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
HOUSE - 300 E. WILLIAM ST., SALISBURY MD.	RENTS	D
MEMORIAL HOSPITAL AT EASTON	REVENUE BOND	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
REAL ESTATE - 300 E. WILLIAM ST., SALISBURY, MD	E
MARYLAND HEALTH-MEMORIAL HOSP. EASTON, MD. REVENUE BOND	B
FAIRFAX SAVINGS BANK CERTIFICATE OF DEPOSIT	B
RETIREMENT LIVING TAX EXEMPT MORTGAGE FUND PARTNERSHIP	A

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
FAIRFAX SAVINGS BANK CD	8-25-86	B
RETIREMENT LIVING TAX EXEMPT MORTGAGE FUND PARTNERSHIP	4-1-86	A
(SEE ATTACHMENT "A")		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
RIHT MORTGAGE SERVICE CORP	A

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
(NONE)	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
(NONE)		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
1. SOOCHOW UNIVERSITY	EDUCATIONAL AND FACT-FINDING TRIP TO THE REPUBLIC OF CHINA
2. LITTON SYSTEMS, INC. (SPEECH-EXPENSE)	ROUNDTRIP AIRFARE D.C. TO LOS, ANGELES, CALIF. AND LODGING
3. ROCKWELL INTERNATIONAL (speech)	SHORT AIRTRIP IN LOS ANGELES

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
BOARD OF DIRECTORS	(UNCOMPENSATED) DYSON LUMBER COMPANY, INC.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Roy Dyson	5-15-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

ATTACHMENT "A"

LEGG MASON WOOD WALKER
INCORPORATED
Baltimore, Maryland 21203-0990

PAGE 1

STATEMENT PERIOD FROM	STATEMENT PERIOD THROUGH
02/01/87	02/28/87

ROY DYSON
PO BOX 5
GREAT MILLS MD 20634-0005

TAX-ID NO.
218506216

OFF.	ACCOUNT NO.	I.B.
[redacted]	[redacted]	[redacted]

LAST STATEMENT
10/31/86

YOUR INVESTMENT BROKER	TELEPHONE
[redacted]	[redacted]

BALANCES	OPENING	CLOSING	INCOME	THIS PERIOD	YEAR TO DATE
CASH	$ .00CR	$ .00CR	DIVIDENDS	$ .00	$ .00
MARGIN	$ .00CR	$ .00CR	EXEMPT INTEREST	$ .00	$ .00
SHORT	$ .00CR	$ .00CR	OTHER INTEREST	$ .00	$ .00
TOTAL	$ .00CR	$ .00CR	TOTAL	$ .00	$ .00

ACTIVITY FOR THE PERIOD FROM 02/01/87 THROUGH 02/28/87

TYPE	DATE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT
01	02-09	SECURITY RECVD	175	HERITAGE COMMUNICATIONS INC MA		
01	02-09	SECURITY RECVD	200	NEWPORT NEWS SVGS & LN ASSN VA COM MA		
01	02-09	SECURITY RECVD	400	WICKES COS, INC. COM - NEW		
01	02-09	SECURITY RECVD	100	WORLDWIDE VALUE FUND, INC COMMON STOCK AN EMPLOYEE OF THIS FIRM IS A DIRECTOR OF THIS COMPANY		

** - - PORTFOLIO SUMMARY AS OF 02/28/87 - - **

TYPE	QUANTITY	DESCRIPTION	PRICE	MARKET VALUE	ESTIMATED ANNUAL INCOME	EST. YIELD
01	175	HERITAGE COMMUNICATIONS INC MA	32 7/8	$5,753.13	$7.00	0.12%
01	200	NEWPORT NEWS SVGS & LN ASSN VA COM MA	11 1/2	2,300.00		
01	400	WICKES COS, INC COM - NEW	4	1,600.00		
01	100	WORLDWIDE VALUE FUND, INC. COMMON STOCK AN EMPLOYEE OF THIS FIRM IS A DIRECTOR OF THIS COMPANY	17	1,700.00		

MARKET VALUE OF PRICED SECURITIES- $11,353.13

EQUITY YOUR ACCOUNT- $11,353.13

MOST OF US ARE IN THE MIDST OF GATHERING TAX DATA FOR PREPARATION OF OUR 1986 TAX RETURN. TAKING INTO CONSIDERATION THE ABOVE AND THE COMPLEXITIES OF THE NEWLY INTRODUCED TAX CHANGES, A DISCUSSION WITH YOUR LEGG MASON INVESTMENT BROKER ABOUT TAX ADVANTAGED INVESTMENTS SHOULD BE VERY BENEFICIAL.

DON'T OVERLOOK THE OPPORTUNITY TO OPEN AN IRA AND MAKE CONTRIBUTIONS, IF YOU HAVE NOT YET DONE SO.

* - - - - - - - - - - - - - - - END OF STATEMENT FOR ACCOUNT 222-1059 - - - - - - - - - - - - - - - *

SEE REVERSE SIDE FOR IMPORTANT INFORMATION CONCERNING YOUR STATEMENT

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A (For use by Members, officers, and employees)

Joseph D. Early, M.C.

(Full Name)

2349 Rayburn House Office Building
Washington, D.C. 20515

(Mailing Address)

(Office Use Only)

(Check the appropriate box and fill in the blanks)

☒ Member of the U.S. House of Representatives—District 3rd State Mass.

☐ Officer or Employee—Employing office

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION" **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Arthritis Foundation(3-3-86)	Honorarium	$ 500.00	
National Coalition for Cancer Research(2-26-86)	Honorarium	$ 1000.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Worcester County Institution for Savings	Interest	A
Wright Patman Congressional Credit Union	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X ____ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Commonwealth of Massachusetts Retirement Fund	B
U.S. House of Representatives Retirement Fund	D

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Wright Patman Federal Congressional Credit Union	C
Commerce Bank & Trust (Massachusetts)	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13

POSITION	NAME OF ORGANIZATION
Director	Center for Living and Working(Worcester,Mass.)
Director	St. Camillus Hospital (Whitinsville,Mass.)
Director	Massachusetts Society for Medical Research, Inc.
Trustee	Worcester County Mechanics Hall Association
Director	Biotechnology Center of Excellence Technology Board

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 15, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987 [illegible]

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

DENNIS E. ECKART
(Full Name)

1210 Longworth Building
(Mailing Address)

Washington, DC 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 11 State Ohio

☐ Officer or Employee—Employing Office

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __x__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Foster & Kleiser, Los Angeles, Calif 1/2/86	Hon	$2000	
Browning Ferris Services, Houston, TX 1/16/86	Hon	$2000	
Merritt Tool Co, Kilgore, TX 1/15/86	Hon	$ 500	
Natl Assn Homebuilders, Washington, DC 1/30/86	Hon	$2000	
American Insurance Assn., Washington DC 2/26/86	Hon	$1500	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Independent Savings & Loan	Int	C
1st American SAvings & Loan	Int	A
Independent Savings & Loan	Div	A
Connecticut Mutual	Div	A
SNPJ AMLA KSKJ (Fraternal Insurance)	Div	A
McDonald & Co	Int	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Belinger Hertrick & Eckart Atty @ Law (Defunct)	A
Independent Savings & Loan (stock)	A
Independent Savings & Loan (savings)	C
1st American Savings & Loan (savings)	A
McDonald & Co (stock)	A
Investment Coin and Stamp collection	A

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
N/A		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
N/A	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
N/A	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
N/A		

[illegible] 1 1 2 9 5

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
Foster & Kleiser (speech et al - Palm Springs)	Airfare; lodging/meals for self & spouse 4 days
Natl P*int Coatings Assn (speech et al- Boca Raton)	Airfare; lodging/meals for self & spouse 4 days
Natl Cable Television Assn (speech - Dallas)	Airfare; lodging/meal 1 day

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Partner	Belinger Hertrick & Eckart Atty @ Law (Defunct)

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
1975	Ohio Deferred Compensation System	Payment of Deferred Comp

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature

Date 5-15-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

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Page ____ of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Dennis E. Eckart
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*
IIA	National Paint Coatings, Washington, DC 2/28/86 Honorarium $1000
	Fertilizer Institute, Washington, DC 3/5/86 Honorarium $1000
	CMA, Washington, DC 3/12/86 Honorarium $1000
	National Cable Television Assn, Washington, DC 3/26/86 Honorarium $1500
	CH2M Hill, Washington, DC 3/25/86 Honorarium $500 CHARITY)
	National Assn Retail Druggists, Alexandria, VA 5/5/86 Honorarium $1000
	Society of the Plastics Industry, NY,NY 4/11/86 Honorarium $2000
	Natl Mass Retailing Institute, NY,NY 4/16/86 Honorarium $1000
	Nationwide Insurance, Columbus, OH 5/8/86 Honorarium $1000
	American Iron and Steel Institute, Washington, DC 5/16/86 Honorarium $1000
	Natl Electrical Manufacturers Assn, Washington, DC 5/21/86 Honorarium $2000
	National Restaurant Assn, Washington, DC5/8/86 Honorarium $1000
	Nutra Sweet,Skokie, Ill, 6/23/86 Honorarium $500
	Ohio Bell, Cleveland, OH 6/11/86 Honorarium $750
	CEEM, Fairfax Station, Va 7/22/86 Honorarium $700
	Ohio Osteopathic Assn, Columbus, OH 7/30/86 $500 Honorarium
	Ohio Diesel Technical Institute, Cleveland, OH 9/5/86 $1000 Honorarium
	Ridgemont Monstessori (wife employment) Salary $2000
	IN ADDITION TO $500 CONTRIBUTION NOTED ABOVE (CH2M Hill) AN ADDITIONAL $2556.24 WAS CONTRIBUTED TO LOCAL CHARITIES INCLUDING: Mentor Cloth-A Child, Homesafe, Geauga Hunger Task Force & WomanShelter
VII	National Restaurant Association (speech) Airfare reimbursed; lodging/ meals 1 day
	Chicago
	Ohio Osteopathic Association (speech) Airfare reimbursed
	[illegible]

1986

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

DON EDWARDS
(Full Name)

2307 Rayburn House Office Building
(Mailing Address)

Washington, DC 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 10 State California

☐ Officer or Employee—Employing Office ____

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Valley Title Co., San Jose, California	Salary	22,199.90	
U.S. House of Representatives (wife)	Salary		
Peace Through Law Education Fund (wife)	Salary		
Arms Control & Foreign Policy Caucus (wife)	Salary		
U.S. Senate (wife)	Salary		
Social Security	Pension	12,024.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Valley Parking, Partnership	Distribution	F
Wright Patman Credit Union (wife)	Interest	C
Riggs Bank (wife)	Interest	A
Chase Manhattan Bank (wife)	Dividends	B
Dreyfus Money Market (wife)	Dividends	B
Tri-Continental Corp. (wife)	Dividends	F

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO ____ NA ____

For more information, see detailed Instruction Booklet at page 8

IDENTITY	CATEGORY
Valley Investment Co., San Jose, Ca - 27½% partner downtown real estate	F
Valley Title Co., San Jose, Ca - Title Co. & 250 acre ranch common stock	F
Dreyfus Money Market (wife) Savings	C
Wright Patman Credit Union (wife) Savings	C
Tri-Continental Corp Common Stock (wife)	E
Bank of New York (wife) Savings	B

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Note to Bank of America, San Jose	D
Notes to Wright Patman Congressional Federal Credit Union	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a *foreign* government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Partner	Valley Investment Co., a partnership, San Jose, Ca.
Partner	Valley Parking, partnership, San Jose, Ca.
Chairman of Board	Valley Title Company, San Jose, Ca

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Don Edwards	May 14, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20615

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

[illegible]

Page 5 of

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

DON EDWARDS
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	Type	Category
III	Riggs Bank (wife)	Savings	A
	Chase Manhattan (wife)	Savings	[illegible]

GPO 1986 61-689 (m)

HAND DELIVERED

DON EDWARDS
10TH DISTRICT, CALIFORNIA

COMMITTEE ON
JUDICIARY

CHAIRMAN
SUBCOMMITTEE ON
CIVIL AND
CONSTITUTIONAL RIGHTS

COMMITTEE ON
VETERANS AFFAIRS

Congress of the United States
House of Representatives
Washington, DC 20515

WASHINGTON OFFICE
(202) 225-3072

DISTRICT OFFICES
1042 WEST HEDDING STREET
SUITE 100
SAN JOSE, CA 95126
(408) 247-1711

38750 PASEO PADRE PARKWAY
FREMONT, CA 94536
(415) 792-5320

May 18, 1987

The Honorable Julian C. Dixon
Chairman
Committee on Standards of Official Conduct
Suite HT-2 The Capitol
Washington, D.C. 20515

✓ MC

Dear Mr. Chairman:

Regarding my Financial Disclosure Statement for 1986, please refer to Section V Liabilities, page 3, please add words as follows:

> After "Note to Bank of America, San Jose," add Personal Note.
>
> After "Notes to Wright Patman Congressional Credit Union," add Personal Notes.

Sincerely,

Don Edwards

DON EDWARDS
Member of Congress

DE:db

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Mickey Edwards
(Full Name)

2434 Rayburn House Office Building
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 5 State Oklahoma

☐ Officer or Employee—Employing Office

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed, identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item, and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual, and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION" **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Feb.10 Oklahoma Bankers Assoc.	honorarium	$2,000.00	
Feb.12 Allen L. Freed Association	honorarium	250.00	
Feb.26 Allen L. Freed Association	honorarium	250.00	
Mar.20 Allen L. Freed Association	honorarium	250.00	
Mar.25 Allen L. Freed Association	honorarium	250.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
none		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8

IDENTITY	CATEGORY
none	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
none		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
Wright Patman Congressional Federal Credit Union - car loan	C.

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
none	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
none		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Jan.6 Chinese Culture University	2 roundtrip tickets to Taiwan
Aug.23 National University	2 roundtrip tickets to Costa Rica
Dec.5 Ferrell Companies, Inc.	1 roundtrip ticket to Kansas City

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Chairman	The Renascence Foundation

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
none		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Mickey Edwards	5/15/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

[illegible]

Page 5 of 5

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Congressman Mickey Edwards
Name

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
II. A.		
Apr.25	Connell Rice & Sugar Co. (honorarium)	$2,000.00
Apr.16	Allen L. Freed Association (honorarium)	250.00
May 7	Allen L. Freed Association (honorarium)	250.00
May 20	Allen L. Freed Association (honorarium)	250.00
July 26	Taiwan Benevolent Association (honorarium)	1,000.00
Aug.8	Manatt, Phelps, Rothenberg, Tunney & Evans(hon)	1,000.00
Aug.14	Travel Industry Association of America(hon.)	1,000.00
Aug.23	National University (honorarium)	1,500.00
Oct.30	Allen L. Freed (honorarium)	250.00
Nov.18	Allen L. Freed (honorarium)	250.00
Oct 27	National Stripper Well Association (honorarium)	500.00
Dec.2	Allen L.Freed Association (honorarium)	250.00
Dec.10	The Washington Post (article placed)	100.00
Dec.5	Ferrell Companies, Inc. (honorarium)	2,000.00

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Norvell William Emerson
(Full Name)

418 Cannon Building
(Mailing Address)

Washington, DC 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

☐ Member of the U.S. House of Representatives—District 8 State MO

☐ Officer or Employee—Employing Office

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO XX NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE		TYPE	AMOUNT	DISPOSITION
National Republican Congressional Committee	(spouse)	Salary		
Missouri State Medical Association	(4/5/86)	Honorarium	$500	
Chicago Board of Trade	(5/12/86)	Honorarium	$500	
Missouri Restaurant Association	(9/21/86)	Honorarium	$1,000	
National Soybean Processors Association	(9/25/86)	Honorarium	$500	
Lucy Lee Hospital	(10/30/86)	Honorarium	$250	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Sunrise Federal Savings	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO __XX__ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Townhouse -- 1310 Lexington, Cape Girardeau, Missouri	D

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
Bank of Sikeston, Sikeston, Missouri	D
National Bank of Washington, Washington, DC	B
Mortgage - Colonial Federal Savings and Loan, Cape Girardeau, Missouri	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986:

SOURCE — BRIEF DESCRIPTION

Missouri River Republican Club (speech) Airfare(Washington/St. Louis/Washington) -one day's food and lodging
Ag Council of Arkansas (speech) Airfare (Cape Girardeau/Little Rock/Cape Girardeau)
Missouri Restaurant Association (speech) Airfare (Cape Girardeau/St. Louis/Dexter)
Chicago Board of Trade (fact finding) Airfare (Washington/Chicago/Washington) - one day's food and lodging (and spouse)
Lower Mississippi Valley Flood Control Association (speech) Airfare (Cape Girardeau/New Orleans/Washington) - three days' food and lodging

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Governor	Capitol Hill Club
President	Lower Mississippi Valley Flood Control Association
Vice President	Jefferson Islands Club

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature

Date: May [illegible]

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE

UNITED STATES HOUSE OF REPRESENTATIVES

INSIDE MAIL

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Glenn L. English, Jr.
(Full Name)

6159 Mori
(Mailing Address)

McLean, Virginia 22101

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 6 State Oklahoma

☐ Officer or Employee—Employing Office ________

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
Chicago Mercantile Exchange	1-24-86	Honor.	$ 500.00	
Lockheed-Georgia Co.	1-20-86	Honor.	2,000.00	
Chicago Board of Trade	1-27-86	Honor.	500.00	
Mail Advertising Service Assoc.	Inter. 2-1-86	Honor.	750 00	
Lockheed-Cal. Co.	3-17-86	Honor.	2,000.00	

(con't on continuation sheet 1)

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Rental Income - House & Lot - Cordell, Okla.	Rent	C
Savings - Cordell National Bank - Cordell, Okla.	Interest	C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO _X_ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
House and Lot	C
Family Farm - 40 acres - Cordell, Okla.	C
Minerals - 30 acres - S.E. of Cordell, Okla.	D
Family Farms - 30 acres - Sentinel, Okla.	C
Minerals - 20 acres - West of Clinton, Okla.	C
Minerals - 20 acres - S.E. of Cordell, Okla.	C
Savings - Cordell National Bank - Cordell, Okla.	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sold car	3-21-86	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Clinton Savings & Loan - Mortgage	C
Congressional Federal Credit Union	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
none	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
none		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Chicago Mercantile Exchange	one-day round-trip to Chicago for speech
National Restaurant Assoc.	3-day round-trip airfare, meals & lodging for convention (w/spouse)
National Agric. Chemicals Assoc.	3-day round-trip airfare, meals & lodging for convention (w/spouse)
Sina-American Cultural & Economic Assoc.	10-day trip to Taiwan for meetings (w/spouse) airfare, lodging & meals

(cont. on attachment 2)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
none	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
none		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature

Date 5-4-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987 [illegible]

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Page 1 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Glenn L. English
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*			
Section II Part A	Chicago Board of Trade	4-2-87	Honor.	1,000.00
	Connell Rice & Sugar Co. INc.	4-18-86	Honor.	2,000.00
	Vertol, Boeing Co.	4-16-86	Honor.	2,000.00
	National Restaurant Assoc.	5-8-86	Honor.	1,000.00
	USTA	6-17-86	Honor.	1,000.00
	E-Systems	7-29-86	Honor.	1,000.00
	Pharmaceutical Manuf. Assoc.	11-14-86	Honor.	1,000.00
	USTA	12-10-86	Honor.	1,000.00
	William Giglio/The Nov. Group	12/10/86	Honor.	250.00
	TOTAL			16,000.00

GPO 1986 61-488 [illegible]

Ethics in Government Act - Financial Disclosure Statement

Glenn L. English

Continuation Sheet 2

Section VII	Source	Brief Description
Part 1.	. Touro College & Pacific Community Institute	10-day trip to Far East for briefings airfare, lodging & meals

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A: For use by Members, officers, and employees

Congressman Ben L. Erdreich
(Full Name)

2625 Highland Ave.
(Mailing Address)

Birmingham, Alabama 35205

MC (Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 6 State Ala.

☐ Officer or Employee—Employing Office

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION." **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE AND TYPE, but not the AMOUNT, of a spouse's earned income when it exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
Association of Bank Holding Companies	5/29/86	Honoraria	$2000.00	Charity
Morgan Guaranty Trust Co. of N.Y.	4/3/86	Honoraria	$1000.00	
National Association of Social workers	3/18/86	Honoraria	$500.00	Charity
Alabama Bankers Association	3/17/86	Honoraria	$250.00	Charity

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Trust u/w/o Benjamin Leader, (See Attachment A&B)	Dividends, Rent, Interest, Capital gains	E
Camelot Apts., Dothan, AL. (33 1/3% interest),	Rents, Interest	E
AmSouth of Birmingham, AL.	Interest	C
Benstanco (Investment Partnership) (See Attach. A)	Dividends, Interest, Capital gains	E

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X ____ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Springwoods, LTD., Dothan, AL (unimproved realty, 5% interest)	C
Camelot Apts, Dothan, AL (33 1/3% interest); (Rental multi-family units)	F
Benstanco (investment partnership) See Attachment A	F
Trust u/w/o Benjamin Leader (33 1/3% Beneficial interest) (See Attach. B)	E
Shearson Lehman Bros. Individual Retirement Accounts	B
Trust u/w/o Mary L. Leader (33 1/3% life interest) (See Attach. C)	F
Benstanco, Inc. (29.94% interest) (investment company)	B
East End Partnership LTD (16% interest) (commercial realty)	C
Stocks (See Attach. D)	B

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
Vulcan Materials (Sold)	4/11/86	A
Beatrice Corp. (Sold)	4/11/86	B
Champion Corp. (Sold)	4/11/86	A
Tidewater (Sold)	4/11/86	A
Big B (Sold)	5/5/86	A
Avondale Mills (Sold)	7/17/86	B
(See Continuation Sheet)		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
AmSouth of Birmingham, AL (Property Loan)	B
American Savings & Loan, Miami, Fla. (Mortgage)	F
Alliance Mgt. Co., Jacksonville, Fla. (Mortgage)	E

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
Association of Bank Holding Companies (self & spouse) (Speech)	Roundtrip airfare Wash./Mobile/Bham. 2 day lodging, food, transp.
Morgan Guaranty Trust Co. of N.Y. (self & spouse) (Speech)	Roundtrip airfare Wash./N.Y. 1 day lodging, food

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Partner	Camelot Apts., Dothan, Al
Trustee	Trust u/w/o Benjamin Leader (See Attach. B)
Trustee	Trust u/w/o Mary L. Leader (See Attach. C.)
Partner	Benstanco Investment Partnership (See Attach. A.)
Trustee	Trust u/w/o Stanley M. Erdreich, Sr.
Partner	Springwoods, LTD. (unimproved Realty), Birmingham, AL
(See Continuation Sheet)	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5-6-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

ATTACHMENT A: BENSTANCO

I own an undivided 18.01% interest in stocks, realty, and cash of BENSTANCO, an investment partnership. Also, through trust u/w/o Benjamin Leader, I am the beneficial owner of an undivided 11.93% interest in said stocks, realty, and cash. My total holdings are as follows:

STOCKS	CATEGORY
Alabama By-Products, "B"	C
American Telephon & Telegraph	C
Bruno's, Inc.	C
Columbia Gas System	B
Cypress Savings Assn. Plantation, Fla., Wts. Exp. 1/01/91	A
Delchamps, Inc.	C
Eastman Kodak	C
First Alabama Bancshares	C
First AmFed Corporation	B
First Commercial Bancshares, Inc.	B
First Tuskaloosa Corporation	C
Golden Enterprises, Inc.	B
Healthcare Services of America	A
Liberty Corporation	B
Russell Corporation	C
Schlumberger, Ltd.	C
TMK/United, Inc.	A
Torchmark Corporation	C
Utah Power & Light Company	C
Kemper Cash Equivalent Fund	C

ATTACHMENT B: Trust u/w/o BENJAMIN LEADER

I have beneficial interest in 1/3 of the holdings of the above trust. The stock and cash portion thereof are detailed under the BENSTANCO listing (ATTACHMENT A). The real estate holdings are:

IDENTITY	CATEGORY
West half of Lot 7, Block 98, Birmingham, Al (improved rental property)	C
Undivided 1/2 interest, Lot 9, Block 105, Birmingham, AL (improved rental property)	C
Undivided 1/3 interest, Lot 12, Block 82 Birmingham, AL (unimproved rental property)	B
Springwoods, Ltd., Dothan, Al 28 acres unimproved, 45% interest	C
Condominium, Highland Ave., Birmingham, AL	C

ATTACHMENT C. Trust u/w/o MARY L. LEADER

I have a life interest in 1/3 of the holdings of the above trust. The stock, cash, real estate, and bond holdings and my value thereof are detailed below:

IDENTITY	CATEGORY
2617 N. 24th Street Birmingham, AL (improved rental property)	D
STOCKS & BONDS	
Alabama By Products Corp., Class A	C
Alabama By Products Corp., Class B	D
Allied Corp. Notes	A
Allied Corp. Notes	A
Allied Signal, Inc.	B
American Information	C
American Telephone & Telegraph Co.	C
AmSouth Bancorporation	D
Bell Atlantic Corp.	C
Bell South Corp.	C
Coca Cola Co.	D
Colgate-Palmolive Co	B
Exxon Corp.	C
Facet Enterprises	A
Ford Motor Co.	C
General Electric Co.	D
General Motors Corp.	C
Henley Group, Inc.	A
International Business Machines	C
Jefferson County, Ala.	B
Lord Abbett Income Fund, Inc.	A
NYNEX Corp.	C
Ohio Edison Co.	A
Pacific Telesis Group	B
Pfizer Inc.	B
RJR Nabisco Industries	C
Sears Roebuck & Co.	C
Southwestern Bell Corp.	B
Stokely Van Camp Inc.	A
Torchmark Corp.	B
USX Corp.	B
Union Pacific Corp.	C
United States Savings B	A
U.S. West , Inc.	B
Cash	E

ATTACHMENT D: Stocks

These are my personal holdings of the stocks listed below.

STOCK	CATEGORY
Alabama By Products Corp	A
American Information	A
American Telephone & Telegraph	A
Bell Atlantic Corp.	A
BellSouth Corp.	A
NYNEX Corp.	A
Pacific Telesis Group	A
Southwestern Bell Corp.	A
U.S. West, Inc.	A

8 7 0 7 0 1 7 3 5 5 9

Page ____ of ____

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Congressman Ben Erdreich
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*		
IV. TRANSACTIONS	Schlumberger (Purchased)	3/12/86	B
	AT& T (Purchased)	4/11/86	C
	Schlumberger (Purchased)	4/11/86	B
	Healthcare Service(Purchased)	6/6/86	A
	Utah Power & Light(Purchased)	11/14/86	B

Page ____ of ____

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Congressman Ben Erdreich
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*
VIII. POSITIONS	
	Board of Directors Operation New Birmingham

GPO [illegible] 61-[illegible] (m)

INSIDE MAIL

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

MIKE ESPY
(Full Name)

300 S. MAIN ST
(Mailing Address)

YAZOO CITY MS. 39194

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

✓ Member of the U.S. House of Representatives—District 2 State MS.

Officer or Employee—Employing Office ______

Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO ✓ NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
MIKE ESPY FOR CONGRESS COMM. TTEE	salary	7,500.00	
Spouse - Levi Strauss & Co.	salary		

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Merrill Lynch Bond Account	interest	A
Unifirst Bank - Yazoo City, Ms	interest	A
State Mutual Federal Sav. and Loan	interest	A
Yazoo City, Ms. property	rental	C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO ✓ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Merrill Lynch Mun. Bond Account	A
519 Calhoun Ave. Yazoo City/Ms. rent house. (Duplex home)	C
Unifirst Savings Account	A
Equimark IRA Account	A
Unifirst Money Market Account	A
State Mutual Fed. Sav. & Loan Mny Market Account	A
Century Funeral Home, Inc. (stockholder) - [illegible] - Yazoo City, Ms. - Home office	D

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
N/A		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
N/A	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
N/A	
Mike Espy for Congress Campaign Committee	(campaign travel)

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
N/A		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Mike Espy for Congress Committee	(travel reimbursements)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
n/a	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Mike Espy	5/[illegible]/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

[illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A For use by Members, officers, and employees

Lane A. Evans
(Full Name)

1516 - 37th Street
(Mailing Address)

Rock Island, Illinois 61201

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 17 State Illinois

☐ Officer or Employee—Employing Office

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO ____ NA X

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION" **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See attached sheet			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Real estate - Andalusia, Illinois	Rental	B
Real estate - Washington, D.C.	Rental	D
Deere Harvester Credit Union - Moline, IL	Savings acct.	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO ____ NA X

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Archives Business Computer & Printer	A
Partnership Interest - Evans Awning Company	A
Real Estate - 406 S. Capitol Street, S.E. Washington, D.C.	E
Individual Retirement Account - Plow and Planter Employees Credit Unioin, Moline, IL, certificate of deposit	A

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sale of Real Estate - 300 E. 4 Av., Andalusia, IL	9/26/86	D
Purchase of IPA/Certificate of Deposit from		
Plow and Planter Employee Credit Union,		
Moline, IL	3/26/86	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Wilson Mortgage Co, Rockville, MD - mortgage on Washington, D.C.	
real property with Rental unit - prior to 9/1/86	E
Midland Mortgage Co., Dallas, TX - mortgage on Washington, D.C.	
real property with rental unit - beginning 9/1/86	E
American Bank of Rock Island, IL - mortgage on Andalusia, IL	
real property with rental unit.	C
Andalusia Community Bank, Andalusia, IL - personal note	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
See attached sheet	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Partner	Evans Awning Company, Rock Island, IL
Director	Illinois Public Action Council
Founder	New Populist Forum, Washington, D.C.
Director	Skills, Inc., Moline, IL

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE	NONE	NONE

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature: Lane Evans

Date: May 14, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

[illegible]

Page 1 of 1

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Lane A. Evans
(Name)

Continuation Sheet

Part — Source, Type, Amount, Category, Description or Value (As Applicable)

II. Income
A. Earned Income

Source	Type	Amount
National Agricultural Chemical Assn. (1/22/86)	Honorarium	$1000.00
Illinois Education Assn. (3/26/86)	Honorarium	$500.00
Commodity Exchange, Inc. (4/3/86)	Honorarium	$1000.00
National Association of Letter Carriers (4/19/86)	Honorarium	$300.00
Chicago Board of Trade (5/21/86)	Honorarium	$500.00
Chicago Mercantile Exchange (5/21/86)	Honorarium	$500.00
Commodity Exchange, Inc. (12/23/86)	Honorarium	$1000.00

VII. Reimbursements

Source	Brief Description
Commodity Exchange, Inc. New York, N.Y.	Trip to New York to visit exchange, March 1986.
Quad-Cities Jewish Federation Rock Island, Illinois	Fact-finding tour of Egypt & Israel, March-April 1986
Chicago Board of Trade Chicago, Illinois	Trip to Chicago to visit Board of Trade, May 1986
Commodity Exchange, Inc. New York, N.Y.	Trip to New York City to visit exchange, Dec. 1986

GPO 1986 61-688 (m)

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A For use by Members, officers, and employees

Dante B. Fascell
(Full Name)

2354 Rayburn Building
(Mailing Address)

Washington, DC 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks

X Member of the U.S. House of Representatives—District 19 State Florida

Officer or Employee—Employing Office

Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? **YES** ____ **NO** X **NA** ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Blackwell, Walker, Fascell & Hoehl	Salary	$22,530	None
Florida Federal Savings & Loan	Advisory Bd. Fee	300	None

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Please see attachment		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Please see attachment	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Please see attachment		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Please see attachment	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Advisory Board Member	Florida Federal Savings & Loan, St. Petersburg
Partner	Blackwell, Walker, Fascell & Hoehl, Miami
Limited Partner	Signature Gardens, Ltd., Miami
Limited Partner	Kentucky Heritage Thoroughbred Breeding II
Director	National Endowment for Democracy

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Dante B. Fascell	11 May 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987 [illegible]

Hon. Dante B. Fascsell
Financial Disclosure Statement for 1986

II-B

UNEARNED INCOME

Source	Type	Category
AmeriFirst Federal Savings & Loan	Interest	D
Florida Federal Savings & Loan	Interest	E
New York Life Insurance Company	Interest	B
Coral Gables Federal Savings & Loan	Interest	A
Capital Holding Corporation	Dividend	B
Suntrust Banks	Dividend	B
Florida Power & Light Group	Dividend	C
Merrill Lynch Fenner & Pierce	Dividend	A
Burr Brown	Capital Gain	B
City National Bank Corporation	Capital Gain	E
2917 Rennselaer Court, Vienna, VA	Rent	D
Mid-East Oil Co., Pittsburgh, PA	Royalties	C
Signature Gardens, Ltd. (Offset by loss)	Partnership Income	C

[illegible] 3

Hon. Dante B. Fascell
Financial Disclosure Statement for 1986

III

HOLDINGS

Identity	Category
Condominium, 2917 Rennsselaer Court, Vienna, VA, investment	E
Signature Gardens, Ltd., limited partnership	D
Blackwell, Walker, Fascell & Hoehl, partnership	C
Florida Federal Savings & Loan, cash on deposit	F
AmeriFirst, cash on deposit	E
Atico, cash on deposit	E
Coral Gables Savings & Loan, cash on deposit	E
Merrill Lynch CMA Money fund, cash on deposit	A
Mid-East Oil Co., 1/8 interest in Pennsylvania gas wells	D
Burr Brown Corporation, stock	A
City National Bank Corp., stock	E
Interstate Bank Holding Corp., stock	A
Florida Power & Light Co., stock	C
Capital Holding Corp., stock	C
Sun Trust Banks, Inc., stock	D
Florida Federal Savings & Loan, stock	B
Kentucky Heritage Thoroughbred Breeding II, limited partnership	C
Plantation at Stono Ferry, Hollywood, South Carolina, Lot 88	D
Stono Ventures, Inc., loan receivable	C

[illegible]

Hon. Dante B. Fascell
Financial Disclosure Statement for 1986

IV

TRANSACTIONS

Brief Description	Date	Category
Sale of stock, Burr Brown	05/ /86	A
Sale of stock, City National Bank	05/ /86	E
Merger of Turner, Fascell Russo partnership into, Blackwell, Walker, Fascell & Hoehl	01/01/86	B
Payment received of loan to Stono Ventures, Inc., in the form of purchase credit on Lot 88, Plantation at Stono Ferry, Hollywood, South Carolina	01/31/86	C
Purchase of lot 88, Plantation at Stono Ferry, Hollywood, South Carolina	01/31/86	C
Repayment of loan, New York Life	12/04/86	A
Repayment of loan, New York Life	12/31/86	B
Repayment of loan, New York Life	12/17/86	A
Repayment of loan, New York Life	11/07/86	C

Hon. Dante B. Fascell
Financial Disclosure Statement for 1986

V

LIABILITIES

Identity	Category
Florida Federal Savings & Loan, secured letter of credit	D
New York Life Insurance Company, secured loan	C
Lincoln National Life Insurance Co., secured loan	A
Duval Federal Savings & Loan, mortgage loan on rental property	D

DANTE B. FASCELL
19th District, Florida

FOREIGN AFFAIRS COMMITTEE
CHAIRMAN

ARMS CONTROL, INTERNATIONAL
SECURITY AND SCIENCE SUBCOMMITTEE
CHAIRMAN

SELECT COMMITTEE ON NARCOTICS
ABUSE AND CONTROL
MEMBER

Congress of the United States
House of Representatives
Washington, DC 20515

CHARLES R. O'REGAN
ADMINISTRATIVE ASSISTANT

COMMISSION ON SECURITY AND
COOPERATION IN EUROPE
MEMBER

NORTH ATLANTIC ASSEMBLY
CHAIRMAN
HOUSE DELEGATION

CANADA–UNITED STATES
INTERPARLIAMENTARY GROUP
MEMBER U.S. DELEGATION

May 18, 1987

The Honorable Donnald K. Anderson
Clerk
House of Representatives
H-105 The Capitol
Washington, DC 20515

✓
MC

Dear Donn:

I would appreciate your assistance in amending my 1986 Financial Disclosure Statement by deleting the $300.00 Advisory Board fee for Florida Federal Savings & Loan in Section II-A, Income. This amount has been donated to the Plymouth Congregational Church in Coconut Grove, Florida.

Many thanks for your cooperation.

With best wishes,

Sincerely,

Dante

DANTE B. FASCELL
Member of Congress

DBF:PR

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Blackwell, Walker, Fascell & Hoehl	Salary	$22,530	None
Florida Federal Savings & Loan	Advisory Bd. Fee	300	None

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Please see attachment		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Please see attachment	

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Walter Edward Fauntroy
(Full Name)

2135 Rayburn House Office Building
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

X Member of the U.S. House of Representatives—District AL State D.C.

Officer or Employee—Employing Office

Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES." If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

9 7 0 7 0 2 1 1 5 3 1

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
(See Attached)	Honoraria		

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
SFDU - Blaine Street, N.E.	Rent	C
Pioneer Fund	Dividends	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

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For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
SFDU - Blaine Street, N.E.	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986:

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13

POSITION	NAME OF ORGANIZATION
Pastor	New Bethel Baptist Church
Director	Southern Christian Leadership Conference
Director	Martin Luther King Center for Social Change
President	National Black Leadership Roundtable

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Walter E. Fauntroy	May 15, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

ETHICS GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT FOR 1986

Walter E. Fauntroy
2135 Rayburn House Office Building
Washington, D. C. 20515

1. INCOME - SECTION A

Date	Source	Type	Amount
1/1 - 12/31	New Bethel Baptist Church - Pastor Washington, D. C.	Salary	None*

(*) Mr. Fauntroy receives no salary from the church. He does receive certain certain benefits witn value as herein shown.

Value of Annuity	$280.00	
Health Insurance	450.00	
	$730.00	$ 730.00

1986	Organization	Honorarium	Amount
1/13/86	Pittsburgh Theological Serv.	"	$ 1,500.00
1/17/86	Greater St. Paul Baptist Ch.	"	$ 1,000.00
1/20/86	Chandler School of Theol.	"	$ 1,000.00
1/15/86	Fayetteville Human Service	"	$ 800.00
1/31/86	Blacks in Government	*	$ 2,000.00
2/12/86	Mary Washington College	"	$ 2,100.00
1/12/86	West Chester Univ.	"	$ 1,500.00
2/4/86	Georgia Southern College	"	$ 1,500.00
2/6/86	Dennison College	"	$ 1,500.00
2/10/86	Northern Kentucky Univ.	"	$ 1,500.00
2/13/86	Univ. of North Florida	"	$ 1,500.00
2/15/86	Elks	"	$ 1,500.00
2/18/86	Creighton Univ.	"	$ 1,250.00
2/20/86	Tulane Univ.	"	$ 1,500.00
2/21/86	Tyler Historic Committee	"	$ 1,500.00
2/26/86	Kenasaw College	"	$ 1,500.00
2/27/86	Florida International College	"	$ 1,500.00
2/28/86	NEA	"	$ 500.00
3/24/86	Tennessee Univ. Center	"	$ 1,500.00
4/4/86	Urban League	"	$ 1,500.00
4/11/86	National Ass'n of Business & Prof. Womens Club	"	$ 1,500.00
4/13/86	Bowie State College	"	$ 500.00
4/17/86	NEA	"	$ 1,000.00
4/22/86	Paine College	"	$ 1,500.00
4/25/86	North Carolina Eastern Minority Bus. Council	"	$ 1,500.00
4/26/86	Dallas NAACP	"	$ 1,500.00
5/2/86	Coalition for People's Rights	"	$ 1,500.00
5/3/86	New England Tel. Company	"	$ 1,500.00
5/17/86	Long Island AKA	"	$ 1,500.00
5/19/86	Winston State Univ.	"	$ 1,500.00
5/24/86	Eastern Baptist Theological Sem.	"	$ 500.00
5/30/86	New Haven NAACP		$ 1,500.00
6/13/86	National Baptist SS & BTU	"	$ 1,000.00
6/28/86	Warren Realtist Ass'n	"	$ 1,500.00
7/2/86	National Ass'n of Black Social Workers	"	$ 500.00
7/3/86	Rosewood Elementary School	"	$ 1,750.00
7/28/86	Shrine of Black Madona	*	$ 700.00
7/26/86	Central State Univ.	"	$ 1,500.00
9/25/86	Buy Liberty	"	$ 1,000.00
9/26/86	National Contract Compliance Assn	"	$ 1,500.00
10/10/86	National Ass'n of Black Children Dev.	"	$ 500.00
10/26/86	Wilmington NAACP	"	$ 500.00

11/1/86	NAACP Fairfax County	"	$ 750.00
11/5/86	Nat'l Ass'n of Manufacturers	"	$ 500.00
11/11/86	Franklin Marshall Coll.	"	$ 1,500.00
11/12/86	Comm. College of Phila	"	$ 1,500.00
11/24/86	Balsa	"	$ 100.00
12/4/86	IBM	"	$ 2,000.00
12/22/86	Seedco	"	$ 1,000.00

GROSS RECEIPTS (does not include value to tickets)	$61,450.00
Travel expenses charged to Honorarium Income	($ 5,045.74)
Hotel Accommodations charged to Honaria income	($ 1,256.36)
TOTAL AJUSTED RECEIPTS	$55,147.90
DONATED TO CHARITY	$26,400.00
NET	$28,747.90

[illegible]

INSIDE MAIL

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A For use by Members, officers, and employees

Walter Edward Fauntroy
(Full Name)

2135 Rayburn House Office Building
(Mailing Address)

Washington, D.C. 20515

✓ MC (Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District AL State D.C.

☐ Officer or Employee—Employing Office

☒ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: *Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.*

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION" **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
(See Attached)	Honoraria		

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE—	TYPE	CATEGORY
SFDU - Blaine Street, N.E.	Rent	C
Pioneer Fund	Dividends	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8

IDENTITY	CATEGORY
SFDU - Blaine Street, N.E.	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME, any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Pastor	New Bethel Baptist Church
Director	Southern Christian Leadership Conference
Director	Martin Luther King Center for Social Change
President	National Black Leadership Roundtable

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to future employment, leave of absence during period of government service, continuation of payments by a former employer other than the U.S. Government, and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Walter E. Fauntroy	May 19, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

[illegible]

ETHIC GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT FOR 1986

WALTER E. FAUNTROY
2135 Rayburn House Office Building
Washington, D.C. 20515

1. INCOME - SECTION A

DATE	SOURCE	TYPE	AMOUNT
1/1 - 12/31	New Bethel Baptist Church - Pastor Washington, D.C.	Salary	None*

(*) Mr. Fauntroy receives no salary from the church. He does receive certain benefits with value as herein shown.

Value of Annuity	$280.00	
Health Insurance	450.00	
	$730.00	$ 730.00

1986	Organization	Honorarium	Amount
1/13/86	Pittsburgh Theological Serv.	"	$ 1,508.00
1/17/86	Greater St. Paul Baptist Ch.	"	1,000.00
1/20/86	Chandler School of Theol.	"	1,000.00
1/15/86	Fayetteville Human Service	"	800.00
1/31/86	Blacks in Government	"	2,000.00
2/12/86	Mary Washington College	"	2,100.00
1/12/86	West Chester Univ.	"	1,500.00
2/4/86	Georgia Southern College	"	1,500.00
2/6/86	Dennison College	"	1,500.00
2.10/86	Northern Kentucky Univ.	"	1,500.00
2/13/86	Univ. of North Florida	"	1,500.00
2/15/86	Elks	"	1,500.00
2/18/86	Creighton Univ.	"	1,250.00
2/20/86	Tulane Univ.	"	1,500.00
2/21/86	Tyler Historic Committee	"	1,500.00
2/26/86	Kenasaw College	"	1,500.00
2/27/86	Florida International College	"	1,500.00
2/28/86	NEA	"	500.00
3/24/86	Tennessee Univ. Center	"	1,500.00
4/4/86	Urban League	"	1,500.00
4/11/86	National Ass'n of Business & Prof. Womens Club	"	1,500.00
4/13/86	Bowie State College		500.00
4/17/86	NEA	"	1,000.00
4/22/86	Paine College	"	1,500.00
4/25/86	North Carolina Eastern Minority Bus. Council	"	1,500.00
4/26/86	Dallas NAACP		1,500.00
5/2/86	Coalition for People's Rights	"	1,500.00
5/3/86	New England Tel. Company	"	1,500.00
5/17/86	Long Island AKA	"	1,500.00
5/19/86	Winston State Univ.	"	1,500.00
5/24/86	Eastern Baptist Theological Sem	"	500.00
5/30/86	New Haven NAACP	"	1,500.00
6/13/86	National Baptist SS & BTU	"	1,000.00
6/28/86	Warren Realtist Ass'n	"	1,500.00
7/2/86	National Ass'n of Black Social Workers	"	500.00
7/3/86	Rosewood Elementary School	"	1,750.00
7/28/86	Shrine of Black Madona	"	700.00
7/26/86	Central State Univ.	"	1,500.00
9/25/86	Buy Liberty	"	1,000.00
9/26/86	National Contract Compliance Assn	"	1,500.00
10/10/86	National Ass'n of Black Children Dev.	"	500.00
10/26/86	Wilmington NAACP	"	500.00

[illegible]

11/1/86	NAACP Fairfax County	$ 750.00
11/5/86	Nat'l Ass'n of Manufactures	500.00
11/11/86	Franklin Marshall Coll.	1,500.00
11/12/86	Comm. College of Phila.	1,500.00
11/24/86	Balsa	100.00
12/ 4/86	IBM	2,000.00
12/22/86	Seedco	1,000.00

GROSS RECEIPTS (does not include value of tickets)	$61,450.00
Travel expenses charged to Honorarium Income	($ 5,045.74)
Hotel Accommodations charged to Honorarium Income	($ 1,256.36)
TOTAL ADJUSTED RECEIPTS:	$55,147.90
DONATED TO CHARITY:	$32,650.00
NET	$22,497.90

[illegible] TATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

HARRIS W. FAWELL
(Full Name)

444 N. Sleight Street
(Mailing Address)

Naperville, IL 60540

MC
(Office Use Only)

Check the appropriate box and fill in the blanks:

☒ Member of the U.S. House of Representatives—District 13th State IL

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure; all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

7 7 7 7 2 1 2 1 1 5

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION." **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Naperville Comm. Unit School District (Spouse)	Teacher		
State of Illinois	Annuity	$16,200	
Waste Management (received 1/31/86)	Honorarium	2,000	Charity
Illinois Assn. of School Bds. (received 11/7/86)	Honorarium	250	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category: A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See Schedule 2		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 **at the end of the year**, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO ____ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See Schedule III	

7 7 7 1 2 1 1 5

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See Schedule IV		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Mortgage, 101 N. Washington Office Bldg (Dwayne Beidelman) (1/3 portion)	C
Mortgage, 121 Venture Bldg. (Harris Bank/Naperville) (1/3 portion)	E
Loan (Harris Bank, Naperville)	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

[illegible]

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
See Schedule II	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Member, Bd. of Trustees	North Central College, Naperville, IL
Partner (Inactive)	Fawell, James & Brooks, Naperville, IL

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government, and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
12/31/84	Member, J. James, J. Brooks, A. Vlazny	Law partnership agreement providing for inactive partner status of Member and buy-out provisions.

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
HWFawell	May 14, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

HARRIS W. FAWELL
SCHEDULE B (UNEARNED INCOME)

SOURCE	TYPE	CATEGORY
Fawell, James & Brooks Naperville, IL	Interest on partnership equity	D
Fawell, James & Brooks Naperville, IL	Draw to pay life insurance on other partners	B
Country Companies	Interest	A
Penn Mutual Life Ins. Co.	Interest	A
Central Life Ins. Co.	Interest	A
Old Second Bancorp.	Interest	A
Regency Bank	Interest	A
Harris Bank/Naperville	Interest	B
Cash Equivalent Money Market	Interest	B
Congressional Credit Union	Interest	A
101 N. Washington office Bldg.	Rental income (gross)	D
121 Venture	Rental income (gross)	E
Ill. Center Bancorporation	Dividends	A
West Bancorp (formerly Bank of Westmont)	Dividends	A
Cherry Electric, Inc.	Dividends	A
NL Industries	Dividends	A
Nalco Corporation	Dividends	A
School Dist. 204 Bonds	Interest	A
Oak Creek Wisc. Library Bonds	Interest	A
Omaha Library Bonds	Interest	B
Kishwaukee Comm. Health Service Bonds	Interest	A
Colfax County N.M. Bonds	Interest	A
Sycamore, Illinois Bonds	Interest	A
Equity Life Assurance	Annuity	Deferred Income

SCHEDULE B CONT....

SOURCE	TYPE	CATEGORY
New England Life Ins. Co. (spouse	Annuity	Deferred Income
BellSouth (spouse)	Dividends	A
U.S. West (spouse)	Dividends	A
Harris Bank/Naperville (spouse)	Interest	C

HARRIS W. FAWELL
SCHEDULE III (HOLDINGS)

IDENTITY	TYPE	CATEGORY
Colfax County, N.M.	Bonds	B
School Dist. 204	Bonds	B
Sycamore, IL	Bonds	C
First Sec. Bk. of Glendale Heights	Stock	A
Ill. Center Bancorp	Stock	B
National Western Life	Stock	B
Harris Bank/Naperville (formerly Bank of Naperville)	IRAs	C
Equitable Life Assurance Co.	Annuity	E
Harris Bank/Naperville	Money Market	D
Cash Equivalent Fund, Inc.	Money Market	C
Regency Bank (formerly Regency Fed'l. S&L)	Savings	A
Fawell, James & Brooks	Equity in partnership	D
101 Washington Office Bldg.	Office Bldg. (1/3 share)	D
121 Venture Bldg.	Office Bldg. (1/3 share)	B
905 Hickory (Spouse)	Residence	D
Michigan Real estate	Improved real estate	C
Beaver Island, Michigan	Property	C
Travelers Life Ins. Co. (spouse)	Annuity	D
New England Life Ins. Co. (spouse)	Annuity	C
Nalco	Stock	A
Abbott Labs	Stock	A

HOLDINGS CONTINUED

	IDENTITY	TYPE	CATEGORY
In Joint Tenancy of Spouse and Mother	BellSouth	Stock	B
	U.S. West	Stock	B
	Harris Bank/Naperville	Money Market	B
	Harris Bank/Naperville	Savings Certificate	C

HARRIS W. FAWELL
SCHEDULE IV (TRANSACTIONS)

BRIEF DESCRIPTION	DATE	CATEGORY
Kishwaukee Comm. Health Services (Bonds) - Sold	12/17/86	B
Omaha Library Bonds - Sold	8/1/86	C
Oak Creek (Bonds) - Sold	4/1/86	B
Lisle Bancorporation (Stock) Sold	12/29/86	C
West Bancorp (Stock) - sold	12/29/86	C
Cherry Electric Stock - Sold	11/3/86	A
N.L. Industries (Stock) - Sold	11/3/86	A
Old Second Nat'l. Bank (Note) Paid off	12/16/86	A
Abbott Labs (Stock) - Purchased	10/27/86	A
Nalco (Stock) - Purchased	10/27/86	A

INSIDE MAIL

HARRIS W. FAWELL
13TH DISTRICT, ILLINOIS

EDUCATION AND LABOR
SUBCOMMITTEES
LABOR MANAGEMENT RELATIONS
ELEMENTARY, SECONDARY, AND VOCATIONAL EDUCATION

SCIENCE, SPACE, AND TECHNOLOGY
SUBCOMMITTEES
ENERGY RESEARCH AND DEVELOPMENT
INTERNATIONAL SCIENTIFIC COOPERATION

SELECT COMMITTEE ON AGING

Congreſs of the United States
House of Representatives
Washington, DC 20515

WASHINGTON OFFICE
CANNON HOUSE OFFICE BUILDING
(202) 225-3515

CONGRESSIONAL DISTRICT OFFICE
115 W. 55TH STREET
SUITE 100
CLARENDON HILLS, IL 60514
(312) 655-2052

May 19, 1987

MC

Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth HOB
Washington, D.C. 20515

Attn: Julian C. Dixon

Re: Harris W. Fawell, Member of Congress
Financial Disclosure Statement for 1986

Dear Mr. Dixon:

In accordance with your letter dated May 15, 1987, relative to the above cited Financial Disclosure Statement, please consider this to be an amendment to the said Financial Disclosure Statement in the following particulars, to-wit:

B. UNEARNED INCOME

Explanation: For all entries in page 2 of Schedule B, the value is not shown.

On the original statement, filed with the Clerk's Office, the value for each entry is shown. Apparently, through a photocopying error, the value was left off. Again, the value is shown on the original, which has been filed with the Clerk's Office.

Part III. HOLDINGS

Trust Holdings Box Not Checked (p. 2).

I erroneously left this box blank. The answer to this question is NO.

Part VIII. REIMBURSEMENTS

Explanation: States information is on Schedule IV -- schedule not with form.

This was answered incorrectly. I erroneously answered "See Schedule IV", although Schedule IV was used under Reimbursements. The correct answer should have been NONE.

Clerk, U.S. House of Representatives
May 19, 1987
Page Two

If you have any questions in regard to the amendments which are heretofore set forth, please do not hesitate to contact me. In accordance with the requests in your letter of May 15, 1987, please find enclosed two copies of this letter for your records.

Sincerely,

Harris W. Fawell, M.C.

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Victor H. Fazio
(Full Name)

2433 Rayburn House Office Building
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

KX Member of the U.S. House of Representatives—District 4th State CA

☐ Officer or Employee—Employing Office ____

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO XX NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
1/8/86	ALS Corporation	Honoraria	$2000	
1/8/86	Stradling, Yucca, Carlson & Rauth	"	$1000	
1/9/86	Pacific Mutual	"	$1000	
1/14/86	Lockheed	"	$1000	
1/10/86	Bethlehem Steel	"	$2000	

(continued on attached sheet)

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Merrill Lynch, Pierce, Fenner & Smith (CMA)	Interest	B
Sovran Bank	Interest	A
Prudential Insurance	Interest on policy	A
Equitable Insurance Co. of Iowa	Interest on policy	A
Aid Assoc. for Luth.	Interest	A
Merrill Lynch	Dividends	A
354 Bridge Place, Sacramento	Rent	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO XX NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
354 Bridge Place, West Sacramento	C
MLPFS CMA Fund	C
CA Federal Savings CD	B
Crocker Bank CD, San Francisco	B
MLPFS trust - Dana E. Fazio - savings account	B
MLPFS trust - Anne N. Fazio - savings account	B

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Crocker National Bank - certificate of deposit (termination)	1/86	B
AFC Cherokee Housing Partner - purchase	2/86; 12/86	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
354 Bridge Place, Capital Federal Savings Mortgage	C
AFC Cherokee Housing Partners (promissory notes for future interest)	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
7/16/86 New Dimension Resources, Inc.	transportation - Washington to New York to Washington

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
10/27/86 - Connell Rice & Sugar	lodging	$128.80

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
3/29/86 Pirelli Cable Corporation	transportation - Washington/Dallas/ Sacramento; lodging in Dallas
5/3/86 American Truckers Assn.	transportation - Washington/Miami/Wash.
9/19/86 No. California Power Agency	transportation - Washington to Reno; Burbank to Washington
2/28/86 Manville Sales Corporation	transportation - Washington to Denver to Washington

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	Junior Statesmen Foundation

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Victor H. Fazio	5/15/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

Page ____ of ____

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Victor H. Fazio (continued)
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	TYPE	AMOUNT	DISPOSITION
II. INCOME A.	2/3/86 U.S. Telephone Assn.	HONORARIA	$2000	
	2/4/86 APPA	"	$ 500	
	2/4/86 Borg Warner	"	$1000	
	2/10/86 TRW	"	$1000	
	2/19/86 Gas Cooled Reactor Associates	"	$1500	
	2/25/86 Wine Institute	"	$1000	
	2/28/86 Manville Sales Corporation	"	$2000	
	3/11/86 APTA	"	$1000	
	3/13/86 National Community Action Found.	"	$ 750	
	3/27/86 NACUBO	"	$ 500	
	3/29/86 Pirelli Cable Corporation	"	$2000	
	3/7/86 NTEU	"	$1500	
	4/2/86 CA Coalition for Rural Housing	"	$ 500	
	4/2/86 McDonald's Corporation	"	$ 750	partial
	4/14/86 Congressional Quarterly	"	$ 400	x
	4/17/86 Textron	"	$1000	x
	5/3/86 American Trucker's Assn.	"	$2000	x
	5/7/86 American Council on Education	"	$1000	x
	5/9/86 The Equitable	"	$1000	x
	5/20/86 Tobacco Institute	"	$1000	x
	9/9/86 National Auto Dealers Assn.	"	$1000	x
	9/19/86 No. California Power Agency	"	$2000	x

Total amount given to charity was $9870

January-December 1986 - DSCC - Spousal Income

Part	IDENTITY	CATEGORY
III. HOLDINGS	MLPFS trust - Kristie A. Kern - savings acct.	B
	CA State Bonds	B
	Southern CA Public Power Bonds	B
	Modesto, CA Irrigation District Bonds	B
	AFC Cherokee Housing Partners	B

GPO 1986 61-688

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A For use by Members, officers, and employees

Edward F. Feighan
(Full Name)

1048 Homewood Drive
(Mailing Address)

Lakewood, OH 44107

MC (Office Use Only)

Check the appropriate box and fill in the blanks

X Member of the U.S. House of Representatives—District 19 State Ohio

Officer or Employee—Employing Office

Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Law practice; of counsel, Carney and Rains	fees	$8,100.	
Electronics Industry Assoc., Las Vegas, 1/86	honorarium	1,000.	
Chcago Board of Trade, Chicago, IL, 5/86	honorarium	500.	
Chicago Mercantile Exchange, Chicago, IL 5/86	honorarium	500.	
Electronics Industry Assoc., Chicago, IL 5/86	honorarium	1,000.	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Ameritrust Savings; Cleveland, OH	savings acct.	A
Ameritrust Corp.; Cleveland, OH	dividends	A
Rental income; Cleveland, OH; Washington, D.C.	rent	C
North Shore Ltd. Partnership; Cleveland, OH	dividend	B
Webster Cash Reserve Fund; Cleveland, OH	interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X____ NA ____

For more information, see detailed Instruction Booklet at page 8

IDENTITY	CATEGORY
(see addendum)	

3 7 7 2 2 2 1 1 5 5 7

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
(see addendum)		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Third Federal Savings, Cleveland, Ohio	D
Meritor Savings, Washington, D.C.	E

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
Electronics Industry Assoc.	Roundtrip airfare, D.C.-Las Vegas; accomodations, 1/9 - 1/10
Electronics Industry Assoc.	Roundtrip airfare, Cleveland-Chicago
National Assoc. of Industry and Commerce, Taiwan, R.O.C.	Roundtrip airfare, Cleveland-Taiwan, accomodations for Member and spouse, 11/11 - 11/15

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Attorney, Sole practitioner	Of Counsel, Carney and Rains, Cleveland, Ohio

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Edward Feighan	5-14-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Edward F. Feighan
Name

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
II	Christian Science Monitor; Washington, D.C.; publication fee; $100.	
	Milk Marketing Institute, Strongsville, OH; 6/87; honorarium; $500.	
	Weil, Gotshal & Manges; Washington, D.C.; 8/86; honorarium; $1,000.	
	Ohio Diesel Institute; Cleveland, OH 9/86; honorarium; $1,000.	
	Ohio DRIVE of Cleveland, OH;in Columbus, OH; 8/86; honorarium; $2,000.	
III	Acme, Cleveland; common stock	A
	Alco Health Services; common stock	A
	Ameritrust Corp.; common stock	C
	Banc One Corp.; common stock	A
	Child World, Inc.; common stock	A
	CSX Corp.; common stock	A
	Diceon Electronics; common stock	B
	General Computer; common stock	A
	National City Corp.; common stock	A
	NBI Inc.; common stock	A
	North Shore Ltd. Partnership	B
	Radio Vision of America; partnership	B
	RPM, Inc.; common stock	A
	Scherring-Plough; Inc.; common stock	B
	Sherwin Williams; common stock	A
	Sudbury Holdings; common stock	A
	Wang Labs; common stock	A
	Xidex Corp.; common stock	A
	138 D Street S.E., Washington, D.C.	E
	1048 Homewood Drive, Lakewood, OH	E
IV	PURCHASES:	
	CSX Corp.; common stock	A
	General Computer Corp.; common stock; 10/8/86	A
	Scherring-Plough Corp.; common stock; 9/26/86	B
	Sherwin Williams; common stock; 10/8/86	A
	Radio Vision of America; partnership; 9/86	B
	SALES:	
	Acme-Cleveland; common stock; 4/24/86	A
	Diceon Corp.; common stock; 3/13/86	B
	Scherring-Plough; common stock; 9/26/86	B
	Xidex Corp.; commom stock; 5/9/86	A

U.S. GOVERNMENT PRINTING OFFICE 1981 O—73-228

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Jack Fields
(Full Name)
P.O. Box 2286
(Mailing Address)
Humble, TX 77338

(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 8 State Texas

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
1/19/86	Aetna Insurance	Honoraria	$1500.	-
1/20/86	Tx Chiropractic College	Honoraria	$ 200.	-
3/17/86	Nat'l Cable Television Assn	Honoraria	$1500.	-
3/27/86	San Diego Master Ship Repair	Honoraria	$ 500.	-
4/27/86	National Broadcasting Co.	Honoraria	$1000.	-

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Humble Savings and Loan	Interest	C
Bright Banc	Interest	B
Qualified Blind Trust	Income	C
Rental property at 7711 Peacock, Humble, TX	Rent	C
Rental property at 15310 Waxwing, Humble, TX	Rent	C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See,* Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _X_ NO ___ NA ___

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
100 Shares of Rosewood Memorial Park, Inc.	F
553 Shares of Humble Savings and Loan	B
150 Shares of Perry Contractors, Inc.	A
500 Shares of Rosewood Funeral Home, Inc.	E
One-half unit in Limited Partnership with Equipment Investors II	D
500 Shares of Rosewood Enterprises, Inc.	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sale of 150 shares of Perry Contractors, Inc.	December 1986	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Mortgages owed to Gibraltor Savings and Loan for two rental residences	C
One-half unit in Limited Partnership with Equipment Investors II	B
Loan from First City Bank (Humble, TX)	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Christian Broadcasting Network	Transportation - Speaking Engagement
National Cable Television Assn	Transportation - Speaking Engagement
Miller & Schroeder Financial	Transportation - Speaking Engagement
Jerrico, Inc.	Transportation - Speaking Engagement

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Vice-president	Rosewood Memorial Park, Inc.
Vice-president	Rosewood Funeral Home, Inc.
Trustee	Baylor University
Vice-president	Rosewood Enterprises, Inc.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Jack Fields	May 15, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

Page ___ of ___

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Jack Fields
Name

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)				
II	4/08/86	Nat'l Ocean Industries Assn	Honoraria	$500.	-
	4/18/86	American Assn of Railroads	Honoraria	$500.	-
	4/29/86	Dutko and Associates	Honoraria	$500.	-
	5/12/86	Miller & Schroeder Financial	Honoraria	$2000.	-
	5/21/86	Alliance for Capital Access	Honoraria	$500.	-
	7/15/86	Jerrico, Inc.	Honoraria	$2000.	-
	7/31/86	Smokeless Tobacco Council	Honoraria	$1000.	-
	8/02/86	Amer. Academy of Cosmetic Surgeons	Honoraria	$500.	-
	8/29/86	Hazardous Waste Mgt. Conference	Honoraria	$2000.	-
	9/12/86	E.Tx Producers & Royalty Owners	Honoraria	$2000.	-
	9/15/86	Pfizer, Inc.	Honoraria	$1000.	-
III	Real estate partnership with Jess A. Fields			E	
	Rental property at 7711 Peacock in Humble, TX			B	
	Rental property at 15310 Waxwing, Humble, TX			B	

GPO: 1986 61-680 (m)

JACK FIELDS
8TH DISTRICT TEXAS

COMMITTEE ON
ENERGY AND
COMMERCE

COMMITTEE ON
MERCHANT MARINE
AND FISHERIES

HAND DELIVE

Congress of the United States
House of Representatives • Washington, DC 20515

May 18, 1987

The Honorable Julian Dixon
Chairman, Committee on Standards
and Official Conduct
HT-2, U.S. Capitol
Washington, D.C. 20515

V
HC

Dear Mr. Chairman:

This letter is being written to amend my 1986 Financial Disclosure Statement, as noted below.

PART III. HOLDINGS. (Add the following entry:)

Savings account at Bright Banc. Category C.

PART VII. REIMBURSEMENTS.

(Add to each description already given, the following locations and itineraries:)

Christian Broadcasting Network:
roundtrip airfare: Wash.DC to
Virginia Beach, Virginia

National Cable Television Assn:
roundtrip airfare: Wash.DC to
Dallas, TX; 2 days food/lodging;
rental car

Miller & Schroeder Financial:
airfare: Houston to Minneapolis,
Minneapolis to Wash.DC

Jerrico, Inc.:
airfare: Houston to Lexington, KY;
Lexington to Wash.DC; one-night
lodging

413 CANNON HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
202-225-4901

12606 EAST FREEWAY, SUITE 320
INTERFIRST STATE BANK BUILDING
HOUSTON, TX 77015
713/451-6334

PART IX. AGREEMENTS.

This section should be amended to reflect "NONE."

Sincerely,

Jack Fields

JACK FIELDS
Member of Congress

jf/j

UNITED STATES HOUSE OF REPRESENTATIVES

DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Hamilton Fish, Jr.
(Full Name)

2269 Rayburn House Office Building
(Mailing Address)
Washington, D. C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 21 State New York

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA X

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION"
IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See Continuation Sheet page 1			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
N. E. Mutual Life	Interest	A
Merrill Lynch	Dividends	A
Bank of Millbrook	Interest	A
Congressional Fed. C. U.	Interest	A
Knickerbocker Realty	Partnership	E
Exxon Company	Royalty	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.**
IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8

IDENTITY	CATEGORY
See Continuation Sheet page 1	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION		DATE	CATEGORY
Gemini II Inc.	Sold	3-10	C
Gemini II Inc.	Sold	4-08	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
Gift from Father	Money	5,000.00

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
University of Arizona	Transportation Expense Round trip, to Tucson, Arizona - WASH, D.C.
see continuation sheet-page 2	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Trustee	Estate of Grace C. Fish
Trustee	Alice & Hamilton Fish Library
Executor	Estate of Billy L. Fish

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Hamilton Fish	May 13, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987

[illegible]

Page 1 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Hamilton Fish, Jr.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
11 A	SOURCE OF INCOME:		
	~~Knickerbocker Realty~~	~~Partnership~~ See p. 2.	~~$16,665~~
6/27/86	U S Trust Company	Trustee Comm.	1,819
1/17/86	MCA Inc.	Honorarium	2,000
1/3/86	Electronic Industries Assn.	"	1,000
3/26/86	National Cable Television Assn.	"	1,500
5/5/86	National Association of Broadcasters	"	1,500
5/16/86	Council for a Secure America	"	1,000
6/30/86	American Supply & Machinery Manufacturer's Association, Inc.	"	1,000
7/15/86	Walt Disney World Resort	"	
2/28/86	Insurance Information Institute	"	1,500
	Intellectual Property Owners Inc.	"	250
5/21/86	National Association of Manufacturers	"	500
6/27/86	Insurance Information Institute	"	1,500

111	HOLDINGS:	
	6½% Interest in Knickerbocker Realty Commercial Rental Property	F
	Bank of Millbrook - Savings Account	A
	Congressional Federal Credit Union - Checking Account	A
	New England Mutual Life Insurance Company Dividends on Deposit	A
	Merrill Lynch Account:	
	Ready Asset Fund	B
	Federal Securities Trust	B
	Gemni 11 Income Fund	B
	JMB Real Esate Fund	B

[illegible]

Page 2 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Hamilton Fish, Jr.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
VII	SOURCE	BRIEF DESCRIPTION
	MCA Inc.	Air fare and lodging expenses (Los Angeles, California)
	Electronic Industries Assoc.	Air Fare and lodging expenses (Las Vegas, Nevada)
	National Cable Television Assn.	Air Fare and lodging expenses (Dallas, Texas)
	National Association of Broadcasters	Air Fare and lodging expenses (Dallas, Texas)
	Council For A Secure America	Air Fare and lodging expenses (Dallas, Texas)
	Walt Disney World Resort	Air Fare and lodging expenses (Disney World, Florida)

GPO: [illegible] 61-[illegible] (m)

87070212392

MAY 15 1987

RECEIVED
OFFICE OF RECORDS AND REGISTRATION
1987 MAY 18 AM 11: 10
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

FORM B—For use by candidates for the office of Member and new employees — DUE DATE ______

☐ Check if amended Statement

Floyd Harold Flake
(Full Name)

130-46 Laurelton Parkway
(Mailing Address)

Rosedale, New York 11422

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☐ Candidate for the U.S. House of Representatives—District 6th State Queens, New York
Date of Election 11/4/86

☐ New Officer or Employee—Employing Office ______

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

CANDIDATES for the U.S. House of Representatives must file a Financial Disclosure Statement within 30 days of becoming a candidate or on or before May 15th of the calendar year in which he or she becomes a candidate, whichever is later, but in no event later than 7 days before the election.

You are a candidate if:

(A) you are an individual other than a Member of Congress who has taken the action necessary under the law of a State to qualify for nomination or election to the U.S. House of Representatives; or

(B) you or your authorized campaign committee have taken the action necessary to register or file reports required by the Federal Election Campaign Act of 1971 [2 U.S.C. 434(a)].

NEW EMPLOYEES of the Legislative Branch must file a Financial Disclosure Statement within 30 days of assuming the new position.

You are a new employee if:

(A) you are compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16 of the General Schedule; or

(B) you are designated as a principal assistant by a Member of Congress upon employment in the House of Representatives.

Note: Individuals who are employed in the Legislative Branch immediately before assuming the new position described above or who have left such a position within 30 days prior to assuming the new position need not file this Statement.

WHERE TO SECURE ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone (202) 225-7103. Additional forms and instructions may be obtained from the Committee office.

REPORTING INSTRUCTIONS

Note: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. Complete all parts. (If None, so indicate.) Please type or print clearly.

REPORTING PERIOD: With respect to income, the period covered by this Disclosure Statement is the preceding calendar year and the current calendar year to date of filing. Information concerning assets and liabilities must be current as of a date which is less than 31 days before the filing date. Other required information must be current as of the filing date.

I. SPOUSE AND DEPENDENT DISCLOSURE

EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in certain limited circumstances, the truly independent financial interests of a spouse or dependent would be exempt from disclosure.

Information concerning a spouse or dependent child should be reported as follows: (1) the source, but not amount, of spouse earned income which exceeds $1,000; (2) the source and category of value of income derived from any asset of the spouse or dependent reported under Part III of the Disclosure Statement; and (3) assets and liabilities of the spouse or dependent child.

9 7 7 7 7 2 1 2 5 9 3

STANDARDS FOR EXEMPTION

Disclosure of the financial interests and liabilities of a spouse or dependent under Parts III and IV of the Statement is exempted when all three of the following circumstances are met: (1) the item is the sole interest or responsibility of the spouse or dependent child and the reporting individual has no knowledge of the item; (2) the item was not in any way, past or present, derived from the income, assets, or activities of the reporting individual; and (3) the reporting individual neither derives, nor expects to derive, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

The information concerning a spouse or dependent child must be reported in the same manner as that of the reporting individual. However, the person reporting need not identify which items belong to a spouse or dependent. No information is required with respect to a spouse living separate and apart from the reporting individual with the intention of terminating the marriage or providing for permanent separation; or with respect to any income or obligations of an individual arising from the dissolution of the marriage or permanent separation.

NOTE: If the reporting individual does not disclose certain financial interests or liabilities of the spouse or dependent children because these three standards for exemption are met, he or she must so indicate.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? (See Instruction Booklet at page 7)

YES_____ NO X

II. INCOME

INCOME: The term "income" is intended to be all-inclusive, as defined in the Act. The identity of the source and the amount or category of value of all income which exceeds $100 from any one source must be disclosed separately. Gross income should be listed, but the net income derived from business may also be reported. The type of income should be identified as salary, commission, pensions, honoraria, dividends, interest, etc. In reporting any honoraria, include the date of receipt and indicate which honoraria, if any, were assigned to charity. ***Exclusion:*** Income from current U.S. Government employment.

For more information, see detailed Instruction Booklet at page 7.

A. The source, type, and amount of income (except income reported below in Part IIB) aggregating $100 or more in value received from any source during the preceding calendar year and the current calendar year to date of filing, listed separately by year.

SOURCE	YEAR	TYPE	AMOUNT
Allen A.M.E. Church	1986	Salary	$31,200
Allen Christian School (spouse)	1986	Salary	6,678.62
Allen A.M.E. Church	1986	Weddings/funerals	11,000
4811 Hancock Dr., Memphis, Tn	1986	Rent	1,200
12th Baptist Church Inc.	1/10/87	Honorarium	500
St. John Baptist Church	1/25/87	Honorarium	400
Bethany Baptist Church	1/18/87	Honorarium	500
S.E. Queens Clergy for Community Emp.	1/27/87	Honorarium	300
Securities Industry Associates	3/4/87	Honorarium	2,000
Concord Baptist Church of Christ	2/27/87	Honorarium	500
Wilberforce University	2/23/87	Honorarium	500
Third Episcopal District, A.M.E. Church	3/5/87	Honorarium	800
Allen A.M.E. Church	1987	Salary	10,400
Allen Christian School (spouse)	1987	Salary	7,071.48

B. The source, type, and category of value of income from dividends, interest, rent, and capital gains received from any source during the preceding calendar year and the year of filing, listed separately by year, which exceeds $100 in value. *Note:* For this part only, indicate Category of Value, as follows: Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

SOURCE	YEAR	TYPE	CATEGORY
Chase Manhattan Bank	1986	Interest	A
Memphis Credit Union	1986	Interest	A
Booker T. Washington Federal Credit Union	1986	Interest	A
First Investors Money Market	1986	Dividends	A
Chemical Bank Money Market	1986	Interest	A
Chase Manhattan Bank	1987	Interest	A
Memphis Credit Union	1987	Interest	A
Booker T. Washington Federal Credit Union	1987	Interest	A
First Investors Money Market	1987	Dividends	A
Chemical Bank Money Market	1987	Interest	A

8 7 0 7 0 2 1 2 3 9

IN PARTS III AND IV, THE INFORMATION REQUIRED SHOULD BE CURRENT AS OF A DATE WHICH IS LESS THAN THIRTY-ONE DAYS BEFORE THE FILING DATE. THE INFORMATION BELOW IS CURRENT AS OF 5/1/87.
(Date)

NOTE: For Parts III and IV below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

HOLDINGS: Business interests, stocks and bonds, real estate, savings accounts, and any other investment or income-producing property should be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See 2 U.S.C. 702(c) for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. ***Exclusions:*** Any deposits aggregating $5,000 or less in personal savings accounts, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported unless any part of the residence produces rental income. The cash value of a life insurance policy need not be reported.

The holdings of and income from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. However, the reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "qualified blind trust," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the Committee on Standards of Official Conduct before it will be deemed a qualified blind trust under the Act.

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? (See Instruction Booklet at page 9)

YES ____ NO X

The identity and category of value of any interest in property held in a trade or business, or for investment or the production of income, which has a fair market value in excess of $1,000 as of the date specified above.

IDENTITY	CATEGORY
Booker T. Washington Federal Credit Union(children's savings a/c)	B
Memphis Area Teachers Credit Union	A
Chemical Bank (CD)	B
First Investors Corp.	A
4811 Hancock Dr., Memphis, Tn. (property)	E

IV. LIABILITIES

LIABILITIES: All personal obligations aggregating over $10,000 owed to one creditor, whether secured or not, and regardless of the repayment terms or interest rates, must be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. ***Exclusions:*** Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances; provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

The identity and category of value of the total liabilities owed to any creditor which exceeds $10,000 as of the date specified above.

IDENTITY	CATEGORY
Larry Myrack (mortgage)	C
Independent Real Estate Association	C

8 7 7 7 7 2 1 2 3 9 5

V. POSITIONS

POSITIONS HELD: Any nongovernmental positions held by the reporting individual, whether compensated or uncompensated, in any business entity, nonprofit organization, labor group, educational or other institution must be reported. ***Exclusions:*** Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States Government

POSITION	NAME OF ORGANIZATION
Pastor	Allen A.M.E. Church

VI. AGREEMENTS

(For New Employees Only)

AGREEMENTS: Candidates are not required to complete this section. Continued payments or benefits from a former employer would include interests in or contributions to a pension fund, profit-sharing plan, or life or health insurance; buy-out agreements; severance payments, etc.

For more information, see detailed Instruction Booklet at page 13

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer

DATE	PARTIES TO	TERMS OF AGREEMENT

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. § 701, et seq.). The Statements will be made publicly available to any requesting person under written application, and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. § 706 and 18 U.S.C. § 1001).

Signature Floyd H. Flake M.C.

Date 5/15/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:
The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. Extension requests should be directed to the chairman of that Committee in writing and should state the reason the extension is necessary.

U.S. GOVERNMENT PRINTING OFFICE : 1986 O - 57-661

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Ronnie G. Flippo
(Full Name)

2334 Rayburn House Office Building
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 5 State Alabama

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __x__ NA ______

For more information, see detailed Instruction Booklet at page 7.

[illegible]

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
attached	honoraria	$26,250.00	$4,000.00 (charity)
spouse - Gullett and Parkhust CPA's	W-2 (part-time)		

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
rental of personal residence, Florence, AL	rental	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __x__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
18½ acres of lake property & pasture land, Florence, Alabama	D
17 acres of land, Cloverdale, Alabama	C
IRA - United Bank of Virginia, C.D.	B
personal residence use as rental property during year - Florence, AL (certified appraisal)	E

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
none		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
AmSouth Bank, Florence, Alabama	C
First National Bank, Florence, Alabama	B
First Federal Savings and Loan, Florence, Alabama	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
none	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
none		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
attached	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13

POSITION	NAME OF ORGANIZATION
~~none~~	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13

DATE	PARTIES TO	TERMS OF AGREEMENT
	none	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Ronnie G. Flippo	5/14/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987

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Page ____ of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Ronnie G. Flippo
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
IIA	Assn. of Equipment Lessors	$1,000	Honorarium 1/1/86
IIA	Dow, Lohnes, et al	$1,000	Honorarium 1/1/86
IIA	Massachusetts Mutual	$2,000	Honorarium 1/20/86
IIA	American Bankers Assn.	$2,000	Honorarium 1/30/86
IIA	Mobil Oil	$2,000	Honorarium 2/24/86
IIA	Council of Industrial Development Bonds	$1,500	Honorarium 3/11/86
IIA	American Mining Congress	$2,000	Honorarium 4/4/86
IIA	Southeastern Forest Farmers	$ 500	Honorarium 4/18/86
IIA	Connell Rice and Sugar	$2,000	Honorarium 4/28/86
IIA	Investment Company Institute	$2,000	Honorarium 5/21/86
IIA	Forest Industries Committee	$1,000	Honorarium 5/20/86
IIA	Miller and Schroeder	$2,000	Honorarium 6/16/86
IIA	Distilled Spirits Council	$2,000	Honorarium 7/4/86
IIA	Food Marketing Institute	$1,000	Honorarium 7/14/86
IIA	American Council for Capitol Formation	$2,000	Honorarium 9/4/86
IIA	National Council on Compensation and Insurance	$ 250	Payment for Article 6/86
IIA	Association of Legal Administrators	1,000	Honorarium 10/1/86
IIA	Forest Industries Committee	$1,000	Honorarium 1/1/86

VII	1/20/86	Massachusetts Mutual -	transportation, food and lodging PAID DIRECTLY also spouse
VII	2/24/86	Mobil Oil	transportation provided
VII	4/4/86	American Mining Congress -	transportation REIMBURSED
VII	4/18/86	Southeastern Forest Farmers	transportation REIMBURSED also spouse
VII	4/28/86	Connell Rice & Sugar	transportation PAID DIRECTLY
VII	6/16/86	Miller & Schroeder	transportation PAID DIRECTLY
VII	7/4/86	Distilled Spirits Council	transportation PAID DIRECTLY also spouse

GPO [illegible]

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A For use by Members, officers, and employees

James J. Florio
(Full Name)

Barrington Commons
208 White Horse Pike - Suite #5
(Mailing Address)

Barrington, New Jersey 08007

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 1 State N.J.

☐ Officer or Employee—Employing Office

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts: such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
SEE CONTINUATION SHEET	Honorariums	$22,500	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
SEE CONTINUATION SHEET	Interest	Various
Prudential-Bache Securities, Inc.	Dividends	A
250 Shs. A.H. Robins Co.	Capital Gain	A
62 South 28th Street, Camden, N.J.	Rents	Gross-B; Net-A
Land, Lindenwold, N.J.	Capital Gain	C
SEE CONTINUATION SHEET	Partnerships	Various

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8

IDENTITY	CATEGORY
Wright Patman Credit Union-Savings Account	B
Merrill Lynch High Yield Corporate Bond Fund	A
United Jersey Bank-Savings Account and Certificate of Deposit	B
250 Shs. Kerr Glass Manufacturing Corporation-Common Stock	A
250 Shs. Maxxam Group, Inc. - Common Stock	A
200 Shs. Conston Corporation - Common Stock	A

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sale of 200 shs. Pittston Co.	6/18/86	A
Sale of 250 Shs. A.H. Robins Co.	6/18/86	A
Purchased 246 Shs. Merrill Lynch High Yield Corporate Bond Fund	9/15/86	A
Purchased Rental Property, 31 Meetinghouse Lane, Turnersville, N.J.	12/31/86	D

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Howard Federal Savings and Loan-Mortgage, 1345 Chews Landing Road	C
Atco National Bank-Mtge., Waterford Twp., Atco, N.J.	C
New Jersey Savings and Loan Assn.-Mtge., 31 Meetinghouse Lane	C
Continental Bank of New Jersey - Personal Note	B
United Jersey Bank - Personal Note	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
SEE CONTINUATION SHEET	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Partner	Pine and Haddon Associates
Partner	Continental Realty Investment Company
Partner	VEL Investment Company
Shareholder	Poplar and Florio, PA
SEE CONTINUATION SHEET	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Florio	13 MAY 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

[illegible]

JAMES J. FLORIO
FINANCIAL DISCLOSURE STATEMENT FOR 1986
CONTINUATION SHEET

PAGE 2, PART II - INCOME
SECTION A - HONORARIUMS

Payor	Date Received	Amount
American Institute of Architects	1/30	2000
Association of American Railroads	11/18	300
Board of Trade of City of Chicago	4/7	500
Brookings Institution	2/18	500
Chamber of Commerce of the U.S.	3/11	400
Chemical Marketing Research Association	1/8	500
Chicago Mercantile Exchange	4/7	500
Consumers United for Rail Equity	2/5	500
Equitable Life Assurance Society of the US	2/3	1500
Gannett Outdoor Co. of Colorado	1/17	500
Gannett Outdoor Co. of Michigan	1/17	500
Harris Corporation	2/12	1000
Matthew Thornton Society	1/6	1000
MCA, Inc.	1/14	2000
Natl. Assn. of Casualty & Surety Agents	1/28	1000
National Association of Manufacturers	1/21	1000
National Restaurant Association	3/13	1000
National School Boards Association	10/20	500
National Society of Professional Engineers	4/1	1000
R. C. Maxwell Co.	1/17	1000
Seaboard System Railroad	3/3	1000
Rutgers, The State University	4/21	300
Southeast Sec./TX Water Poll. Cont. Assn.	2/14	1500
Ticor Title Insurance Co. of California	1/15	1500
Travel & Tourism Govt. Affairs Council	2/28	1000
		$ 22,500

SECTION B - INTEREST	Category Value
United Jersey Bank	A
Wright Patman Credit Union	A

SECTION B	Category Value
Distributive share of partnership income, Poplar & Florio, PA	D
Commercial building, 1021-1023 Pine Street, Camden, N.J.	A

JAMES J. FLORIO
FINANCIAL DISCLOSURE STATEMENT FOR 1986
CONTINUATION SHEET

PAGE 2, PART III - HOLDINGS

Identity		Category
Prudential Bache High Yield Fund-Bonds	IRA Pension Plan	A
62 South 28th Street, Camden, N.J.	Rental Property	C
Waterford Township, Atco, N.J.	Rental Property	D
31 Meetinghouse Lane, Turnerville, N.J.	Rental Property	D
Borough of Lindenwold, NJ (2) Lots	Land	A
1 1/4 Shs. Armor Investment Co.	Land (Cape May, NJ)	A
12 Shs. Regional Land Abstract Co.	Common Stock	A
15% Interest, Office Bldg.- 1345 Chews Landing Road, Gloucester, N.J.		C
16% Interest, Commercial Bldg.- 1021-1023 Pine Street, Camden, N.J.		B
50% Interest, Poplar & Florio, P.A.- Cherry Hill, N.J.	Law Firm	C
VEL Investment Co., Cherry Hill, NJ 1/3 Interest	Securities	A

PAGE 3, PART IV - TRANSACTIONS

Description	Date	Category
Purchased 200 Shs. Conston Corporation	7/24/86	A
Purchased 187 Shs. Prudential Bache High Yield Fund Through IRA Pension Fund	4/1/86	A
Purchased 187 Shs. Prudential Bache High Yield Fund Through IRA Pension Fund	12/29/86	A
Sale of National Money Market Fund	11/17/86	A
Sale of Land, Lindenwold, N.J.	7/2/86	A
Sale of Automobile	4/8/86	A

PAGE 4, PART VIII - POSITIONS

Position	Name of Organization
Shareholder	Regional Land Abstract Company
Shareholder	Armor Investment Company
Member	James J. Florio Charitable Foundation, Inc.

James J. Florio
Financial Disclosure for 1986
Part VII (Reimbursements) Continuation Sheet

SOURCE	BRIEF DESCRIPTION
Association for International Law	Airfare: Phila-Athens (Greece)-Cyprus-Phila; 3 nights lodging
Association of Trial Lawyers of America	Airfare: New York-DC; 1 night lodging
A T & T	Auto: New York City-New Jersey; Airfare: Newark-DC
Chicago Board of Trade	Airfare: Phila-Chicago-DC; 1 night lodging
Committee to Make N.J. Better	4 nights lodging
The Democracy Fund	Airfare: DC-Chicago-DC; 1 night lodging
Dow Chemical, U.S.A.	Airfare: DC-Baton Rouge-New Orleans-Phila; 1 night lodging
Eastern Regional Potato Chip /Snack Food Association	Airfare: Naples (FL)-DC; 1 night lodging
Florida Caribbean Cruise Assn.	Airfare: DC-Miami-Naples; 3 nights lodging
Harris Corporation	Airfare: Phila-Melbourne-Jacksonville-Houston; 1 night lodging
Irving Trust Company	Airfare: Chicago-Phila; 1 night lodging
Itel Rail Corporation	Airfare: Phila-San Francisco-DC; 2 nights lodging
Jewish Federation of Southern New Jersey	Airfare: Phila-Leningrad (USSR)-Moscow-New York; 7 nights lodging
Robert E. Juliano Associates	3 nights lodging
Miami Congressional Workshop	Airfare: Phila-Miami-Phila; 4 nights lodging
National Cable Television Assn.	Airfare: Phila-Dallas-DC; 1 night lodging
Pacific Telesis Group	Airfare: Phila-San Francisco-DC
Securities Industry Association	Airfare: Phila-W. Palm Beach-Phila; 2 nights lodging
Southeast Section/Texas Water Pollution Control Association	Airfare: Houston-Albuquerque; 1 night lodging
Tobacco Institute	Airfare: DC-Palm Springs-Phila; 3 nights lodging
Waste Management, Inc.	Airfare: Phila-Chicago; 1 night lodging

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

THOMAS M. FOGLIETTA
(Full Name)

HOPKINSON HOUSE APT. 3003
6th & WASHINGTON SQUARE
(Mailing Address)

PHILADELPHIA, PA. 19106

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 1st State PA

☐ Officer or Employee—Employing Office __________

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA X____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
CLIENTS - LEGAL PRACTICE	LEGAL FEES	18 600	SELF
1-7-86 - ORLEANS BUILDERS & DEVELOPERS	HONORARIA	1 000	SELF
3-17-86 -MULTI FAMILY COUNCIL OF S.E. PENNA.	HONORARIA	1 000	SELF
4-28-86 -COMPUTER SCIENCE CORPORATION	HONORARIA	1 000	SELF

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
CITY OF PHILA.	PENSION	D
PERSONAL SAVINGS ACCOUNTS	INTEREST	B
DIVIDENDS	DIVIDENDS	A
GROSS RENTAL INCOME - DWELLINGS	RENTAL	E
210 ASSOCIATES - PARTNERSHIP	RENTAL	B
PUNITIVE DAMAGES-LAWSUIT SETTLEMENT-LARSON MTG. CO.	LAWSUIT SETTLEMENT	D
SALES OF SECURITIES	CAPITAL ASSETS	LOSS

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO ____ NA X

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
SEE ATTACHED SCHEDULE	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
SEE ATTACHED SCHEDULE		

V. LIABILITIES

GENERAL GUIDELINES:

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EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
ROXBOROUGH MANYUNK FED S&L MORTGAGE - 721 FITZWATER ST., PHILA.	C
FIDELITY BANK - MORTGAGE - 718 CLYMER ST., PHILA.	C
1st JERSEY NATIONAL BANK - MORTGAGE - 480 WESTSHORE RD. BRIGANTINE,N.J.	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
PARTNER	210 ASSOCIATES (RENTAL PARTNERSHIP) 12½% INTEREST

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature

Date May 13TH, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
103C Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987 [illegible]

THOMAS M. FOGLIETTA

III HOLDINGS

IDENTITY	CATEGORY
FURNITURE & FIXTURES - LAW PRACTICE	A
DWELLING, 721 FITZWATER ST., PHILA., PA. - F.M.V.	D
DWELLING, 718 CLYMER ST., PHILA., PA. - F.M.V.	D
DWELLING, 480 WESTSHORE DRIVE, BRIGANTINE, N.J. F.M.V.	E
1000 SHARES ANACOMP INC.	B
1000 SHARES DAMSON OIL CO.	A
1000 SHARES JACKPOT ENTERPRISES INC.	B
3334 SHARES DEAN WITTER/SEARS LIQUID ASSET FUND	A
CONTINENIAL PERSONAL SAVINGS-MONEY MARKET ACCOUNT	C
100 SHARES RORER	A
16257 MUNICIPAL M.M. FUND	C
300 SHARES KOPPER INC.	B
100 SHARES LOUISIANA PAC. CORP.	A
12½% INTEREST - 210 ASSOCIATES PARTNERSHIP	C
E.F. HUTTON BROKERAGE ACCOUNT	A

IV TRANSACTIONS

SALES OF SECURITIES

1000 SHARES ANACOMP	8-4-86	B
1000 SHARES DAMSON OIL CO.	8-4-86	A
1000 SHARES JACKPOT ENTERPRISES	8-4-86	B
100 SHARES RORER CORP.	12-8-86	A
3330 SHARES DEAN WITTER/SEARS LIQUID ASSET FUND		A
16257 SHARES MUNICIPAL M.M. FUND		C

PURCHASES OF SECURITIES

100 SHARES RORER CORP	9-4-86	A
300 SHARES KOPPERS INC.	8-29-86	B
100 SHARES LOUISIANA PAC. CORP.	12-9-86	A

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

THOMAS S. FOLEY
(Full Name)

1201 Longworth House Office Building
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 5th State WA

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure; all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE AND TYPE, but not the AMOUNT of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See attached sheet			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
28 Augusta Fund, Ltd. Calgary, Alberta, Canada	Interest	C
Wright Patman Cong. Fed. Credit Union	IRA dividends	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See attached sheet	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See attached sheet		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
See attached sheet	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
See attached sheet	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 15 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987

Ethics in Government Act - Financial Disclosure for 1986

I. INCOME - A

Thomas S. Foley
1201 Longworth House Office Building
Washington, D.C. 20515

Member of the House of Representatives District 5, State of WA

INCOME

SOURCE	TYPE	AMOUNT
Ernest Whittenberg Associates, Inc. January 7, 1986	Honorarium	$2,000.00
The Washington Discussion Group January 8, 1986	Honorarium	$2,000.00
National Forest Products Association January 13, 1986	Honorarium	$2,000.00
United Fresh Fruit and Vegetable Assoc. January 17, 1986	Honorarium	$1,000.00
National Broadcasting Company January 17, 1986	Honorarium	$1,000.00
American Trucking Associations, Inc. January 21, 1986	Honorarium	$1,000.00
American Bakers Association January 21, 1986	Honorarium	$2,000.00
Champion International Corporation January 23, 1986	Honorarium	$1,000.00
The Fay Improvement Company February 6, 1986	Honorarium	$1,000.00
Timmons and Company February 10, 1986	Honorarium	$2,000.00
Bristol-Myers Company March 26, 1986	Honorarium	$2,000.00

Ethics in Government Act - Financial Disclosure for 1986

Page Two - II INCOME - A

National Parking Association April 7, 1986	Honorarium	$2,000.00
The Government Research Corporation April 21, 1986	Honorarium	$1,000.00
Tri-City Industrial Development Council April 21, 1986	Honorarium	$500.00
The Washington Forum May 6, 1986	Honorarium	$2,000.00
	TOTAL	22,500.00

Thomas S. Foley - Financial Statement for 1986

III. Holdings

Identity	Value as of 12/31/86	Number of Shares
Solomon's Inc. 27 East Augusta Spokane, Washington	$2,000.00 common stock	1,000
28 Augusta Fund, Ltd. 6920 McLeon Trail, S.E. Calgary, Alberta, Canada	balance owing of $2,550.00 sold on contract	150
29 Augusta Fund, Ltd. 6920 McLeon Trail, S.E. Calgary, Alberta, Canada	balance owing of $500.00 sold on contract	720
X-L Investor, Inc. 27 East Augusta Spokane, Washington	$46,375.00 common stock	1,325 sold 5/20/86
Solomon's II, Inc. 27 East Augusta Spokane, Washington	$1,335.00 common stock	445
Horn & Hardart Warrants 1163 Avenue of America New York, New York	$4,713.07 common stock	826 sold 6/18/86
AIFS Inc. 102 Greenwich Avenue Greenwich, CT 06830	$10,750.00 common stock	1,000 sold 2/11/86
Foxmeyer Corp. 1 Cherry Center 501 S. Cherry Street Denver, Colorado 80222	$10,355.65 common stock	300 sold 2/27/86
Supertex Inc. 1225 Bordeaux Drive P.O. Box 3607 Sunny Vale, California 94088	$10,740.00 common stock	3,000 sold 12/4/86
Cambridge Analytical Assoc. 1106 Commonweath Avenue Boston, Massachusetts 02215	$7,000.00 common stock	1,000 sold 4/15/86

Thomas S. Foley - Financial Disclosure for 1985
Page Two - III. Holdings

Identity	Value as of 12/31/86	Number of Shares
Federated Automated Govt. Money Trust Federated Investments Inc. 421 7th Avenue Pittsburg, Pennsylvania 15219	$35,000.00 common stock	35,000 sold 10/22/86
M.S. Carriers Inc. 3150 Starnes Cove Memphis, Tennessee 38116	$12,250.00 common stock	500 sold 4/29/86
Interfund Corp. R.R. 2 Cannon Fall, Minnesota 55009	$9,750.00 common stock	1,000 sold 5/8/86
VIE DE France Corp. Com. 8201 Greensboro Drive, #1200 McLean, Virginia 22102	$3,125.00 common stock	500 sold 1/30/86
Home Shopping Network Inc. 1529 U.S Highway S. Clearwater, Florida 33546	$3,864.00 common stock	100 sold 5/13/86
HBO & Co. Com. 301 Perimeter North Atlanta, Georgia 30346	$5,514.00 common stock	300 sold 1/16/86
Genetics Inst. Inc. 87 Cambridge Park Drive Cambridge, Massachusetts 02140	$31,625.00 common stock	1,000 sold 5/20/86
Velo Bind Inc. 650 Almanor Avenue Sunnyvale, California 94086	$11,750.00 common stock	
WNS Inc. 7915 F.M. 1960 W. Houston, Texas 77070	$8,250.00 common stock	
Tech Data Corp. 5777 Myerlake Circle Clearwater, Florida 33520	$12,187.50 common stock	

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Thomas S. Foley - Financial Disclosure for 1986
Page Three - III. Holdings

Identity	Value as of 12/31/86	Number of Shares
Home Shopping Network Inc. 1529 U.S. Highway S. Clearwater, Florida 33546	$19,879.50 common stock	
EMC Corp. Mass. 171 South Street Hopkington, Massachusetts 07148	$15,000.00 common stock	
Dryclean USA Inc. 9200 S. Dadeland Boulevard Penthouse 25 Miami, Florida 33156	$11,500.00 common stock	

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT FOR 1986
Thomas S. Foley

IV. Transactions

Description	Value When Purchased	Value When Sold
Supertex Inc. 1225 Bordeaux Drive P.O. Box 3607 Sunny Vale, California 94088	Purchased 1,000 shares on 10/22/84 @ $5.25 = $5,250.00	Sold 1,000 shares on 12/24/86 @ $1.3450 = $1,345.00
	Purchased 1,000 shares on 2/11/85 @ $4.75 = $4,750.00	Sold 1,000 shares on 12/24/86 @ $1.3450 = $1,345.00
	Purchased 1,000 shares on 5/6/85 @ $$3.50 = $3,500.00	Sold 1,000 shares on 12/24/86 @ $1.3450 = $1,345.00
VIE DE France Corp. Com. 8201 Greensboro Drive, #1200 McLean, Virginia 22102	Purchased 500 shares on 2/11/85 @ $13.25 = $6,562.50	Sold 500 shares on 1/30/86 @ $7.75 = $3,875.00
Horn & Hardart Warrants 1163 Avenue of Americas New York, New York	Purchased 800 shares on 1/24/83 @ $4.16101 = $3,328.81	Sold 800 shares on 6/13/86 @ $5.7308 = $4,584.64
AIFS Inc. 102 Greenwich Avenue Greenwich, Connecticut 06830	Purchased 1,000 shares on 2/5/86 @ $9.00 $9,000.00	Sold 1,000 shares on 2/11/86 @ $10.75 = $10,750.00
Foxmeyer Corp. 501 S. Cherry Street Denver, Colorado 80222	Purchased 300 shares on 1/30/86 @ $22.765 = $6,829.50	Sold 300 shares on 2/27/86 @ $34.51883 = $10,355.65
Cambridge Analytical 1106 Commonwealth Avenue Boston, Massachusetts 02215	Purchased 1,000 shares on 4/10/86 @ $5.00 = $5,000.00	Sold 1,000 shares on 4/15/86 @ $7.00 = $7,000.00
HBO & Co. Com. 301 Perimeter North Atlanta, Georgia 30346	Purchased 300 shares on 12/11/85 @ $16.75 = $5,025.00	Sold 300 shares on 1/16/86 @ $14.00 = $4,200.00
M S Carrier Inc. 3150 Starnes Cove Memphis, Tennessee 38116	Purchased 500 shares on 4/29/86 @ $17.00 = $8,500.00	Sold 500 shares on 4/29/86 @ $24.50 = $12,250.00

Thomas S. Foley - Financial Statement for 1986

IV. Transactions

Description	Value When Purchased	Value When Sold
Interfund Corp. R.R. 2 Cannon Fall, Minnesota 55009	Purchased 1,000 shares on 5/7/86 @ $8.00 = $8,000.00	Sold 1,000 shares on 5/8/86 @ $9.75 = $9,750.00
Home Shopping Network 1529 U.S. Highway S. Clearwater, Florida 33546	Purchased 100 shares on 5/13/86 @ $18.00 = $1,800.00	Sold 100 shares on 5/13/86 @ $38.6451 = $3,864.51
Genetics Inst. Inc. 87 Cambridge Park Drive Cambridge, Massachusetts 02140	Purchased 1,000 shares on 5/20/86 @ $29.75 = $29,750.00	Sold 1,000 shares on 5/20/86 @ $31.625 = $31,625.00
Federated Automated Govt. Money Trust Federated Investments Inc. 421 7th Avenue Pittsburg, Pennsylvania 15219	Purchased 35,000 shares on 10/9/86 @ $1.00 = $35,000.00	Sold 35,000 shares on 10/22/86 @ $1.00 = $35,000.00
Velo Bind Inc. 650 Almanor Avenue Sunnyvale, California 94086	Purchased 1,000 shares on 4/1/86 @ $11.75 = $11,750.00	Sold 1,000 shares on
WNS Inc. 7915 F.M. 1960 W. Houston, Texas 77070	Purchased 500 shares on 4/1/86 @ $16.50 = $8,250.00	
Tech Data Corp. 5777 Myer Lake Circle Clearwater, Florida 33520	Purchased 1250 shares on 4/30/86 @ $9.75 = $12,187.50	
Home Shopping Network Inc. 1529 U.S. Highway S. Clearwater, Florida 33546	Purchased 500 shares on 10/16/86 @ $39.759 = $19,879.50	
EMC Corp. Mass 171 S. Street Hopkington, Massachusetts 07148	Purchased 1,000 shares on 10/20/86 @ $15.00 = $15,000.00	
Dryclean U.S.A. Inc. 9200 S. Dadeland Boulevard Penthouse 25 Miami, Florida 33156	Purchased 1,000 shares on 12/23/86 @ $11.50 = $11,500.00	

Ethics in Government Act - Financial Disclosure Act for 1986

Thomas S. Foley
1201 Longworth Building
Washington, D.C. 20515

Member of U.S. House of Representatives District 5, State of WA

II.C. GIFTS AND REIMBURSEMENTS - REIMBURSEMENTS

SOURCE	BRIEF DESCRIPTION
American Ditchley Foundation 477 Madison Avenue New York, New York 10022	Round trip plane fare and hotel accommodations, etc. for Thomas S. Foley to London, February 21, 1986, to participate in conference.
Aspen Institute 1333 New Hampshire Avenue, N.W. Washington, D.C. 2008	One-way plane fare and hotel accommodations, etc. for Thomas S. Foley and wife to Bermuda, April 3, 1986, to participate in conference.
U.S. League of Savings Institutions 1709 New York Avenue, N.W. Washington, D.C. 20007	Round trip plane fare and hotel accommodations, etc. for Thomas S. Foley and wife to Bermuda, May 29, 1986, to give speech.
Consumer Electronic Shows 2001 I Street, N.W. Washington, D.C. 20006	Round trip plane fare and hotel accommodations, etc. for Thomas S. Foley to Chicago, June 2, 1986 to give speech.
Chicago Mercantile 2000 Pennsylvania Avenue, N.W. Washington, D.C. 20036	Round trip plane fare and hotel accommodations, etc. for Thomas S. Foley to Chicago, Illinois, September 21, 1986 to give speech.
Fay Improvement Company P.O. Box 2831 San Francisco, California 94126	Round trip plane fare and hotel accommodations, etc. for Thomas S. Foley to Palm Springs, California, November 12, 1986, to give speech.

Ethics in Government Act - Financial Disclosure Act for 1986

Thomas S. Foley
1201 Longworth Building
Washington, D.C. 20515

Member of U.S. House of Representatives District 5, State of WA

VIII. POSITIONS

POSITION	NAME OF ORGANIZATION
Member, Congressional Advisory Council	Henry M. Jackson Foundation
Director	Farmers Union Grain Company
Member, Board of Overseers	Whitman College
Member	Yale University Council - Comm. on Social Science - Policy
Member, Board of Directors	The Wolf Trap Foundation
Advisory Board	Center for Strategic & International Studies
Member	WSU Foundation
Member, Advisory Council	American Ditchley Foundation
Member, Executive Committee	Democratic National Committee
Member	Council on Foreign Relations
Member, Advisory Board	Arena Stage

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THOMAS S. FOLEY
5th District, Washington

MAJORITY LEADER

COMMITTEE ON THE BUDGET

PERMANENT SELECT
COMMITTEE ON INTELLIGENCE

Congreſs of the United States
House of Repreſentatives
Washington, DC 20515-4705

Offices
House Office Building
Washington, DC 20515-4705
Area Code (202) 225-2006

574 U.S. Courthouse
Spokane, WA 99201-1080
Area Code (509) 456-4680

E. 12929 Sprague
Spokane, WA 99216-0738
Area Code (509) 928-4434

28 W. Main
Walla Walla, WA 99362-2818
Area Code (509) 522-6370

May 22, 1987

INSIDE MAIL

mc✓

Dear Mr. Anderson:

It has been brought to my attention that when filing my 1986 Financial Disclosure Statement I forgot to provide information under V. Liabilities. The following information should have been included on my Disclosure Statement:

Identity	Category
Wright Patman Congressional Federal Credit Union	B

Please accept this letter as an amendment to my 1986 Financial Disclosure Statement.

Sincerely,

Thomas S. Foley
Member of Congress

The Honorable Donnald K. Anderson
Clerk, U.S. House of Representatives
H-105 The Capitol
Washington, D.C. 20515

TSF:hsf

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Harold E. Ford
(Full Name)

2773 Unicorn Lane, N.W.
(Mailing Address)

Washington, D.C. 20015

(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 9th State TN

☐ Officer or Employee—Employing Office

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, *etc.* Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: *Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.*

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES _____ NO X NA _____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Honoraria (See Attached Continuation Sheet)		26,408.00	26,878.08-Donation
PEPCO, Washington, D.C. (Spouse)		Salary	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
None		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
51% of N. J. Ford & Sons Funeral Parlor, Inc., Memphis, TN provider of Funeral Services. Based on book value	F

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Purchase of Memphis Condominium value based on purchase price.	Dec. 1986	E

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
See continuation sheet attached.	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
Hunter Fan	Ceiling Fan	600.00

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
See continuation sheet attached	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Board of Directors	St. Jude Children's Research Hospital
Board of Directors	Fisk University, Nashville, TN
Board of Directors	YMCA of Memphis, TN

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature: Harold E. Ford

Date: June 1, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration

Page ____ of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Harold E. Ford
(Name)

Continuation Sheet

	Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
II.	Income	A. Honoraria	AMOUNT
	1/29/86	The 3-D Club	$ 1,000.00
	1/02/86	Associated General Contractors	2,000.00
	1/19/86	New Bethel Baptist Church	362.00
	1/23/86	Wendy's of New Orleans	1,046.00
	1/30/86	D.C. Chambers of Commerce	2,000.00
	2/18/86	American Trucking Association	1,000.00
	3/13/86	American Public Transit Association	1,000.00
	3/19/86	E.R.H. Int'l	2,000.00
	4/04/86	MCA, Inc.	2,000.00
	4/23/86	Ultra Systems, Inc.	2,000.00
	5/21/86	Alternate Gas, Inc.	2,000.00
	9/24/86	Nat'l Fraternal Congress	2,000.00
	10/15/86	Baylor College of Medicine	2,000.00
	10/06/86	University of TN	2,000.00
	10/11/86	Council for Economic Opportunities	2,000.00
	11/03/86	Blue Cross & Blue Shield	1,000.00
	12/01/86	Schering Corp.	761.75
			$26,169.75
		Less: Donated to Mt. Moriah Baptist Church	(3,639.75)
		Limit	$22,530.00
			Category
V.	Liabilities	Southern Industrial Banking Corp. (Bank of Commerce)	D
		First TN Bank (FDIC, Knoxville)	D
		National Bank of Commerce, Memphis, TN	C
		National Bank of Washington	B
		Wright Patman Federal Credit Union	B
VII.	Reimbursements	Source	Description
	1/23/86	Wendy's of New Orleans	Airfare & Hotel
	4/4/86	MCA, Inc.	Airfare
	5/21/86	Alternate Gas, Inc.	Airfare & Hotel
	10/06/86	University of TN	Airfare
	10/11/86	Council of Economic Opportunities	Airfare
	4/5/86	Don White	Airfare & Hotel
	10/6/86	University of TN	Airfare & Hotel
	12/01/86	Schering Corp.	Airfare & Hotel -- Member & Spouse

GPO: [illegible]

HAND DELIVERED

HAROLD E. FORD
9TH DISTRICT, TENNESSEE

COMMITTEES
WAYS AND MEANS

CHAIRMAN
SUBCOMMITTEE ON PUBLIC ASSISTANCE
AND UNEMPLOYMENT COMPENSATION

DEMOCRATIC STEERING AND
POLICY COMMITTEE

SELECT COMMITTEE ON AGING

2305 RAYBURN HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-3265

Congress of the United States
House of Representatives
Washington, DC 20515

MEMPHIS OFFICES

187 NORTH MAIN STREET
FEDERAL OFFICE BUILDING, SUITE 369
(901) 521-4131

193 WEST MITCHELL ROAD
(901) 521-4141

A.J. COOPER
TAX COUNSEL

JOHN MURDOCK
LEGISLATIVE DIRECTOR

June 9, 1987

Donald K. Anderson, Clerk
U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

mc ✓

Dear Mr. Anderson:

Enclosed, please find an original and two copies of my amended Financial Disclosure Statement. I have corrected the items set forth in your correspondence to me of June 5, 1987, in Part II-A, Part III, Part V, and Part VIII.

Please note that I inadvertently included in Part IV, a transaction which is properly excludable, and I have therefore deleted that item. You will also note that as a result, the item is not reported in Part III.

Thank you for your assistance in this matter.

Sincerely,

Harold Ford

HAROLD E. FORD
Member of Congress

HEF/yd

Enclosures

HAND DELIVERED [illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Harold E. Ford
(Full Name)

2773 Unicorn Lane, N.W.
(Mailing Address)

Washington, D.C. 20015

mc ✓
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 9th State TN

☐ Officer or Employee—Employing Office ____

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

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WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Honoraria (See attached Continuation Sheet)		$26,169.75	$3,639.75 Donation
PEPCO, Washington, D.C. (Spouse)		Salary	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
None		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See,* Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
51% of N. J. Ford & Sons Funeral Parlor, Inc., Memphis, TN	F
provider of funeral services. Based on book value	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

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For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
See Continuation Sheet attached	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
Hunter Fan	Ceiling Fan	$600.00

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
See Continuation Sheet attached	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Board of Directors	St. Jude Children's Research Hospital
Board of Directors	Fisk University, Nashville, TN
Board of Directors	YMCA of Memphis, TN

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Harold E. Ford	6/9/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987 [illegible]

[illegible]

Page ____ of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Harold E. Ford
(Name)

Continuation Sheet

Part		Source, Type, Amount, Category, Description or Value (As Applicable)	
II.	Income	A. Honoraria	Amount
	1/29/86	The 3-D Club	$ 1,000.00
	1/02/86	Associated General Contractors	2,000.00
	1/19/86	New Bethel Baptist Church	362.00
	1/23/86	Wendy's of New Orleans	1,046.00
	1/30/86	D.C. Chambers of Commerce	2,000.00
	2/18/86	American Trucking Association	1,000.00
	3/13/86	American Public Transit Association	1,000.00
	3/19/86	F.R.H. Int'l	2,000.00
	4/04/86	MCA, Inc.	2,000.00
	4/23/86	Ultra Systems, Inc.	2,000.00
	5/21/86	Alternate Gas, Inc.	2,000.00
	9/24/86	National Fraternal Congress	2,000.00
	10/15/86	Baylor College of Medicine	2,000.00
	10/06/86	University of TN	2,000.00
	10/11/86	Council for Economic Opportunities	2,000.00
	11/03/86	Blue Cross & Blue Shield	1,000.00
	12/01/86	Schering Corp.	761.75
			$26,169.75
		LESS: Donated to Mt. Moriah Baptist Church	(3,639.75)
		Limit	$22,530.00
V.	Liabilities		Category
		Southern Industrial Banking Corp. (Bank of Commerce)-- ---Personal	D
		First TN Bank (FDIC, Knoxville)---Personal	D
		National Bank of Commerce, Memphis, TN -- Personal	C
		National Bank of Washington --- Personal	B
		Wright Patman Federal Credit Union, Wash., DC --- Personal	B
VII.	Reimbursements	Source	Description
	1/23/86	Wendy's of New Orleans, Speech, Roundtrip airfare; Wash., D.C. to New Orleans; 1 1/2 days food/lodging.	
	4/04/86	MCA, Inc., Speech, Roundtrip airfare; Wash., D.C. to L.A., Calif.; 2 days food/lodging.	
	5/21/86	Alternate Gas, Inc., Speech, Roundtrip airfare; Wash., D.C. to Atlanta, Ga.; 1 day food/lodging.	
	4/5/86	Don White, Speech, Roundtrip airfare; Wash., D.C. to L.A., Calif. to San Francisco, Calif. to Wash., D.C.; 1 day food/lodging.	
	10/6/86	Univ. of TN, Speech, Roundtrip airfare; Wash., D.C. to Knoxville, TN.; 1 day food/lodging	
	12/01/86	Schering Corp., Speech, Roundtrip airfare; Wash., D.C. to San Juan, Puerto Rico; 3 days food/lodging.	Member and Spouse.
	10/11/86	Council of Economic Opportunities, Speech, Roundtrip airfare; Wash., D.C. to Cleveland, Ohio; 1 day food/lodging.	

GPO: 1986 61-480 (m)

INSIDE MAIL

HAROLD E. FORD
9th District, Tennessee

COMMITTEES:
WAYS AND MEANS

CHAIRMAN
Subcommittee on Public Assistance
and Unemployment Compensation

DEMOCRATIC STEERING AND
POLICY COMMITTEE

SELECT COMMITTEE ON AGING

2306 Rayburn House Office Building
Washington, DC 20515
(202) 225-3265

Congress of the United States
House of Representatives
Washington, DC 20515

MEMPHIS OFFICES:

167 North Main Street
Federal Office Building, Suite 369
(901) 521-4131

183 West Mitchell Road
(901) 521-4141

A.J. COOPER
Tax Counsel

JOHN MURDOCK
Legislative Director

June 16, 1987

rnc

Donald K. Anderson, Clerk
U.S. House of Representatives
Office of Records and Registration
1036 Longworth HOuse Office Building
Washington, D.C. 20515

Dear Mr. Anderson:

I am writing to amend my Ethics In Government Act Financial - Disclosure Statement for 1986. Under Section II on Reimbursements, please list Davis and Harman, roundtrip airfare, Washington, D.C. - Miami, FL - Washington, D.C., food and lodging - 1 day.

Also, under Holdings, Section III, please list Cozzin Communications, Category A. In addition, please amend all of my previous statements to reflect this holding. I would also like you to amend my 1984 Statement, Section under Part IV, Transactions to show Cozzins Communication, share in participation in proposed T.V. station acquisition, Category A.

Should you require any additional information, please do not hesitate to contact me.

Sincerely,

Harold E. Ford

HAROLD E. FORD
Member of Congress

HEF/yd

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

INSIDE MAIL

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

William D. Ford
(Full Name)

239 Cannon HOB
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 15 State MI

☐ Officer or Employee—Employing Office ________

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION?
YES ____ NO ____ NA X

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION" **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
1/13 American Council on Education	Honorarium	1,000.00	
1/13 Southwest Texas State University	"	2,000.00	
1/27 Coalition of Higher Educ. Assistance Orgs.	"	500.00	
3/7 Labor Policy Association	"	1,000.00	
3/10 Natl. Assn. of Postmasters	"	1,000.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
223A C St. N.E., Washington, D.C.	Rental income	D
Wright Patman Federal Credit Union	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8

IDENTITY	CATEGORY
15513 Dupage, Taylor, MI (residence)	C
230 Justice Ct. N.E., Washington (residence)	D
223A C St. N.E., Washington, D.C. (rental property)	D
Civil Service contribution, U.S. House of Representatives	D
IRA, Wright Patman Federal Credit Union (Certificate of Deposit)	A
Savings Acct., Wright Patman Federal Credit Union	B

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Sterling Savings & Loan (Michigan residence)	C
Columbia First Federal Savings & Loan (Washington, D.C. rental property)	D
First Union Mortgage Corporation (Washington, D.C. residence)	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE — BRIEF DESCRIPTION

American Postal Workers Union for travel and lodging in Miami, Feb. 12-18
Labor Policy Association for travel to Williamsburg, VA, March 7
Joseph E. Seagram & Sons, Inc., for travel and lodging in New York, N.Y. , April 2-3
Lesley College for travel to Cambridge, Mass., April 3-4
California Student Loan Finance Corp. for travel and lodging in Palm Springs, CA, April 26

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
William D Ford	May 8, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

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Page 2 of 2

INSIDE MAIL

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

William D. Ford
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)				
II. Income	3/18	Michigan Community College Association	honorarium	$1,500.00	
	3/19	Natl. Assn. of College Admission Counselors	"	500.00	
	4/4	New England Educational Leadership Forum	"	1,000.00	
	4/9	Natl. Assn. of Postal Supervisors	"	1,000.00	
	4/23	Seagram Civic Action Network	"	2,000.00	
	4/26	California Student Loan Finance Corp.	"	2,000.00	
	5/14	American Trucking Associations	"	2,000.00	
	5/21	Western Assn. of Student Financial Aid	"	2,000.00	
	5/22	Natl. Assn. of State Directors of Voc. Educ.	"	500.00	
	5/27	Natl. Council of Higher Education Loan Programs	"	1,000.00	
	5/27	American Soc. of Assn. Executives	"	1,500.00	
	6/10	Assn. of Independent Colleges & Schools	"	2,000.00	
	6/20	Alliance of Nonprofit Mailers	"	1,000.00	(charit
	6/23	Direct Marketing Association	"	1,500.00	(charit
	7/7	Brookings Institution	"	300.00	(charit
	7/25	Natl. Assn. of Letter Carriers	"	2,000.00	(charit
	9/12	Seyfarth, Shaw, Fairweather & Geraldson	"	1,000.00	(charit

III. Holdings	IRA, Kemper Investors Life Ins. Co. (Kilico Income Separate Account)	Category B
	Keough, Kemper Investors Life Ins. Co. (General Acct.)	B

VII. Reimbursements	Western Assn. Student Financial Aid Administrators for travel and lodging in Los Angeles, CA., April 27
	American Trucking Associations Foundation for travel and lodging, Key Largo, FL, May 2-3
	Columbia College for travel and lodging in Chicago, May 30
	Assn. of Independent Colleges and Schools for travel and lodging in Pinehurst, N.C., June 6-8
	Natl. Assn. of Letter Carriers for travel and lodging in St. Paul, MN, July 25
	Near East/South Asia Council of Overseas Schools for travel and lodging in Athens, Greece, November 22-30.

GPO 1986 61-688 (m)

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UNITED STATES HOUSE OF REPRESENTATIVES

INSIDE MAIL

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

BARNEY FRANK
(Full Name)

1030 LONGWORTH HOB
(Mailing Address)

WASHINGTON, D.C. 20515

MC
(Office Use Only)

87 MAY -5 PM 2: 47
RECEIVED

Check the appropriate box and fill in the blanks.

XX Member of the U.S. House of Representatives—District 4 State MA

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES _____ NO _____ NA X

For more information, see detailed Instruction Booklet at page 7.

3 7 3 1 1 1 9 3 5 7 2

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION" **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE		TYPE	AMOUNT	DISPOSITION
Assoc. of Independent T.V. Stations	1-6-86	honoraria	$1,000	N/A
Shawmut Bank	1/86	"	$1,000	"
Toyota	1/86	"	$2,000	"
Nat'l Assoc. of Broadcasters	1/86	"	$1,000	"
Dealer Bank Association	2-19-86	"	$1,000	"

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
N/A		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ___ NO ___ NA X

For more information, see detailed Instruction Booklet at page 8

IDENTITY	CATEGORY
IRA - U.S. Trust Company - Boston	A

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
N/A		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
N/A	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
N/A	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
N/A		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
Assoc. of Independent Television Stations, Inc.	Airfare to participate in panel at the annual convention.
Human Rights Campaign Fund	Airfare to speaking engagement
American College Health Associations	" "
Bay Area Lawyers for Individual Freedom	" "

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Board Member	Villers Foundation

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	N/A	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5/4/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987 O-228 (4)

[illegible]

Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

BARNEY FRANK
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)				Disposition
II - A	Manufactured Housing Inst.	4/15/86	honoraria	$1,000.00	N/A
	Health and Life Org.	5/13/86	"	500.00	"
	AANA Gov. Rel. Workshop	5/14/86	"	2,000.00	"
	Nat'l Strategies & Marketing	5/86	"	500.00	"
	Chinese-American Society	6/86	"	1,000.00	"
	American College Health Assoc.	6/86	"	500.00	"
	The Morgan Bank	7/86	"	2,000.00	"
	Digital Equipment Corp.	9/8/86	"	1,000.00	"
	Amer. Assoc. of School Admin.	9/19/86	"	500.00	"
	The Fertilizer Inst.	9/24/86	"	1,000.00	"
	Int'l Mgmt. & Dev. Inst.	9/25/86	"	1,000.00	"
	Philadelphia Bar Assoc.	9/29/86	"	1,000.00	***
	***DISPOSITION OF ABOVE - PHILADELPHIA BAR ASSOC. AS FOLLOWS:				
	$500.00 to N.E. Chassidic Center				
	$500.00 to Nonantum Children's Christmas Fund				
	N.E. Chapter, Rbt. Morris Assoc.	9/5/86	"	1,500.00	N/A

BARNEY FRANK
[illegible]

[illegible]
GOVERNMENT OPERATIONS
BANKING, FINANCE, AND URBAN AFFAIRS
JUDICIARY
[illegible]
ADMINISTRATIVE LAW AND GOVERNMENTAL RELATIONS
AGING

Congress of the United States
House of Representatives
Washington, D.C.

[illegible]

May 27, 1987

NSIDE MAIL

Hon. Donnald Anderson
Clerk Of The House
U.S. House Of Representatives
Washington, D.C. 20515

mc ✓

Dear Mr. Anderson:

Please make the following amendment to my Financial Disclosure Statement:

Under Section III. Holdings I have listed an IRA which falls under category "A", please change it to category "B".

Thank you for your assistance in this matter.

BARNEY FRANK

BF/pam

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Bill Frenzel
(Full Name)

1026 Longworth Bldg.
(Mailing Address)

Washington, DC 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 3rd State MN

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION" **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
2/16/86 National Restaurant Assn.	honorarium	1219.16	
2/4/86 Borg Warner	"	1330	
2/13/86 Sit Investment	"	2000	
3/11/86 American Paper Assn.	"	2000	
4/4/86 Pharmaceutical Mfgrs.	"	2000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Dreyfus Tax Exempt Fund	dividend	D
Eden Prairie MN GO Bond	interest	A
Dart & Kraft	dividend	A
Union Pacific	dividend	A
IBM	"	B
Dreyfus Tax exempt (spouse)	"	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO [✓ X] NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY		CATEGORY
Dreyfus Tax Exempt Fund		B
Eden Prairie, MN GO Bond (spouse		C
Kraft (spouse)	common stock	C
Toys-R-Us	"	B
Digital Equipment Corp.	"	D
Alliance Mort Inc Fund-Piper Jaffray (Mpls) money mkt-IRA		B
" " " " " " " (spouse)		B

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
Dreyfus Tax Exempt fund(spouse)12 purchases-each under $5000.	various	total - D
Dreyfus Tax Exempt fund (spouse) 10 sales, each under $5000	various	total - C
Dreyfus Tax Exempt fund - 11 purchases, each under $5000	various	total - D

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
National Restaurant Asnn. (seminar participant; expenses for self & spouse)	Rountrip airfare DC-Honolulu for Cong. & Mrs. Frenzel. 6 nights hotel & some meals.
RP Luncheon Group (speech; expenses for self & spouse)	roundtrip airfare DC-Naples, FL + meal

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Trustee(non-pay)	Frenzel Foundation
Honorary Board	Cystic Fibrosis Foundation of MN

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5-5 87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

[illegible]

Page 1 of 3

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Bill Frenzel
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
II.A.	4/18/86 Valve Mfgrs Assn.	500	Honorarium	
"	4/19/86 Chase Manhattan Bank	2000	"	
	4/16/86 Textron	1000	"	
	5/14/86 Citizens Research Found.	500	"	
	5/29/86 ACLI	2000	"	
	6/12/86 Corn Refiners	1500	"	
	5/15/86 Computer & Communications Ind.	500	"	
	5/19/86 United Technologies	1500	"	
	5/19/86 Plumbing & Heating Contractors	500	"	
	10/20/86 Miller & Schroeder	2000	"	
	5/20/86 Imported Auto Dealers	1500	"	
	6/12/86 RITAC (retail)	1000	"	Charity (690)
	6/5/86 National Apartment Assn.	1000	"	charity
	7/1/86 Farmers Union Central Exchange	500	"	charity
	7/21/86 CBEMA	1000	"	charity
	7/15/86 Finlay Kumble	2000	"	charity
	8/13/86 SOciety of Assn. Executives	1000	"	charity
	9/23/86 Staley Continental	2000	"	charity
	10/6/86 Greater Washington Financial Inst.	2000	"	charity
	9/26/86 Customs Brokers ASsn.	500	"	charity
	10/7/86 National Grocers Assn.	1000	"	charity
	10/21/86 Powell's, Inc.	1500	"	charity
	12/6/86 American Electronics ASsn.	500	"	charity
	12/11/86 Paine WEbber	1500	"	charity
II.B.	American Express (spouse)	dividend	A	
	Bristol Meyers (spouse)	"	A	
	Eastman Kodak (spouse)	"	A	
	General Electric (spouse)	"	A	
	IBM (spouse)	"	A	
	McDonalds (spouse)	"	A	
	Union Pacific	capital gain	C	
	Rose Warner Trust	dividend(no control)	A	
	Rose Warner Trust	income(no control)	A	
	AT&T (spouse)	dividend	A	
	Phillip Morris (spouse)	"	A	
	Chrysler	"	A	
	Fireman's Fund	"	A	
	Honda	"	A	
	Dart & Kraft (spouse)	"	A	
	Eden Prairie, MN. GO Bond (spouse)	interest	A	
	AT&T (spouse)	cap. gain	B	
	Eastman Kodak (spouse)	cap. gain	B	
	Digital Equipment Co.	cap. gain	D	
	Digital Equipment Co.	cap. gain	E	
	Honda	cap. gain	A	
	IBM	cap. gain	E	
	MCI	cap. loss	--	
	Union Pacific	cap. gain	C	
	Union Pacific	cap. gain	E	
	Sit New Beginnings Mutual Fund(spouse)	cap. gain	C	
	Sit New Beginnings Mutual Fund (spouse)	dividend	A	
	McLean Bank	interest	A	
	Norwest Bank, Mpls	interest	A	
	ALlied Magnetics Corp.	interest	A	
	Nortronics	cap. gain	A	

GPO 1988 81-488 (m)

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Page 2 of 3

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Bill Frenzel
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
	Applied Magnetics Corp.	notes	B
III	Keystone Fund B-4, Piper-Jaffray -	Keogh	C
	Bank of McLean	checking acct	A
	House of Reps Bank	checking acct	A
	Norwest Bank, Mpls	checking acct	A
	IBM	common stock	D
	Dreyfus Tax exempt fund(spouse)		B
	American Express (spouse)	common stock	C
	Bristol Meyers (spouse)	"	C
	General electric (spouse)	"	C
	McDonalds (spouse)	"	B
	IBM (spouse)	"	C
	TCIT	" (book)	B
	New Beginnings Growth Fund(spouse)	fund shares	C
	Chrysler	common stock	C
	Wall Mart	"	B
	Jerrico, Inc.	"	B
	Fireman's Fund	"	C
	Phillip Morris (spouse)	"	C
	Premark Int'l (spouse)	"	A
	Lectec (mpls)	"	A
VII.	Sit Investment (seminar participant: expenses for self & wife)	round trip airfare DC-Palm Springs, CA. 4 nights hotel & meals	
	Electronics Industry Assn (seminar participant: expenses for self & wife)	round trip airfare DC-Captiva, FL. 1 night hotel & meals	
	Pharmaceutical Mfgrs Assn. (speech: expenses for self & wife)	round trip airfare DC-Palm Beach, FL. 3 nights hotel & meals	
	Chase Manhattan Bank (speech: expenses for self & wife)	round trip airfare DC-Key Largo, FL. 2 nights hotel & meals	
	American Electronics Assn (speech: expenses for self & wife)	round trip airfare DC-Naples. 3 nights hotel & meals	
IV	Dreyfus Tax Exempt fund, 9 sales (each under $5000)	various	total - C
	Dreyfus Tax Exempt fund -purchase	11/86	C
	" " - sale	10/86	B
	" " sale	11/86	C
	Piper,Jaffray - Keogh contrib.	4/86	B
	Piper,Jaffray - IRA - contrib.	4/86	A
	Piper,Jaffray - IRA spouse-contrib.	4/86	A
	Chrysler - buy common stock	4/2/86	C
	Digital Equip. Corp., sell com. st.	4/2/86	B
	Honda, sell common stock	4/2/86	C
	Jerrico, buy common stock	4/2/86	B
	MCI, buy common stock	4/2/86	B
	Union Pacific, sell common stock	4/2/86	B
	Dart & Kraft, transfer to spouse	5/27/86	C
	Eden Prairie, transfer GO bond to spouse	5/27/86	C
	Chrysler, buy common stock	5/29/86	A
	Digital Equipment, sell common st.	11/12/86	C
	Digital Equip, buy common stock	11/13/86	C
	IBM, sell common stock	11/12/86	C
	IBM, buy common stock	11/13/86	C
	MCI, sell common stock	11/12/86	B
	Union Pacific, sell common stock	11/12/86	C

[illegible] page [illegible] of [illegible]

Continuation sheet - 1986

IV transactions

Wall Mart, buy common stock	11/13/86	B
AT&T, sell common stock(spouse)	4/2/86	B
Eastman Kodak, sell com. stock(spouse	4/2/86	B
Phillip Morris, buy com. stock (spouse)	4/2/86	C
Kraft, name change (spouse)	12/11/86	--
Premark, dividend from Dart-Kraft (spouse)	12/2/86	A
Nortronics, sell	12/31/86	B
Applied Magnetics Corp. (received in partial payment for Nortronics)	12/31/86	B
LecTec (Mpls), buy common stock	12/18/86	A

UNITED STATES HOUSE OF REPRESENTATIVES

INSIDE MAIL

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Martin Frost
(Full Name)

2459 Rayburn Building
(Mailing Address)

Washington, D. C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks

X Member of the U.S. House of Representatives—District 24 State Texas

Officer or Employee—Employing Office

Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Texas Utilities Services, Inc. (wife, Valerie)	Salary		
Brookings Institute (2/3/86)	Honorarium	500.00	
Chemical Manufacturers Assn. (2/5/86)	Honorarium	1,000.00	
Waste Management, Inc. (2/27/86)	Honorarium	2,000.00	
American Food Service Assn. (2/28/87)	Honorarium	1,000.00	

(continued)

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Century National Bank, Washington, D. C.	Interest	A
Tennants, condominiums, Dallas, Texas	Rent	D
Wright Patman Credit Union	Interest	A
Central and Southwest Corporation	Dividend	A
Houston Industries	Dividend	A
Fundamental Investors	Dividend	B

(continued)

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8

IDENTITY	CATEGORY
478 shares Central and South	C
362 shares Exxon	C
100 shares Federated Department Stores	B
90 shares General Motors	B
116 shares Greyhound	A
227 shares Houston Industries	B

(continued)

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sold 71 shares Texaco	1/10/86	A
Sold 116 shares Greyhound	10/18/86	A
Sold 82 shares Texas Eastern	10/28/86	A
Bought 50 shares NYNEX (daughter, Alanna)	5/5/86	A
Bought 40 shares NYNEX (daughter, Alanna)	12/10/86	A

(continued)

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Mortgage, Condominium, Dallas, Texas (Star States Mortgage Company)	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
Puerto Rican Manufacturers Assn.	Transportation and lodging in Puerto Rico, March 1986
Waste Management, Inc.	Transportation to Chicago
Drexel Burnham	Transportation and lodging, to Los Angeles

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature

Date 5/11/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987 [illegible]

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ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Martin Frost
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
II. INCOME A	National Association for Home Care (3/17/86)	$2,000.00
	American Podiatric Medical Association (3/19/86)	2,000.00
	Drexel Burnham Lambert, Inc. (4/4/86)	2,000.00
	Outdoor Advertising Assn. of America, Inc.(4/4/86)	1,000.00
	Columbia Savings & Loan Association (4/4/86)	2,000.00
	Brookings Institution (4/7/86)	300.00
	Brookings Institution (4/28/86)	300.00
	Association of Private Pension & Welfare Plans (5/1/86)	500.00
	Delphi Research Associates(5/14/86)	200.00
	Auto Dealers and Drivers for Free Trade (5/20/86)	2,000.00
	American Pioneer (6/3/86)	2,000.00
	Walsh, Greenwood & Co. (6/3/86)	2,000.00 *
	Reynolds Outdoor, Inc. (6/4/86)	100.00 *
	Whiteco Metrocom, Inc. (6/4/86)	250.00
	Foster & Kleiser (6/4/86)	250.00
	Holland Advertising Company (6/4/86)	400.00
	International Management & Development Inst. (6/25/86)	1,000.00
	Kutak Rock & Campbell (8/7/86)	500.00
	Congressional Quarterly (10/22/86)	500.00
	Brookings Institution (9/15/86)	500.00
	Brookings Institution (11/20/86)	300.00

* DISPOSITION

Contributions to Charity

1,000.00	Jewish Federation of Dallas
1,100.00	Temple Rodef Shalom, Falls Church, Virginia

GPO 1986 61-698 (m)

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ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Martin Frost
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Descriptum or Value (As Applicable)		
Unearned Income	Source	Type	Category
II B	Exxon	Dividend	B
	General Motors	Dividend	A
	Federated Department Stores	Dividend	A
	Wellington Fund	Dividend	A
	Eli Lilly	Dividend	A
	Greyhound Corporation	Dividend	A
	IBM	Dividend	A
	Massachusetts Investors	Dividend	A
	Mobil	Dividend	A
	Texas Eastern	Dividend	A
	Westinghouse	Dividend	A
	Eaton and Howard Investors Fund	Dividend	A
	Century National Bank (daughter, Alanna)	Interest	A
	San Antonio Savings (daughter Alanna)	Interest	A
	Southwest Bell Corp (daughter, Alanna)	Dividend	A
	Bell South (daughter, Alanna)	Dividend	A
	Metropolitian Savings & Loan(daughter, Alanna)	Interest	D
	Heritage Banc (daughter, Alanna)	Interest	A
	Pacific American Income Shares(daughter,Alanna)	Dividend	A
	Savings of America (daughter, Alanna)	Interest	A
	Dreyfus Fund (daughter, Alanna)	Dividend	A
	Beneficial Corporation (daughter, Alanna)	Dividend	A
	Central and Southwest (daughter, Alanna)	Dividend	A
	Arizona Public Services (daughter, Alanna)	Dividend	A
	Texaco (daughter, Mariel)	Dividend	A
	Sears Savings Bank (daughter, Mariel)	Interest	A
	Zero Coupon Bonds (daughter, Mariel)	Interest	A
	Texaco (daughter, Camille)	Dividend	A
	Alamo Savings & Loan (daughter, Camille)	Interest	A
	San Antonio Savings (daughter, Camille)	interest	A
	Zero Coupon Bonds (daughter, Camille)	Interest	A
Holdings	Identity		Category
III	132 shares Eli Lilly Company		B
	71 shares Texaco		A
	82 shares Texas Eastern Corp		A
	54 shares Mobil Corporation		A
	358 shares Westinghouse		C
	34 shares IBM		A
	794 shares Fundamental Investors		B
	292 shares Massachusetts Investors Trust		A
	253 shares Wellington Fund		A
	178 shares Eaton & Howard Investors Fund		A
	100 shares Wal-Mart		A
	Condominium, Dallas, Texas		C
	Eaton Vance Growth Fund (IRA)		B
	Washington Fringe Benefit Investment Club (wife, Valerie)		A
	Eaton Vance Growth Fund (IRA, wife, Valerie)		B
	Savings Account, Savings of America (daughter, Alanna)		A
	Certificate of Deposit, Heritage Savings & Loan (daughter, Alanna)		B
	Savings Accounts, Metropolitan Savings & Loan (daughter, Alanna)		C

GPO: 1986 61-498 (m)

(continued)

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ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Martin Frost
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	Category
Holdings III	Shares, Pacific American Income (daughter, Alanna)	A
	100 shares Beneficial Corporation (daughter, Alanna)	A
	100 shares Sunbelt National Bank (daughter, Alanna)	A
	25 shares Southwestern Bell (daughter, Alanna)	A
	100 Shares Central and Southwest (daughter, Alanna)	A
	Dreyfus Liquid Assets Fund (daughter, Alanna)	A
	100 shares NYNEX (daughter, Alanna)	B
	100 shares Bell South (daughter, Alanna)	B
	Certificate of Deposit, Century National Bank (daughter, Alanna)	B
	Savings Acct. San Antonio Savings (daughter, Alanna)	A
	Savings Account, Sears Savings Bank (daughter, Mariel)	B
	Zero Coupon Bonds (daughter, Mariel)	B
	100 shares Fur Vault (daughter, Mariel)	A
	50 shares Kelly Services (daughter, Mariel)	A
	Savings Account, San Antonio Savings (daughter, Camille)	A
	Savings Account, Alamo Savings & Loan (daughter, Camille)	A
	Zero Coupon Bonds (daughter, Camille	B
	100 shares Fur Vault (daughter, Camille)	A
	50 shares Kelly Services (daughter, Camille	A
	85 shares Public Service of New Mexico (daughter, Camille)	A

Part	Brief description	Date	Category
Transactions IV	Bought 100 shares Bell South (daughter, Alanna)	2/19/86	B
	Bought 85 shares Public Service of New Mexico (daughter, Camille)	3/21/86	A

BALANCE SHEET: MARTIN AND VALERIE FROST

As of 31 December 1986

ASSETS:

Checking Account, 1st National Bank of De Soto	$ 505.46
Condominium, Dallas, Texas	47,500.00
House and Lot, Arlington, Virginia	213,000.00
Home furnishings and other personal effects	35,000.00
Savings, Wright Patman Federal Credit Union	112.31
Checking Account, Wright Patman Federal Credit Union	5,334.97
Retirement, U. S. Congress	42,130.45
IRAs (Martin and Valerie)	13,083.66
Washington Fringe Benefit Investment Club (Valerie)	4,737.89
Sub Total	$361,404.74

Automobiles:

1979 Chevrolet Chevette	500.00
1984 Toyota Van	7,500.00
1984 Starcraft Pop-up Trailer	2,240.00
1985 Pontiac 6000	7,875.00
Sub Total	$ 18,115.00

Stocks and Bonds:

478 Shares Central and Southwest	16,371.50
362 Shares Exxon	25,385.25
100 Shares Federated Department Stores	8,325.00
90 Shares General Motors	5,940.00
227 Shares Houston Industries	7,888.25
132 Shares Eli Lily	9,801.00
54 Shares Mobil	2,166.75
358 Shares Westinghouse	19,958.00
34 Shares IBM	4,080.00
794 Shares Fundamental Investors	11,282.74
292 Shares Massachusetts Investors Trust	3,530.28
253 Shares Wellington Fund	4,010.05
176 Shares Eaton Vance Investors Fund	1,344.64
U. S. Savings Bonds	300.00
100 Shares Wal-Mart	4,650.00
8 Shares General Motors (E)	199.00
4 Shares General Motors (H)	154.00
Sub Total	$ 125,386.46
TOTAL ASSETS	$504,906.20

LIABILITIES:

Mortgages:

Star States Mortgage Corporation (Dallas Condominium)	37,500.00
Paine-Webber (Arlington Residence)	173,200.00
Century National Bank (Arlington Residence)	40,000.00
Sub Total	$ 250,700.00

BALANCE SHEET: MARTIN AND VALERIE FROST

Continued

Installment Loans:

Open Charge Accounts (Balance)	1,000.00
Wright Patman Federal Credit Union (Automobile)	4,047.92
GMAC (Automobile)	6,710.88
Line of credit, Wright Patman Federal Credit Union	5,092.60
Sub Total	16,851.40
TOTAL LIABILITIES	$267,551.40

NET WORTH:

Total Assets	$504,906.20
Total Liabilities	267,551.40
TOTAL NET WORTH	$237,354.80

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A (For use by Members, officers and employees)

Jaime B. Fuster
(Full Name)

[illegible] Cannon H.O.B.
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives: District ____ State Puerto Rico

☐ Officer or Employee: Employing Office

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure; all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X ____ NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
League of United Latin-American Citizens/Received 7-7-86	Honorarium	$1,000.	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
2 Patricia St., Bayamon, P.R.	Rent	D
Govt. of Puerto Rico bonds	Interest	E
G.N.M.A. secured Mortgage Certificates	Interest	D
Puerto Rican banks (see below)	Interest	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __x__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Three bedroom house in 1/4 acre plot in Bayamon, P.R.	D
Equity in 1/4 acre plot owned in partnership in Dorado, P.R.	A
Equity in 5 acre plot owned in partnership in Trujillo Alto, P.R.	A
Govt. of Puerto Rico bonds	F
G.N.M.A. secured Mortgage Certificates	E
Personal Savings Accounts in Banco de Ponce, Financiero & Ponce Fed.Bank	E

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
(1)Redemption (sale) of Govt. of Puerto Rico bond	6-1-86	C
(2)Redemption (sale) of Govt. of Puerto Rico bonds	7-1-86	C
(3)Purchase of Govt. of Puerto Rico bond	7-3-86	C
(4)Purchase of G.N.M.A. Mortgage Certificate	8-20-86	D

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Commercial Loan with Banco Financiero	C
Personal loan with Ass. of P.R. Govt. Employees	B
Mortgage loan with Retirement Fund of Univ. of P.R.	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
(1) Citibank (Conference)	2 days lodging
(2) League of United Lat-Am. Citizens(Conference, self & spouse)	Round trip airfare Wash.,D.C. to Greenbrier,W.Va.,2 days food&lodging
(3) Hispanic Caucus Inst. (Conference)	Round trip airfare Wash.,D.C. to San Antonio, Tex., 2 days food & lodging
(4) Ibero-American Action league (Speech)	Round trip airfare Wash.,D.C. to Rochester 1 day lodging

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 *et seq.*). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Jaime B Fuster	May 14, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

MAY 12 1987

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

ELTON GALLEGLY
(Full Name)

1791 Erringer Road, Suite 204
(Mailing Address)

Simi Valley, California 93065

MC (Office Use Only)

Check the appropriate box and fill in the blanks

(XX) Member of the U.S. House of Representatives: District 21st State California

Officer or Employee: Employing Office

Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure; all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Dynamic Realty	Commissions	$111,460.00	
Nostalgia Junction	Sales	$ 13,731.00	
City of Simi Valley	Salary	$ 5,945.94	
Teco Escrow (spouse)	Salary	N/A	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Mr. Don Scanlin, trust deed vacant land Moorpark	interest	D
Partnership Income, 1791 Erringer Road, Simi Valley	rent	E
Partnership Income, 1353 Agnew St., Simi Valley	rent	C
Partnership Income, 1365 Agnew St., Simi Valley	rent	C
15054 Varsity St., Moorpark	capital gain	D
15054 Varsity St., Moorpark	rent	A
15054 Varsity St., Moorpark trust deed	interest	A

Continued

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO XX NA ____

For more information, see detailed Instruction Booklet at page 8

IDENTITY	CATEGORY
Dynamic Realty (sole proprietorship), Simi Valley	B
Dynamic Realty (office equipment), Simi Valley	B
Nostalgia Junction (partnership w/spouse) antiques on hand, Simi Valley	C**
Gallegly/Schweitzer Partnership - office building, 1791 Erringer, Simi	F = ½ interest
Gallegly/Schweitzer Partnership - rental house, 1353 Agnew, Simi	D = ½ interest
Gallegly/Schweitzer Partnership - rental house, 1365 Agnew, Simi	D = ½ interest

Continued

**The previous report filed had listed this in a Category E, however, that report included antiques in our personal collection that are not for sale or the production of income.

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
15054 Varsity Street, Moorpark	2-28-86 SOLD	D
2327 Royal Avenue, Simi Valley	7-25-86 SOLD	E
2768 Wanda Street, Simi Valley	11-28-86 PURCHASE	E

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME, any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
H.L. Schweitzer, P.O. Box 602, Tujunga, Ca. (trust deed 1791 Erringer)	D = ½ liability
Valley Federal, Simi Valley (trust deed on 1353 Agnew St., Simi)	C = ½ liability
Valley Federal, Simi Valley (trust deed on 1365 Agnew St., Simi)	C = ½ liability
Metmor Financial, Los Angeles (trust deed on 15054 Varsity St., Moorpark)	D (paid off)
Home Savings, Westlake Village (trust deed on 2327 Royal Ave., Simi)	E (paid off)
United Calif. Savings Bank, Anaheim (trust deed 2768 Wanda St., Simi)	C
ITT Financial Services, Simi Valley (2nd trust deed 2768 Wanda Street)	C
Simi Valley Bank (personal loan for campaign election of 1986)	E

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Sole Proprietor	Dynamic Realty, Simi Valley, Ca.
Partner w/spouse	Nostalgia Junction, Simi Valley, Ca.
Partner	Gallegly/Schweitzer Partnership, Simi Valley, Ca.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5-1-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

[illegible]

II. INCOME (CONTINUED)

UNEARNED INCOME (CONTINUED)

B. SOURCE	TYPE	CATEGORY
2327 Royal Avenue, Simi Valley	capital gain	E
2327 Royal Avenue, Simi Valley	rent	B
2327 Royal Avenue, Simi Valley trust deed	interest	A
Valley Federal, Simi Valley	interest	B

III. HOLDINGS (CONTINUED)

IDENTITY	CATEGORY
15074 Varsity Street, Moorpark (investment property sold 2-28-86)	D
15074 Varsity Street, Moorpark (trust deed paid off 7-29-86)	A
2327 Royal Avenue, Simi Valley (investment property sold 7-25-86)	E
2327 Royal Avenue, Simi Valley (trust deed)	B
2768 Wanda Street, Simi Valley (investment property)	E
Unimproved lot Tahoe Keys, Lake Tahoe, Ca. (½ int. with D. Ross)	C
Don Scanlin trust deed on 7½ acres vacant land, Moorpark	C
Valley Federal Savings & Loan, Simi Valley (savings)	C
Simi Valley Bank, Simi Valley (various accounts)	C

[illegible]

AND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A For use by Members, officers, and employees

DEAN A. GALLO
(Full Name)

64 CONTINENTAL ROAD
(Mailing Address)

MORRIS PLAINS, NEW JERSEY 07950

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 11 State New Jersey

☐ Officer or Employee—Employing Office ________

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __XX__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION" **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A SOURCE	TYPE	AMOUNT	DISPOSITION
Gallo & DeCroce Inc. Parsippany, N.J.	Salary	$20,200	
Outdoor Advertising Assn - Received 1-6-86	Honorarium	1,000	Charity ($1,000)
Nat'l Assn Realtors - Received 4-8-86	Honorarium	500	Charity ($ 500)
New Jersey Bell - Received 4-28-86	Honorarium	1,500	Charity ($1,500)
Warner-Lambert - Received 6-9-86	Honorarium	1,000	Charity ($1,000)

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B SOURCE	TYPE	CATEGORY
City Federal Savings & Loan	Interest	A
Congressional Federal Credit Union	Interest	A
Morris County Federal Credit Union	Interest	A
Bankers National Life Ins. Co.	Interest	A
A. G. Edwards	Dividends	C
24 Lorraine Terrace - Boonton, N.J.	Rent	Gross D Net A
(Continued on Schedule Attached)		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO XX NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Gallo & DeCroce Inc. Parsippany, N.J. - Common Stock	C
Gal Lex & Tedesco Inc. Parsippany, N.J. - Common Stock	E
PARTNERSHIPS:	
D-H Enterprises - Hanover N.J. 25% Interest - Realty	E
RAND Associates - Hanover, N.J. 25% Interest - Realty	D
(Contined on Schedule Attached)	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

	BRIEF DESCRIPTION	DATE	CATEGORY
	Rental Property - 24 Lorraine Terrace Boonton, N.J.-Sold	12-5-86	E
	Purchase 1 IRA for $2,000	1986	A
*	Sale Land - 7410 Partnership - 50% Interest	6-20-86	C
*	Sale Land - RAND Associates - 25% Interest	3-7-86	C

* By Partnerships

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
President	Gallo & DeCroce Inc. - Parsippany, N.J.
Vice President	Gal Lex & Tedesco Inc. - Parsippany, N.J.
Partner (25%)	D-H Enterprises - E. Hanover, N.J.
Partner (25%)	RAND Associates - E. Hanover, N.J.
	(Continued on Schedule Attached)

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Dean A. Gallo Dean A. Gallo	5-9-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987 [illegible]

Page 5 of 5

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Dean A. Gallo
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II - INCOME PART B UNEARNED INCOME	(CONTINUED FROM PAGE 2)	TYPE	CATEGORY
	7410 Partnership - 50% Interest	Rents	NET D
	D&H Enterprises - Partnership - 25% Interest	Rents	Net A
	RAND Associates - Partnership - 25% Interest	Rents	Net A
	Fox Ridge Associates - 16.67% Interest	Home Const.	Net A
	Morris Co. Investment Assoc. - 10.34% Interest	Fin. Invest.	Net A
	7410 Partnership (Short Term Sale)	Capital Gain	B
	7410 Partnership (Long Term Gain)	Capital Gain	D
	RAND Associates (Long Term Gain)	Capital Gain	E
	Sale - Rental Property - 24 Lorraine Terrace Boonton, N.J.	Capital Gain	C
	Gal Lex & Tedesco Inc. (Pre Elective Comm Receivable)		F
	Gallo & De Croce Inc. (Pre Elective Comm Receivable)		D

Part			
III HOLDINGS	(CONTINUED FROM PAGE 2)	TYPE	CATEGORY
	Partnerships:		
	7410 Partnership-Morris Plains, NJ-50% Interest	-Realty	C
	Fox Ridge Associates-Morris Plains NJ 16.67% Interest	-Realty	C
	Morris County Investment Associates Morristown, N.J. - 13.16% Interest	Investment Fin. Instit.	B
	Franklin Ridge Associates - 33.3% Interest -	Realty	C
	Savings Accounts:		
	City Federal Sav & Loan) Congressional Fed Cr Union) Morris County Fed Cr Union)		B
	A.G. Edwards - Cash Accum Fund		E
	IRA Funds (Various Funds for Member)		B

Part	Position:	Name of Organization:
VIII POSITIONS	Partner (50%)	7410 Partnership - Morris Plains, N.J.
	Partner (16.67%)	Fox Ridge Associates - Morris Plains, N.J.
	Partner (10.34%)	Morris Co. Investment Assoc. - Morristown, N.J.
	Partner (33.3%)	Franklin Ridge Assoc. - Rockaway Boro, N.J.

GPO 1986 61-600 (m)

[illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

JOSEPH M. GAYDOS
(Full Name)

3000 Valley Ridge Road
(Mailing Address)

McKeesport, PA 15133

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

XX Member of the U.S. House of Representatives—District 20 State PA

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

[illegible]

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See attached page 1			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
None		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
None	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
McKeesport National Bank	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 13, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

JOSEPH M. GAYDOS
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
Part II A			
Date	Source	Type	Amount
4/15/86	American Society for Personnel Administration	Honorarium	$500.00
4/23/86	American Iron and Steel Inst.	Honorarium	$2,000.00
5/3/86	National Council on Compensation Insurance	Authorship of article	$250.00
6/5/86	Building & Construction Trades Department	Honorarium	$1,000.00
6/23/86	American Maritime Officers Service	Honorarium	$1,500.00
7/16/86	Chemical Manufacturers Assn.	Honorarium	
	Chevron, USA, Inc.		$ 500.00
	Rohm & Haaf Co.		500.00
	ARCO Chemical Co.		500.00
8/18/86	Russell, Rea & Zappala, Inc.	Honorarium	$2,000.00
10/3/86	College Administrators Network	Honorarium	$2,000.00
10/19/86	American Insurance Assn.	Honorarium	$ 500.00
10/25/86	Sperry Corp.	Honorarium	$2,000.00
12/29/86	Pirelli Cable Corp.	Honorarium	$2,000.00

GPO 1986 61-[illegible] (m)

HAND DELIVEREL

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Samuel Gejdenson
(Full Name)

1410 Longworth House Office Building
(Mailing Address)

Washington, DC 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 02 State Connecticut

☐ Officer or Employee—Employing Office ____

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

[illegible]

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
1/6/86 Canton Jewish Community Federation (Canton, Ohio)	Honorarium	2,000.	—
1/14/86 Federation of Jewish Agencies of Greater Philadelphia (Philadelphia, PA)	Honorarium	1,000.	—
1/22/86 United Jewish Appeal, Inc. (Queens, NY)	"	1,500.	—
1/22/86 Ocean County Jewish Federation (Toms River, NJ)	"	2,000.	

(Continued Page 5)

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000

B. SOURCE	TYPE	CATEGORY
Basement apartment of personal residence	rent	D
(spouse) Georgia Power 2004 Bonds	interest	B
(spouse) Reliance Group 1988 Bonds	interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO X ______ NA ______

For more information, see detailed Instruction Booklet at page 8

IDENTITY	CATEGORY
Basement apartment of personal residence Rental property	C
(spouse) Georgia Power 2004 Bonds	B
(spouse) Reliance Group 1988 Bonds	A

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
none		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Note payable for Gejdenson for Congress Committee; unsecured;	
prepaid interest fluctuates with prime; quarterly payments;	
Eastern Savings & Loan Association	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description *of gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
1/23/86 - Jewish National Fund (Los Angeles, CA - speaking engagement)	Rountrip airfare and lodging
2-10-86 Jewish Federation of Sacramento (Sacramento, CA - speaking engagement)	Roundtrip airfare and lodging

continued on page 6

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13

POSITION	NAME OF ORGANIZATION
President	Maria Montessori School, Inc.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 15, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE [illegible]

Page 5 of 6

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Samuel Gejdenson
(Name)

Continuation Sheet

Source, Type, Amount, Category, Description or Value (As Applicable)

Part II A	Source:	Type:	Amount:	Disposition:
1/23/86	Jewish National Fund, Inc. (Los Angeles)	Honorarium	500.	
2/4/86	Turner Broadcasting System, Inc. (Washington, DC)	"	50.	charity
2/10/86	Jewish Federation of Sacramento (Sacramento)	"	2,000.	
2/12/86	Atlanta Jewish Federation, Inc. (Atlanta)	"	2,000.	
2/16/86	Jewish Federation of Greater Seattle (Seattle)	"	2,000.	
2/26/86	United Jewish Appeal, Inc. (Long Beach, NY)	"	1,500.	
3/6/86	Jewish National Fund, Inc. (San Francisco)	"	1,000.	
6/4/86	Toronto Jewish Congress	"	500.	charity
6/4/86	Long Island Commission of Holocaust Survivors, Inc. (Roslyn, NY)	"	500.	charity
6/4/86	Shelter Rock Jewish Center (Roslyn, NY)	"	500.	charity
6/5/86	United Jewish Appeal, Inc. (Boston)	"	2,000.	
6/5/86	United Jewish Federation of Greater Pittsburgh (Pittsburgh)	"	2,000.	
6/13/86	United Jewish Appeal, Inc. (Raleigh, NC)	"	2,000.	
8/14/86	Northrop Corporation (Los Angeles)	"	2,000.	charity $970
1986	(Spouse) Maria Montessori School	Salary		

GPO 1986 61-608 (m)

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Page 6 of 6

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Samuel Gejdenson
(Name)

Continuation Sheet

Part Reimbursements	*Source, Type, Amount, Category, Description or Value (As Applicable)*	
3-11-86	Jewish National Fund (San Francisco, CA - speaking engagement)	Roundtrip airfare and lodging
3-14-86	Atlanta Jewish Federation (Atlanta, GA - speaking engagement)	Roundtrip airfare and lodging
4-1-86	United Jewish Appeal, Inc. (Boston, MA - speaking engagement)	Roundtrip airfare and lodging
4-7-86	Jewish Federation of Greater Seattle (Seattle, WA - speaking engagement)	Roundtrip airfare and lodging

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A (For use by Members, officers, and employees)

George W. Gekas
(Full Name)

[illegible] South 29th Street
(Mailing Address)

Harrisburg, PA 17111-1104

MC (Office Use Only)

Check the appropriate box and fill in the blanks:

☒ Member of the U.S. House of Representatives—District 17th State Pennsylvania

☐ Officer or Employee—Employing Office

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts, such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Gordon D. Myers, M.D., P.C. (spouse)	Salary	N/A	N/A
Commonwealth of Pennsylvania, State Employees' Retirement System	Pension	13,630	N/A
American Society of Industrial Society 2/11/86	Honorarium	500	N/A

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See Attachment		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ___ NO _X_ NA ___

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See Attachment	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Mid Penn Bank Purchased 5 year-Certificate of Deposit	1/21/86	B
Mid Penn Bank Redeemed Six-month Certificate of Deposit	4/4/86	C
Mid Penn Bank Purchased Six-month Certificate of Deposit	4/4/86	C
Mid Penn Bank Redeemed Six-month Certificate of Deposit	10/4/86	C
Mid Penn Bank Purchased Six-month Certificate of Deposit	10/4/86	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar *year* 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Board Member	Addictive Disease Clinic of Harrisburg - Spouse
Secretary/Treasurer	Gordon D. Myers, M.D., P.C. - Spouse

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

[illegible]

George W. Gekas
Financial Disclosure Statement
1986
Attachments

Income - Unearned

Source	Type	Category
Equitable Life Assurance Society	Interest	A
Cumberland County National Bank - Spouse	Interest	A
Wright Patman Congressional Federal Credit Union	Interest	A
Harris Savings Association	Interest	A
Mid Penn Bank	Interest	C
Cumberland County National Bank - Spouse	Dividend	A
Cumberland County National Bank	Dividend	A
Dauphin Deposit Bank and Trust (IRA Certificate of Deposit)	Interest	A
Unit Municipal Investment Trust Fund	Interest	A
Walston Municipal Fund (Tax free Municipal Bond Fund)	Interest	A

Holdings

Identity	Category
Harris Savings Association (Savings Account)	B
Wright Patman Congressional Federal Credit Union (Savings Account)	A
Dauphin Deposit Bank and Trust Company (IRA Certificate of Deposit)	A
Unit Municipal Investment Trust Fund -122 MPS (Municipal Bond Fund)	A
Dauphin Deposit Bank - (Money Market Account)	A
Cumberland County National Bank (Common Stock) (½ ownership with William C. Kollas)	C
Cumberland County National Bank (Common Stock)- Spouse	B
U. S. Savings Bonds	B
U. S. Savings Bonds - Spouse	A
Mid Penn Bank (certificate of Deposit)	B
Walston Municipal Fund (Tax free Municipal Bond Fund)	A
Mid Penn Bank (Certificate of Deposit)	C

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Richard A. Gephardt
(Full Name)

1432 Longworth House Office Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 3rd State MO

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: *Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.*

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

[illegible]

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions, the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See Continuation Sheet 1 of 2			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
House--4121 Fairview, St. Louis, MO	Rent	B
House--Lot #6, Sea Colony, Duck, NC	Rent	D
House, 50 acres land (part owner)--26 Liberty Ridge, Hillsboro, MO	Rent	B
Checking Account--United Virginia Bank	Interest	A
Savings Account--Bank of Virginia	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust, or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
House--Lot #6, Sea Colony, Duck, NC	E
House--4121 Fairview, St. Louis, MO	E
House, 50 acres land (part owner)--26 Liberty Ridge, Hillsboro, MO	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Atlantic Permanent (mortgage), Kitty Hawk, NC	E
Commerce Bank (2nd mortgage), 3134 S. Grand Blvd, St. Louis, MO	C
Commerce Bank (mortgage), 3134 S. Grand Blvd, St. Louis, MO	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
See Continuation Sheet 2 of 2	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Board Member	Estes Park Institute, Englewood, Colorado (terminate 5-15-87)
Board Member	Democratic Leadership Council, Washington, D.C. (terminate 6-1-87)

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Richard A. Gephardt	5-14-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

ETHICS IN GOVERNMENT ACT--FINANCIAL DISCLOSURE STATEMENT

Hon. Richard A. Gephardt

Continuation Sheet 1 of 2

II. Income--Honoraria

Date Rec'd	Source	Amount
03-04-86	Television and Radio PAC	$1,000
03-27-86	Washington Caucus	500
04-04-86	The Washington Post	200
04-04-86	The Los Angeles Times	250
04-09-86	The Fertilizer Institute	1,500
04-10-86	Autranet, Inc.	2,000
04-21-86	Merrill-Lynch	2,000
04-24-86	Medical Group Management Assoc.	1,000
05-07-86	American Business Conference, Inc.	1,000
05-12-86	Washington Hebrew Congregation	2,000
05-16-86	University of California-Berkeley	2,000
05-12-86	University of Illinois	750
05-21-86	CIGNA Corporation	2,000
05-23-86	Akin, Gump, Strauss, Hauer & Feld	2,000
05-26-86	Mortgage Bankers of America	2,000
05-28-86	Greater Killeen Chamber of Commerce	1,000
06-10-86	Drexel, Burnham & Lambert	2,000
06-11-86	American Nurses' Association	500
06-19-86	Distilled Spirits Council, Inc.	1,000
06-24-86	SFN Companies	2,000
06-30-86	American Hospital Association	2,000
07-09-86	International Assoc. of Ice Cream Mfgs.	1,000
07-14-86	Gannett	125
07-18-86	The Washington Post	100
07-26-86	Dow Chemical	1,000
07-26-86	American Trucking Association	2,000
08-27-86	American Society of Assoc. Executives	1,000
09-12-86	Anheuser-Busch	2,000
10-10-86	Health Industry Manufacturers Assoc.	2,000
11-17-86	Columbia Institute	1,000
12-16-86	National Association of Manufacturers	500
	TOTAL RECEIVED	$39,425
	AMOUNT DONATED TO CHARITY	17,000
	NET	$22,425

Payment Offered Which was Directly Assigned to Charity

06-24-86	SFN Companies	$1,500
11-14-86	Ralston Purina	2,000

ETHICS IN GOVERNMENT ACT--FINANCIAL DISCLOSURE STATEMENT

Hon. Richard A. Gephardt

Continuation Sheet 2 of 2

VII. Reimbursements

Source	Brief Description
Young Presidents Organization 1-23-86 and 1-24-86	Air travel, lodging, meal--Member address strategic management seminar, Dallas, TX
Democratic Leadership Council 1-24-86	Air travel--Member participate in forum, Phoenix, AZ
Autranet, Inc. 3-13-86 to 3-16-86	Air travel, car rental, lodging for Member, wife--address economic symposium and workshops, Beaver Creek, CO
CIGNA Corporation 5-2-86	Air travel, lodging--Member address National Medical Care Management Seminar, Dallas, TX
SFN Companies 5-15-86 to 5-19-86	Air travel, lodging, meals for Member, wife--address senior management conference, Orlando, FL
American Broadcasting Company 7-12-86	Air travel--Member return to Washington, D.C. for T.V. talk show
Democratic Leadership Council 10-16-86	Air travel--member participate in forum, Pittsburgh, PA
National Association of State Auditors, Comptrollers and Treasurers 11-10-86	Air travel, lodging, meal--Member address convention, Asheville, NC
Illinois Hospital Association 11-21-86	Air travel, lodging--Member address annual meeting, Chicago, IL

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Sam M. Gibbons
(Full Name)

2204 Rayburn House Office Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 7th State Florida

☐ Officer or Employee—Employing Office __________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and
(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and
(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES _____ NO X NA _____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting **honoraria**, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
State of Florida	Pension	$1,481.58	
List attached	Honoraria		

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
House of Representatives Fed. Cr. Union, Washington, D.C.	Interest	A
Florida Land Title, dba Stewart Title, Tampa, FL	Dividends	B
Rental of Belleair Beach, FL condominium	Rent	C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X ____ NA ____

For more information see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
IRA, First Union Ntl. Bank of Florida, Tampa, FL	B
Home, 2300 N. Gulf Blvd., Unit 2A, Belleair Beach, FL	E
Stock, Florida Land Title, dba Stewart Title, Tampa, FL	B
Civil Service Pension Fund contributions	D

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
House of Representatives Fed. Cr. Union, Washington, D.C.	B
First Union Ntl. Bank of Fl., Tampa, FL	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
List attached	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE — PARTIES TO — TERMS OF AGREEMENT

Receive small pension (reported under income) from the State of Florida because of my ten years in the Florida State Legislature (1952-1962).

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Sam M. Gibbons	May 1 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987 69-232 (a)

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Page ____ of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

SAM M. GIBBONS
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
II.A.	American Institute of Certified Public Accountants, 1/7/86, Honorarium, $1,000
	National Council of Farmer Cooperatives, 1/14/86, Honorarium, $2,000
	Schering Corporation, 1/18/86, Honorarium, $1,000
	RCA Corporation, 1/24/86, Honorarium, $2,000
	National Mass Retailing Institute Inc., 1/27/87, Honorarium, $2,000
	Chase Econometrics, Inc., 1/29/86, Honorarium, $1,000
	Massachusetts Mutual Life Insurance Co., 2/6/86, Honorarium, $2,000
	American Mining Congress, 2/11/86, Honorarium, $1,000
	National Venture Capital Association, 2/20/86, Honorarium, $2,000
	Preston, Thorgrimson, Ellis & Holman, 2/21/86, Honorarium, $1,000
	Coudert Brothers, 3/6/86, Honorarium, $1,000
	American Enterprise Institute, 3/12/86, Honorarium, $1,000
	Wine Institute, 3/12/86, Honorarium, $1,000
	National Customs Brokers & Forwarders Association of America, Inc., 3/13/86, Honorarium, $2,000
	Retail Industry Trade Action Coalition (RITAC), 3/17/86, Honorarium, $1,000
	National Association of Beverage Importers, Inc., 3/26/86, Honorarium, $1,000 (DONATED TO CHARITY)
	The Johns Hopkins University, 3/31/86, Honorarium, $1,000 (CHARITY)
	French & Company, 4/9/86, Honorarium, $1,000 (DONATED TO CHARITY)
	Chemical Manufacturers Association, 4/10/86, Honorarium, $1,000 (CHARITY)
	Robert A. Stanger & Co., 4/15/86, Honorarium, $2,000 (DONATED TO CHARITY)
	Foreign Bank Focus, 4/15/86, Honorarium, $1,000 (DONATED TO CHARITY)
	Ernest Wittenberg Associates, Inc., 4/16/86, Honorarium, $2,000 (CHARITY)
	Institute for Research on the Economics of Taxation (IRET), 4/28/86, Honorarium, $2,000 (DONATED TO CHARITY)
	American Institute of Certified Public Accountants, 5/20/86, Honorarium, $2,000 (DONATED TO CHARITY)
	Marketing and Training Institute, 5/21/86, Honorarium, $2,000 (CHARITY)
	Connecticut Mutual Life (CML) Insurance Company, 5/29/86, Honorarium, $1,500
	The Fertilizer Institute, 9/11/86, Honorarium, $2,000 (DONATED TO CHARITY)
	National Automobile Dealers Association (NADA), 9/22/86, Honorarium, $2,000 (DONATED TO CHARITY)
	North American Telecom Association, 12/4/86, Honorarium, $1,000 (CHARITY)
VII.	REIMBURSEMENTS:
	National Council of Farmer Cooperatives: Meals, lodging, and air transportation for Congressman and Mrs. Gibbons from Tampa, FL to Miami and return to Tampa
	RCA Corporation: Meals, lodging, and ground transportation for Congressman and Mrs. Gibbons from Palm Beach, FL to Boca Raton, FL and return to Tampa
	National Mass Retailing Institute Inc.: Meals, lodging, and ground transportation for Congressman and Mrs. Gibbons from Tampa to Palm Beach, FL
	National Customs Brokers & Forwarders Association of America, Inc: Meals, lodging and round trip air transportation for Congressman Gibbons Washington, D.C.-Palm Beach, FL and ground trans. to Capitol
	Florida Phosphate Council: Meals, lodging, and air transportation for Congressman Gibbons from Washington, D.C. to Orlando, FL
	American Institute of Certified Public Accountants: Air Transportation for Congressman Gibbons from Orlando, FL to Washington, D.C. and ground transportation from Tampa, FL to Orlando
	Marketing and Training Institute: Meals, lodging, and air transportation for Congressman Gibbons from Tampa, FL to Ft. Lauderdale, FL to Washington, D.C. and ground transportation to Capitol

GPO 1986 [illegible]

TRANSMITTED FROM 202 2257696 7) ? 05.04.87 13:55 P.06 •GILMAN DC

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Benjamin A. Gilman
(Full Name)

2160 Rayburn House Office Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 22nd State New York

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $68,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. **Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued.** For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES __XX__ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
New York State Retirement, monthly throughout year	Pension	$10,218.	
American Jewish Committee 1-3-86	Honorarium	$250.	
Middlesex Club 1-24-86	Honorarium	$100	
Amer. Fed. State, County, Municipal Employees 5-28-86	Honorarium	$2000.	
Anti Defamation League 7-9-86	Honorarium	$500	
(PLEASE SEE PAGE 1 of 1 CONTINUATION SHEET)			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Barclays Bank of NY	Interest	A
Inter-County Savings Bank	Interest	B
Goldome Bank	Interest	C
Middletown Savings Bank	Interest	A
Wright Patman Federal Credit Union	Interest	B
16 Orchard St., Middletown, NY ½	Commercial/Residence (Rent)	C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO XX NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
16 Orchard St., Middletown, NY ½ Commercial/Residence	C
Barclays Bank of NY (Money Market Account)	B
Inter-County Savings Bank (Money Market Account)	C
Goldome Golden Access and CD Accounts (Money Market & CD's)	C
Middletown Savings Bank (CD Account)	B
Wright Patman Federal Credit Union (Savings and CD's)	D

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
The Devereux Foundation (Hospitalization)	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE BRIEF DESCRIPTION

International Management Institute - provided round trip air fare between Washington DC and Jamacia 1½ days food and lodging (fact finding & meetings) Re: Development/Narcotics issues

Council for Secure America - provided round trip air fare between Washington DC and Dallas, Texas (fact-finding and speaking engagement) Re:Energy Issues

PLEASE SEE PAGE 1 of 1 Continuation Sheet)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Member of Board of Governors -	Good Samaritan Foundation for Better Health
Member, Board of Directors -	World Hunger Year
Advisory Council	LaMont-Doherty Geological Observatory

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Gilman	May 12, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Honorable Benjamin A. Gilman
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
IIA	National Assoc. of Letter Carriers, 7/28/86 Honorarium $1000.
	National Assoc. of Postal Supervisors, 9/9/86 Honorarium $1500.
	American Postal Workers' Union 8/2/86 Honorarium $2000.
	Council for Secure America 6/5/86 Honorarium $1000.
	Pacific Community Institute (Touro College) 12/11/86 Honorarium $400.
VII	Israel Bonds Phila provided round trip air fare from New York to Philadelphia to Washington DC, 1 day food. (Speaking Engagement) Honorarium received 1987
	Anti Defamation League provided round trip air fare between Washington DC and Cincinnati, Ohio, 1 day food and lodging. (Speaking Engagement)
	Rockland County Israel Bonds provided round trip air fare from New York - Czechoslovakia - Israel - New York, 5 days food and lodging (Fact-finding)
	ILHAE Foundation, Institute of International Relations Foundation, Pacific Community Institute (Touro College) provided round trip air fare, Washington DC, Thailand, Korea, Taiwan, Washington DC, 10 days food and lodging, (Speaking Engagement), (Presentation of Paper).
	National Association of Letter Carriers provided air fare Washington DC to St. Paul, Minnesota, to New York. 1 day food and lodging (Speaking Engagement)
	American Postal Workers' Union provided air fare, Newark, New Jersey, to San Francisco Washington DC, 1 day food and lodging. (Speaking Engagement)
	National Association of Postal Supervisors provided round trip air fare, Washington DC to Memphis, Tenn. 1 Day food and lodging. (Speaking Engagement)

[illegible]

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Representative Newt Gingrich
(Full Name)

2438 Rayburn House Office Building
(Mailing Address)
Washington, D. C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 6 State GA

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION" **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Air Transport Assn 1/31/86	speech honorarium	$1,000	
Committee for Responsible Federal Budget 1/12/86	"	1,000	
American Hardware Manufacturers Assn 4/22/86	"	2,000	
The Washington Campus 2/25/86	"	500	
IBM Managers Account 1/14/86	"	2,000	
Independent Electrical Contractors 5/2/86	"	500	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
National Bank of Georgia	interest	A
Merrill Lynch (spouse)	interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X ____ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
The Peoples Bank, Carrollton, Georgia (2) IRA's (Category B considers both IRAs)	B

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
none		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
The Peoples Bank, Carrollton, Georgia	B
Carrollton Federal Savings and Loan	B
Wright Patman Congressional Federal Credit Union	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Forum Club (non-lobbying organization)	2 nights food/lodging for Member & spouse (in connection with a speech -- no honorarium)

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
none		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
Comm Responsible Fed.Budget	Airfare-DC-NY-Atl/2 nights food/lodging Member&spouse for speech & conference participation
IBM Corp	Airfare Atl-DC-Atl for Member for speech
Forum Club of the Palm Beaches	Airfare Atl-W.Palm-Atl (spouse) 1 night food/lodging Airfare DC-W.Palm-Atl (Member) " for speech

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13

POSITION	NAME OF ORGANIZATION
Chairman & Director	American Opportunity, Inc.
	American Opportunity Foundation
General Chairman	GOPAC (non-Federal political committee)
partner	Nomonhan, Ltd

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government, and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13

DATE	PARTIES TO	TERMS OF AGREEMENT
	none	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Newt Gingrich	5/20/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

Page 1 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Rep. Newt Gingrich
(Name)

Continuation Sheet

Part — *Source, Type, Amount, Category, Description or Value (As Applicable)*

IIA Income, Cont'd

Natl Affairs, Inc-The Natl Interest	for article	1/31/86	$ 150
Turner Broadcasting	guest host-Crossfire	2/6/86	50
Semiconductor Industry Assn	speech honorarium	2/24/86	500
Univ.S.Calif Wash.Public Affairs Ctr	"	4/48/86	200
Assn Christian Schools Internatl	"	4/22/86	300
Liberty Baptist College,Inc	"	3/20/86	500
Dow Chemical USA	"	5/22/86	2,000
Harris Corporation	"	5/1/86	2,000
American Campaign Academy	"	5/2/86	50
Atlanta Journal/Constitution	for article	5/2/86	100
Assoc General Contractors of Amer	speech hon.	6/27/86	2,000
Monongolia County Republican Comm.	"	6/4/86	2,000
Amer Society Association Executives	"	8/25/86	1,000
Amer Assn of School Administrators	"	10/1/86	750
Insight Magazine	for article	8/5/86	500
Telluride Ideas Festival	speech hon.	9/3/86	1,930
Manville	"	10/1/86	2,000 *

*disposition: $500 of the $2,000 to charity

Spouse: COS Limited Partnership -- General Partner

VII. Reimbursements, Cont'd

Amer Jewish Community Relations Advisory Council -- Airfare
½Orlando-New York, Train NY-DC; 1 night food/lodging for Member for speech
Pinellas Co. Republican Party Airfare Nashville-Tampa; 1 night food/
lodging for Member for speech
Campaign for Prosperity Airfare Tampa-Nashville-Atl for Member for speech
GOPAC Airfare Atl-Denver-Atl; 1 night food/lodging for Member for speech
Liberty Baptist College, Inc Airfare DC-Lynchburg-DC; 1 night food/
lodging for Member for speech
First District Republican Party of S.C. Mileage Atl-Charleston-Atl
2 nights food/lodging (in lieu of airfare) Member & spouse for speech
Columbia University Seminars Airfare DC-Philadelphia-Atl (spouse)
DC-Philadelphia-½Philadelphia-Miami (Member); 2 nights food/lodging
for conference participation
American Hardware Manufacturers Assn Airfare ½Philadelphia-Miami, Miami-
DC; 1 night food/lodging for Member for speech
Harris Corporation Airfare DC-W.Palm-Atl; 1 night food/lodging for Member
for speech
Michigan Opportunity Society Airfare DC-Detroit-Atl; 1 night food/lodging
for Member for speech
Dow Chemical Airfare DC-Midland,MI-Detroit,1 night food/lodging
for Member for speech
GOPAC Airfare Detroit-Atl;ground transportation for Member
for meetings in Detroit
Republican Party of Wisconsin Airfare Atl-Milwaukee-Atl;1 night
food/lodging for Member for speech
Associated General Contractors Airfare Atl-Hilton Head-DC
2 nights food/lodging for Member/spouse for 2 speeches
GOPAC Airfare Atl-Nashville for Member for speech;
Milliken & Co. airfare Nashville-DC
Milliken & Co. Airfare Atl-Wash-Atl for Member for speech
GOPAC Airfare DC-Dallas-Kansas City-Atl for Member for 2
speeches; spouse Atl-Kansas City-Atl
GOPAC Airfare Atl-Milwaukee;½Milw-Raleigh;for Member for speech
1 night food/lodging;Raleigh-Tampa-Atl;Member/spouse;for
a meeting in Tampa

Page 2 of 2

ETHICS IN GOVERNMENT ACT– FINANCIAL DISCLOSURE STATEMENT

Rep. Newt Gingrich
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*
VII	Reimbursements, cont'd
	Airfare DC-Chicago-Telluride-Denver-Atl split among:for Member, Manville Corp (for speech);Campaign for Prosperity(for speech) Telluride Ideas Festival (1 night food/lodging for 2 speeches) GOPAC (1 night food/lodging for meeting);Conservatives for Hope and Opportunity (1 night lodging for speech)
	Airfare Atl-Greensboro;Raleigh-Greensboro;Winston Salem-Charlotte;Charlotte-Greensboro;Greensboro-Atl for Member split among:Alex McMillan Comm.,Broyhill for Senate,Coble for Congress,Cobey for Congress for one speech each.
	Conservatives for Hope and Opportunity 3 nights lodging for speeches for 2 challengers
	GOPAC Airfare Atl-NY;train NY-DC for speech-meetings
	Airfare DC-Little Rock for Member for meeting;1 night food/lodging;Airfare Little Rock-Memphis-Atl Cobb for Congress
	Conservatives for Hope & Opportunity Airfare DC-Dayton-Cleveland-Atl for Member for 5 speeches
	Hendon for Congress Airfare Atl-Asheville;rental car Asheville-Atl for Member for speech
	Conservatives for Hope & Opportunity Airfare Atl-NY;train NY-DC for speech
	Dauphin Co.Repub. Party Airfare DC-Harrisburg-Pitts;1 night lodging,rental car for Member for speech
	Business Council of Georgia 7 nights lodging/food for Member for 18 speeches around Georgia
	Natl Federation Independent Business Airfare/1 night food/lodging for Member for speech Atl-New Orleans-DC
	GOPAC Airfare Atl-NY for speech;1 night lodging from Goldman-Sachs for speech to Goldman-Sachs
	Redgate Communications Inc Airfare NY-Melbourne, FL-Atl for speech
	Roth for Congress Airfare Balt-Milw-Atl 1 night food/lodging-Member-speech
	Michigan Opportunity Society Airfare DC-Detroit-Atl;1 night food/lodging for Member (several speeches)
	Orange Co. Republican Party Airfare Atl-Orlando-Atl(spouse);Atl-Orl-Orl-NY (Member);2 nights food/lodging (in lieu of first class air); for speech

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Daniel R. Glickman, M.C.
(Full Name)

1212 Longworth HOB, Washington, D.C. 20515
(Mailing Address)

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

X Member of the U.S. House of Representatives—District 4th State Kansas

Officer or Employee—Employing Office

Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.

- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.

- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION" **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See Attached			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See Attached		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See Attached	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None (except home mortgage)	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
See Attached	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13

POSITION	NAME OF ORGANIZATION
See Attached	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Daniel R Glickman	May 14, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987

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Page 1 of 3

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Daniel R. Glickman
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
IIa	Glickman, Inc. Wichita, Ks. (Salary, Family Business)		$18,000.00
Earned	Congressional Salary		$75,100.00
Income	Spouse's Salary		$49,702.69
	Office of Finance, U.S. House of Representatives		
	(Congressional Arts Caucus)		
	HONORARIA (dates & Descriptions - see Item		
	Farmland Industries speech 1-15-86		$250.00
	Tyson's Food speech 2-28-86		$1,000.00
	National Farmers' Union speech 3-3-86		$500.00
	PAC 10 Property & Casualty Insurers speech 3-13-86		$1,000.00
	National Cable TV Assoc. speech 3-17-86		$1,500.00
	National Assoc. of Broadcasters speech 4-14-86		280.00
	HONORARIA DONATED		
	Wine Institute speech 2-26-86		
	donated to: Washington Hebrew Congregation		$500.00
	Interfaith Ministries of Wichita		$500.00
	Coffee, Sugar, Cocoa Exchange panel 3-6-86		
	donated to: SER Jobs for progress		$250.00
	Mid-Kansas Jewish Federation, Inc.		$250.00
	Urban League of Wichita, Inc.		$250.00
	Washington Hebrew Congregation		$250.00
	National Association of Broadcasters speech 4-14-86		
	donated to: Midian Shrine Circus		$200.00
	Wichita Community Foodbank		$250.00
	A. Philip Randolp		$250.00
	NAACP-Wichita Chapter		$250.00
	Washington Hebrew Congregation		$270.00
	Fertilizer Institute Speech 4-17-86		
	donated to: Wichita Symphony		$250.00
	Mid-Kansas Jewish Federation		$250.00
	Bobby Reader		$500.00
	American Trucking Institute speech 4-15-86		
	donated to: Starkey Development Center, Inc.		$500.00
	Wichita Public Schools Substance Abuse		$250.00
	Washington Hebrew Congregation		$250.00
	National Air Transportation Association speech 4-24-86		
	donated to: Kansas Elks Convention Center		$500.00
	American Insurance Co. Association speech 4-29-86		
	donated to: Campaign for Georgetown Day School		$1,000.00
	Washington Campus speech 5-29-86		
	donated to: Washington Hebrew Congregation		$500.00
	L.A. Times article 9-17-86		
	donated to: Wichita NAACP		$100.00
	Wichita Community Foodbank		$ 50.00
IIB	Continental Oil Co.	oil royalty	B
Unearned	J.M. Glickman Trust No. 1	trust distribution	D
Income	Family Trust		
	Kansas State Bank & Trust	interest	A
	Madison National Bank	Spouse interest	A
	Glickman Family Trust	trust distribution	A
	Children's earnings:		
	J.M. Glickman Trust No. 1		
	Jonathan Glickman	trust distibution	C
	J.M. Glickman Trust No. 1		
	Amy Glickman	trust distribution	C
	Kansas State Bank & Trust	interest	A

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Page 2 of 3

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Daniel R. Glickman
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
IIB Unearned Income continued	Lord Abbott Affiliated Fund Mutual Fund	A
III Holdings	Checking & Savings Account (Kansas State Bank & Trust Co., Sgt. at Arms, Madison National Bank	B
	Glickman, Inc. (P.O. Box 11104, Wichita, Ks. 67202)	E
	Minority Interest -- approx. 1/16 interest in family business primarily engaged in scrap iron and metal operations	E
	Oil Royalty interest (one oil and gas lease Barton Cty. Ks	B
	I.M. Glickman Trust No. 1 (I am one of several beneficiaries of a trust left by my grandfather. My two minor children are also beneficiaries under said trust and received distributions from said trust this year. The bulk of the trust's assets are invested in cash, real estate, tax exempt securities and oil and gas properties) I am not the managing trustee nor involved in day to day decisions of the trust's operations.	B
	Glickman Family Trust (similar to above-owns real estate in Sedgwick County Kansas)	B
	Children's holdings:	
	Amy (savings accounts, mutual funds	C
	Jonathan (savings accounts, mutual funds	C
	Individual Retirement Account with Bankers Life Company in a general annuity	B
VII Reimbursements	Kansas Farmers' Union 1-8-86	
	hotel for speech in K.C.	$8.25
	Japan Society 1/11-20/86	
	airfare to Tokyo, Japan for self and spouse	$3,982.00
	hotel	$1,308.00
	Kansas City Chamber of Commerce 2-4-86	
	airfare	$198.00
	Tysons Foods 2-28-86 Fayetteville, Ark.	
	airfare (first-class equivalent)	$675.00
	National Farmers' Union 3-3-86 Spokane, WA	
	airfare	$462.00
	hotel	$56.00
	Coffe, Sugar, Cocoa Exchange W. Palm Beach, FL 3-6-86	
	airfare (self, spouse, children)	$1,600.00
	hotel	$1,982.68
	National Cable T.V. Assoc. Dallas, TX 3-17-86	
	airfare	$690.00
	hotel	$144.43
	Chicago Board of Trade Chicago, Il 3-25-86	
	airfare	$650.00
	hotel	$215.00
	National Assoc. of Broadcasters, Dallas, TX 4-14-86	
	airfare	$510.00
	hotel	$115.00
	Cadwalader, Wickersham and Taft 3-28-86	
	airfare	$106.00
	National Air Transportation Association Dallas, TX 4-24-86	
	airfare	$714.00
	hotel	$104.23
	Kansas Federation for the Blind Kansas City, KS 7-3-86	
	airfare	$182.00

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Page 3 of 3

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Daniel R. Glickman
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
VIII	Director, Glickman, Inc. (Family Business)
Positions	Director, Kansas Arthritis Foundation
	Trustee, J.M. Glickman Trust No. I
	On Board of Directors, Kansas Arthritis Foundation
	On Barod of Directors, Sedgwick County Mental Health Assoc.
	On Board of Dir. ex-officio, Big-Brothers Big-Sisters
	Member Albert Pike Lodge - Shrine
	Member Congregation Emanu-El
	Cong. Advisory Board of Distributive Education Clubs of America
	Advisory Committee of Wichita Aeronautical Historical Assoc.
	On Board of Directors, Midwest Heart & Vascular Institute

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A: For use by Members, officers, and employees

HENRY B. GONZALEZ
(Full Name)

2413 RAYBURN BLG
WASHINGTON, D.C.
(Mailing Address)

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☐ Member of the U.S. House of Representatives—District 20 State TEXAS

☐ Officer or Employee—Employing Office MEMBER OF CONGRESS

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135 as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
INTEREST ON SAVINGS ACCOUNTS TEXAS BANK, S.A., TEX		$2,773.50	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
None		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See,* Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Henry B. Gonzalez	4/29/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 O-232 (A)

INSIDE MAIL

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

HENRY B. GONZALEZ
(Full Name)

2413 RAYBURN BLDG.
(Mailing Address)

WASHINGTON, D.C.

✓
MC
(Office Use Only)

Check the appropriate box and fill in the blanks

☐ Member of the U.S. House of Representatives—District 20 State Texas

☐ Officer or Employee—Employing Office MEMBER OF CONGRESS

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
None			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
TEXAS BANK Acct.# 8039891	CHECKING	A
Savings # 1526	CERTIFICATE	A
" # 18813	"	A
" # 900628	"	A
" # 900753	"	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO no NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
None	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE BRIEF DESCRIPTION

None.

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION NAME OF ORGANIZATION

None

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE PARTIES TO TERMS OF AGREEMENT

None.

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature Henry B. Gonzalez	Date 4/29/87 revd 5/14/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

2 7 1 7 1 2 1 2 3 5 1

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A–For use by Members, officers, and employees

William F. Goodling
(Full Name)

RD #8
(Mailing Address)

York, PA 17403

MC (Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives–District 19th State PA

☐ Officer or Employee–Employing Office ____________

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE AND TYPE, but not the AMOUNT of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
York Suburban School District (spouse)	salary	not required	
Comm. of PA public school employees retirement system	pension	$4,498	
See continuation sheet	honoraria	$2,805	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Bell Atlantic	Dividends	A
York Water Company	Dividends	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 8

IDENTITY	CATEGORY
Stock - AT&T	A
Stock - Bell Atlantic	A
Stock - York Water Company	A
See continuation sheet	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
20 shares Bell Atlantic Corp - Sold	7-86	A
20 shares Bell Atlantic Corp - Sold	8-86	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
First Security National Bank - Lexington	C
Peoples Bank of Glen Rock	C
Wright - Patman Congressional F.C.U. - Washington	B
York Educational F.C.U. - York	C
York Bank - York	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
See continuation sheet	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
none	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	none	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5-14-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

[illegible]

Page 1 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Hon. William F. Goodling, M.C.
(Name)

Continuation Sheet

Part — *Source, Type, Amount, Category, Description or Value (As Applicable)*

II Honoraria

Date	Source	Amount
2/13/86	College Board Middle States	$500
3/21/86	PA School Food Service	$105
4/9/86	Advisory Committee of the College Board	$100
5/4/86	PA Educational Research Association	$100
5/23/86	National Association of Trade and Technical Schools	$1,000
8/15/86	National Association of State Boards of Education	$500
11/23/86	PA Learning Resources Assoc.	$500

III Holdings

Identity: Horses	Category
Investment Broodmare (½ interest)	A
Investment Broodmare (1/3 interest)	A
Investment Foal (1/3 interest)	A
Investment Yearling (1/3 interest)	A
Investment Stallion (33% of 1/38th share)	A

GPO 1986 [illegible]

Page 2 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Hon. William F. Goodling, M.C.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
VII	Source	Brief Description
	College Board Middle States	Airfare, Hotel, Cab
	PA School Food Service	Airfare
	PA Educational Research Assoc.	Airfare, Room
	National Association of Trade and Technical Schools	Airfare
	Association of Continuing Higher Education	Airfare, meal, parking
	Interstate Migrant Education Council	Hotel

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM [illegible] use by Members, officers, and employees

Barton J. Gordon
(Full Name)

P.O. Box 1986
(Mailing Address)

Murfreesboro, Tennessee 37133

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 6 State TN

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. [illegible] Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA X

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION." **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See Attached Sheet II. Income - A			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See Attached Sheet II. Income - B		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO ____ NA X

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See Attached Document III. Holdings (Assets held in trust established 12/31/85)	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See Attached Sheet IV. Transactions -		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
See Attached Sheet V. Liabilities	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
See Attached Sheet VII. Reimbursements	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Porter J. Goss	May 15, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT FOR 1986

Honorable Barton J. Gordon
Member of Congress
Sixth District
State of Tennessee

II. INCOME - A

SOURCE	DATE RECEIVED	TYPE	AMOUNT
Edward D. Jones & Co.	1/6/86	honorarium	$2,000
First Tennessee National Corporation	1/10/86	honorarium	2,000
Distilled Spirits Council of the U.S.	1/17/86	honorarium	1,000
Gannett Outdoor of Chicago	2/6/86	honorarium	500
Gannett Outdoor of St. Louis	2/6/86	honorarium	500
California Bankers Association	2/10/86	honorarium	1,000
Drexel Burnham Lambert, Inc.	2/11/86	honorarium	1,000
Security Pacific Corporation	2/11/86	honorarium	100
Bank of America	2/11/86	honorarium	500
Capitol Forum Group	2/25/86	honorarium	500
Morgan Guaranty Trust	3/3/86	honorarium	1,000
Chemical Bank	3/3/86	honorarium	500
ASCAP	7/22/86	honorarium	1,500
Channel Five Television Company	8/7/86	honorarium	1,250
Middle Tennessee State University	8/9/86	honorarium	300
National Restaurant Association	8/19/86	honorarium	1,000
The Tobacco Institute	9/9/86	honorarium	1,000

Hon. Barton J. Gordon

Page 2

II. INCOME - A

Tennessee Electric Cooperative Association	11/25/86	honorarium	500
Security Industries Association	12/4/86	honorarium	2,000

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT FOR 1986

Honorable Barton J. Gordon
Member of Congress
Sixth District
State of Tennessee

Attachment for II. Income - B., III. Holdings, IV. Transactions, and V. Liabilities

Mid-South Bank & Trust Co.
201 East Main Street • P.O. Box 100
Murfeesboro, Tennessee 37133-0100
815/893-1000

TRUST DIVISION

MBT TUA DTD 12/30/85 WITH BARTON J GORDON 2 0 0 0 3 2 1 0 1 1 7

SUMMARY STATEMENT OF TRANSACTIONS FOR YEAR ENDED DEC 31,1986 PAGE 1

	INCOME CASH	PRINCIPAL CASH
BEGINNING BALANCES - JANUARY 1,1986	0.00	100.00
RECEIPTS		
CONTRIBUTIONS	0.00	16,174.50
INTEREST	3,315.12	0.00
DIVIDENDS	174.00	0.00
NON-QUALIFYING DIVIDENDS	194.02	0.00
EXEMPT INTEREST-DIVIDENDS	3,036.26	0.00
PROCEEDS FROM SALE OF ASSETS	0.00	297,558.63
OTHER INCOME	709.50	0.00
ADJUSTMENTS	-1,153.09	1,153.09
TOTAL RECEIPTS	6,275.81	314,886.22
DISBURSEMENTS		
DISBURSEMENT TO OR FOR BENEFICIARY	-5,000.00	-84,000.00
PURCHASE OF ASSETS	0.00	-211,047.98

Mid-South Bank & Trust Co.
201 East Main Street • P.O. Box 100
Murfeesboro, Tennessee 37133-0100
615/893-1000

TRUST DIVISION

MBT TUA DTD 12/30/85 WITH BARTON J GORDON 20 00 3210 11 7

SUMMARY STATEMENT OF TRANSACTIONS FOR YEAR ENDED DEC 31,1986 PAGE 2

	INCOME CASH	PRINCIPAL CASH
FIDUCIARY FEES	-730.81	-227.49
OTHER EXPENSES	-370.00	-19,610.75
NON-EMPLOYEE COMPENSATION PAID	-175.00	0.00
TOTAL DISBURSEMENTS	-6,275.81	-314,886.22
NON CASH TRANSACTIONS		
RECEIVED SECURITY FREE	0.00	0.00
ADJUSTMENTS TO SECURITIES	0.00	0.00
TOTAL NON CASH TRANSACTIONS	0.00	0.00
ENDING BALANCES - DECEMBER 31,1986	0.00	100.00

Mid-South Bank & Trust Co.
201 East Main Street • P.O. Box 100
Murfeesboro, Tennessee 37133-0100
615/893-1000

TRUST DIVISION

DEC 31,1986 RECEIPTS AND DISBURSEMENTS 20 00 3210 11 7
MBT TUA DTD 12/30/85 WITH BARTON J GORDON (ANNUALLY) PAGE 1

DATE	DESCRIPTION	INCOME CASH	PRIN CASH	INVESTMENTS
	BEGINNING BALANCES	0.00	100.00	155,782.45
1 3 86	RECEIVED DIVIDEND S/T US GOVT FD-PRIN	80.44	0.00	0.00
1 13 86	RECEIVED INTEREST FED SHT INTER GOVT 9	1,079.49	0.00	0.00
1 14 86	DIST FORM LAUREL HILLS FOR JANUARY	64.50	0.00	0.00
1 14 86	PURCHASE S/T US GOVT FD-PRIN	0.00	-1,159.93	1,159.93
1 14 86	AUTO TRANSFER INCOME TO PRINCIPAL CASH	-1,059.93	1,059.93	0.00
1 15 86	REFUND FOR OVERPAYMENT FOR NATIONAL HEALTH STOCK	0.00	1,110.00	0.00
1 16 86	PURCHASE S/T US GOVT FD-PRIN	0.00	-1,174.50	1,174.50
1 16 86	AUTO TRANSFER INCOME TO PRINCIPAL CASH	-64.50	64.50	0.00
1 23 86	SET UP ASSET NATIONAL HEALTH CORP COM	0.00	0.00	11,890.00
2 4 86	RECEIVED DIVIDEND S/T US GOVT FD-PRIN	38.35	0.00	0.00
2 6 86	DIST FROM LAUREL HILLS FOR 2/1/86	64.50	0.00	0.00
2 7 86	PUR 483.092 FED SHT INTER GOVT 9	0.00	-5,000.00	5,000.00

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DEC 31,1986 RECEIPTS AND DISBURSEMENTS 20 00 3210 11 7
MBT TUA DTD 12/30/85 WITH BARTON J GORDON (ANNUALLY) PAGE 2

DATE	DESCRIPTION	INCOME CASH	PRIN CASH	INVESTMENTS
2 7 86	RDM 5000 S/T US GOVT FD-PRIN	0.00	5,000.00	-5,000.00
2 10 86	RECEIVED INTEREST FED SHT INTER GOVT 9	1,117.26	0.00	0.00
2 10 86	PURCHASE S/T US GOVT FD-PRIN	0.00	-102.85	102.85
2 10 86	AUTO TRANSFER INCOME TO PRINCIPAL CASH	-102.85	102.85	0.00
2 11 86	PURCHASE S/T US GOVT FD-PRIN	0.00	-1,117.26	1,117.26
2 11 86	AUTO TRANSFER INCOME TO PRINCIPAL CASH	-1,117.26	1,117.26	0.00
2 12 86	ADDITON TO FUND FROM BART GORDON	0.00	15,000.00	0.00
2 13 86	PUR 15000 S/T US GOVT FD-PRIN	0.00	-15,000.00	15,000.00
2 13 86	MID SOUTH BANK-FEE QTR ENDING 12/31/85	-173.55	0.00	0.00
2 13 86	MID SOUTH BANK-FEE QTR ENDING 12/31/86	0.00	-97.82	0.00
2 14 86	REDEEM S/T US GOVT FD-PRIN	0.00	271.37	-271.37
2 14 86	AUTO TRANSFER PRINCIPAL TO INCOME CASH	73.55	-73.55	0.00
2 19 86	PUR 965.251 SHS AT 10.36 FED SHT INTER GOVT 9	0.00	-10,000.00	10,000.00

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MBT TUA DTD 12/30/85 WITH BARTON J GORDON (ANNUALLY) PAGE 3

DATE	DESCRIPTION	INCOME CASH	PRIN CASH	INVESTMENTS
2 19 86	PUR 1447.876 SHS AT 10.36 FED SHT INTER GOVT 9	0.00	-15,000.00	15,000.00
2 19 86	RDM 15000 S/T US GOVT FD-PRIN	0.00	15,000.00	-15,000.00
2 19 86	SOLD 965.251 SHS TO REV ENTRY MADE IN ERROR FED SHT INTER GOVT 9	0.00	10,000.00	-10,000.00
2 24 86	ADJ SHS COST AND INVENTORY AS OF 12/31/85 LAUREL HILL NT 94	0.00	0.00	11,843.00
2 26 86	SOLD 3861 SHS FED SHT INTER GOVT 9	0.00	39,999.96	-39,961.35
2 26 86	PUR 8000 SHS AT 5.00 FRANKLIN AMER LIFE	0.00	-40,000.00	40,000.00
2 27 86	2 FOR 1 STOCK SPLIT OF RECORD 6/10/85 NATIONAL HEALTH CORP COM	0.00	0.00	0.00
3 4 86	PUR 11252.446 SHS AT 10.22 FED INTM MUN TR 78	0.00	-115,000.00	115,000.00
3 4 86	SOLD 11311.213 SHS AT 10.40 FED SHT INTER GOVT 9	0.00	60,328.69	-60,038.65
3 4 86	SOLD 11311.213 SHS AT 10.40 FED SHT INTER GOVT 9	0.00	10,106.93	-10,000.00
3 4 86	SOLD 11311.213 SHS AT 10.40 FED SHT INTER GOVT 9	0.00	7,061.08	-7,000.00

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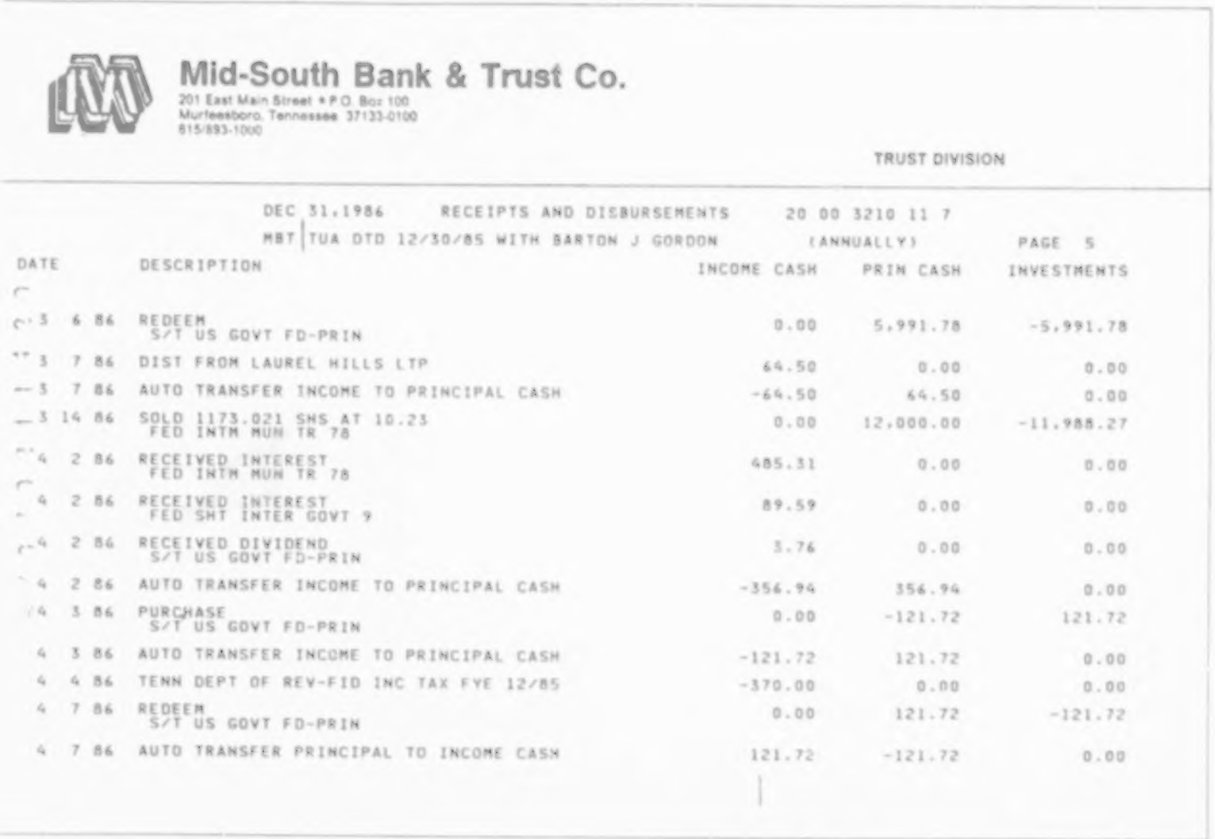
MBT TUA DTD 12/30/85 WITH BARTON J GORDON (ANNUALLY) PAGE 4

DATE	DESCRIPTION	INCOME CASH	PRIN CASH	INVESTMENTS
3 4 86	SOLD 11311.213 SHS AT 10.40 FED SHT INTER GOVT 9	0.00	20,057.85	-20,000.00
3 4 86	SOLD 11311.213 SHS AT 10.40 FED SHT INTER GOVT 9	0.00	5,024.16	-5,000.00
3 4 86	SOLD 11311.213 SHS AT 10.40 FED SHT INTER GOVT 9	0.00	15,057.91	-15,000.00
3 4 86	RECEIVED DIVIDEND S/T US GOVT FD-PRIN	39.96	0.00	0.00
3 5 86	RECEIVED INTEREST FED SHT INTER GOVT 9	1,028.78	0.00	0.00
3 5 86	DIVIDEND 1160.00 SHARES @ 0.050 PER SHARE NATIONAL HEALTH CORP COM	58.00	0.00	0.00
3 5 86	COMMERCE UNION BANK-PUR 1 UNIT MAPLE CANYON LTD	0.00	-19,600.00	0.00
3 5 86	SET UP ASSET MAPLE CANYON LTD P/S	0.00	0.00	66,700.00
3 5 86	SET UP LIABILITY MAPLE CANYON NT	0.00	0.00	-47,100.00
3 5 86	PURCHASE S/T US GOVT FD-PRIN	0.00	-2,676.54	2,676.54
3 5 86	AUTO TRANSFER INCOME TO PRINCIPAL CASH	-1,126.74	1,126.74	0.00
3 6 86	10% STOCK DIV OF RECORD 2/24/86 FRANKLIN AMER LIFE	0.00	0.00	0.00



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MBT | TUA DTD 12/30/85 WITH BARTON J GORDON (ANNUALLY) PAGE 5

DATE	DESCRIPTION	INCOME CASH	PRIN CASH	INVESTMENTS
3 6 86	REDEEM S/T US GOVT FD-PRIN	0.00	5,991.78	-5,991.78
3 7 86	DIST FROM LAUREL HILLS LTP	64.50	0.00	0.00
3 7 86	AUTO TRANSFER INCOME TO PRINCIPAL CASH	-64.50	64.50	0.00
3 14 86	SOLD 1173.021 SHS AT 10.23 FED INTM MUN TR 78	0.00	12,000.00	-11,988.27
4 2 86	RECEIVED INTEREST FED INTM MUN TR 78	485.31	0.00	0.00
4 2 86	RECEIVED INTEREST FED SHT INTER GOVT 9	89.59	0.00	0.00
4 2 86	RECEIVED DIVIDEND S/T US GOVT FD-PRIN	3.76	0.00	0.00
4 2 86	AUTO TRANSFER INCOME TO PRINCIPAL CASH	-356.94	356.94	0.00
4 3 86	PURCHASE S/T US GOVT FD-PRIN	0.00	-121.72	121.72
4 3 86	AUTO TRANSFER INCOME TO PRINCIPAL CASH	-121.72	121.72	0.00
4 4 86	TENN DEPT OF REV-FID INC TAX FYE 12/85	-370.00	0.00	0.00
4 7 86	REDEEM S/T US GOVT FD-PRIN	0.00	121.72	-121.72
4 7 86	AUTO TRANSFER PRINCIPAL TO INCOME CASH	121.72	-121.72	0.00

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MBT TUA DTD 12/30/85 WITH BARTON J GORDON (ANNUALLY) PAGE 6

DATE	DESCRIPTION	INCOME CASH	PRIN CASH	INVESTMENTS
--				
4 8 86	DIST FROM LAUREL HILLS FOR 4/1/86	64.50	0.00	0.00
5 2 86	RECEIVED INTEREST FED INTM MUN TR 78	525.77	0.00	0.00
5 2 86	RECEIVED DIVIDEND S/T US GOVT FD-PRIN	0.09	0.00	0.00
5 5 86	PURCHASE S/T US GOVT FD-PRIN	0.00	-342.08	342.08
5 5 86	AUTO TRANSFER INCOME TO PRINCIPAL CASH	-342.08	342.08	0.00
5 7 86	DIST FROM LAUREL HILLS	64.50	0.00	0.00
5 15 86	MID SOUTH BANK-FEE QTR ENDING 3/31/86	-181.79	0.00	0.00
5 15 86	MID SOUTH BANK-FEE QTR ENDING 3/31/86	0.00	-129.67	0.00
5 16 86	BART GORDON-DIST PER REQUEST	0.00	-10,000.00	0.00
5 16 86	REDEEM S/T US GOVT FD-PRIN	0.00	246.96	-246.96
5 19 86	REDEEM S/T US GOVT FD-PRIN	0.00	95.12	-95.12
5 21 86	SOLD 974.86 SHS AT 9.99 FED INTM MUN TR 78	0.00	9,738.85	-9,963.07
5 30 86	DIVIDEND 1160.00 SHARES @ 0.050 PER SHARE NATIONAL HEALTH CORP COM	58.00	0.00	0.00

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MBT TUA DTD 12/30/85 WITH BARTON J GORDON (ANNUALLY) PAGE 7

DATE	DESCRIPTION	INCOME CASH	PRIN CASH	INVESTMENTS
5 30 86	AUTO TRANSFER INCOME TO PRINCIPAL CASH	-40.71	40.71	0.00
6 3 86	DEMPSEY WILSON & CO-PREP FID INCOME TAX RETURN	-175.00	0.00	0.00
6 4 86	DIVIDEND RECEIVED S/T US GOVT FD-PRIN	0.70	0.00	0.00
6 4 86	DIVIDEND RECEIVED FED INTM MUN TR 78	503.47	0.00	0.00
6 4 86	AUTO TRANSFER INCOME TO PRINCIPAL CASH	-8.03	8.03	0.00
6 5 86	DIST FROM LAUREL HILL FOR JUNE	64.50	0.00	0.00
6 5 86	PURCHASE S/T US GOVT FD-PRIN	0.00	-221.14	221.14
6 5 86	AUTO TRANSFER INCOME TO PRINCIPAL CASH	-221.14	221.14	0.00
6 24 86	MID SOUTH BANK-POSTAGE EXPENSE	0.00	-10.75	0.00
6 24 86	BART, GORDON-DIST PER REQUEST	0.00	-4,000.00	0.00
6 24 86	AUTO TRANSFER INCOME TO PRINCIPAL CASH	-164.50	164.50	0.00
6 25 86	SOLD 405.268 SHS AT 9.89 FED INTM MUN TR 78	0.00	4,008.10	-4,141.84
6 25 86	REDEEM S/T US GOVT FD-PRIN	0.00	221.14	-221.14
6 26 86	PURCHASE S/T US GOVT FD-PRIN	0.00	-282.99	282.99

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MBT TUA DTD 12/30/85 WITH BARTON J GORDON (ANNUALLY) PAGE 8

DATE	DESCRIPTION	INCOME CASH	PRIN CASH	INVESTMENTS
7 2 86	DIVIDEND RECEIVED S/T US GOVT FD-PRIN	1.00	0.00	0.00
7 2 86	DIVIDEND RECEIVED FED INTM MUN TR 78	463.10	0.00	0.00
7 3 86	PURCHASE S/T US GOVT FD-PRIN	0.00	-464.10	464.10
7 3 86	AUTO TRANSFER INCOME TO PRINCIPAL CASH	-364.10	364.10	0.00
7 10 86	DIST FROM LAUREL HILLS FOR JULY	64.50	0.00	0.00
7 25 86	TRUSTEE FEES TAKEN	-216.03	0.00	0.00
7 28 86	REDEEM S/T US GOVT FD-PRIN	0.00	151.53	-151.53
7 28 86	AUTO TRANSFER PRINCIPAL TO INCOME CASH	51.53	-51.53	0.00
7 30 86	RDM 5030.181 SHS AT 9.94 FED, INTM MUN TR 78	0.00	50,000.00	-51,408.45
7 30 86	MID SOUTH BANK-CASHIERS CHECK TO BART GORDON PER REQUEST	0.00	-50,000.00	0.00
8 4 86	DIVIDEND RECEIVED FED INTM MUN TR 78	429.96	0.00	0.00
8 5 86	DIVIDEND RECEIVED S/T US GOVT FD-PRIN	3.60	0.00	0.00
8 5 86	PURCHASE S/T US GOVT FD-PRIN	0.00	-429.96	429.96

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MBT TUA DTD 12/30/85 WITH BARTON J GORDON (ANNUALLY) PAGE 9

DATE	DESCRIPTION	INCOME CASH	PRIN CASH	INVESTMENTS
8 5 86	AUTO TRANSFER INCOME TO PRINCIPAL CASH	-329.96	329.96	0.00
8 12 86	DIST FOR AUGUST FROM LAUREL HILLS	64.50	0.00	0.00
8 13 86	DIVIDEND 1160 SHARES @ 0.050 PER SHARE NATIONAL HEALTH CORP COM	58.00	0.00	0.00
8 14 86	PURCHASE S/T US GOVT FD-PRIN	0.00	-126.10	126.10
8 14 86	AUTO TRANSFER INCOME TO PRINCIPAL CASH	-126.10	126.10	0.00
9 3 86	DIVIDEND RECEIVED FED INTM MUN TR 78	187.78	0.00	0.00
9 3 86	DIVIDEND RECEIVED S/T US GOVT FD-PRIN	5.08	0.00	0.00
9 4 86	PURCHASE S/T US GOVT FD-PRIN	0.00	-192.86	192.86
9 4 86	AUTO, TRANSFER INCOME TO PRINCIPAL CASH	-192.86	192.86	0.00
9 12 86	DIST FROM LAUREL HILLS FOR SEPT	64.50	0.00	0.00
10 3 86	DIVIDEND RECEIVED FEDERATED INTER MUN TR SH BEN INT	185.10	0.00	0.00
10 3 86	DIVIDEND RECEIVED FED S/T GOV - PRIN	5.90	0.00	0.00
10 6 86	PURCHASE FED S/T GOV - PRIN	0.00	-255.50	255.50

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MBT TUA DTD 12/30/85 WITH BARTON J GORDON (ANNUALLY) PAGE 10

DATE	DESCRIPTION	INCOME CASH	PRIN CASH	INVESTMENTS
10 6 86	AUTO TRANSFER INCOME TO PRINCIPAL CASH	-255.50	255.50	0.00
10 8 86	SOLD 100 SHS AT 3.00 HOOKER ENTERPRISES INC COM	0.00	270.00	-199.38
10 9 86	PURCHASE FED S/T GOV - PRIN	0.00	-270.00	270.00
10 20 86	DIST FOR OCT FROM LAUREL HILLS	64.50	0.00	0.00
10 22 86	QUARTERLY TRUSTEE FEES TAKEN	-159.44	0.00	0.00
10 29 86	BART GORDON-DIST PER REQUEST	0.00	-20,000.00	0.00
10 29 86	AUTO TRANSFER INCOME TO PRINCIPAL CASH	-5.06	5.06	0.00
10 30 86	REDEEM FED S/T GOV - PRIN	0.00	1,869.98	-1,869.98
10 30 86	RDM 1928.64 SHS AT 10.37 FEDERATED INTER MUN TR SH BEN INT	0.00	20,000.00	-19,710.70
11 3 86	PURCHASE FED S/T GOV - PRIN	0.00	-1,775.04	1,775.04
11 4 86	DIVIDEND RECEIVED FED S/T GOV - PRIN	7.34	0.00	0.00
11 5 86	DIVIDEND 1740 SHARES @ 0.099 PER SHARE FEDERATED INTER MUN TR SH BEN INT	172.32	0.00	0.00
11 6 86	PURCHASE FED S/T GOV - PRIN	0.00	-179.66	179.66

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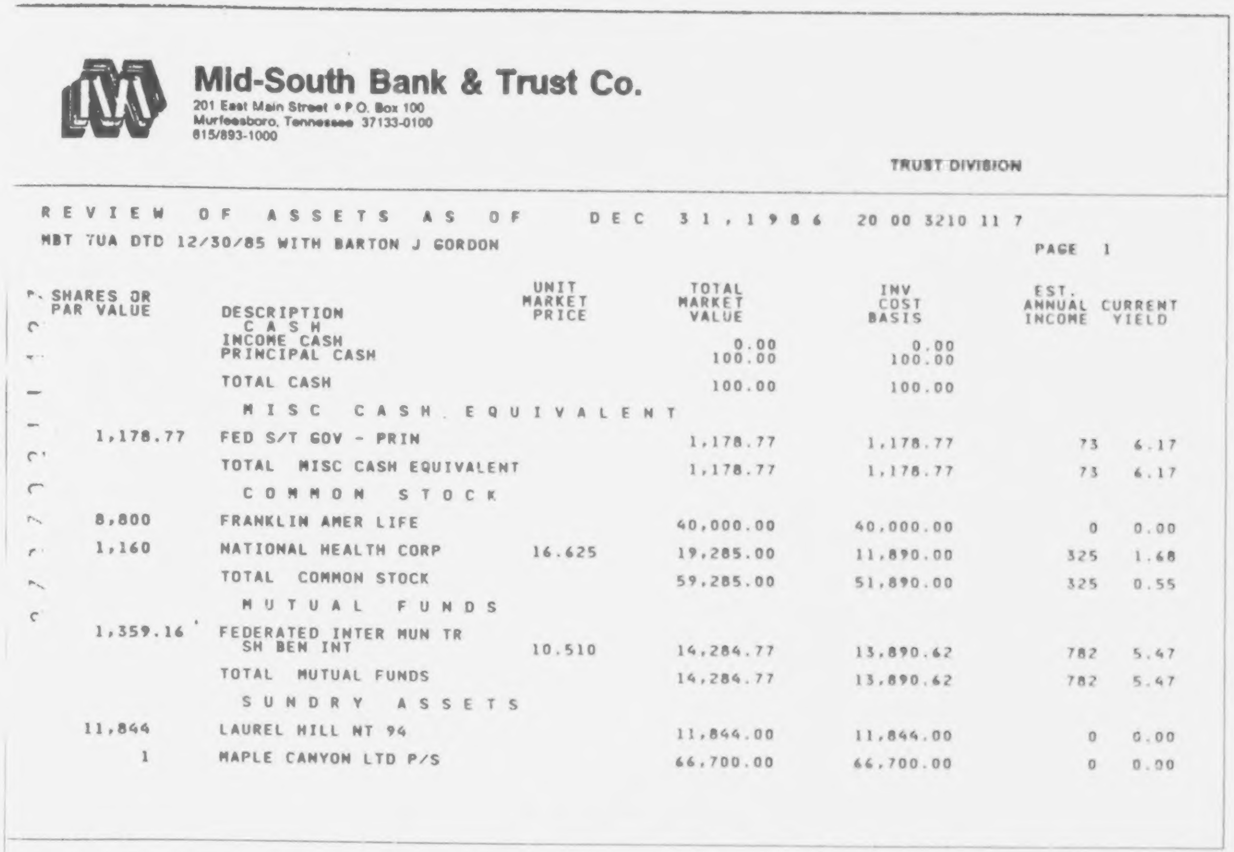
MBT TUA DTD 12/30/85 WITH BARTON J GORDON (ANNUALLY) PAGE 11

DATE	DESCRIPTION	INCOME CASH	PRIN CASH	INVESTMENTS
11 6 86	AUTO TRANSFER INCOME TO PRINCIPAL CASH	-79.66	79.66	0.00
11 12 86	DIST FROM LAUREL HILL FOR NOVEMBER	0.00	64.50	0.00
12 2 86	DIVIDEND RECEIVED FED S/T GOV - PRIN	7.80	0.00	0.00
12 2 86	DIVIDEND RECEIVED FEDERATED INTER MUN TR SH BEN INT	83.45	0.00	0.00
12 3 86	PURCHASE FED S/T GOV - PRIN	0.00	-155.75	155.75
12 3 86	AUTO TRANSFER INCOME TO PRINCIPAL CASH	-91.25	91.25	0.00
12 8 86	DIST FROM LAUREL HILLS FOR DEC	64.50	0.00	0.00
12 23 86	RDM 381.316 SHS AT 10.50 FEDERATED INTER MUN TR SH BEN INT	0.00	4,003.82	-3,897.05
12 23 86	BART GORDON-DIST PER REQUEST	-5,000.00	0.00	0.00
12 23 86	AUTO TRANSFER PRINCIPAL TO INCOME CASH	4,003.82	-4,003.82	0.00
12 24 86	REDEEM FED S/T GOV - PRIN	0.00	931.68	-931.68
12 24 86	AUTO TRANSFER PRINCIPAL TO INCOME CASH	831.68	-831.68	0.00
	ENDING BALANCES	0.00	100.00	111,953.39



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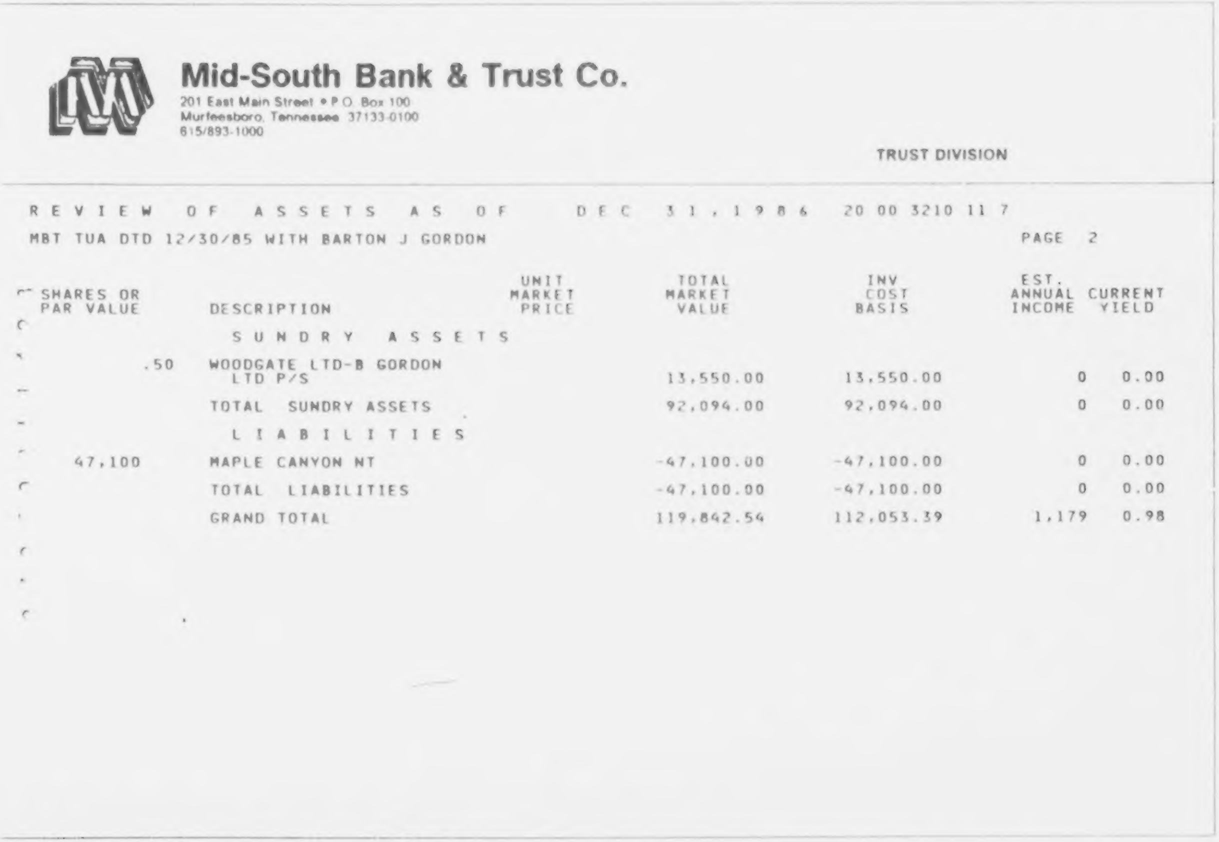
REVIEW OF ASSETS AS OF DEC 31, 1986 20 00 3210 11 7
MBT 7UA DTD 12/30/85 WITH BARTON J GORDON PAGE 1

SHARES OR PAR VALUE	DESCRIPTION	UNIT MARKET PRICE	TOTAL MARKET VALUE	INV COST BASIS	EST. ANNUAL INCOME	CURRENT YIELD
	C A S H					
	INCOME CASH		0.00	0.00		
	PRINCIPAL CASH		100.00	100.00		
	TOTAL CASH		100.00	100.00		
	M I S C C A S H E Q U I V A L E N T					
1,178.77	FED S/T GOV - PRIN		1,178.77	1,178.77	73	6.17
	TOTAL MISC CASH EQUIVALENT		1,178.77	1,178.77	73	6.17
	C O M M O N S T O C K					
8,800	FRANKLIN AMER LIFE		40,000.00	40,000.00	0	0.00
1,160	NATIONAL HEALTH CORP	16.625	19,285.00	11,890.00	325	1.68
	TOTAL COMMON STOCK		59,285.00	51,890.00	325	0.55
	M U T U A L F U N D S					
1,359.16	FEDERATED INTER MUN TR SH BEN INT	10.510	14,284.77	13,890.62	782	5.47
	TOTAL MUTUAL FUNDS		14,284.77	13,890.62	782	5.47
	S U N D R Y A S S E T S					
11,844	LAUREL HILL NT 94		11,844.00	11,844.00	0	0.00
1	MAPLE CANYON LTD P/S		66,700.00	66,700.00	0	0.00



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REVIEW OF ASSETS AS OF DEC 31, 1986 20 00 3210 11 7

MBT TUA DTD 12/30/85 WITH BARTON J GORDON PAGE 2

SHARES OR PAR VALUE	DESCRIPTION	UNIT MARKET PRICE	TOTAL MARKET VALUE	INV COST BASIS	EST. ANNUAL INCOME	CURRENT YIELD
	SUNDRY ASSETS					
.50	WOODGATE LTD-B GORDON LTD P/S		13,550.00	13,550.00	0	0.00
	TOTAL SUNDRY ASSETS		92,094.00	92,094.00	0	0.00
	LIABILITIES					
47,100	MAPLE CANYON NT		-47,100.00	-47,100.00	0	0.00
	TOTAL LIABILITIES		-47,100.00	-47,100.00	0	0.00
	GRAND TOTAL		119,842.54	112,053.39	1,179	0.98

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CAPITAL GAIN STATEMENT FOR YEAR ENDED 12/31/86 PAGE 1

SECURITY NAME	SHARES	PURCH/SALE DATES	PURCHASE PRICE	SALES PRICE	CAPITAL GAIN SHORT	CAPITAL GAIN LONG
FED SHT INTER GOVT 9	965.251	02/19/86 02/19/86	10,000.00	10,000.00	.00	
FED SHT INTER GOVT 9	3861	07/12/85 02/25/86	39,961.35	39,999.96		38.61
FED SHT INTER GOVT 9	5800.835	07/12/85 03/03/86	60,038.65	60,328.69		290.04
FED SHT INTER GOVT 9	971.82	10/15/85 03/03/86	10,000.00	10,106.93	106.93	
FED SHT INTER GOVT 9	678.95	11/07/85 03/03/86	7,000.00	7,061.08	61.08	
FED SHT INTER GOVT 9	1928.64	12/12/85 03/03/86	20,000.00	20,057.85	57.85	
FED SHT INTER GOVT 9	483.092	02/07/86 03/03/86	5,000.00	5,024.16	24.16	
FED SHT INTER GOVT 9	1447.876	02/19/86 03/03/86	15,000.00	15,057.91	57.91	
FED INTM MUN TR 78	1173.021	03/04/86 03/14/86	11,988.27	12,000.00	11.73	
FED INTM MUN TR 78	974.86	03/04/86 05/21/86	9,963.07	9,738.85	-224.22	
FED INTM MUN TR 78	405.268	03/04/86 06/25/86	4,141.84	4,008.10	-133.74	

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MBT TUA DTD 12/30/85 WITH BARTON J GORDON 20 00 3210 11 7

CAPITAL GAIN STATEMENT FOR YEAR ENDED 12/31/86 PAGE 2

SECURITY NAME	SHARES	PURCH/SALE DATES	PURCHASE PRICE	SALES PRICE	CAPITAL GAIN SHORT	CAPITAL GAIN LONG
FED INTM MUN TR 78	5030.181	03/04/86 07/30/86	51,408.45	50,000.00	-1,408.45	
HOOKER ENTERPRISES INC COM	100	10/11/85 09/30/86	199.38	270.00		70.62
FEDERATED INTER MUN TR SH BEN INT	1928.64	03/04/86 10/30/86	19,710.70	20,000.00		289.30
FEDERATED INTER MUN TR SH BEN INT	381.316	03/04/86 10/30/86 12/23/86	3,897.05	4,003.82		106.77
TOTAL					-1,446.75	795.34

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT FOR 1986

Honorable Barton J. Gordon
Member of Congress
Sixth District
State of Tennessee

VII. REIMBURSEMENTS.

Greater Miami Chamber of Commerce (congressional workshop) provided for round-trip transportation between Washington, D.C. and Miami and two nights lodging and food.

Morgan Guaranty Trust (presentation) provided for round-trip transportation between Washington, D.C. and New York, New York and two nights lodging.

Security Industries Association (seminar participant) provided for round-trip transportation to and from West Palm Beach, Florida and two nights lodging and food.

[illegible]

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

WILLIS D. GRADISON, Jr.
(Full Name)
2311 Rayburn House Office Building
(Mailing Address)
Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 2nd State Ohio

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

3 / 7 / 7 2 1 7 1 3 1

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
see schedule, attached	Honoraria	71,200	52,186 donated
Gradison & Co., Inc.	Director's fees	3,500	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
see schedule, attached		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
see schedule, attached	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
see schedule, attached		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
see schedule, attached	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
none	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
none		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
see schedule, attached	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	Gradison & Co., Inc.
Trustee	Children's Home of Cincinnati
Trustee	Children's Hospital (Cincinnati)
Trustee	The Gradison Foundation
Trustee	The Helen Steiner Rice Foundation

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service, continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	none	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statement will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Willis D. Gradison Jr.	May 14, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

[illegible]

WILLIS D. GRADISON, Jr.

II. A. Income (from Honoraria)

Date	Source	Amount	Disposition
12/2/85 (income rec'd in 1986)	American Enterprise Inst.	300.00	$52,186 donated to charities
1/22/86	The Brookings Institution	300.00	
1/16/86	John Hancock	1000.00	
1/29/86	The Equitable	1000.00	
2/4/86	National Assn of Independent Schools	500.00	
2/21/86	Brookings	500.00	
2/13/86	Congressional Quarterly	500.00	
2/19/86	Anesthesiologists	2000.00	
2/10/86	Touche Ross	2000.00	
3/16/86	Emergency Physicians	2000.00	
3/24/86	Voluntary Hospitals	2000.00	
3/11/86	Charls Walker	1000.00	
4/11/86	Federation of American Hospitals	1000.00	
4/20/86	College of American Pathologists	2000.00	
4/18/86	National Assn of Development Cos.	1000.00	
4/21/86	The Washington Campus	500.00	
4/14/86	Greater New York Hospital Council	2000.00	
4/16/86	American Protestant Health Assn	1500.00	
4/23/86	The Kroger Co.	1000.00	
4/23/86	Healthcare Financial Management Assn	2000.00	

II. A. continued

4/7/86	Health Industry Distributors	2000.00
4/14/86	Paine Webber	2000.00
4/28/86	Washington Campus	500.00
5/21/86	Paine Webber	2000.00
5/5/86	National Assn of Industrial and Office Parks	2000.00
5/20/86	Brookings	300.00
5/27/86	Brookings	300.00
6/3/86	EBRI/The Equitable	500.00
6/6/86	Blue Cross/Blue Shield	2000.00
4/17/86	National Health Lawyers Assn	1000.00
6/5/86	ERISA	1000.00
5/29/86	Washington Campus	500.00
6/19/86	Paine Webber	2000.00
5/28/86	American Medical Peer Review	1000.00
6/10/86	Brookings	300.00
6/23/86	Akin, Gump	2000.00
6/2/86	Washington Campus	500.00
6/16/86	Washington Campus	500.00
7/16/86	Paine Webber	2000.00
6/16/86	Grocery Manufacturers of America	2000.00
7/21/86	Federation of Women's Clubs	500.00
6/24/86	Washington Campus	500.00
6/8/86	Adolescent and Pediatric Gynecologists	500.00
9/4/86	American Council for Capital Formation	2000.00
9/11/86	Paine Webber	2000.00

II. A. continued

9/12/86	. Paine Webber	2000.00
9/18/86	CIGNA-Casualty & Property 12	500.00
9/9/86	Private Psychiatria Hospitals	1000.00
9/19/86	National Health Lawyers	1000.00
9/29/86	General Electric	2000.00
9/17/86	Health Insurance Association	500.00
9/22/86	Washington Campus	500.00
10/6/86	Washington Campus	500.00
10/16/86	Healthcare Financial Mgmt Assn	1000.00
10/25/86	Voluntary Hospitals of America	1000.00
10/8/86	U.S. Chamber of Commerce	400.00
10/21/86	Healthcare Financial Mgmt Assn	2000.00
11/7/86	Grocery Manufacturers of Amer	2000.00
12/11/86	Paine Webber	2000.00
12/9/86	Brookings	300.00
12/10/86	Washington Campus	500.00

WILLIS D. GRADISON, Jr.

II. B.	American Cyanamid	Dividend	C
	Deere	Dividend	B
	First National Cincinnati Corp.	Dividend	B
	Freeport McMoran	Dividend	E**
	General Motors	Dividend	D***
	Gradison & Co., Inc.	Dividend	E
	Nuveen Tax-free Reserves	Dividend	B
	Cincinnati and Hamilton Co. Ohio Adj. Rt. 1/1/95	Interest	B
	Clermont Cty Var Rt. 12/1/15	Interest	B
	Clermont Cty Hospital 6½% '87	Interest	C
	Covington, KY Industrial Bldg. Rev Adj Rt 5/1/95	Interest	C
	First National Bank of Cincinnati	Interest	A
	Ohio Ind. Rev. Cincinnati Coliseum 6.5% due 6/1/94	Interest	A
	Ohio Mental Health Facil. 6% due 6/1/88	Interest	B
	Ohio Higher Education 4.75% due 6/1/87	Interest	B
	Ohio State, 5.4% 11/15/86	Interest and Capital gain	C
	Puerto Rico Hgwy Auth. 6/9% due 7/1/89	Interest	B
	Puerto Rico Hgwy Auth. 6/8% due 7/1/87	Interest	B
	S. Carolina Elec. Rev. 5.5% due 7/1/86	Interest and Capital gain	B
	Tenn. 5% due 3/1/95	Interest	B

II. B continued

Freeport McMoran Oil & Gas Royalty Trust	*	C
Pominex Oil & Gas Drilling Program, 1983-B Ltd.	*	C

* Partnership distribution

** Cash plus Freeport McMoran Energy Partn. Ltd. Deposit Units and Freeport McMoran Gold Co. listed under III, below.

*** Cash plus General Motors Corp. Class H listed under III, below.

[illegible]

WILLIS D. GRADISON, Jr.

III. Cheyenne, Wyo. Econ. Dev. Rev. Adj Rt 10/1/10	C*
Hamilton, Ohio Econ Dev Rev Var Rt 5/1/15	C*
General Motors Class H	C
General Motors Class E	C
Ohio Ind. Rev. Cincinnati Coliseum 6/5% due 6/1/94	A*
AMAX	C
Deere	C
First National Cincinnati Corp.	C
Freeport McMoran Energy Partn. Ltd. Deposit Units	C
Freeport McMoran Gold Co.	B
Freeport McMoran Oil & Gas, Royalty Trust	B
Ohio Higher Education 4.75% due 6/1/87	C*
Ohio Mental Health Facil., 6% due 6/1/88	C*
Ohio State 5.40% due 11/15/86	C*
Pominex Oil & Gas Drilling Program 1981-B Ltd.	B***
Pominex Oil & Gas Drilling Program 1983-B Ltd.	C***
Puerto Rico Hgwy Auth 6.8% due 7/1/87	C*
Puerto Rico Hgwy Auth 6/9% due 7/1/89	C*
S. Carolina Elec. Rev. 5.5% due 7/1/86	C*
Tennessee 5% due 3/1/95	C*
American Cyanamid	E
Court Street Center Associates, Ltd.	D**
General Motors	E
Nuveen Tax-free Reserves, Inc.	C
Freeport McMoran	E

III. continued

Gradison & Co., Inc.	F****
Cincinnati and Hamilton Co. Ohio Adj. Rt. 1/1/95	C*
Clermont Cty Ohio Hosp. Rev R 6.50% 9/1/87	C*
Covington, KY Indl. Bldg. Rev. Adj. Rt. 5/1/95	C*
Gradison & Co. Retirement Savings Plan	C
Public Employees Retirement System of Ohio	B
Civil Service Retirement Fund	C
I.R.A. : Gradison Cash Reserves	A
Ctfs. Accrual Treas Secs. Series M. 0%, 5/15/90	B
Ctfs. Accrual Treas. Secs. Series Q, 0%, 11/15/93	B
Keogh: Gradison Cash Reserves	B
Ctfs. Accrual Treas. Secs. Series M, 0%, 11/15/92	B
Ctfs. Accrual Treas. Secs. Series R, 0%, 8/15/94	A

* Valued at par

** Valued at original investment

*** Present value based on engineer's appraisal

**** Book value

All others are at market except Retirement accounts, which are valued at balances as reported by the plan.

[illegible]

WILLIS D. GRADISON, Jr.

IV.	1/8/86	Bought Clermont Cty., Ohio EDR. R Var Rt, 12/1/15	C
	7/1/86	Matured So. Carolina St. Public Service Auth. 5.5% 7/1/86	C
	10/31/86	Bought Cheyenne, Wyo. Econ. Dev. Rev. R Adj Rt. 10/1/10	C
	10/31/86	Bought Hamilton, Ohio Econ. Dev. Rev. Var Rt 5/1/15	D
	11/15/86	Matured Ohio 5.40% 11/15/86	C
	12/1/86	Sold Clermont Cty. Ohio EDR. R Var Rt 12/1/15	C
		Bought and sold various amounts Nuveen Tax-free Reserves (see Holdings, above)	

V.	New England Mutual Life (life insurance loan)	B
	John Hancock Mutual Life (life insurance loan)	B
	Mutual Benefit Life (life insurance loan)	C
	Northwestern Mutual Life (life insurance loan)	C

WILLIS D. GRADISON, Jr.

VII. Reimbursements

Source	Description
American College of Emergency Physicians	trip to Orlando, Florida for speech
Federation of American Health Systems	trip to Las Vegas, Nevada for speech
Tax Management Advisory Board	trip to London, England for speech
Blue Cross/Blue Shield	trip to Atlanta, Georgia for speech
National Health Lawyers Assn	trip to Cincinnati, Ohio for speech
Grocery Manufacturers of America	trip to Scottsdale, Arizona for speech

ATTACHMENT A
WILLIS D. GRADISON, Jr.

HEATHER JANE GRADISON (spouse)

II. A.	Interstate Commerce Commission	Salary	A
B.	Sears	Dividend	A
	Nuveen Tax-free Reserves	Dividend	C
	IBM	Dividend	B
	DuPont	Dividend	A
	Eastman Kodak	Dividend	B
	U.S. Shoe	Dividend	A
	J.M. Smucker	Dividend	A
	Planning Research	Dividend and capital gain	A
	Supermarkets General	Dividend	A

III.	Berkey Photo	A
	Sears	B
	Nuveen Tax-free Reserves	C
	IBM	C
	Pominex Oil & Gas Drilling Program, 1981-B Ltd.	A*
	Cincinnati TV 64, Ltd.	D**
	Allen Group	A
	Gibson Greetings	A
	Best Products	A
	DuPont	C
	Eastman Kodak	C
	J. M. Smucker	B

[illegible]

ATTACHMENT A, continued

Supermarkets General	B
U.S. Shoe	C
I.R.A.	C
Civil Service Retirement	B
Becton Dickinson	B
Toys R Us	B
Xoma	B
IV. 5/5/86 Bought Toys R Us	B
5/14/86 Bought United States Shoe Corp.	B
8/13/86 Bought Xoma Corp.	B
8/21/86 Bought Becton Dickinson	B
10/17/86 Bought IBM	B
12/4/86 Sold Planning Research	A
Purchased and sold various amounts of Nuveen Tax-free Reserves from time to time during the year (see Holdings, above)	
V. Cincinnati TV 64 Ltd. Partnership	B

VI. None

VII. None

VIII. Chairman, Interstate Commerce Commission

IX. None

* Present value based on engineer's appraisal.

** Based on amount actually invested through 12/31/86

All others are valued at market.

ATTACHMENT B
WILLIS D. GRADISON, Jr.

MAILE JO GRADISON (dependent child)

II. A. none

B. American Tel & Telegraph	Dividend	A
Gradison Cash Reserves	Dividend	A
Homestead Savings CD	Interest	B
Texaco	Dividend	A
Ctfs Accrual Treas Secs. Series M 07 11/15/92	Interest	A
Ctfs Accrual Treas Secs Series E 07 11/15/95	Interest	B

III. Texaco	B
Gradison Cash Reserves, Inc.	A
American Tel & Telegraph	A
Homestead Savings CD	C
Ctfs Accrual Treas Sec Series M 07 11/15/92	B
Ctfs Accrual Treas Sec Series E 07 11/15/95	B

IV. Purchases of Gradison Cash Reserves from time to time during the year (see Holdings, above)

V. None

VI. None

VII. None

VIII. None

IX. None

All holdings are valued at market.

[illegible]

ATTACHMENT C
WILLIS D. GRADISON, Jr.

BENJAMIN DAVID GRADISON (dependent child)

II. A. none

B. American Tel & Tel	Dividend	A
Gradison Cash Reserves	Dividend	A
Texaco	Dividend	A
Easygrowth Trust Rcpt.	Interest	B
Freeport McMoran	Dividend and Capital Gain	C*
Ctfs Accrual Treas 0% 11/15/95	Interest	A

III. Texaco	A
Freeport McMoran	C
Gradison Cash Reserves, Inc.	A
Amer Telephone & Telegraph	A
Easygrowth Trust Rcpt.	C
Ctfs Accrual Treas 0% 11/15/95	B

IV. 10/7/86 Sold Freeport McMoran	C
10/17/86 Bought Freeport McMoran	C

Purchases of Gradison Cash Reserves from time to time during the year (see Holdings, above)

V. None

VI. None

VII. None

VIII. None

IX. None

All holdings are valued at market.

* Cash plus Freeport McMoran Energy Partner Ltd. Deposit Amts and Freeport McMoran Gold Co.

ATTACHMENT D
WILLIS D. GRADISON, Jr.

LOGAN JANE GRADISON (dependent child)

II. A. None

B. Cal Amrn Svg & Loan Assn C.D. due 2/9/87	Interest	B
Sears Svgs Bank C.D. due 10/3/86	Interest	A
Gradison Cash Reserves	Dividend	A

III. Gradison Cash Reserves, Inc.	B
PepsiCo	A
Procter & Gamble	B
Cal Amrn Svg & Loan Assn C.D. due 2/9/87	C

IV. 1/17/86 Bought Cal Amrn Svg & Loan Assn. C.D. due 2/9/87	C
1/17/86 Bought Sears Svgs Bank C.D. due 10/3/86	B
10/3/86 Sold Sears Svgs Bank C.D. due 10/3/86	B
10/3/86 Bought Procter & Gamble	B
9/5/86 Bought PepsiCo	A
Purchases of Gradison Cash Reserves from time to time during the year (see Holdings, above)	

V. None

VI. None

VII. None

VIII. None

IX. None

All holdings are valued at market.

[illegible]

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Frederick L. Grandy
(Full Name)

1711 Longworth HOB
(Mailing Address)

Washington D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 6 State Iowa

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A SOURCE	TYPE	AMOUNT	DISPOSITION
See Schedule 1 attached			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B SOURCE	TYPE	CATEGORY
See Schedule 2 attached		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" ~~OR OTHER~~ EXCEPTED TRUST? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See schedule 3 attached	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See Schedule 4 attached		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
See Schedule 5 attached	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
See schedule 6 attached	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	See Schedule 7 attached	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature

Date 5/14/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE [illegible]

[illegible]

Frederick L. Grandy
House of Representatives

Sixth District-Iowa

1986

Schedule 1

INCOME

Part II-A

Source	**Type**	**Amount**	**Disposition**
Granman Productions, Inc.	Salary	$392,000	None*
Universal City Studio	Residual	$ 145	None*

All earned income was earned before Mr. Grandy became a member of Congress. Accordingly it is not subject to the 30% limitation.

[illegible] 0 2 1 1 1 2 1

Frederick L. Grandy
House of Representatives

Sixth District-Iowa

1986

Schedule 2

UNEARNED INCOME

Part II-B

Source	Type	Category
City National Bank	Interest	D
Toy National Bank	Interest	A
Damson Oil	Oil Royalty	A
Gain on Sale of previous residence	Capital Gain	E

Frederick L. Grandy
House of Representatives

Sixth District-Iowa

1986

Schedule 3

HOLDINGS

Part III

Identity	**Category**
Granman Productions, Inc. (stock)	C
Biogen Medical Products, a limited partnership (limited partnership interest)	C
Minneapolis Hotel Partners, a limited partnership (limited partnership interest)	E
City National Bank (savings account)	E
Toy National Bank (savings account)	B
Dependent Holdings:	
Marya Gwin Grandy Short Term Trust, dated 12/27/84	D
Charles Brendan Grandy Short Term Trust, dated 12/27/84	D

Frederick L. Grandy
House of Representatives

Sixth District-Iowa

1986

Schedule 4

TRANSACTIONS

Part IV

Brief Description	Date	Category
Sale of previous personal residence in Venice, California	April 1, 1986	F

Frederick L. Grandy
House of Representatives

Sixth District-Iowa

1986

Schedule 5

LIABILITIES

Part V

Identity	Category
Biogen Medical Products, a limited partnership (Installment loan to acquire partnership interest in 1984)	C
First Federal Savings (Mortgage on previous residence-paid off in 1986)	E
City National Bank (Paid off in 1986 upon sale of previous residence)	E

[illegible] 0 2 1 1 1 2 5

Frederick L. Grandy
House of Representatives

Sixth District-Iowa

1986

Schedule 6

POSITIONS

Part VIII

Position	**Name of Organization**
President	Granman Productions, Inc.
Director	Briar Cliff College

Frederick L. Grandy
House of Representatives

Sixth District-Iowa

1986

Schedule 7

AGREEMENTS

Part IX

Date	Parties To	Terms of Agreement
1986	Granman Productions	Defined Benefit Pension

Mr. Grandy was the sole shareholder and employee of Granman Productions, Inc. The corporation set up a pension plan and made contributions on an annual basis based on Mr. Grandy's compensation. Mr. Grandy ceased employment with the company in 1986 and accordingly, no additional contributions shall be made. Mr. Grandy is a beneficiary under the plan which shall continue to invest the pension trust's assets until Mr. Grandy's retirement.

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

James William Grant
(Full Name)

1331 Longworth Building
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 2nd State Florida

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

[illegible] 2 5 7 1

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION" **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Home Intensive Care, Inc.	Directors' Fees	$ 1,600	Personal Expenses
Florida Senate	Unspent Allowance	$ 7,448	Personal Expenses
Florida Senate	W-2	$15,510	Personal Expenses
Bank of Madison County	W-2	$25,564	Personal Expenses
Bill Grant Campaign	W-2	$37,800	Personal Expenses

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
North Florida Bank Corp.	Dividends	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO ____ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Bank of Madison County (Common Stock)	A
J. A. Pittman, Jasper, FL, Note Receivable	B
BOMC (Profit Sharing)	C
BOMC (Deposits)	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sold partnership share of Edgewood Ave., Commercial Property in Lakeland, FL	6/13/86	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS. Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
BOMC, Madison, FL	C
Lafayette County State Bank, Mayo, FL	B
Congressional Credit Union, Washington, D.C.	B
1st Fed. Sav. & Loan, Madison, FL	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
DuBose Ausley	One way ride to Washington, D.C. on his plane

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13

POSITION	NAME OF ORGANIZATION
President & Director	Bank of Madison County
Director	Home Intensive Care, Inc.
Vice President	North Florida Bank Corporation

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Bill Grant	5-13 87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

James William Grant
(Full Name)

1331 Longworth Building
(Mailing Address)

Washington, D.C. 20515

✓ MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 2nd State Florida

☐ Officer or Employee—Employing Office ______

☒ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Home Intensive Care, Inc.	Directors' Fees	$ 1,600	Personal Expenses
Florida Senate	Unspent Allowance	$ 7,448	Personal Expenses
Florida Senate	W-2	$15,510	Personal Expenses
Bank of Madison County	W-2	$25,564	Personal Expenses
Bill Grant Campaign	W-2	$37,800	Personal Expenses

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
North Florida Bank Corp.	Dividends	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
North FL Bank Corp. (Common Stock)	A
J. A. Pittman, Jasper, FL, Note Receivable	B
BOMC (Profit Sharing)	C
BOMC (Deposits)	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sold partnership share of Edgewood Ave., Commercial Property in Lakeland, FL	6/13/86	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY		CATEGORY
BOMC, Madison, FL.	(personal loan)	C
Lafayette County State Bank, Mayo, FL.	(personal loan)	B
Congressional Credit Union, Washington, D.C.	(personal loan)	B
1st Fed. Sav. & Loan, Madison, FL.	(personal loan)	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
DuBose Ausley	One way ride to Washington, D.C. on his plane

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
President & Director	Bank of Madison County
Director	Home Intensive Care, Inc.
Vice President	North Florida Bank Corporation

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Bill Grant	5-13-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE [illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

HON: KENNETH J. GRAY
(Full Name)

201 1/2 Illinois
(Mailing Address)

West Frankfort, Illinois 62896

MC (Office Use Only)

Check the appropriate box and fill in the blanks

☐ Member of the U.S. House of Representatives—District 22 State Ill

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES x NO ____ NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
U.S.HOUSE OF REPRESENTATIVES	SAL.	75,100.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Bella Trix Resort Incorp.	Int	D
1010 W.Main Apt & Ofc (West Frankfort, IL)	Rent	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Ken Gray Antique Car Circus	F
Garage 1608 E. Main West Frankfort, Il	C
~~4 lots 3/4 acre West Main West Frankfort, Il~~	C
Cabin Lake of Egypt	D
Bella Trix resort Incorp	D
1010 W, Main West Frankfort, Il Office & Apt	D

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sale Beach Front Apt Bella Trix Resort Incorp	Oct 86	E

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
VISA ACCOUNT	A
RETIRED NOTE BANK OF WEST FRANKFORT FROM SALE RECEIPT	
BEACH FRONT APT BELLA TRIX	E

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
DIRECTOR AND FOUNDER	NATIONAL COAL MINNING MUSEUM

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Kenneth Gregg.	May 14, 1987.

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

[illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A (For use by Members, officers, and employees)

WILLIAM H. GRAY, III
(Full Name)

11328 FRENCH HORN LANE
(Mailing Address)

RESTON, VA 22091

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 2nd State PA

☐ Officer or Employee—Employing Office

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure; all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION" **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
(Spouse)KIDS, INC. Washington, D.C.	Salary		
(Spouse)Private Industry Council	Salary		
Philadelphia, Pa.			
See attached			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
N/A		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from, (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ [✓ NO] NA ____

For more information, see detailed Instruction Booklet at page 8

IDENTITY	CATEGORY
Bergner Associated, Limited Partnershup, Interest in building	
at 226-230 Martin Luther King Blvd, Harrisburg, Pa,	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
N/A		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME, any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item, and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
Bergner Associates	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of gifts of transportation, lodging, food, or entertainment aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Bright Hope Baptist Church	Automobile provided to Senior Preaching Minister $2,184.80 (for pastoral purposes only)

B. The source, a brief description, and value of all other gifts aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
See Attached	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13

POSITION	NAME OF ORGANIZATION
Partner	Beckman, Singer, Gray
Senior Preaching Pastor	Bright Hope Baptist Church

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13

DATE	PARTIES TO	TERMS OF AGREEMENT
	N/A	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 15, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

[illegible]

DATE	NAME OF ORGANIZATION AND CITY	TYPE	AMOUNT	DISPOSITION
Jan. 2	Fannie Mae, Washington, DC	Honorarium	$2,000	
Jan. 2	Charles Bartlett Seminar Washington, DC	Honorarium	2,000	
Jan. 17	Tampa Organization of Black Affairs, Tampa, Fl.	Honorarium	1,500	
Jan. 24	Chicago Mercantile Exchange, Chicago	Honorarium	2,000	
Jan. 26	National School Boards Assoc., Washington, DC	Honorarium	1,000	
Jan. 27	Sarah Lawrence College, New York	Honorarium	1,000	
Jan. 27	Philip Morris Corp., New York	Honorarium	1,000	
Jan. 22	Coalition for Empl. Thur Exports.	Honorarium	1,000	
Jan. 27	Goldman Sachs Luncheon, New York, NY	Honorarium	2,000	
Feb. 1	New York Times, New York	Honorarium	150	
Feb. 3	American Hospital Assoc., Washington, DC	Honorarium	2,000	
Feb. 4	Mortgage Bankers Assn., Washington, DC	Honorarium	1,000	
Feb. 4	Nat'l. Assn. for Higher Education, Wash., DC	Honorarium	500	
Feb. 5	Paine Webber Seminar, Washington, DC	Honorarium	2,000	
Feb. 6	Inst. for Edu. Leadership Washington, DC	Honorarium	500	
Feb. 12	Kilpatrick's CEO's Bus. Roundtable, New York, NY	Honorarium	1,000	
Feb. 14	Railway Progressive Inst., Miami, Fla.	Honorarium	1,000	Charity ($120)
Feb. 19	Robert Morris Assn. Phila., PA.	Honorarium	1,000	Charity
Feb. 19	American Petroleum Assn., Washington, DC	Honorarium	2,000	Charity
Feb. 21	Black Entertainment TV, Washington, DC	Honorarium	1,000	Charity

DATE	NAME OF ORGANIZATION [illegible]	TYPE	AMOUNT	DISPOSITION
Feb. 24	Assn. of Community Trustees, Washington, DC	Honorarium	$2,000	Charity
Feb. 24	Univ. of South Carolina, Columbia, S.C.	Honorarium	1,500	Charity
Feb. 27	Smithkline Beckman, Washington, DC	Honorarium	500	Charity
Feb. 28	West Chestnut Baptist Church Louisville, KY	Honorarium	1,000	Charity
Feb. 28	Singer Corp., Sturges, KY	Honorarium	1,200	Charity
Mar. 3	Cincinnati Human Relations, Cincinnati, Ohio	Honorarium	1,000	Charity
Mar. 4	Nat'l. Assoc. of Accountants Washington, DC	Honorarium	2,000	Charity
Mar. 5	Paine Webber Seminar Washington, DC	Honorarium	2,000	Charity
Mar. 7	Equitable Ins. Co., New York, NY	Honorarium	2,000	Charity
Mar. 10	University of Minnesota Minneapolis, Minn.	Honorarium	2,000	Charity
Mar. 24	IBM Corp. - Joint Center, New York, NY	Honorarium	2,000	Charity
Mar. 25	American University, Wash. DC	Honorarium	1,000	
Mar. 28	SmithKline Beckman, Wash., DC	Honorarium	1,000	Charity
Mar. 31	Amer. Society of Military Comp. Washington, DC	Honorarium	2,000	Charity
Mar. 31	Wharton School Board of Overseers, Phila., PA	Honorarium	2,000	Charity
Apr. 10	Delaware Investment Advisors, Washington, DC	Honorarium	2,000	Charity
Apr. 16	Nat. Marine Mfg. Assoc. Washington, DC	Honorarium	750	Charity
Apr. 17	Amer. Protestant Health Assn. Washington, DC	Honorarium	1,500	Charity
Apr. 21	Brandywine Professional Assn. Wilmington, DE	Honorarium	2,000	Charity
Apr. 22	Institute for Research on Economics of Taxation-Bread for the World, Wash., DC	Honorarium	2,000	Charity
Apr. 24	The First Baptist Church Washington, DC	Honorarium	100	Charity

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DATE	NAME OF ORGANIZATION AND CITY	TYPE	AMOUNT	DISPOSITION
Apr. 27	University of Pittsburgh, Pittsburgh, PA	Honorarium	$1,500	Charity
Apr. 29	Chamber of Commerce, Wash., DC	Honorarium	500	Charity
Apr. 30	Johnson & Johnson New Brunswick, NJ	Honorarium	1,000	Charity
May 8	IDS Advisory Group Washington, DC	Honorarium	2,000	Charity
May 10	Howard University, Wash., DC	Honorarium	2,500	Charity
May 13	Nat.l Council of Higher Educa. Washington, DC	Honorarium	500	Charity
May 15	Paine Webber Seminar, Washington, DC	Honorarium	2,000	Charity
May 15	Chamber of Commerce Washington, DC	Honorarium	500	Charity
May 21	Amer. Society of Assoc. Execu. Washington, DC	Honorarium	2,000	Charity
May 22	Amer. Retail Federation Washington, DC	Honorarium	1,000	Charity
May 22	Richmond Urban League Richmond, VA	Honorarium	1,000	Charity
June 4	Amer. Health Care Assoc. Washington, DC	Honorarium	1,500	Charity
June 16	Provident Nat'l. Bank Philadelphia, PA	Honorarium	500	
June 18	Insurance Information Inst. Washington, DC	Honorarium	1,000	Charity
June 19	Nat'l. Assoc. of Recycling Ind., Wash., DC	Honorarium	250	Charity
June 21	Lawyers Com. for Civil Rights Boston, Mass	Honorarium	2,500	Charity
June 26	Distilled Spirits Council Washington, DC	Honorarium	2,000	Charity
June 28	Harvard Community Center Cleveland, Ohio	Honorarium	1,000	Charity
July 21	Nat'l. Assoc. of Lettercarriers St. Paul, Minn.	Honorarium	2,000	Charity

DATE	NAME OF ORGANIZATION AND CITY	TYPE	AMOUNT	DISPOSITION
July 24	American Trucking Co. Washington, DC	Honorarium	$2,000	Charity
July 28	The Forum Club Houston, Texas	Honorarium	2,000	Charity
July 30	Brown & Williamson Tobacco Washington, DC	Honorarium	2,500 2,500	Charity Charity
Aug. 1	Nat'l. Bar Assoc. Denver, Col.	Honorarium	1,000	Charity
Aug. 5	Staley Continental Incl. Washington, DC	Honorarium	2,000	Charity
Aug. 10	Amer. Postal Workers Union San Francisco, CA	Honorarium	2,000	Charity
Sept. 11	Paine Webber Seminar Washington, DC	Honorarium	2,000	Charity
Sept. 12	Nat'l. Baptist Convention Kansas City, Mo	Honorarium	3,000	Charity
Sept. 14	Tuskegee University Montgomery, Al	Honorarium	1,500	Charity
Sept. 15	A.B. Laffer Assoc., Wash., DC	Honorarium	1,000	Charity
Sept. 17	Nat'l. Council of Farmers Coop., Washington, DC	Honorarium	1,000	Charity
Sept. 23	Paine Webber Seminar Washington, DC	Honorarium	2,000	Charity
Sept. 25	Outdoor Advertising Assoc. Washington, DC	Honorarium	1,000	Charity
Nov. 11	American Petroleum Houston, Texas	Honorarium	2,000	Charity
Nov. 19	Cooper & Lybrand Int'l. Accounting, New York, NY	Honorarium	2,000	Charity

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REIMBURSEMENTS

Martin Luther King Breakfast, Tampa Organ. of Black Affairs-Speech, Airfare: Washington to Tampa, 1 nights food/lodging

Chicago Mercantile Exchange-Speech, Roundtrip Airfare: Washington to Chicago Washington, 1 night food/lodging

Goodman Sachs Luncheon-Speech, Roundtrip Airfare: Washington to New York

Kilpatrick CEO's Business Roundtable Budget Task Force-Speech, Air: New York to Tallahassee

Railway Progressive Institute Annual Meeting-Speech, Air Transportation: Tallahassee to Miami, 1 night food/lodging

University of So. Carolina-Speech, Roundtrip Airfare: Washington to S. Carolina-Washington

Singers Corp.-Speech, Air travel: Washington to Kentucky, Washington

Cincinnati Human Relations-Speech, Roundtrip Airfare: Washington-Cincinnati-Washington

Equitable Ins. Co. CEO's Breakfast-Speech, Roundtrip Airfare: Washington-New York-Washington

University of Minnesota-Speech, Airfare: Minneapolis to Washington

IBM Luncheon w/ CEO's-Speech, 1 way Amtrak-Phil.to New York, Airfare, New York to Washington

Brandywine Professional Assoc. Dinner-Speech, Roundtrip Airfare: Washington-Delaware-Washington

Florida A&M Commencement-Rec. Honorary Degree, Airfare: Philadelphia to to Tallahassee, two nights food/lodging

University of Pittsburgh-Speech, Airfare: Tallahassee to Pittsburgh

Conf. on Economy Plenary Session, Atlanta University-Speech, Air transportation: Pittsburgh to Detroit-Atlanta, 1 night food/lodging

Johnson & Johnson Breakfast meeting-Speech, Air travel: Washington-New Brunswick-Washington

Atlanta University Commencement-Speech, Roundtrip Airfare: Philadelphia-Atlanta-Washington

Richmond Urban Institute-Speech Air transportation: Dulles-Richmond-Dulles

National Black MBA Assoc. Dinner-Speech, Roundtrip Airfare: Washington-L.A.-Washington, two nights food/lodging

Chicago Committee Luncheon-Speech, Roundtrip Airfare: Washington-Chicago-Phila., 1 night food/lodging

Lawyers Committee for Civil Rights Under Law of Boston Ban-Speech, Roundtrip Airfare: Philadelphia-Boston-Philadelphia, 1 night food/lodging

Harvard Community Center-Speech, Roundtrip Airfare: Philadelphia-Cleveland-Philadelphia

Nat'l. Assoc. of Lettercarriers-Speech, Roundtrip Airfare: Phil.-St. Paul-Washington

The Forum Club-Speech, Roundtrip Airfare: Philadelphia-Houston-Washington

Nat'l. Bar Association Annual Convention-Speech, Roundtrip Airfare: Washington-Denver-Washington, 1 night food/lodging

American Postal Workers Union-Speech, Roundtrip Airfare: Philadelphia-San Francisco-Washington, 1 night food/lodging

National Baptist Convention-Speech, Roundtrip Airfare: Philadelphia-Kansas City, Mo.-Washington

Tuskegee University Fall Convocation-Speech, Roundtrip Airfare: Washington-Montgomery,Ala.-Washington

American Petroleum Institute Annual Meeting-Speech, Roundtrip Airfare: Washington-Houston, Texas-Washington, 1 night food/lodging

Coopers & Lybrand International Accounting Firm-Speech, Airtravel: Washington-New York, Amtrak, New York, Washington, 1 night food/lodging

CSRA Business League-Speech, Air travel: Washington-Augusta, Georgia, 1 night food/lodging

Metropolitan Orlando Urban League, Inc. Dinner-Speech, Airfare: to Tampa

Second Baptist Church of Detroit-Speech, Airfare: Philadelphia-Detroit-Philadelphia

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND [illegible]

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Sedgwick William Green
(Full Name)

1110 Longworth House Office Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks

XX Member of the U.S. House of Representatives—District 15 State New York

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See Schedule Attached			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See Schedule Attached		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See Schedule Attached	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See Schedule Attached		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
See Schedule	Attached

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature Bill Thomas	Date 5/12/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE [illegible]

ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT

II B Mr. Green

Earned Income

New York Times 3/15/86 - Article	$150.00
Institute for Socioeconomic Studies 3/24/86 - Honorarium	$1,500.00
New York University 5/13/86 - Honorarium	$1,500.00
The Washington Post 6/2/86 - Article	$100.00
American Association of Exporters & Importers Inc. 9/8/86 - Honorarium	$1,000.00
Polytechnic University 9/23/86 - Honorarium	$2,000.00
American Gas Association 11/17/86 - Honorarium	$1,000.00

Interest Income

S.S.S. of Allentown Inc.	B
Shearson Money Market Fund	B
East River Savings Bank	A
Seamans Bank for Savings	B
Municipal Bonds	D
Metropolitan Edison	B
Manufacturers Hanover	C
Northern Indiana Public Service	B
Union Electric	C
U.S. Trust Company	A
American Financial 12%	C
Hormel, Geo. A 13.25%	A
U.S. Obligations	C

Dividend Income

Armstrong World Ind.	B
Britoil PLC	A
Calfed	B
Desoto	A
First Bank Systems	A
Ford Motor Co.	A
Gibraltar Finl Corp	A
Glenfed Inc.	A
Grow Group Inc.	A
McDermott Intl	A
Manufacturers Hanover	B
National City Corp	B
Reynolds & Reynolds	A
Robertshaw Controls Inc.	A
Scientific Atlanta	A
Southmark Corp	A

Capital Gains

Chase Manhattan Corp	D
Glenfed Inc. Holding	E
Marine Midland Banks	A
Reynolds & Reynolds Cl A	A
American Finl Corp	A
Armstrong World Ind.	D
Atlantic Research Corp	A
Britoil PLC	Loss
Calfed Inc.	B
Centronics Data Computer	D
Desoto Inc.	A
Ford Motor Co.	D
Grow Group Inc.	Loss
ICN Pharmaceuticals	F
Inexco Oil Co	Loss

ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT

	Mr. Green Continued	
II B	Capital Gains	
	Metropolitan Edison 9%	D
	National City Corp	E
	Northeast Savings - Hartford	Loss
	Robertshaw Controls	E
	SCI Systems Inc	Loss
	Southmark Corp	B
	Tekelec Co	Loss
	Thousand Trails Inc.	Loss
	Whitehall Corp	Loss
	Partnership Income	
	Hotel 7 & 33 Co.	D
	Mr. Green - Continued	
III	Albion Trading Corp	A
	Thames Rico	E
	Golden Gate Associates IV	A
	Energy Answers Corp - Equity & Loans	F
	Semass	F
	SWC Corp	F
	East River Savings Bank	A
	Seamans Bank for Savings	C
	Option - Shoreham Associates	A
	Shearson Money Market Account	E
	Manufacturers Hanover Certificate of Deposit	D
	Loan Rec - Committee for Congressman Green	E
	Receivable from J. Colin Bankruptsy Estate	F
	Ahmanson/HF & Co	A
	Amrep Corp	C
	Apogee Enterprises Inc.	C
	Atlantic Research Corp	C
	Calfed Inc.	D
	Cobe Laboratories Inc.	C
	E. Systems Inc.	B
	First Bank Systems	C
	First Chicago Corp	B
	Gibraltar Finl Corp	C
	Grow Group Inc.	A
	ICN Pharmaceuticals	D
	Louisiana Land & Explor.	A
	Manufacturers Hanover	D
	McDermott International	A
	Northeast Savings-Hartford	C
	Northern Indiana Public Service 11.25%	C
	Ramtek Corp	C
	Reynolds & Reynolds Cl A	C
	SCI Systems Inc.	C
	Safeguard Scientifics Inc.	C
	Scientific Atlanta Inc.	C
	Southmark Corp	C
	Teknowledge Inc.	B
	Teletrak Adv Tech Systems	A
	Thermo Electron Corp	D
	Union Electric 10.5%	C
	Watts Industries Cl A	C
	Municipal Bonds	E

ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT

Mr. Green Continued

IV Purchases during 1986

Ahmanson/HF & Co.	A
Amrep Corp	C
Apogee Enterprises Inc.	C
Armstrong World Ind.	D
Atlantic Research Corp	B
Britoil PLC	C
Calfed Inc.	C
Chase Manhattan Corp	D
Cobe Laboratories Inc.	C
E Systems Inc.	B
First Bank System Inc.	C
First Chicago Corp	B
Gibraltar Finl Corp	C
ICN Pharmaceuticals	E
Louisiana Land & Explor	A
Manufacturers Hanover	D
Marine Midland Banks	C
McDermott International	A
Northeast Savings-Hartford	D
Ramtek Corp	C
Reynolds & Reynolds Cl A	D
SCI Systems Inc.	A
Safeguard Scientifics Inc.	C
Scientific Atlanta Inc.	C
Southmark Corp	B
Tekelec Co	C
Teknowledge Inc.	B
Thermo Electron Corp	C
Watts Industries Cl A	C
Municipal Bonds	E

Sales during 1986

American Finl Corp Ohio 12%	C
Armstrong World Industries	D
Atlantic Research Corp	B
Britoil PLC	C
Calfed Inc.	A
Centronics Data Computer	C
Chase Manhattan Corp	D
Desoto Inc.	B
Ford Motor Co.	C
Glendale Fedl Svgs & Loan	C
Glenfed Inc. Holding	C
Grow Group Inc.	C
ICN Pharmaceuticals	E
Inexco Oil Co.	A
Marine Midland Banks	C
Metropolitan Edison 9%	C
National City Corp	D
Northeast Savings-Hartford	C
Reynolds & Reynolds Cl A	C
Robertshaw Controls Co.	C
SCI Systems Inc.	A
Southmark Corp	B
Tekelec Co.	B
Thousand Trails Inc.	B
Whitehall Corp	C

ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT

Mr. Green Continued

VIII

Limited Partner - Golden Gate Associates IV
Director - Energy Answers Corp
Director - American Friends of the Rambam Society for Advancement and Development of Medical Science and Research, Inc.
President - SWC Corp
Treasurer & Director - The Green Fund, Inc.
Trustee - Jewish Assn for Services for the Aged
Trustee - Montefiore Medical Center
Honorary Trustee - Cancer Research Institute
Overseer - Albert Einstein College of Medicine
Overseer - Graduate School for Urban Professions - New School for Social Research
Member - Advisory Committee - NYU Graduate School of Public Administration
Member - Advisory Committee - Kennedy School of Government - Harvard University
Alternate Trustee - Federation of Jewish Philanthropies of New York
National Trustee - National Conference of Christians & Jews

[illegible]

ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT

II B	Mrs. Green	
	Interest Income	
	Pa. Power & Light 9%	B
	Chemical Bank	C
	Toledo Edison Co.	B
	Dividend Income	
	Carolina Power & Light	A
	Central Hudson Gas & Electric	A
	Consumers Power $3.60 Pfd	B
	Enserch Explor. Partners	A
	Lehman Money Mkt Fnds	A
	NYS Eelctric & Gas	B
	Ohio Edison Company	B
	Pfizer Inc.	B
	Savannah Electric & Power	A
	Shearson Daily Dividends	A
	Capital Gains	
	Central Hudson Gas & Electric	C
	ICN Pharmaceuticals	D
III	Chase Manhattan Bank	A
	Chemical Bank	B
	Shearson Daily Dividends	C
	Carolina Power & Light	A
	Coast Savings & Loan Assn	A
	Consumers Power $3.60 Pfd	C
	Enserch Expl. Partners Ltd	B
	Gentex Corp	A
	NYS Electric & Gas Corp	C
	Ohio Edison Co.	C
	Pa. Power & Light 9%	C
	Pfizer Corp	C
	Savannah Electric & Power	C
	Thousand Trails Inc.	A
	Toledo Edison 12.25%	C
IV	Purchases during 1986	
	Coast Savings & Loan Assn	A
	Gentex Corp	A
	ICN Pharmaceuticals	A
	Pfizer Inc.	C
	Thousand Trails Inc.	B
	Sales during 1986	
	Central Hudson Gas & Electric	C
	ICN Pharmaceuticals	C

[illegible]

ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT

Mr. Green is the income beneficiary of a trust created under the will of his father (Louis A. Green). Listed below is the financial disclosure of this trust.

II B Interest Income

Bio Rad Labs 15%	B
Bowater Inc. 12.375%	B
Custom Energy Service 15%	B
Orion Pictures 11%	A
Municipal Bonds	D

Dividend Income

Apogee Enterprises	A
Armstrong World Ind.	C
Britoil PLC	A
Calfed Inc.	A
Digiorgio Corp	A
E Systems Inc.	A
Ford Motor Co.	A
Hallwood Group Inc.	C
Lehman Mgmt Money Mkt Funds	C
Manufacturers Hanover	C
McDermott Intl	D
Mesa Ltd Partnership	C
National City Corp	A
Pioneer Corp - Texas	B
Realty Refund Trust SBI	B
Reynolds & Reynolds Cl A	A
Scientific Atlanta Inc	A
Shearson Money Market Funds	C
Southmark Corp	A
UGI Corp	D
Watts Industries Cl A	A

Capital Gains

Armstrong World Ind.	E
Atlantic Research Corp	D
Bio Rad Labs 15%	Loss
Bowater Inc. 12.375%	C
Britoil PLC	Loss
Digiorgio Corp	A
ICN Pharmaceuticals	D
McDermott Intl	C
National City Corp	D
Municipal Bonds	Loss
Northeast Savings - Hartford	D
Orion Pictures 11%	A
Pioneer Corp - Texas	D
Realty Refund Trust SBI	C
SCI Systems Inc.	E
Southmark Corp	A
Whitehall Corp	Loss

Partnership Income

Golden Gate Associates III	Loss
Stryker & Brown	Loss
Gem State Associates III	Loss
Enserch Exploration Partners	Loss

ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT

Trust f/b/o Sedgwick William Green Continued

III	Investment in Golden Gate Assoc. III	C
	Stryker & Brown	D
	Shearson Money Market Funds	F
	Ahmanson/HF & Co	B
	Amrep Corp	C
	Apogee Enterprises Inc.	D
	Britoil PLC	C
	Calfed Inc.	D
	Wts Chieftain Development	C
	Coast Savings & Loan Assn	B
	Cobe Laboratories Inc.	D
	Computer Consoles	C
	Custom Energy Service Intl	C
	E Systems Inc.	D
	Energy Factors Inc.	C
	Enserch Expl. Partners Ltd	D
	First Bank System Inc.	B
	First Chicago Corp	B
	Gibraltar Finl Corp	C
	Hallwood Group Inc - New	D
	ICN Pharmaceuticals	C
	Manufacturers Hanover	D
	McDermott International	E
	Mesa Ltd Partnership Cl A	D
	Municipal Bonds	D
	Northeast Savings Hartford	D
	Ramtek Corp	A
	Reynolds & Reynolds Cl A	D
	SCI Systems Inc.	D
	Scientific Atlanta Inc.	D
	Southmark Corp	B
	Starrett Housing Corp	F
	Tekelec Co	B
	Teknowledge Inc.	C
	Thermo Electron Corp	D
	Todd Shipyards Corp	C
	UDC Universal Development	C
	UGI Corp	D
	Ultrasystems Inc.	C
	Wainoco Oil Co	B
	Watts Industries Cl A	D

ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT

Trust f/b/o Sedgwick William Green Continued

IV Purchases

Ahmanson/HF & Co	B
Amrep Corp	A
Apogee Enterprises Inc.	C
Britoil PLC	D
Calfed Inc.	D
Wts Chieftain Development	C
Coast Savings & Loan Assn	B
Cobe Laboratories Inc.	C
Computer Consoles	C
Energy Factors Inc.	C
First Bank System Inc.	A
First Chicago Corp	B
Gibraltar Finl Corp	C
ICN Pharmaceuticals	C
Manufacturers Hanover	D
McDermott Options	B
Mesa Ltd Partnership Cl A	D
Municipal Bonds	F
Northeast Savings Hartford	E
Ramtek Corp	A
Reynolds & Reynolds Cl A	D
Scientific Atlanta Inc.	C
Southmark Corp	B
Tekelec Co.	C
Teknowledge Inc.	C
Thermo Electron Corp	C
Todd Shipyards Corp	C
UDC Universal Development	C
Ultrasystems Inc.	C
Wainoco Oil Co	B
Watts Industries Cl A	D
Whitehall Corp	C

Sales

Armstrong World Ind.	E
Atlantic Research Corp	C
Bio Rad Labs Inc. 15%	C
Bowater Inc. 12.375%	C
Britoil PLC	B
Custom Energy Services 15%	B
Digiorgio Corp	C
ICN Pharmaceuticals	C
McDermott Intl	D
McDermott Options	B
Municipal Bonds	F
National City Corp	D
Northeast Savings Hartford	D
Orion Pictures 11%	C
Pioneer Corp - Texas	D
Realty Refund Trust SBI	C
SCI Systems Inc.	C
Southmark Corp	C
Whitehall Corp	C

ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT

	Catherine A. Green - Daughter	
II B	Interest Income	
	Lincoln Savings Bank	A
	Municipal Bonds	D
	Dividend Income	
	Ametek Inc.	A
	Armstrong World Ind.	A
	Britoil PLC	A
	Emerson Electric Co.	A
	Enserch Explor. Partners	B
	Grow Group Inc.	A
	Kysor Industrial Corp	A
	Louisiana Land & Explor.	A
	Manufacturers Hanover	B
	Owens Corning Fiberglas	A
	Park-Ohio Industries	A
	Scientific Atlanta	A
	Shearson Daily Dividends	A
	Shearson Global Opportunities	C
	Southmark Corp	A
	Unocal Corp	A
	Capital Gains	
	Chase Manhattan Corp	A
	Emerson Electric	D
	Grow Group Inc.	Loss
	Adobe Res. Corp	Loss
	Ahmanson/HF & Co.	C
	Armstrong World Ind.	C
	Centronic Data Computer	C
	ICN Pharmaceuticals	D
	Inexco Oil Co.	Loss
	Kysor Industrial Corp	B
	Owens-Corning Fiberglas	E
	Park-Ohio Industries	Loss
	Robertshaw Controls	C
	Shearson Global Opportunities	A
	Starrett Housing Corp	A
	Unocal Corp	Loss
	C. Colin Rec.	E
III	Ahmanson /HF & Co	B
	Ametek Inc.	C
	Amrep Corp	B
	Apogee Enterprises Inc.	A
	Applied Materials Inc	B
	Britoil PLC	B
	Calfed Inc.	B
	Custom Energy Service Intl	C
	Custom Energy Service Wts	A
	Custom Energy Svcs 15.5%	C
	E Systems Inc.	B
	Enserch Expl Partners	B
	First Chicago Corp	B
	Gibraltar Finl Corp	B
	ICN Pharmaceutical	B
	Kysor Industrial Corp	C
	Louisiana Land & Explor	A
	Manufacturers Hanover	C
	Material Sciences Corp	A
	Monoclonal Antibodies	B
	Municipal Bonds	D
	Northeast Savings - Hartford	B

ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT

Catherine A. Green Continued

III	Owens Corning Fiberglas	C
	Ramtek Corp	B
	Repligen Corp	A
	Reynolds & Reynolds Cl A	B
	SCI Systems Inc	C
	Safeguard Scientifics Inc.	C
	Scientific Atlanta Inc	B
	Shearson Global Opportunities	C
	Smith Laboratories Inc.	A
	Southmark Corp	B
	Starrett Housing Corp	D
	Teknowledge Inc.	A
	Thermo Electron Corp	B
	Wainoco Oil Co	B
	Wang Labs Inc. Cl B	A
	Watts Industries Cl A	A
	Whitehall Corp	B
	Lincoln Savings Bank	B
IV	Purchases during 1986	
	Ahmanson/HF & Co.	B
	Ametek Inc.	C
	Apogee Enterprises Inc.	A
	Armstrong World Ind.	B
	Britoil PLC	B
	Calfed Inc.	B
	Centronics Data Computer	A
	Chase Manhattan Corp	B
	E Systems Inc.	B
	Enserch Explor Partners Ltd	B
	First Chicago Corp	B
	Gibraltar Finl Corp	B
	Grow Group Inc.	B
	ICN Pharmaceuticals	C
	Kysor Industrial Corp	C
	Louisiana Land & Explor	A
	Manufacturers Hanover	C
	Marine Midland Banks	B
	Monoclonal Antibodies	C
	Northeast Savings Hartford	C
	Ramtek Corp	B
	Repligen Corp	B
	Reynolds & Reynolds Cl A	B
	SCI Systems Inc.	B
	Safeguard Scientifics Inc.	C
	Scientific Atlanta Inc.	A
	Shearson Global Opportunities	A
	Southmark Corp	A
	Teknowledge Inc.	A
	Thermo Electron Corp	B
	Wainoco Oil Co	B
	Watts Industries Cl A	A
	Whitehall Corp	B

[illegible]

ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT

Catherine A. Green Continued

IV Sales during 1986

Adobe Res. Corp	B
Ahmanson/HF & Co.	P
Armstrong World Ind.	B
Centronics Data Computer	B
Chase Manhattan Corp	B
Emerson Electric Co.	C
Glenfed Inc. Holding	C
Grow Group Inc.	B
ICN Pharmaceutical	C
Inexco Oil Co.	A
Intecom Inc.	B
Kysor Industrial Corp	B
Marine Midland Banks	B
Owens-Corning Fiberglas	D
Park-Ohio Industries	A
Robertshaw Controls Co.	B
Shearson Global Opportunities	A
Starrett Housing Corp	B
Unocal Corp	B

[illegible]

ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT

	Louis M. Green - Son	
II B	Interest Income	
	Lincoln Savings Bank	A
	Municipal Bonds	D
	Dividend Income	
	Emerson Electric	A
	Kysor Industrial	A
	Ohio Edison	B
	Owens-Corning Fiberglas	A
	Park Ohio	A
	Scientific Atlanta	A
	Shearson Daily Dividend	A
	Shearson Global Opportunities	C
	Southmark Corp	A
	United Illuminating	A
	Unocal Corp	A
	Armstrong World Ind.	A
	Britoil PLC	A
	Enserch Explor Partners Ltd	B
	Manufacturers Hanover	B
	National City Corp	A
	Capital Gains	
	Adobe Res. Corp	Loss
	Ahmanson/HF & Co.	C
	Armstrong World Ind.	C
	Britoil PLC	Loss
	Centronics Data Computer	B
	Computer Consoles	Loss
	Emerson Electric	D
	Glenfed Inc. Holding	D
	ICN Pharmaceuticals	D
	Inexco Oil Co.	Loss
	Monoclonal Antibodies	Loss
	National City Corp	D
	Owens-Corning Fiberglas	E
	Park-Ohio Industries	Loss
	Robertshaw Controls Inc.	C
	Shearson Global Opportunities	A
	Smith Laboratories	Loss
	Starrett Housing Corp	A
	Thousand Trails Inc.	Loss
	Unocal Corp	Loss
	Whitehall Corp	Loss
III	Amrep Corp	B
	Apogee Enterprises Inc.	B
	Applied Materials Inc	B
	Britoil PLC	B
	Calfed Inc.	B
	Cobe Laboratories Inc.	B
	Custom Energy Service Intl	C
	Custom Energy Service Wts	A
	Custom Energy Svcs 15.5%	C
	E Systems Inc.	A
	Enserch Expl Partners	C
	First Chicago Corp	B
	Gibraltar Finl Corp	C
	Kysor Industrial Corp	C
	Louisiana Land & Explor	A
	Manufacturers Hanover	C

ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT

	Louis M. Green Continued	
III	Material Sciences Corp	A
	Municipal Bonds	D
	Northeast Savings - Hartford	C
	Ohio Edison Co.	B
	Ramtek Corp	B
	Repligen Corp	A
	Reynolds & Reynolds Cl A	B
	SCI Systems Inc	C
	Safeguard Scientifics Inc.	C
	Scientific Atlanta Inc	B
	Shearson Global Opportunities	C
	Southmark Corp	B
	Shearson Daily Dividends	D
	Starrett Housing Corp	D
	Thermo Electron Corp	C
	United Illuminating	B
	Wainoco Oil Co	B
	Watts Industries Cl A	C
	Lincoln Savings Bank	B
IV	Purchases during 1986	
	Apogee Enterprises Inc.	B
	Armstrong World Ind.	B
	Britoil PLC	C
	Calfed Inc.	B
	Cobe Laboratories Inc.	B
	E Systems Inc.	A
	First Chicago Corp	B
	Gibraltar Finl Corp	C
	Kysor Industrial Corp	C
	Louisiana Land & Explor	A
	Manufacturers Hanover	C
	Marine Midland Banks	B
	Monoclonal Antibodies	C
	Northeast Savings - Hartford	C
	Ramtek Corp	B
	Repligen Corp	B
	Reynolds & Reynolds Cl A	B
	Safeguard Scientifics Inc.	C
	Scientific Atlanta Inc.	A
	Shearson Global Opportunities	A
	Teknowledge Inc.	A
	Thermo Electron Corp	B
	Wainoco Oil Co	B
	Watts Industries Cl A	C

ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT

Louis M. Green Continued

IV Sales during 1986

Adobe Res. Corp	B
Ahmanson/HF & Co.	B
Armstrong World Ind.	B
Britoil PLC	B
Centronics Data Computer	B
Emerson Electric Co	C
Glenfed Inc. Holding	C
Grow Group Inc.	A
ICN Pharmaceuticals	B
Inexco Oil Co.	A
Intecom Inc.	A
Marine Midland Banks	B
Monoclonal Antibodies	B
National City Corp	C
Owens-Corning Fiberglas	D
Park-Ohio Industries	A
Robertshaw Controls Co.	B
Shearson Global Opportunities	A
Smith Laboratories Inc.	A
Starrett Housing Corp	B
Thousand Trails Inc.	B
Unocal Corp	B
Whitehall Corp	C

[illegible]

ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT

Mr. Green's children, Catherine & Louis, are the beneficiaries and remaindermen of various trusts created by Mr. Green's father and mother. For reporting purposes these trusts have been combined and considered as one entity. Listed below is the financial disclosure of these trusts.

II B	**Dividend Income**	
	Armstrong World Ind.	B
	Britoil PLC	A
	Calfed Inc.	B
	Cityfed Finl $.40 Pfd	A
	Gibraltar Finl Corp	A
	Glenfed Inc. Holding	A
	Lehman Money Market Funds	A
	Manufacturers Hanover	A
	National City Corp	A
	Reynolds & Reynolds Cl A	A
	Shearson Daily Dividend	A
	Scientific Atlanta	A
	Southmark Corp	A
	Todd Shipyards	A
	Interest Income	
	Municipal Bonds	E
	Bank of Boston/Bristol	D
	Golden Gate Associates II	G
	Golden Gate Associates III	F
	Milgrim Thomajan Jacobs & Lee	C
	Manufacturers Hanover	C
	Partnership Income	
	Golden Gate Associates II	Loss
	Golden Gate Associates III	Loss
	Capital Gains	
	Adobe Res. Corp.	Loss
	Armstrong World Ind.	D
	Atlantic Research Corp	B
	Centronics Data Computer	C
	Desoto Inc.	A
	Glenfed Inc. Holding	E
	ICN Pharmaceuticals	E
	Inexco Oil Co	Loss
	Municipal Bonds	E
	National City Corp	E
	Northeast Savings - Hartford	C
	Regal International	Loss
	Reynolds & Reynolds Cl A	A
	SCI Systems Inc.	E
	Scientific Atlanta	Loss
	Southmark Corp	B
	Starrett Housing Corp	E

ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT

Catherine & Louis Green Trusts Continued

III	Ahmanson/HF & Co	C
	Apogee Enterprises	C
	Amrep Corp	B
	Britoil PLC	C
	Calfed Inc.	D
	Wts Chieftain Development	C
	Cityfed Finl $.40 Pfd	A
	Coast Savings & Loan	C
	Cobe Laboratories	B
	Computer Consoles	C
	Enncite Control Inc.	A
	Gibraltar Finl Corp	D
	Grow Group Inc.	A
	ICN Pharmaceuticals	C
	Kysor Industrial Corp	A
	Manufacturers Hanover	C
	Municipal Bonds	E
	Monoclonal Antibodies	A
	Northeast Savings - Hartford	C
	Ramtek Corp	B
	Repligen Corp	A
	Reynolds & Reynolds Cl A	D
	SCI Systems Inc.	B
	Scientific Atlanta	C
	Southmark Corp	B
	Starrett Housing	E
	Tekelec Co.	B
	Teknowledge Inc.	B
	Thermo Electron Corp	C
	Thousand Trails Inc.	A
	Todd Shipyards Corp	A
	UDC Universal Development	C
	Wainoco Oil Co.	B
	Wang Labs Cl B.	A
	Watts Industries Cl A	C
	Bank of Boston/Bristol CD	D
	Golden Gate Associates II	C
	Golden Gate Associates III	C
	Bank Leumi	B
	Manufacturers Hanover Certificate of Deposit	C
	Rec. from Golden Gate Associates II	F
	Rec. from Golden Gate Associates III	F
	Loans & Equity in Energy Answers Corp	F

ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT

Catherine & Louis Green Trusts Continued

IV Purchases during 1986

Ahmanson/HF &Co.	C
Apogee Enterprises	C
Britoil PLC	C
Calfed Inc.	B
Centronics Data Computer	A
Wts Chieftain Development	C
Coats Savings & Loan	C
Cobe Laboratories	B
Computer Consoles	C
Gibraltar Finl Corp	D
ICN Pharmaceuticals	D
Manufuacturers Hanover	C
Municipal Bonds	E
Northeast Savings - Hartford	C
Ramtek Corp	B
Repligen Corp	A
Reynolds & Reynolds Cl A	C
SCI Systems Inc.	C
Southmark Corp	A
Tekelec Co.	B
Teknowledge Inc.	B
Thermo Electron Corp	B
UDC Universal Development	C
Ultrasystems Inc.	C
Wainoco Oil Co	B
Watts Industries Cl A	C

Sales during 1986

Adobe Res. Corp	B
Armstrong World Ind.	D
Atlantic Research Corp	B
Centronics Data Computer	B
Desoto Inc.	A
Glenfed Inc. Holding	C
ICN Pharmaceuticals	D
Inexco Oil Co.	A
Municipal Bonds	E
National City Corp	D
Northeast Savings - Hartford	C
Regal International	A
Reynolds & Reynolds Cl A	A
SCI Systems Inc.	D
Scientific Atlanta	C
Southmark Corp	C
Starrett Housing Corp	D

INSIDE MAIL

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

JUDD ALAN GREGG
(Full Name)

308 CANNON HOB

(Mailing Address)

WASHINGTON, D. C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 2nd State NH

☐ Officer or Employee—Employing Office ________

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as i nts, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Honorarium:National Restaurant Assoc. 5/33		1,000	Charity
" N.E. Life 2/18		2,000	"
" Three D Club 7/21		1,000	"
" Nat'l.Assn. of Independent Insurers 9/29		1,000	"
(all honorarium distributed to charity)			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Indian Head Banks/Tucker, Anthony	Savings Int.	E
Stock and partnership interest (see schedule A)	dividens	E

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ [✓ NO] ____ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See schedule A re: stocks, partnerships and real estate	F
Savings-indian Head Banks-Tucker, Anthony	F
IRA - Tucker, Anthony	B
(all interests in the Harry A. Gregg Revocable Trust of 1965	
were distributed 10/86 and the value is included under	
savings)	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
SEE SCHEDULE B		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
N/A		

[illegible]

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
SEE SCHEDULE C	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
TRUSTEE	CROTCHED MOUNTAIN REHABILITATION FOUNDATION

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5/13/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

SCHEDULE A

	INCOME ITEMS II-B	(ASSET VALUE) ITEMS III
BRISTOL MYERS	C	E
CON. ED.	A	A
GENERAL MOTORS	A	A
IBM	B	B
PENNICHUCK WATERS	A	B
RAYTHEON	C	E
HARRIS	C	C
INDIAN HEAD NATIONAL BANK	D	F
DUPONT	A	C
EXXON	A	B
STANDEX	A	B
HILLSBOROUGH BANK & TRUST	A	B
TYCO LABS. (C.V. BOND)	B	E
FIFTH AVENUE CARD	—	B
CATCH BASIN CLEANING, INC.	—	B
CY GREGG ASS. OF EXETER	—	D
CHANEY AVENUE TRUST (partnership interest-real estate)	—	[illegible] C
KEARSARGE CAPITAL FUND L.P.	—	C
WILTON RD. TRUST (partnership interest-real estate)	—	D
LAND-JEFFERSON, N.H.		E

SCHEDULE A (CONT.)

	INCOME	ASSET VALUE
CY GREGG ASSOCIATES (real estate partnership)	D	D

SCHEDULE B

ASSET	TYPE	DATE	CATEGORY
PENNICHUCK WATER WORKS	(Stock Purchase)	3/86	B
CATCH BASIN CLEANERS	" "	4/86	B
HILLSBOROUGH BANK & TRUST	" "	9/86	B
TYCO LABS	(convert bond purchase)	9/86	E
KEARSARGE CAPITAL FUND L.P.	Purchase	7/86	C
CHANEY AVENUE TRUST	(R.E.)	1/86	C
WILTON RD. TRUST	(R.E.)	4/86	D

SCHEDULE C

PART VII

SOURCE	BRIEF DESCRIPTION
Puerto Rico-USA Foundation	Conference - Puerto Rico
Campbell-Raupe Tax Seminar	Conference - Breckenridge, Colo.
National Restaurant Assn.	Conference Chicago
Nat's. Independent Insurers	Conference Chicago

INSIDE MAIL

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Frank J. Guarini
(Full Name)

Journal Square Plaza
(Mailing Address)

Jersey City, New Jersey 07306

MC

(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 14 State New Jersey

☐ Officer or Employee—Employing Office __________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION?

YES _____ NO _____ NA XX

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION" **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Continuation sheet attached			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Commercial Trust	Interest	C
St. Johns Security Deposit	Interest	A
St. Johns Associates	Interest	A
State of Israel Bond	Interest	A
Peter G. Mangin	Interest	C
Continuation sheet attached		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO XX NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Mortgage Receivable	
Emil Del Baglivo	B
Continuation sheet attached	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
2500 Shs Golden City Bank	10/15/86	D

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
National Community Bank - Mortgage	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description *of gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value *of all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Sole Owner (formerly)	Guarini & Guarini, Lawyers, Jersey City, New Jersey
President	Guarini & Guarini, P.A., Jersey City, New Jersey

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Frank Guarini	May 11th 87

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

[illegible]

Page 1 of 4

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Frank J. Guarini
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)				
A	II. EARNED INCOME				
	SOURCE		TYPE	AMOUNT	DISPOSITION
	Int'l. Management Institute	7/25/86	Honorarium	$1,000	None
	Nat'l. Congress of America	9/26/86	Honorarium	2,000	None
	Pipe Tube Importers	6/19/86	Honorarium	1,000	None
	CPC International	12/4/86	Honorarium	1,500	None
	Deloitte Haskins & Sells	10/14/86	Honorarium	2,000	None
	Wall Street Tax Association	9/15/86	Honorarium	1,000	None

[illegible]

Page 2 of 4

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Frank J. Guarini
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
B	II. UNEARNED INCOME		
	SOURCE	TYPE	CATEGORY
	Hanover Realty Company	Dividends	C
	Lawyers Guild Realty, Inc.	Dividends	E
	Phillips Petroleum	Dividends	A
	Shearson American Express	Dividends	C
	Aruba Paint	Dividends	A
	116 Newark Avenue Corp.	Dividends	E
	590 Newark Avenue Corp.	Dividends	E
	600 Newark Avenue Corp.	Dividends	A
	American Continental Corp.	Dividends	A
	Wilshire Oil	Dividends	A
	Tara House Food	Dividends	A
	Veterans Administration	Dividends	A
	Guarini & Guarini, P.A.	Gross Rent	D

Page 3 of 4

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Frank J. Guarini
(Name)

Continuation Sheet

Part

Source, Type, Amount, Category, Description or Value (As Applicable)

III. HOLDINGS

IDENTITY	CATEGORY
Deposits	
Commercial Trust Co.	D
Carribean Mercantile Bank N.V.	A
Investment in Corporate Stock	
Lawyers Guild Realty, Inc.	F
590 Newark Avenue, Inc.	F
Hanover Realty and Mortgage Co.	D
116 Newark Avenue Corp.	F
F.J.G. Investment Company	E
600 Newark Avenue Corp.	E
Great Notch Development Corp.	D
Pension Funds	
First State Bank of N.J.	C
IRA - Shearson Lehman Bros.	B
Fidelity Union Bank	B
Investment in Limited Partnerships	
Cedars Industrial Park	
One half interest	
(Owns 93 acres of undeveloped land in North Bergen and Secaucus, N.J.)	F
Paradise Club Co.	
4.9% Interest in profits and losses	
(Real estate in Toms River, N.J.)	A
PHM Joint Venture	
15% Interest	
(Real estate development)	Unexercised participation
Henry Energy Corporation	
1980 Drilling Program No. 1	
3.54% interest	
(Oil well drilling)	A
Journal Square Plaza Associates	
15% Interest	
(Office building)	F
Property	
Land - Undeveloped 6 acres located on Grand Street and Pacific Avenue, Jersey City, N.J.	F
One family condominium located in Harmon Cove, Secaucus, N.J.	E
One family house located in the Netherland, Antilles	E
Cooperative Apartment, New York City	E

Continued

[illegible]

Page 4 of 4

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Frank J. Guarini
(Name)

Continuation Sheet

Part

Source, Type, Amount, Category, Description or Value (As Applicable)

III. HOLDINGS

IDENTITY	CATEGORY
Stocks and Bonds	
Classic Mining Corp.	A
Wilshire Oil Co. of Texas	D
Trust Company of New Jersey	C
Pauite Oil & Mining Corp.	A
Pro-Scan, Inc.	A
Brilund Mines, Ltd.	A
State of Israel Bond	A
Phillips Petroleum Co.	B
Canada Southern Petroleum	B
Arnet, Inc. (formerly Sunlite Oil Company)	A
Mark IV Industries	C
Columbus Citizens Comm.	A
CFAC Credit Union	A
Game-A-Tron Corp.	A
Henry Energy Corp.	A
Nickling Resources (formerly American Enersource Corp.)	C
Tellers Industries (formerly TONM Oil & Gas Exp. Corp.)	A
Laser Technics	A
Aruba Paint	A
National Data Communications	A
Radiation Service Assoc.	A
Golden City Bank	D
Funds	
Shearson Lehman Bros.	
Daily Dividend Fund	C
Deposit	
St. Johns Associates Condominium	D

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Hon. Steven C. Gunderson
(Full Name)

Route #2
(Mailing Address)

Osseo, WI 54758

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 3 State WI

☐ Officer or Employee—Employing Office ____

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Offical Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO ____ NA XX

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION." **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
see attached continuation sheet			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category: A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Mobile Home (Route 2, Osseo)	Rent	A
English Basement Apt. (648 South Carolina Ave., Washington DC)	Rent	C
Federal Row House (648 South Carolina Avenue, Washington, DC)	Rent	C
Pigeon Falls State Bank	Interest	A
Wright-Patman Federal Credit Union	Interest	A

NOTE: For Parts III, IV and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Mobile Home, Route 2, Osseo, WI	C
Federal Row House, 648 South Carolina Avenue, S.E., Washington, DC	E
Pigeon Falls State Bank--Savings Account	A
John O. Melby Bank--Individual Retirement Account	B
Wright Patman Federal Credit Union--Savings Account	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Federal Row House, 648 South Carolina Avenue, S.E., Washington, DC--SOLD	9/86	E

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Pigeon Falls State Bank	C
Red Hill Savings and Loan	E

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
see attached continuation sheet	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Trustee	Gallaudet College (by appointment of the Speaker of the House of Representatives)

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	none	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	13 May, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 205

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987 69-232 (a)

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Hon. Steven C. Gunderson
(Name)

Continuation Sheet

Part — *Source, Type, Amount, Category, Description or Value (As Applicable)*

II. A. — EARNED INCOME:

1/15/86	Am. Bakers Assoc.	$ 750.00	honorarium
3/9/86	Wartburg Theo. Seminary	$ 500.00	honorarium (*)
3/6-8/86	Commodity Exchange, Inc.	$1,000.00	honorarium
4/5/86	Nat'l Assoc./Temp. Serv.	$ 500.00	honorarium
6/2/86	Farm Credit Serv/St.Paul	$1,000.00	honorarium
6/2/86	Land O'Lakes, Inc.	$ 500.00	honorarium
6/2/86	CENEX	$ 500.00	honorarium
6/9/86	CIBA-GEIGY	$1,000.00	honorarium
6/10/86	Nat'l Assoc./Temp. Serv.	$ 500.00	honorarium
6/20/86	Manville Corporation	$2,000.00	honorarium
8/26/86	Monsanto	$1,000.00	honorarium
12/2/86	Nat'l Milk Producers	$1,000.00	honorarium
12/11/86	Am. Sugar Cane League	$1,000.00	honorarium

(*) $500.00 honorarium check received for speaking engagement at Wartburg Theological Seminary was returned to the Seminary uncashed.

VII. — REIMBURSEMENTS.

Wartburg Theological Seminary (speaking event)	--airfare, Minneapolis to Washington, DC
Commodity Exchange, Inc. (speaking event/legislative conference)	--roundtrip airfare, Washington, DC to Boca Raton, FL; 3 days food/lodging
Upper Miss River Conservation Commission (speaking event)	--roundtrip airfare Washington, DC to St. Louis, MO; 1 night food/lodging
Nat'l Assoc. of Temporary Serv. (speaking event)	--airfare, La Crosse, WI to Chicago, IL to Washington, DC
CIBA-GEIGY (speaking event)	--roundtrip airfare, Washington, DC to Greensboro, NC
Manville Corporation (speaking/fact-finding event)	--roundtrip airfare, Washington, DC to Denver, CO, 1 night food/lodging
Monsanto (speaking/fact-finding event)	--roundtrip airfare, Washington, DC to St. Louis, MO
National Milk Producers Fed. (speaking event)	--roundtrip airfare, Washington, DC to Las Vegas, NV; 1 night meals/lodging
Nat'l University Continuing Education Assoc. (speaking event/legislative conference)	--roundtrip airfare, Washington, DC to Charleston, SC; 2 days meals/lodging
American Sugar Cane League (speaking/fact-finding event)	--roundtrip airfare, Washington, DC to New Orleans, LA; 1 night meals/lodging

GPO 1986 61-688 (m)

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Ralph M. Hall
(Full Name)

236 Cannon Building
(Mailing Address)

Washington, DC 20515

HC (Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 4th State Texas

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed, identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "print outs" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure; all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION* YES ______ NO __x__ NA ______

* For more information, see detailed Instruction Booklet at page 7

II. INCOME

GENERAL GUIDELINES

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
None			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed, Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See Attached Schedule		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See Attached Schedule	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
51,494 Shares Crowley Holding Company	Various	E
400 Shares Lakeside National Bank	Various	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
See Attached Schedule	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Wilson, Burk and Associates Walker Wilson P.O. Box 567 Overton, Texas 75684	transportation, lodging and food for six day fact finding trip in Lagos, Nigeria

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
See Attached Schedule	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
[illegible]	State of Texas	Retirement Annuity

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Ralph M. Hall	5-12-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

[illegible]

RALPH M. HALL

II.B INCOME	SOURCE	TYPE	CATAGORY
	State of Texas	Annuity	E
	Lakeside National Bank	Interest	A
	Bank of Crowley	Interest	A
	First National Bank	Interest	A
	K. Maroney	Interest	A
	Citizens State Bank	Interest	A
	Linrock, Inc.	Interest	A
	R. Hall	Interest	D
	B. Hall	Interest	D
	J. Hall	Interest	D
	Lakeside National Bank	Dividend	A
	General Apartment Fund #10	Partnership	D
	Land	Rental	A
	Building	Rental	B
	Bank Building	Rental	E
	Oil Property	Production	D
	General Apartment Fund #10	Capital Gain	A

RALPH M. HALL
III. Holdings

Identity	Catagory
Securities:	
Farprit, Inc.	A
Lakeside National Bank	B
North & East Trading Company	D
Lakeside Bancshares, Inc.	F
Crowley Holding Company	F
REAL ESTATE:	
Office Building, Caddo Mills, Texas	A
1/2 Interest 52.36 Acres, Wood County, Texas	C
Airport Hangar, Rockwall, Texas	C
15 Acres, Henderson County Texas	D
1/3 Interest in 400.37 Acres, Jack County, Texas	D
1/3 Interest in 427.37 Acres, Jack County, Texas	D
163.54 Acres, Wood County, Texas	E
2.6547 Acres, Rockwall, Texas	E
Condominium, Washington, DC	E
Bank Building, Rockwall, Texas	E
Office Building, Rockwall, Texas	E
200 Acres, Hunt County, Texas	F
353.57 Acres, Hunt County, Texas	F
PARTNERSHIP INTEREST:	
14% Gar-Com Limited Garland, Texas	A
10% General Apartment Fund #10 Longview, Texas	C
40% H&H Properties Rockwall, Texas	D
PERSONAL PROPERTY:	
Oil Well Interest Caddo Parrish, Louisianna	E
Law Office Rockwall, Texas	C
Cash Accounts	D
NOTES RECEIVABLE:	
Kenneth Maroney Terrell, Texas	E
North & East Trading Co Rockwall, Texas	B
J. Hall Rockwall, Texas	D
B. Hall Rockwall, Texas	D
R. Hall Rockwall, Texas	E

RALPH M. HALL

V. Liabilities

Identity	Catagory
L. Wilcox Rockwall, Texas	B
G. Burgamy Rockwall, Texas	B
F. Oliver Austin, Texas	C
M. Springer Wills Point, Texas	C
Lakeside National Bank Rockwall, Texas	D
Union Security Dallas, Texas	D
National Permanent Federal Washington, DC	D
Garland Federal Savings & Loan Garland, Texas	D
Bank of Crowley Crowley, Texas	E
Republic Bank Garland, Texas	E
First National Bank Garland, Texas	E
Colonial Bank Greenville, Texas	F

Amounts Paid During 1986:

M-Bank Fort Worth, Texas	E
First State Bank Rockwall, Texas	E

RALPH M. HALL

VIII. Positions

Position	Name of Organization
Chairman & Director	Crowley Holding Company
Director	Bank of Crowley
President & Director	Lakeside Bancshares, Inc.
Chairman & Director	Lakeside National Bank
Partner	H&H Properties
Partner	General Apartment Fund #10 Longview, Texas
Partner	Gar-Con Ltd.
Chairman & Director	Linrock, Inc.
President & Director	North & East Trading Company
Director	Lake Pointe Medical Center

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Tony P. Hall
(Full Name)

1201 Wake Forest Drive
(Mailing Address)

Alexandria, VA. 22307

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

X Member of the U.S. House of Representatives—District 3rd State Ohio

☐ Officer or Employee—Employing Office ____

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
4/18/86 Cornell Rice & Sugar Co.	Honoraria	$2,000.	
7/16/86 Am. Truckers Assoc., Inc.	Honoraria	1,000.	
9/17/86 Nat'l Restaurant Assoc.	Honoraria	1,000.	
10/16/86 Bldg. Owners & Managers Assoc.,Int'l.	Honoraria	1,000.	
10/29/86 Greater Dayton Apt. Assoc.	Honoraria	500.	
Janet Hall - Hall's Hand Laundry	Salary	5,400.	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Mortgage Contract-Nick Stamas,104 E. 3rd St. Bldg.	Interest	B
Society Bank(formerly 3rd Nat'l of Dayton)	Interest	A
U.S. Treasury Bills (part of E.F.Hutton Inv. Acct.)	Interest	B
Perpetual Am. Bk, VA,(Clifford Trust for Jyl & Matthew Hall by Tony P. and Janet Hall)	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000, F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO XX NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Mortgage Contract-Nick Stamas-104 E. 3rd St.Bldg, Dayton, Ohio	C
1/3 Interest- Hall's Hand Laundry, Inc.(Family business)	E
1.98% Partnership Int.-Benedict A. Silverman,etal,R.O. Assoc.(K-Mart lease)	C
E.F. Hutton Investment Account(see attached sheet detailing losses)	E
Jyl & Matthew Hall(Clifford Trust set up by Tony P. & Janet Hall)	B
U.S. Treasury Bills(part of overall above E.F.Hutton account)	C
E.F.Hutton acct. for Jyl & Matthew Hall, 100 shares Wendy's/20 shares Mattel 1,000 shares Techniclon Int'l.	"A

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
E. F. Hutton Investment Acct. (see attached sheets re: loss)	1/86-7/86	E
U.S. Treasury Bills (from E.F. Hutton Acct. 3/18/86	5/1/86	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE BRIEF DESCRIPTION

Trade & Tourism Alliance, Inc., provided roundtrip airfare between Washington, D.C. & Athens, Greece for Tony P. and Janet Hall, July 5 - July 11, 1987 and food and lodging for same period for participation in speaking engagement and conference (see attached explanation letter).

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
President	Hall's Hand Laundry, Inc. (family business).
Partner (1.98% interest)	Benedict A. Silverman, etal, R.O. Assoc. (K-Mart lease).

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 14, 1987

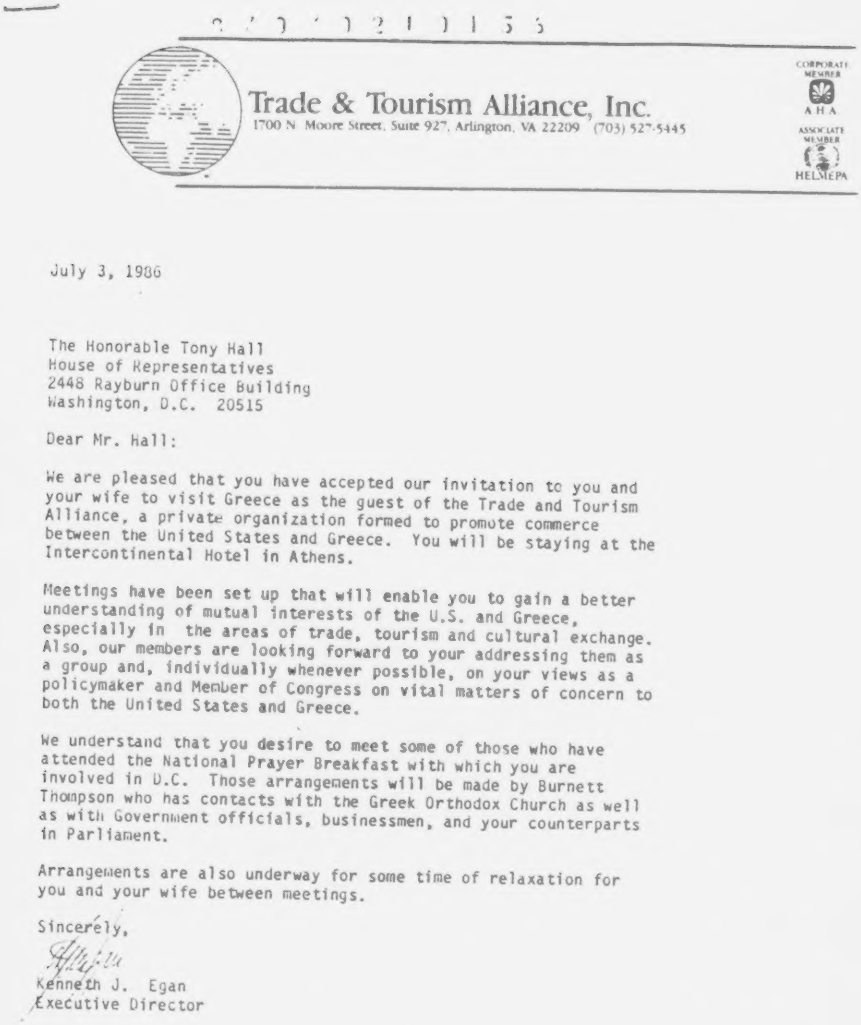
WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 69-232 (a)



Trade & Tourism Alliance, Inc.
1700 N. Moore Street, Suite 927, Arlington, VA 22209 (703) 527-5445

CORPORATE MEMBER
AHA
ASSOCIATE MEMBER
HELMEPA

July 3, 1986

The Honorable Tony Hall
House of Representatives
2448 Rayburn Office Building
Washington, D.C. 20515

Dear Mr. Hall:

We are pleased that you have accepted our invitation to you and your wife to visit Greece as the guest of the Trade and Tourism Alliance, a private organization formed to promote commerce between the United States and Greece. You will be staying at the Intercontinental Hotel in Athens.

Meetings have been set up that will enable you to gain a better understanding of mutual interests of the U.S. and Greece, especially in the areas of trade, tourism and cultural exchange. Also, our members are looking forward to your addressing them as a group and, individually whenever possible, on your views as a policymaker and Member of Congress on vital matters of concern to both the United States and Greece.

We understand that you desire to meet some of those who have attended the National Prayer Breakfast with which you are involved in D.C. Those arrangements will be made by Burnett Thompson who has contacts with the Greek Orthodox Church as well as with Government officials, businessmen, and your counterparts in Parliament.

Arrangements are also underway for some time of relaxation for you and your wife between meetings.

Sincerely,

Kenneth J. Egan
Executive Director

C18 90618 HALL

SCHEDULE OF CAPITAL GAINS AND LOSSES

1986

1 IBER F ES OR ALUE INDS	2 DESCRIPTION OF SECURITIES SOLD THIS YEAR	3 DATE ACQUIRED	4 DATE SOLD	5 COST BASIS		6 PROCEEDS OF SALE*		SHORT-TERM GAIN (LOSS)		LONG-TERM GAIN (LOSS)	
	STOCKS										
300	MCA	10-31-85	7-24-86	16 130	00	14,225	22			(-1904	78
100	IFF	12-20-85	5-23-86	3767	50	3915	86	148	36		
100	AMH	12-20-85	4-16-86	1432	50	1416	45	(-16	05)		
100	SAGA	12-20-85	6-30-86	1725	50	1919	00			193	50
300	GLD	12-31-85	8-27-86	9445	50	7747	23			(-1698	27)
300	U	1-17-86	8-6-86	11,232	00	9281	68			(-1950	32
25/500	DIS (4:1 SPLIT)	2-24-86	6-27-86	16,295	00	24,614	16	8319	16		
200	WEN (5:4 SPLIT)	3-17-86		3673	00						
300	WEN	3-27-86	8-5-86 (700)	5835	00	10,667	64	(-1775	11)		
175	WEN	5-27-86		2934	75						
200	GXY	3-19-86	5-21-86	3192	00	2688	90	(-503	10)		
200	AGE	5-20-86	8-5-86	5520	00	4891	83	(-628	17)		
100	RBOK	5-27-86	6-11-86	6596	00	7704	00	1108	00		
100	RIGS	5-29-86	8-5-86	3856	00	3560	50	(-295	50)		
	OPTIONS										
3c	MCA FEB 55	1-16-86	12-11-85	188	24	479	30	291	06		
3c	MCA MAY 55	12-19-85	5-2-86	1256	49	76	75	(-1179	74)		
3p	MCA FEB 65	12-19-85	EXPIRED	292	82	—		(-292	82)		
3c	MCA MAY 50	12-18-85	3-24-86	1751	80	1364	86	(-386	94)		
3p	MCA FEB 50	2-18-86	12-24-85	380	18	737	59	357	41		
20c	OXY FEB 220	EXPIRED	12-30-85	—		1586	43	1586	43		
			CARRIED FORWARD			TOTALS		6,732	99	(-5359	87)

*NET AFTER SELLING EXPENSES & TAXES

RECORD ADDITIONAL TRANSACTIONS ON REVERSE SID

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C18 90618 HALL

SCHEDULE OF CAPITAL GAINS AND LOSSES

1986

1 IBER F ES OR ALUE NDS	2 DESCRIPTION OF SECURITIES SOLD THIS YEAR	3 DATE ACQUIRED	4 DATE SOLD	5 COST BASIS		6 PROCEEDS OF SALE*		SHORT-TERM GAIN (LOSS)		LONG-TERM GAIN (LOSS)	
	BROUGHT FORWARD							6732	99	(-5359	87)
20p	OEX FEB 190	1-30-86	12-30-85	626	23	1003	29	1152	89		
10p			1-13-86			775	83				
1c	IFF FEB 40	EXPIRED	12-30-86	–		60	45	60	45		
2c	OEX JAN 210	1-13-86	1-8-86	29	75	325	05	295	30		
5p	OEX FEB 200	1-9-86	1-10-86	686	98	2038	92	1351	94		
10c	OEX FEB 215	2-18-86	1-13-86	417	49	554	16	136	67		
5p	OEX FEB 195	1-14-86	1-23-86	1067	99	1208	71	140	72		
3c	OEX FEB 210	1-22-86	1-21-86	148	50	313	25	164	75		
20p	OEX MAR 185	1-30-86	1-21-86	556	65	1119	91	563	26		
20c	OEX MAR 215	2-14-86	1-21-86	4068	39	1469	80	(-2598	59)		
5c	OEX MAR 210	1-24-86	1-27-86	453	17	593	74	140	57		
2p	OEX FEB 200	1-24-86	1-27-86	1073	54	642	94	(-430	58)		
2c	OEX FEB 200	1-30-86	1-27-86	1230	00	435	66	(-794	34)		
1p	OEX FEB 205	1-27-86	2-6-86	694	28	399	78	(-294	30)		
3c	MCA AUG 55	5-21-86	1-28-86	437	33	885	17	447	84		
3c	OEX FEB 205	1-30-86	1-29-86	931	01	460	85	(-470	16)		
30p	OEX FEB 195	2-11-86	1-30-86	417	49	1528	11	1110	62		
1p	OEX FEB 200	2-7-86	1-30-86	111	93	131	24	19	32		
20p	OEX MAR 190	2-14-86	1-30-86	695	81	1119	91	424	10		
3c	U SEP 40	4-4-86	1-31-86	684	98	883	17	198	19		
1c	AMH MAY 17½	2-27-86	1-31-86	29	85	60	48	30	63		
5c	OEX FEB 205	2-5-86	2-7-86	1512	49	1983	78	470	29		
10c	OEX FEB 210	2-14-86	2-6-86	1229	71	897	22	(-332	49)		
				CARRIED FORWARD		TOTALS		8,520	07	(-5359	87)

*NET AFTER SELLING EXPENSES & TAXES

RECORD ADDITIONAL TRANSACTIONS ON REVERSE SID

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C18 90618 HALL **SCHEDULE OF CAPITAL GAINS AND LOSSES** 1986 ③

1 IBER F ES OR ALUE INUS	2 DESCRIPTION OF SECURITIES SOLD THIS YEAR	3 DATE ACQUIRED	4 DATE SOLD	5 COST BASIS		6 PROCEEDS OF SALE*		SHORT-TERM GAIN (LOSS)		LONG-TERM GAIN (LOSS)	
	BROUGHT FORWARD							8520	07	(-5359	87)
2c	OEX FEB 205	2-6-86	2-7-86	1893	50	818	14	289	50		
3c			2-10-86			1364	86				
20c	OEX MAR 210	2-14-86	2-10-86	7228	79	8748	25	1519	46		
20c	OEX APR 215	2-10-86	2-28-86	6217	46	15,668	70	9451	24		
30p	OEX FEB 200	EXPIRED	2-11-86	–		1003	29	1003	29		
20p	OEX APR 200	2-11-86	3-11-86	5711	80	914	98	(-4796	82)		
6p	OEX MAR 200	2-18-86	2-13-86	2550	84	973	52	468	40		
14p			2-14-86			2045	42				
20c	XMI FEB 305	2-18-86	2-19-86	2413	80	3079	58	665	78		
10c	XMI FEB 305	2-18-86	2-18-86	1769	30	1477	24	(-292	06)		
20c	OEX MAR 215	3-6-86	2-18-86	5417	17	5288	01	(-129	16)		
20p	OEX MAR 205	2-20-86	2-20-86	1780	14	2445	60	665	46		
1c	IFF MAY 40	CALLED	2-24-86	–		74	99	74	99		
10p	OEX APR 195	3-5-86	2-26-86	269	83	325	38	55	55		
10p	OEX APR 195	EXPIRED	2-26-86	–		450	44	450	44		
44/1c	DIS JUL 140 (4:1 SPLIT)	5-1-86	2-28-86	4564	66	794	54	(-3770	12)		
20c	OEX MAY 220	3-3-86	3-24-86	15,066	60	22,103	85	7037	25		
20c	OEX MAR 220	3-24-86	3-6-86	4418	00	1987	39	(-2430	61)		
20p	OEX APR 205	3-13-86	3-7-86	1513	41	2569	26	1055	85		
20p	OEX MAY 205	3-11-86	3-27-86	4700	47	1469	80	(-3230	67)		
3c	MCA MAY 60	4-28-86	3-12-86	129	75	182	42	52	67		
1c	DIS MAR 36½	EXPIRED	3-13-86	–		20	14	20	14		
20p	XMI MAR 315	EXPIRED	3-14-86	–		2076	04	2076	04		
			CARRIED FORWARD			TOTALS		18,756	69	(-5359	87)

*NET AFTER SELLING EXPENSES & TAXES

RECORD ADDITIONAL TRANSACTIONS ON REVERSE SID

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C18 90618 HALL **SCHEDULE OF CAPITAL GAINS AND LOSSES** 1986 (4)

1 IBER F ES OR ALUE INUS	2 DESCRIPTION OF SECURITIES SOLD THIS YEAR	3 DATE ACQUIRED	4 DATE SOLD	5 COST BASIS		6 PROCEEDS OF SALE*		SHORT-TERM GAIN (LOSS)		LONG-TERM GAIN (LOSS)	
	BROUG I FORWARD							18,756	69	(-5359	87
20p	OEX MAR 225	3-26-86	3-20-86	5996	00	2199	08	(-3796	92)		
5c	OEX MAY 235	3-20-86	4-22-86	2338	00	1883	81	(-454	19)		
~~3c~~	~~MCA MAY 30~~		~~3-20-86~~			~~[illegible]~~					
35c	OEX MAY 235	3-26-86	4-22-86	12,173	07	13,187	57	1014	50		
40c	OEX APR 235	4-2-86	3-27-86	4297	80	7903	76	3605	96		
35p	OEX APR 215	3-27-86	3-26-86	3313	89	4963	60	1649	71		
10c	WEN SEP 17 ½	3-27-86	5-21-86	3258	39	3763	87	505	48		
20p }	OEX MAY 210	3-27-86 }	4-2-86	2930	64 }	4314	81	(-1489	12)		
15p }		3-27-86 }		2873	39 }						
5p	XMI APR 325	4-10-86	4-2-86	1353	74	1485	45	131	71		
35p	OEX APR 220	4-7-86	4-2-86	4872	53	7775	04	2902	51		
35p	OEX JUN 215	4-2-86	EXPIRED	11,286	16	—		(-11,286	16)		
35p	OEX APR 220	4-9-86	4-4-86	5757	45	8423	83	2666	38		
5p	XMI MAY 315	4-8-86	EXPIRED	3793	23	—		(-3793	23)		
40c	OEX APR 230	4-17-86	4-9-86	4475	48	3452	46	(-1023	02)		
10p	XMI MAY 320	4-9-86	4-9-86	6545	21	6946	05	400	84		
10c	XMI APR 340	4-14-86	4-10-86	974	14	1327	83	353	69		
5c	DIS APR 40	4-18-86	4-10-86	3287	46	331	67	(-2955	79)		
4c	DIS MAY 40	4-10-86	5-8-86	1060	64	2169	27	1108	63		
3c	U JUN 40	EXPIRED	4-10-86	—		199	00	199	00		
5p	XMI APR 315	EXPIRED	4-14-86	—		193	47	193	47		
15p	OEX APR 225	EXPIRED	4-17-86	—		581	87	581	87		
40c	OEX APR 235	EXPIRED	4-18-86	—		1353	17	1353	17		
				CARRIED		FORWARD TOTALS		10,625	18	(-5359	87)

*NET AFTER SELLING EXPENSES & TAXES

RECORD ADDITIONAL TRANSACTIONS ON REVERSE SID

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C18 90618 HALL **SCHEDULE OF CAPITAL GAINS AND LOSSES** 1986 ⑤

1	2	3	4	5		6					
IBER F ES OR ALUE INDS	DESCRIPTION OF SECURITIES SOLD THIS YEAR	DATE ACQUIRED	DATE SOLD	COST BASIS		PROCEEDS OF SALE*		SHORT-TERM GAIN (LOSS)		LONG-TERM GAIN (LOSS)	
	BROUGHT FORWARD							10, 625	18	(-5359	87
20c	OEX MAY 225	4-18-86	4-17-86	5182	98	7018	12	1835	14		
20p	OEX MAY 225	4-18-86	5-1-86	5182	80	3805	06	(-1377	74)		
40c	OEX MAY 240	4-29-86	4-21-86	4297	80	6173	65	1875	85		
30p	OEX MAY 220	5-5-86	4-22-86	3047	13	3802	34	(-422	26)		
25p		5-7-86		1177	45						
5c	WEN JUN 22 ½	5-21-86	4-22-86	301	43	808	98	507	55		
5c	XMI MAY 350	4-22-86	4-22-86	2592	01	2963	86	371	85		
35p	OEX APR 215	4-14-86	4-10-86	487	07	1382	32	895	25		
5p	XMI APR 315	EXPIRED	4-11 86	–		193	47	193	47		
40c	OEX AUG 245	4-22-86	EXPIRED	19,973	42	–		(-19,973	42)		
10p	XMI MAY 320	5-6-86	4-30-86	269	83	443	33	173	50		
40c	OEX JUN 240	5-9-86	5-6-86	4297	80	6667	97	2370	17		
3c	WEN JUN 22 ½	5-21-86	5-6-86	524	85	149	25	(-163	43)		
7c			5-7-86			232	17				
20p	OEX JUN 210	5-13-86	5-8-86	695	81	2606	91	1389	24		
15p		5-15-86		521	86						
40c	OEX JUN 240	5-16-86	5-12-86	1913	51	3601	16	1687	65		
5c	XMI MAY 340	5-16-86	5-13-86	488	03	870	47	382	44		
4c	DIS MAY 50	5-12-86	4-30-86	88	59	443	33	244	00		
5c		5-14-86		110	74						
5p	XMI MAY 335	EXPIRED	5-13-86	–		76	75	76	75		
5c	DIS JUL 55	5-20-86	5-14-86	313	74	470	75	157	01		
10c	XMI JUN 350	5-19-86	5-16-86	1356	67	2066	02	709	35		
			CARRIED	FORWARD		TOTALS		1577	55	(-5359	87)

*NET AFTER SELLING EXPENSES & TAXES

RECORD ADDITIONAL TRANSACTIONS ON REVERSE SID

The information above has been obtained from sources believed reliable but is not necessarily complete and cannot be guaranteed. Any opinions expressed are subject to change without notice. Neither the information presented nor any opinion expressed, constitutes a representation by us or a solicitation of the purchase or sale of any securities. From time to time, this corporation, its officers, stockholders, or members of their families may have a position in the securities mentioned. Copyright © E.F. Hutton & Company Inc. 1980

C18 90618 HALL

SCHEDULE OF CAPITAL GAINS AND LOSSES

1986

1 IBER F ES OR ALUE INDS	2 DESCRIPTION OF SECURITIES SOLD THIS YEAR	3 DATE ACQUIRED	4 DATE SOLD	5 COST BASIS		6 PROCEEDS OF SALE*		SHORT-TERM GAIN (LOSS)		LONG-TERM GAIN (LOSS)	
	BROUGHT FORWARD							1577	55	(-5359	
35p	OEX JUN 220	5-21-86	5-16-86	6843	59	8403	83	1560	24		
25p	OEX JUL 215	5-21-86	7-16-86	4273	50	412	69	(-5945	23)		
10p		5-21-86		2084	42						
10c	XMI JUN 340	5-29-86	5-21-86	14,631	14	4227	30	(-10,403	84)		
5c	DIS JUN 50	CALLED	5-21-86	—		221	11	221	11		
30c	OEX JUN 230	5-28-86	5-21-86	15,939	72	5926	49	(-17,101	88)		
10c		5-29-86		7088	65						
3c	MCA NOV 55	7-15-86	5-28-86	462	18	700	68	238	50		
10c	XMI JUN 360	6-4-86	5-29-86	2245	41	3155	52	910	11		
40c	OEX JUL 245	6-5-86	5-29-86	6004	11	8150	92	2146	81		
8c	WEN JUN 17½	EXPIRED	5-29-86	—		353	78	353	78		
10c	XMI JUL 355	5-29-86	6-25-86	7842	90	9645	44	1802	54		
2c	AGE AUG 30	7-18-86	5-30-86	70	81	165	28	94	47		
10c	XMI JUN 360	6-5-86	6-4-86	2118	45	2867	93	749	48		
40c	OEX JUL 245	6-10-86	6-6-86	3434	76	6915	12	3480	36		
10c	XMI JUN 360	6-10-86	6-6-86	1610	60	2373	60	763	00		
35p	OEX JUN 220	6-18-86	6-10-86	243	54	805	57	562	03		
40c	OEX JUL 240	6-18-86	6-12-86	13,199	89	6667	97	(-6531	92)		
10c	XMI JUN 355	6-18-86	6-12-86	4700	19	1881	48	(-2818	71)		
5p	OEX JUL 230	6-18-86	6-18-86	1544	24	931	97	612	27		
10p	OEX JUL 220	6-20-86	6-18-86	594	37	1178	33	253	66		
25p		6-26-86		330	20						
10c	XMI JUN 365	6-23-86	6-20-86	73	61	332	49	258	88		
			CARRIED	FORWARD		TOTALS		(-27,216	79)	(-5359	57

*NET AFTER SELLING EXPENSES & TAXES

RECORD ADDITIONAL TRANSACTIONS ON REVERSE SID

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C18 90618 HALL

SCHEDULE OF CAPITAL GAINS AND LOSSES

1986 ⑦

1 IBER F ES OR ALUE NDS	2 DESCRIPTION OF SECURITIES SOLD THIS YEAR	3 DATE ACQUIRED	4 DATE SOLD	5 COST BASIS		6 PROCEEDS OF SALE*		SHORT-TERM GAIN (LOSS)		LONG-TERM GAIN (LOSS)	
	BROUGHT FORWARD							(-27,216	79)	(-5359	87)
10c	XMI JUL 365	6-25-86	6-23-86	3258	39	3609	40	351	01		
4pc	OEX JUL 265	7-8-86	6-24-86	528	33	2985	95	2457	62		
10c	OEX AUG 250	7-8-86	6-26-86	462	29	1444	65	982	36		
35p	OEX JUL 220	7-11-86	7-9-86	693	43	1879	60	1186	17		
40c	OEX AUG 240	7-15-86	7-11-86	3898	71	5590	98	1692	27		
40c	OEX AUG 240	EXPIRED	7-23-86	—		1668	18	1668	18		
				TOTALS				(-$18,879	18)	(-$5359	87)

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A (For use by Members, officers, and employees)

Lee Herbert Hamilton
(Full Name)

2187 Rayburn House Office Building
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 9 State Indiana

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
(See attached sheet)			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Sears Roebuck and Company	dividend	D
General Motors	dividend	A
AT&T	dividend	A
Pac. Telesis	dividend	A
United Virginia Bank	interest	A
Wright Patman Congressional Federal Credit Union	interest	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Sears Roebuck and Co. Stock	E
General Motors Stock	A
AT&T Stock	A
Pac. Telesis Stock	A
Growth Fund of Washington IRA	B
AMCA Funds IRA	A
United Virginia Bank Money Market Fund	B
Wright Patman Congressional Federal Credit Union Money Market Fund	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION		DATE	CATEGORY
IRA Growth Fund of Washington	purchased	3/10/87	A
IRA Rollover Growth Fund of Washington	purchased	3/10/87	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
Lockheed & TRW jointly shared	Round trip airfare for self and spouse
(Speech to each)	Washington,DC - California
Association of Business Publishers	Round trip airfare for self and spouse
(Speech)	Washington, DC - Florida, food/lodging
Pratt Whitney	Roundtrip airfare for self and spouse
(Speech)	Washington, DC - Florida, food/lodging
Aspen Institute (Seminar participant)	Food/lodging for self and spouse

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5-14-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

Page ____ of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

LEE H. HAMILTON (9-Indiana)
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II	SOURCE	TYPE	AMOUNT
	TRW	Hon	1,000.00
	Christian Science Monitor	Article	85.00
	Lockheed	Hon	1,000.00
	Baltimore Sun	Article	100.00
	Pratt Whitney	Hon	1,000.00
	L. A. Times	Article	150.00
	The American University	Hon	400.00
	The Kroger Company	Hon	1,000.00
	The American University	Hon	400.00
	Gannett News	Article	125.00
	Assn. of Business Publishers	Hon	2,000.00
	Indiana University	Hon	200.00
	Bradley Woods	Hon	1,000.00
	The American University	Hon	400.00
	Hawaiian Sugar Growers	Hon	1,000.00
	The Washington Post	Article	100.00
	The Washington Post	Article	200.00
	Nat'l Assn. of Arab Americans	Hon	2,000.00
	Home Box Office	Hon	1,000.00
	Christian Science Monitor	Article	100.00
	The American University	Hon	400.00
	The Washington Institute	Hon	1,000.00
	The American University	Hon	750.00
	Cray Research, Inc.	Hon	1,500.00
	Carnegie Endowment (Foreign Policy Magazine)	Article	150.00
	Paine Webber	Hon	2,000.00
	IMDI (Int'l Management Development Inst.)	Hon	250.00

GPO 1986 61-688 (m)

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

John Paul Hammerschmidt
(Full Name)

U. S. House of Representatives
(Mailing Address)

Washington, D. C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 3 State Arkansas

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

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REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
First Federal Savings & Loan Assn, Harrison, AR	Directors fee	$6,000	
U. S. Air Force Reserve retirement	Retired Pay	$4,586.28	
First Federal Savings & Loan Assn. Harrison, AR	Board meeting expenses	$1,707.22	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
First National Bank, Harrison, AR	interest	A
Government Investors Trust, Arlington, VA	"	A
New York Life Insurance Co, New York, NY	"	C
First Federal Savings & Loan, Harrison, AR	"	A
Hammerschmidt Lumber Co, Inc. Harrison, AR	dividend	C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _xx_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
see attached	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
First National Bank, Harrison, AR personal note	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Arkansas Electric Cooperatives, Little Rock, AR	One way air fare, Washington-Fayetteville, AR. Self only. One night lodging. Dedication speaker.

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Chairman of the Board	Hammerschmidt Lumber Company, Inc., Harrison, AR
Director	First Federal Savings and Loan Association, Harrison, AR

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
John Paul Hammerschmidt	May 14, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

[illegible]

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

John Paul Hammerschmidt
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*	
III	Common stock, Hammerschmidt Lumber Company, Inc., Harrison, AR	F
"	Industrial land, 14 acres, Harrison, AR	E
"	Government Investors Trust, Arlington, VA	B
"	First National Bank, Harrison, AR	A
"	Security Bank, Harrison, AR	A
"	First Federal Savings and Loan, Harrison, AR	B
"	Sergeant at Arms, House of Representatives, Washington, D. C.	C
"	New York Life Insurance Company, New York, NY (net loan value)	B
"	1/3rd interest in 100 acre farm (spouse) on Highway 62 E near Bellefonte, AR	D
"	IRA retirement accounts, First Federal Savings and Loan, Harrison, AR	B

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

James V. Hansen
(Full Name)

399 East Oak Lane
(Mailing Address)

Farmington, Utah 84025

✓
MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 1 State Utah

☐ Officer or Employee—Employing Office ______

☒ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION" **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
Weber College	Recd 1-31-86	Honoraria	35.00	
Held Co.Colo Rep. Party	Rcd 2-86	Honoraria	250.00	
Mesa Corp.	Rcd 4-8-86	Honoraria	1,000.00	
CAUSA International	Rcd 4-26-86	Honoraria	1,500.00	
National Parks Concessioners	Rcd 3-31-86	Honoraria	1,000.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Wright-Patman Fed. Credit Union	Interest	B
Utah State Credit Union	"	A
America First Credit Union	"	A
Office Building	Rent	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X____ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Office Building - Farmington, Ut.	D
2 Building lots (1 acre ea.) - Farmincton, Ut.	C
Civil Service Retirement System	C
Personal Savings accounts (Amer 1st, Wright-Pat. & Utah State Credit Unions)	C
U. S. Savings Bonds	A

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
Civil Service Retirement System - Contributions	Monthly	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
Office Building Mortgage	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5/12/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

[illegible]

Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

James V. Hansen
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
II - Income	
	Memorial Estates Rcd 5-26-86 Honoraria 400.00
	Turkish Americans Assoc Rd 6-3-86 Honoraria 2,000.00
	Univ. of Utah Rcd 6-13-86 Honoraria 25.00
	Nat. League of Postmasters 7-22-86 Honoraria 1,000.00
	Cong. Quarterly Inc. Rcd 12-5-86 Honoraria 300.00

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Claude Harris
(Full Name)

1009 Longworth Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 7 State Alabama

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ✓ NA ______

For more information, see detailed Instruction Booklet at page 7.

[illegible]

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Retirement Systems of Alabama	Pension	$39,600.00	
Tuscaloosa County Commission	Pension	$14,400.00	
Army National Guard	Salary	$ 7,155.43	
Harris, Braswell, & Shields	Fees	$ 500.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Tuscaloosa County Credit Union	Interest	A
Amsouth Bank	Interest	A
Southern Farm Bureau Life Ins. Co.	Dividend	A
#7 Twin Circle, Tuscaloosa, Al.	Rent	A
#7 Twin Circle, Tuscaloosa, Al.	Capital Gain	E
Stondhedge IV, Lot 6, Tuscaloosa, Al.	Capital Gain	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ✓ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
2138 Shannon Rd., Lot #1, Bessemer, Al. (½ int.)	B
2138 Shannon Rd., Lot #2, Bessemer, Al. "	"
2138 Shannon Rd., Lot #3, Bessemer, Al. " .	"
2138 Shannon Rd., Lot #4, Bessemer, Al. "	"
2138 Shannon Rd., Lot #5, Bessemer, Al. "	"
2138 Shannon Rd., Lot #6, Bessemer, Al. "	"

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 1'

BRIEF DESCRIPTION	DATE	CATEGORY
Sale of #7 Twin Circle, Tuscaloosa, Al	1/10/86	E

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
First Alabama Bank, Tuscaloosa, Al	C
First National Bank, Tuscaloosa, Al	C
Consolidated American Life Ins. Co., Salt Lake City, Utah	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Partner	Harris, Braswell, & Shields

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 14, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Claude Harris
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*			
II B	Jody L. Hall	Interest	A	
	~~5269 A Bonanza Ct.~~			
	~~Beale AFB, California~~			
II B	2138 Shannon Rd., Lot #1, Bessemer, Al	(½ int.)	rent	A
"	2138 Shannon Rd., Lot #2, Bessemer, Al	"	"	"
"	2138 Shannon Rd., Lot #3, Bessemer, Al	"	"	"
"	2138 Shannon Rd., Lot #4, Bessemer, Al	"	"	"
"	2138 Shannon Rd., Lot #5, Bessemer, Al	"	"	"
"	2138 Shannon Rd., Lot #6, Bessemer, Al	"	"	"
"	226 Hulsey Street, Shannon, Al.	"	"	"
"	Shannon Road, Bessemer, Al			
III	226 Hulsey Street, Shannon, Al	(½ int.)	B	
	Shannon Road, Bessemer, Al.	(½ int)	B	

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT--FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

J. Dennis Hastert
(Full Name)

P.O. Box 386
(Mailing Address)

Yorkville, Illinois 60560

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 14 State Illinois

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

Note: Not a member in 1986

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
State of Illinois - Salary as State Representative	Salary	$ 28,687	
State of Illinois - Per Diem Allowance	Allowance	3,413	
Yorkville Unit District #115 (Spouse) School Teacher	Salary		

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Yorkville National Bank	Interest	A
Opera House Properties (50% interest)	Rent	D-Gross; A-Net
*State Representative Campaign Fund	Rent	B-Gross; B-Net
Tenant Crop Share from Farm	Crop Share	C-Gross; A-Net

*First 6 months only, until nominated to run for Congress

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES [✓ NO] NA

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
104 Acres of Farmland, Shipman, Illinois	D
Ford Van	B
Yorkville National Bank-Farm NOW Account	A
50% Interest in Opera House Properties Partnership*	D
Individual Retirement Accounts-Northwestern Mutual Life	A
State of Illinois Teachers Retirement System/General Assembly Retirement	C

*Sole asset is commercial real estate at 500-502 Lockport St., Plainfield

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Yorkville National Bank	C
Federal Land Bank - St. Louis	B
50% interest in mortgage by Opera House Properties held by	
First Midwest Bank/Joliet	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Not applicable - not a member in 1986	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
Not applicable - not a member in 1986		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Not applicable - Not a member in 1986	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
N/A	State of Illinois - State Employees Retirement System	Pension available at age 55 due to prior service

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Dennis Hastert	5/13/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

HAND DELIVERED [illegible]

J. DENNIS HASTERT
14TH DISTRICT, ILLINOIS

COMMITTEE ON PUBLIC WORKS
AND TRANSPORTATION

COMMITTEE ON GOVERNMENT
OPERATIONS

Congress of the United States
House of Representatives
Washington, DC 20515

515 CANNON BUILDING
WASHINGTON, DC 20515
(202) 225-2976

27 N. RIVER STREET
BATAVIA, IL 60510
(312) 406-1114

100 LAFAYETTE STREET
OTTAWA, IL 61350
(815) 434-6666

May 15, 1987

The Honorable Donnald Anderson
Clerk of the House
H105 Capitol

✓
MC

Dear Mr. Anderson:

It has come to my attention that in the filing of my May 15th financial disclosure statement an omission was inadvertently made.

Under Section 4 (liability) a family loan was not listed. This loan is from my mother-in-law, Jesse M. Kahl, and should be listed as a secured mortgage note ($13,000 -- category B).

I would appreciate the correction of this omission through an addendum to my earlier report. Thank you for your assistance.

Sincerely,

J. Dennis Hastert

J. Dennis Hastert
Member of Congress

JH/pv

UNITED STATES HOUSE OF REPRESENTATIVES

INSIDE MAIL

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A (For use by Members, officers, and employees)

Charles Floyd Hatcher
(Full Name)

405 Cannon HOB
(Mailing Address)

Washington, D.C. 20515

HC
(Office Use Only)

Check the appropriate box and fill in the blanks:

☒ Member of the U.S. House of Representatives—District 2 State Georgia

☐ Officer or Employee: Employing Office ____________________

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __x__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
American Heart Assn. (Ca. affiliate)	Salary of spouse		
American Farm Bureau Federation	Honorarium	$1000.00	
SPACE	Honorarium	$2000.00	
The Tobacco Institute	Honorarium	$1000.00	
Smokeless Tobacco Council	Honorarium	$1000.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Individual Retirement Account-Charles F. Hatcher	earned interest	A
Individual Retirement Account-Ellen W. Hatcher	earned Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _x_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Individual Retirement Account-Charles F. Hatcher	B
Individual Retirement Account-Ellen W. Hatcher	B

8 7 7 7 3 1 1 2 9 7

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Security Bank and Trust Company, Albany, Georgia	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986 is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Southeastern Peanut Assn.	Airline tickets, food and lodging for speaking engagement in Destin, Florida, three nights
Satellite Television Industry Assn.	Airline tickets, food and

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 14, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

[illegible]

Page 2 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Charles F. Hatcher
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
II-A	Sumter Electric Membership Corporation, Honorarium, $250.00
	Southeastern Peanut Assn., Honorarium, $1500.00
	Chemical Specialties Manufacturers Assn., Honorarium, $1000.00
	National Soybean Processors Assn., Honorarium, $1000.00
	Georgia Medical Political Action Committee, Honorarium, $1500.
	Gold Kist, Inc., Honorarium, $1000.00
	Storer Cable Company, Honorarium, satellite dish valued at $2000.00
VII	SPACE (con't)
	lodging for speaking engagement in Las Vegas, Nevada, two nights

GPO: 1986 58-807 (m)

CHARLES HATCHER
2D DISTRICT
GEORGIA

COMMITTEE ON
AGRICULTURE

COMMITTEE ON
SMALL BUSINESS

CHAIRMAN
SUBCOMMITTEE ON ANTITRUST AND
RESTRAINT OF TRADE ACTIVITIES

MAJORITY ZONE WHIP

Congress of the United States
House of Representatives
Washington, DC 20515

OFFICES
405 CANNON BUILDING
WASHINGTON, DC 20515
(202) 225-3631

225 PINE AVENUE, ROOM 201
POST OFFICE BOX 1932
ALBANY, GA 31702
(912) 439-8067

404 NORTH BROAD STREET, ROOM 218
POST OFFICE BOX 2988
THOMASVILLE, GA 31799
(912) 228-7359

401 NORTH PATTERSON STREET, ROOM 211
POST OFFICE BOX 1825
VALDOSTA, GA 31603
(912) 247-9705

May 28, 1987

Mc ✓

The Honorable Julian Dixon
Chairman
House Committee on Standards of
Official Conduct
Suite HT-2, U.S. Capitol
Washington, D.C. 20515

Dear Chairman Dixon:

I am enclosing an amendment to my 1986 Financial Disclosure Statement. Due to an error, the following information was omitted:

Section VI, Gifts, Part A

Source	Brief Description
Sino-American Cultural and Economic Assn, Meeting with Twiwan Officials (spouse also)	Transportation, lodging and meals
Danny Thompson Golf Tournment, where all proceeds go to Leukemia research	Transportation, lodging, and meals

I appreciate your attention in this matter and apologize for your inconvenience.

With every good wish, I am

Sincerely,

CHARLES HATCHER
Member of Congress

CH:kh

UNITED STATES HOUSE OF REPRESENTATIVES

INSIDE MAIL

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

AUGUSTUS F. HAWKINS
(Full Name)

2371 Rayburn House Office Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 29 State CA

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
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WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION".

IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
CA Legislator's Retirement System	Annuity	$24,373.68	
Social Security	Pension	$7,111.00	
National Education Association	Honorarium	$1,000.00	
Association of Community College Trustees	Honorarium	$1,500.00	
Western Association of Educational Opportunity Personnel	Honorarium	$1,500.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Wright Patman Federal Credit Union	Interest	B
Bank of America (2 accounts)	Interest	B
First Federal Savings and Loan	Interest	B
Maryland National Bank	Interest	A
Wright Patman Federal Credit Union (SPOUSE)	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.**

IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Wright Patman Federal Credit Union	D
Bank of America (2 accounts)	C
First Federal Savings & Loan	C
Maryland National Bank	A
Wright Patman Federal Credit Union (SPOUSE)	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Wright Patman Federal Credit Union (Boat)	B
GMAC	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Southern Education Foundation, Inc.	Reimbursement for round-trip air fare (DC/Atlanta/DC) and one (1) nights lodging - conference

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
President	Hawkins Family Memorial Foundation for Educational Research and Development

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government, and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Augustus F. Hawkins	May 7, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

[illegible]

Page 5 of 2

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

AUGUSTUS F. HAWKINS
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*
II	INCOME:
	Southern Education Foundation, Inc. -- Honorarium $1,000.00
	U.S. Government (Civil Service Retirement) SPOUSE

AUGUSTUS F. HAWKINS
29TH DISTRICT, CALIFORNIA

COMMITTEES
CHAIRMAN, EDUCATION AND LABOR
SUBCOMMITTEE
ELEMENTARY, SECONDARY, AND
VOCATIONAL EDUCATION, CHAIRMAN
JOINT ECONOMIC COMMITTEE

Congress of the United States
House of Representatives
Washington, DC 20515–0529

2371 RAYBURN HOUSE OFFICE BUILDING
WASHINGTON, DC 20515-0529
TELEPHONE 225-2201

MARK J. MOLLI
SPECIAL ASSISTANT

LOS ANGELES OFFICE:
4509 SOUTH BROADWAY
LOS ANGELES, CA 90037-2727
TELEPHONE 233-0733

HUNTINGTON PARK OFFICE
2710 ZOE AVENUE
90255-4219
TELEPHONE 587-0421

May 13, 1987

✓
MC

Mr. Donnald K. Anderson
Clerk of the House of Representatives
H-105, U.S. Capitol
Washington, D.C. 20515

Dear Mr. Anderson:

This is to request that my 1986 Financial Disclosure Statement be amended.

I inadvertently neglected to mark the "Trust" box on page 2. The response should be NO.

Sincerely,

Gus Hawkins

Augustus F. Hawkins
Member of Congress

AFH:kb

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Honorable Charles A. Hayes
(Full Name)

1028 Longworth HOB
(Mailing Address)

Washington, D. C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 01 State Illinois

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __XX__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
United Food & Commercial Workers Int'l. Union	Pension	42,911	
AETNA Life Insurance	Annuity	7,212	
Romphil Publishing Company (spouse)	Salary		
SmithKline Beckman	Honorarium	500	
Piney Woods Country Life School	Honorarium	250	
(continued on attached sheet)			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
2214 Garden Park Drive; Fort Wayne, Indiana	Rents	C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO xx NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
2214 Garden Park Drive; Fort Wayne, Indiana (Rented for the first time)	D

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Wright Patman Congressional Federal Credit Union	B
Fort Wayne National Bank	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation"

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

[illegible]

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
Univ. of California/Berkeley (speech)	Airfare: Wash., DC to Oakland, Calif., to Chicago, Ill., 1 night lodging
Piney Woods Country Life School (speech)	Roundtrip airfare: Chicago, Ill., to Jackson, Miss.
Chicago Mercantile Exchange (speech)	Roundtrip airfare: Wash., DC to Chicago, Ill.

(continued on attached sheet)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 12.

POSITION	NAME OF ORGANIZATION
Chairman of the Board and President	Charles A. Hayes Labor, Cultural and Community Center
Board of Trustees	MLK, Jr. Center for Nonviolent Social Change
Board of Directors	Chicago Urban League

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Charles A Hayes	May 15, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

[illegible]

Honorable Charles A. Hayes
Fianacial Disclosure Statement for 1986

Continuation Sheet

Part	Source, Type, Amount, Category, Description of Value (As Applicable)			
II-A	Chicago Board of Trade	Received 5/23/86	Honorarium	500
	Winona State University	Received 6/30/86	Honorarium	500
	Committee to Re-elect Ed Towns	Received 10/13/86	Honorarium	1000
	New Directions for Labor Conference	Received 10/17/86	Honorarium	300
	Minnesota Trade Union Coalition for Peace	Received 10/24/86	Honorarium	500
VII	Southern California Coalition for the Homeless (speech) Airfare: Wash., DC to Tucson, Ariz., to Chicago, Ill.; 1 night lodging			
	New Directions for Labor Conference (speech) Roundtrip airfare: Chicago, Ill. to Philadelphia, Pa.; 1 night lodging			
	State Janitorial Service (spouse - speech) 5 days meals/lodging			

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

James A. Hayes
(Full Name)

503 Cannon House Office Building
(Mailing Address)

Washington, D.C. 20515

✓
MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 7 State Louisiana

☐ Officer or Employee—Employing Office ______

☒ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION" **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Durio & McGoffin, fees paid in calender year resulting from previous client representation prior to 1985, but not concluded until calender year 1986. The undersigned has not been associated with firm since 1984.	fees	32,276.11	
Owen Video (spouse)	comp.	1,250.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Northwestern Mutual--insurance policy	interest	A
Commerce & Energy Bank--deposits	interest	C
Commerce & Energy Bank--IRA acct. distribution		C
Williams Building Partnership, distribution from partnership of rental receipts rec'd by partnership		G
IDRC, Inc. Personal loan to company, repayment made with interest		C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Mouton Gardens, real estate, Lafayette Parish, LA	F
Woodlands Prop. Part. (.375), real estate, Laf. Par., LA	F
Winnwood Partners (.50), shopping center, Laf. Par., LA	F
40 Acres (.50) in North Lafayette, LA	F
Commerce Partners (1/9), real estate, Laf. Parish, LA	F
Pinhook Realty stock (closely held), Laf. Parish, LA	E
Commerce & Energy Bank stock, Lafayette Parish, LA	F
Williams Building partnership (building gross value, partnership has no value because of mortgage liability on building and negative cash flow.)	F

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Stack's--coin sale	Jan. 1986	F
Owen Video--liquidation of spouse's corporation	1986	B
40 acres in North Lafayette moved from Winwood Partners to personal name. No sale or monetary consequence.		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

The following are all mortgages:

IDENTITY	CATEGORY
Mouton Gardens--Commerce & Energy Bank--Lafayette, LA	F
Winnwood Property Partnership--Lafayette Bldg. Assn.--Laf., LA	F
Woodlans Partnership--First Natl. Bank of Commerce--Lafayette	F
40 acres in North Lafayette--Commerce & Energy Bank, Lafayette	F
Commerce Partners--Interfirst--Lafayette, LA	F
Woodlands Partnership--First Louisiana Fed. Savings Bank	F

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Partner	Commerce Partner--Lafayette, LA
Partner	Winnwood--Lafayette, LA
Partner	Woodlands--Lafayette, LA
Partner	Williams Building--Lafayette, LA

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 15, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987 [illegible]

HAND DELIVERED [illegible]

JIMMY HAYES
7TH DISTRICT, LOUISIANA

COMMITTEE ON
SCIENCE, SPACE, AND TECHNOLOGY
SUBCOMMITTEES
SPACE, SCIENCE AND APPLICATIONS
SCIENCE, RESEARCH AND TECHNOLOGY
TRANSPORTATION, AVIATION AND MATERIALS
COMMITTEE ON
PUBLIC WORKS AND TRANSPORTATION
SUBCOMMITTEES
WATER RESOURCES
ECONOMIC DEVELOPMENT

Congress of the United States
House of Representatives
Washington, DC 20515

WASHINGTON OFFICE
503 CANNON HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-2031

DISTRICT OFFICES
109 EAST VERMILION
LAFAYETTE, LA 70501
(318) 233-4773

901 LAKESHORE DRIVE
SUITE 402
LAKE CHARLES, LA 70601
(318) 433-1613

May 15, 1987

The Honorable Donnald K. Anderson
Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

✓
MC

Dear Donn:

Enclosed is my amended Financial Disclosure Statement, correcting certain portions which should have been differently reflected. My previous disclosure statements were filed on candidate forms and I inadvertantly erred when changing to the Member form. My previous Member statement was filed May 12, 1987, and this enclosed form should be placed as an amendment thereto.

I am also enclosing an amendment to the May, 1986 statement, clearing up minor inconsistencies in order to provide a clear trail from one type of form to the other, and as a basis for future years' filings:

Part II-A should reflect that all figures, with one exception, apply to 1985. The Williams Building Partnership should allocate $129,281.00 to Calendar Year 1985 and the figure of $40,466.62 for 1986 through filing deadline. None of the other items generated 1986 income through the date of filing.

Part II-B entries refer to 1985 and no 1986 receipts were or should have been reflected, with the sole exception of Commerce and Energy Bank interest which should have been duplicated for 1986 in Category A as well.

Part III items listed under Part II-B, but not listed under Part III were omitted because their remaining value was less than $1000, with the exception of Auctions, Inc. sale item which was omitted because of the sale disposition.

I thank you for your attention to these items.

Very truly yours,

Jimmy Hayes

Jimmy Hayes
Member of Congress

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Joel M. Hefley
(Full Name)

1625 W. Woodmen Rd.
(Mailing Address)

Colo. Springs, Co.

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

✓ Member of the U.S. House of Representatives—District 5 State Colo.

Officer or Employee—Employing Office ______

Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO ✓ NA ____

For more information, see detailed Instruction Booklet at page 7.

8 7 7 7 7 2 0 2 5 5 3

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Community Planning & Research Comm.	SAL.	13,462	
STATE of Colo. - STATE Senate	SAL.	12,000	
interior Design/Prop. Mang. - spouse	Fees	12,955	
Consulting	Fees	1500	
Farm/Art	Sales	2140	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Apartments	Rent	E

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ✓ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Parkmoor Manor Apts. - Hancock ST. Colo. springs, co. (1/2 inter.)	F
4-Plex East Caramillo - Colo. springs, co. (1/2 inter.)	E
4-Plex Janes Ln. - Colo. springs, co.	E
4-Plex Friendship Pl. - Colo. springs, co. (1/2 inter)	E
3-Plex Foot Av. - Colo. springs. co. (1/2 inter)	D

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY		CATEGORY
Mortgage - Rental Prop. - Merrill Crocker/Lou Carpenter	(1/2)	C
Mortgage - Rental Prop. - Jim Burton	(1/6)	F
Mortgage - Rental Prop. - Sun Savings		D
Mortgage - Rental Prop. - Lomas & Nettleton	(1/2)	D
Mortgage - Rental Prop. - Otero Savings/Arnott Baker Real	(1/2)	D
Personal Loan Garden of Gods Bank		B
Personal Loan Central Bank		C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Joel Hefley	5/14/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

HAND DELIVER

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

W. G. (BILL) HEFNER
(Full Name)

2161 RAYBURN BUILDING
(Mailing Address)

WASHINGTON, DC 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks

X Member of the U.S. House of Representatives—District 8 State N. C.

Officer or Employee—Employing Office ____________________

Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

[illegible]

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Honoraria (see continuation sheet)	Honoraria	24,000	
Donated to Barber-Scotia College,			
Concord, NC			2,000
Town & Country Properties, Inc. (spouse)	Commissions		

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See continuation sheet		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Real Estate - 1065 N. Nelson St., Alexandria, Virginia	D
Putnam Tax Free Insured Fund	D
Fidelity Municipal Bond Fund	F
Savings account - Burke and Herbert Bank & Trust Co.	D
Savings account - McLean Bank	A
Savings account - FUNB	C
Savings account - Dominion Federal S&L	C
Savings Account - Fidelity Bank	B
Brendle's, Inc. stock	A

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Received payment of promissory note from Downs Radio, Inc.	July, 1986	F
Purchased shares of Fidelity Municipal Bond Fund	July&Aug., 1986	F
Purchased shares of Putnam Tax Free Insured Fund	August, 1986	D
Purchased shares of Brendles, Inc.	May, 1986	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Fleet Funding	D
Citizens Savings & Loan Association	C
First Charter National Bank	C
FUNB	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
N/A	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
N/A		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
Honoraria - related expenses	see attachment

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
N/A	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
N/A		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
W. G. Bill Hefner	5-13-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

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Page [illegible] of [illegible]

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

W. G. (Bill) Hefner
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
II-A	Honoraria:	Amount
	Rockwell International Corp., El Segundo, California Executive meeting, 1/17/86	$ 1,000
	The Tobacco Institute, Washington, D. C. Executive meeting, 1/9/86	1,000
	Oshkosh Truck Corp., Oshkosh, Wisconsin Speaking engagement, 3/7/86	1,000
	American Trucking Associations, Inc., Alexandria, Virginia - Speaking engagement, 2/28/86	1,000
	Talley Industries, Phoenix, Arizonia Executive meeting, 2/7/86	1,000
	AVCO Systems Division, Wilmington, Massachusetts Speaking engagement, 2/13/86	1,000
	GE Company, Lynn Massachesetts Executive meeting, 2/13/86	1,000
	TRW, Inc., Redondo Beach, California Executive meeting, 1/13/85	1,000
	AT&T Technologies, Greensboro, North Carolina Speaking engagement, 1/24/86	1,000
	Sperry Corporation, Washington, D. C. Luncheon meeting, 1/29/86	1,000
	Sperry Corporation - Aerospace & Marine Group, Phoenix, Arizona, Speaking engagement, 1/13/86	1,000
	Bell Helicopter, Fort Worth, Texas Executive meeting, 5/9/86	1,000
	Textron, Washington, D. C. Executive meeting, 4/28/86	1,000
	American Building Maintenance Co, Houston, Texas Speaking engagement, 4/21/86	1,000
	Lockheed Corporation, Burbank, California Speaking engagement/executive meeting 1/14/86	1,000
	Wright Appreciation Fund, Fort Worth, Texas Jamboree entertainment, 1/9/86	1,000
	Battleship Surface Action Group, Lake Charles, Louisiana executive meeting, 1/10/86	1,000
	Total Part II-A, Honoraria	$17,000

Part	Unearned Income:	Type	Category
II-B	Downs Radio, Inc.	Interest	E
	Burke and Herbert Bank	Interest	D
	Life Insurance Policies	Interest	B
	Fidelity Municipal Bond Fund	Dividends	E
	McLean Bank	Interest	B
	Putnam Tax Free Insured Fund	Dividends	C
	FIFB	Interest	C
	Dominion Federal S&L	Interest	C
	Downs Radio, Inc.	Capital Gain	G
	Real Estate - House	Rent	B

Part	
VII	Appropriate travel, food, lodging, were provided for above honoraria by the sponsoring association and/or corporations.

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UNITED STATES HOUSE OF REPRESENTATIVES

INSIDE MAIL

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Paul B. Henry
(Full Name)

190 Robinwood S. E.
(Mailing Address)

Grand Rapids, Michigan 49506

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 5th State Michigan

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

3 7 3 4 3 2 0 2 1 9 1

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION" **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

SOURCE	TYPE	AMOUNT	DISPOSITION
Calvin College (spouse)	salary	N/A	
American Frozen Food Institute 2/20/86	honorarium	$500	none
National Assoc. of the Remodeling Ind. 6/26	honorarium	$500	none
The Capitol Forum 6/19/86	honorarium	$500	none
Billy Graham Evangelistic Assoc. 5/16/86	honorarium	$175	none

**see additional listing attached

UNEARNED INCOME includes but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

SOURCE	TYPE	CATEGORY
Savings Bonds (spouse)	Interest	B
Checking Account	Interest	A
Certificates of Deposit (dependent)	Interest	A
Deferred Compensation/St. of Michigan	Interest	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
checking account (joint with spouse)	A

8 7 1 1 1 2 1 2 1 9

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

[illegible]

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
see attached itinerary	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Member of Board	Justice Fellowship, Reston, VA

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
1/3/85	State of Michigan	coverage for health, life insurance, vested retirement as provided by MI State Law.
12/31/78	TIAA/CREF - Calvin College	Retirement annuity payout at age 65

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Paul B. Henry	5/12/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987

II INCOME

A.

SOURCE	TYPE	AMOUNT	DISPOSITION	DATE
National Restaurant Assoc.	Honorarium	$1,000	None	5/8/86
Davenport College	Honorarium	$ 250	Charity	6/5/86

VII REIMBURSEMENTS

SOURCE	BRIEF DESCRIPTION
National Restaurant Association	Round trip commercial airline ticket for self & spouse from Grand Rapids, MI to Chicago, ILL two nights lodging at hotel + meals 5/16 - 5/17 for participation in seminar
Miami Chamber of Commerce (Miami Congressional Workshop)	Round trip commercial airline ticket for self & spouse from Grand Rapids, MI to Miami, Fl four nights lodging at hotel + meals for participation in seminar on Central America 12/27/86 - 12/31/86

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Wally Herger
(Full Name)

7115 Colgate Drive
(Mailing Address)

Springfield, VA 22152

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 2nd State CA

☐ Officer or Employee—Employing Office

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
NONE			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
1) 2421 Roslyn Way, Sacramento, CA	Rents	C
2) Herger Ranch	Dividends	D
3) Herger Gas Inc.	Dividends	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
1) Herger Ranch - 1059 Forth Ave. Rio Oso, CA	F
Cattle Ranch Plus Real Property Improvements	
2) 2421 Roslyn Way - Sacramento, CA - Single Family Residence,	
Income Property - Sold 6/86	D
3) Herger Gas, Inc. - Common Stock - Propane Gas Distributorship	F
4) Montrose Barber Investments, Inc.-Limited Partner - Apt. Complex	C
Less than 10% interest	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
2421 Roslyn Way - SFR - Sacramento, CA	Sold 6/86	D

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
DIRECTOR	HERGER GAS, INC. (PROPANE GAS DISTRIBUTOR)
LIMITED PARTNER	MONTROSE BARBER, INVESTMENTS, INC. - APARTMENT COMPLEX

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature Walley Herger	Date 5-11-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Dennis M. Hertel
(Full Name)

218 Cannon House Office Building
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 14 State Michigan

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE—Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "print-outs" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION" **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
United Auto Workers	3/17/86	Honorarium	500.00	
Cambridge International, Inc.	6/17/86	Honorarium	500.00	
FMC Corporation	6/17/86	Honorarium	250.00	
General Defense Corporation	6/17/86	Honorarium	250.00	
United Chem-Con Corporation	6/17/86	Honorarium	250.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Wright Patman Congressional Federal Credit Union	Interest	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO __X__ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Condominium unit in Haslett, MI (on Maple Ridge Road)	C
Wright Patman Congressional Federal Credit Union	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
– none –		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Condominium in Haslett, Michigan (on Maple Ridge Road)	C
(Empire of America, Detroit, MI)	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
– none –	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
– none –		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
United Technologies-Pratt & Whitney	Round-trip airfare between Washington, D.C. and West Palm Beach, meals and lodging for Dennis M. Hertel and spouse.
Walt Disney World	Lodging and WDW passes for Dennis M. Hertel, spouse and 4 children.

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Member, Board of Directors	Cystic Fibrosis Foundation, Metropolitan Detroit Chapter
Member, Board of Visitors	Oakland University School of Nursing

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	- none -	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 7, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Dennis M. Hertel
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
II. Income Earned	Optic-Electronic Corporation	6/17/86	Honorarium	250.00
	Cooper Stevedoring Co., inc.	6/17/86	Honorarium	250.00
	General Dynamics Land Systems	6/17/86	Honorarium	250.00
	Bass & D'Alessandro Const. Corp.	9/22/86	Honorarium	500.00
	Campagne Trucking Co., Inc.	9/22/86	Honorarium	500.00
	Sperry Corporation	9/22/86	Honorarium	1000.00
	The Boeing Company	12/11/86	Honorarium	2000.00
	Lockheed-California Co.	12/22/86	Honorarium	1000.00
	United Technologies-Sikorsky Aircraft	4/4/86	Honorarium	1000.00
	United Technologies-Pratt & Whitney	2/1/86	Honorarium	1000.00

VII. Reimbursements

U.A.W. Round Trip travel for Dennis M. Hertel between Washington, D.C. and Onaway, MI, meals, and lodging.

United Technologies-Sikorsky Aircraft. Round trip airfare for Dennis M. Hertel and spouse between Washington, D.C. and Stratford, CT and lodging.

Center for Strategic and International Studies, Georgetown University. Lodging and meals for Dennis M. Hertel, spouse and 4 children.

Singer Corporation. Round trip travel for Dennis M. Hertel and spouse between Washington, D.C. and N.Y.C., N.Y. lodging and meals.

Electronic Industries Association. Round trip airfare between Washington, D.C. and Phoenix, AZ for Dennis M. Hertel and spouse and lodging.

Lockheed-California Co. Airfare from Washington, D.C. to Burbank, CA and return for Dennis M. Hertel and lodging for Dennis M. Hertel and spouse.

The Boeing Company. Round trip travel for Dennis M. Hertel between Washington, D.C. and Seattle, WA. lodging, and meals.

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

JOHN HILER
(Full Name)

407 CANNON HOUSE OFFICE BUILDING
(Mailing Address)

WASHINGTON, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 3 State INDIANA

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and
(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and
(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
* See Continuation Sheet			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
* See Continuation Sheet		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and it's location should be included. Filer may use a computer printout or similar listing, if so desired.** IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO _X_ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
* See Continuation Sheet	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
The National Bank of Washington - Mortgage (Spouse)	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
National Restaurant Association Political	Seminar - Expenses for Self and
Education Committee	Spouse: Roundtrip Airfare
	Washington, D.C. to Chicago;
	Two days food/lodging

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	Charles O. Hiler & Son, Inc.
Director	Accurate Castings, Inc.
Trustee	LaLumiere School
Partner	Triple H Enterprises

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
12/31/80	J. Hiler & Charles O. Hiler & Son, Inc.	Leave of Absence
12/31/80	J. Hiler & Kingsbury Castings, Inc.	Leave of Absence
	J. Hiler & Charles O. Hiler & Son, Inc.	Continue to maintain interest
		in profit sharing plan

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
John Hiler.	May 11, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987 [illegible]

[illegible]

Page 1 of 1

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

JOHN HILER
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II (A)	Small Business Administration (Spouse)	- Salary	
	Accurate Castings, Inc.	- Director's Fee	- $ 500
	Charles O. Hiler & Son, Inc.	- Director's Fee	- $ 500
	Honoraria:		
	6/24/86 Alliance For Capital Access		- $1,000
	6/ 3/86 National Restaurant Association Political Education Committee		- $1,000
	1/10/86 Indianapolis Bond Club		- $1,500
II (B)	Charles O. Hiler & Son, Inc.	- Dividend	- C
	Accurate Castings, Inc.	- Dividend	- A
	Thomson McKinnon Securities	- Dividend	- A
	Congressional Federal Credit Union	- Interest	- B
	Dennis Bateman	- Rent	- D
III	2512 M Street, N.W., Washington, D.C. (Spouse)	- Rental Property	- D
	Charles O. Hiler & Son, Inc. (LaPorte, IN)	- Stock	- F
	Accurate Castings, Inc. (LaPorte, IN)	- Stock	- F
	Wyatt Bancorp (Wyatt, IN)	- Stock	- F
	Carlyle Real Estate Ltd. Partnership XII (Chicago) (Spouse)	- Partnership	- A
	U.S. Savings Bonds (Daughter)	- Bonds	- A
	Thomson McKinnon Securities, Inc.	- Money Market Fund	- B
	Congressional Federal Credit Union; Farmers State Bank at Wyatt; Madison National Bank (Washington)	- Savings Accounts	- B

GPO 1986 61-686 (m)

MAY 15 1987

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

GEORGE J. HOCHBRUECKNER
(Full Name)

1 WYCOMB PLACE
(Mailing Address)

CORAM, NEW YORK 11727

MC (Office Use Only)

Check the appropriate box and fill in the blanks

☑ Member of the U.S. House of Representatives—District 1 State NY

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.

- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.

- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and
(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and
(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ✓ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
INCOME OF GEORGE HOCHBRUECKNER			
DYNAMIC SCIENCES INC. SEPULVEDA, CALIF	SALARY	58,041.20	NA
NEW YORK STATE, ALBANY, N.Y.	SALARY	2,654.72	NA
INCOME OF CAROL ANN HOCHBRUECKNER	(WIFE)		
NEW YORK STATE, ALBANY, N.Y	SALARY	—	
NEW YORK STATE COLLEGES - CORNELL UNIV. N.Y	SALARY	—	
PRIVATE SWIMMING LESSONS L.I. N.Y.	SALARY	—	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
NONE		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ [✓ NO] NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
REAL ESTATE, 1/3 ACRE LOT, CALIFORNIA CITY, CALIF.	B

[illegible]

IV. TRANSACTIONS

GENERAL GUILELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
EAST COAST MARKETING MANAGER	DYNAMIC SCIENCES INC, SEPULVEDA, CALIF.
BOARD MEMBER	THEATRE THREE, PORT JEFFERSON, N.Y

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
George J Hochbrueckner	MAY 14, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987 69-232 (a)

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Clyde C. Holloway
(Full Name)

1207 Longworth Hse. Office Bldg.
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 8th State Louisiana

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

[illegible]

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Clyde Holloway Nursery	Prop.	57,288	None
Clyde Holloway Nursery (Spouse)	Salary		
Clyde Holloway Nursery (Spouse)	Prop.		

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
1st Investment Corporation		B
Central Bank, Boyce, La.		A
Bank of Lecompte, Lecompte, La.		C
Bayou Roberts Co-op		A
Pan American Federal Credit Union		A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See Attached Schedule	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Ford Motor Credit, Alexandria, La.	C
Bank of Lecompte, Lecompte, La.	G
Federal Land Bank, Alexandria, La.	G
First South Production Credit Association, Alexandria, La.	E

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Chairman of Board	Forest Hill Neighborhood School, Inc.
President	Forest Hill Speedway, Inc.
Vice-President	Young-hollow, Inc.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Clyde C Holloway	5-14-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

[illegible]

Page ____ of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Clyde C. Holloway
(Name)

Continuation Sheet

Part

Source, Type, Amount, Category, Description or Value
(As Applicable)

Category	Identity
C	Certificate of Deposit-Central Bank, Boyce, La.
C	Certificate of Deposit-Sabine State Bank
C	Certificate of Deposit-Bank of Lecompte, Lecompte, La.
C	Certificate of Deposit-Security 1st Nat'l Bank, Alexandria, La.
B	Stock-Federal Land Bank
F	Stock-Young/Hollow, Inc. 500 Shares
D	Stock-Forest Hill Speedway, Inc. 1000 Shares
A	Stock-Pan American Airline 400 Shares
C	Stock-AMCAP 1600 Shares
F	199 Acres Undeveloped Real Estate Used for Nursery
F	Stock-Holloway Nursery Building & Equipment

HAND DELIVERED

5TH DISTRICT LOUISIANA

COMMITTEE ON AGRICULTURE

SUBCOMMITTEES
COTTON, RICE, AND SUGAR
FORESTS, FAMILY FARMS, AND ENERGY
TOBACCO AND PEANUTS

SELECT COMMITTEE ON CHILDREN
YOUTH AND FAMILIES

Congreſs of the United States
House of Representatives
Washington, DC 20515

1207 LONGWORTH BUILDING
WASHINGTON, DC 20515
(202) 225-4926

DISTRICT OFFICES
515 MURRAY STREET
P.O. BOX 410
ALEXANDRIA, LA 71309
(318) 473-7430

250 S. UNION STREET
P.O. BOX 907
OPELOUSAS, LA 70570
(318) 942-1115

CITY HALL BUILDING
120 S. IRMA BOULEVARD
GONZALES, LA 70737
(504) 647-2000

May 15, 1987

The Honorable Julian Dixon
Chairman
Committee on Standards of Official Conduct
Washington, D.C. 20515

✓
MC

Dear Mr. Chairman:

I am writing to amend my Financial Disclosure Statement that was submitted to the House Records and Registration Office on May 14. I have since noticed some errors and respectfully request that this letter be entered as a corrected statement.

In the Earned Income section under source A for spouse salary amount the figure of $12,000 should be entered and disposition is none. In the category of spouse property the amount of $57,288 should be entered with the disposition of none.

In section V. under liabilities there are three changes concerning the category of value according to the letter used. For the Bank of Lecompte the letter "G" should be the letter "E". The Federal Land Bank category of "G" should also be the letter "E". And, the First South Production Credit Association lettered "E" should be changed to "D".

I apologize for the errors and would be glad to complete another form if you would like me to.

With best wishes, I am

Sincerely,

Clyde C. Holloway
CLYDE C. HOLLOWAY
Member of Congress

CCH/laf

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Larry Jones Hopkins
(Full Name)

2437 Rayburn House Office Building
(Mailing Address)

Washington, D. C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

XX Member of the U.S. House of Representatives—District 6th State Kentucky

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 7.

[illegible]

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Carolyn Hopkins Color Consultant, Lexington, KY (Spouse)	Fee		
The Tobacco Institute 1/13/86	Honorarium	$1,000	
Naegele Outdoor Advertising 1/14/86	Honorarium	1,000	
GTE 2/13/86	Honorarium	750	
General Electric Co. 2/20/86	Honorarium	2,000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Kemper Cash Equivalent Fund	Dividend	B
Kemper Cash Equivalent Fund (Custodian for son)	Dividend	A
Kentucky Utilities (Custodian for son)	Dividend	A
Wright Patman Congressional Federal Credit Union	Dividend	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO _____ NA XXX

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
2 small lots in Graves County, Kentucky	A
Kemper Cash Equivalent Fund	C
Kemper Cash Equivalent Fund (Custodian for son)	A
Wiser Oil Company Delaware (Custodian for son)	A
First Security Corporation (Kentucky) (Custodian for son)	A
Jerrico (Custodian for son)	A

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
100 shares Telex (sold in 1985) (Inadvertently left off 1985 form)		A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
Electronic Industries Association (Speech, expenses for self & spouse)	Round trip airfare: Lexington, KY to Ft. Meyers, FL; 4 days food/lodging
The Tobacco Institute (Speech, expenses for self and spouse)	Round trip airfare: Lexington, KY to Palm Springs, CA.; 6 days food/lodging
GTE (Speech, expenses for self)	Round trip airfare: Lexington, KY to Colorado Springs, CO; 2 days food/lodging

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Larry J Hopkins	May 15, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 69-232 (a)

[illegible]

Page 1 of 1

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Larry Jones Hopkins
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II-A	McDonnell Douglas 4/7/86	Honorarium	$1,000
II-A	Philip Morris 4/10/86	Honorarium	1,000
II-A	Midway College 5/17/86	Honorarium	500
II-A	Oshkosh Truck Corporation 6/2/86	Honorarium	2,000
II-A	The Boeing Company 6/30/86	Honorarium	2,000
II-A	Northrop 7/2/86	Honorarium	2,000
II-A	General Dynamics, Valley Systems Division 7/1/86	Honorarium	500
II-A	General Dynamics, Pomona Division 7/1/86	Honorarium	500
II-A	Rockwell International 7/1/86	Honorarium	1,000
II-A	Lockheed California Company 7/2/86	Honorarium	1,000
II-A	Raytheon 8/5/86	Honorarium	500
II-A	Automobile Importers of America	Honorarium	2,000
II-A	Central Kentucky Chapter BAI 10/23/86	Honorarium	500
II-A	The Lamar Corporation 10/29/86	Honorarium	500
II-A	Martin Marietta 11/10/86	Honorarium	1,000
II-A	Ashland Oil, Inc. 11/24/86	Honorarium	2,000
III	Kentucky Utilities Company (Custodian for son)	A	
VII	Oshkosh Truck Corporation (Speech: expenses for self) Round trip air transportation: Washington, D.C. to Oshkosh, WI. 1 day food		
VII	The following companies--The Boeing Company, Northrop, General Dynamics, Rockwell International and Lockheed-California Co.--shared in necessary expenses in round trip airfare from Washington, D. C. to Seattle, WA. to Los Angeles, CA., food and lodging for individual speaking engagements: 4 days for self		
VII	Ashland Oil, Inc. (Speech: expenses for self and daughter) Round trip transportation: Lexington, KY to Ashland, KY: 1 day/food		

GPO 1986 61-608 (m)

HAND DELIVERED

LARRY J. HOPKINS

COMMITTEES
AGRICULTURE
ARMED SERVICES

2437 Rayburn House Office Building
Washington, DC 20515
(202) 225-4706

Vine Center
Room 207
333 West Vine Street
Lexington, KY 40507
(606) 233-2848

Congress of the United States
House of Representatives

May 18, 1987

MC

Mr. Donnald K. Anderson
Clerk
U.S. House of Representatives
1036 Longworth House Office Building
Washington, D. C. 20515

Dear Mr. Anderson:

This letter is to amend my 1986 Financial Disclosure Statement.

Under Section II-A, a contribution of $225 to a charity was inadvertantly left off my statement.

Thank you for your help in this matter to make my statement correct.

Sincerely,

Larry J. Hopkins

LARRY J. HOPKINS
Member of Congress

LJH:jc

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

FRANK HORTON
(Full Name)

2229 Rayburn Building
(Mailing Address)

Washington, D C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

XX☐ Member of the U.S. House of Representatives—District 29th State New York

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA XX

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
Nat'l Assoc. of Postmasters of the U.S.	2/20/86	Hon.	$1000.00	None
Nat'l Coordinating Council on Emer. Mgmt.	2/24/86	"	$100.00	"
Wine Institute	2/26/86	"	$1000.00	"
Scientific Apparatus Makers Assoc.	3/26/86	"	$1200.00	"
Wells College	4/4/86	"	$500.00	"

(continued on attachment)

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
NONE		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO ____ NA XX

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
NONE	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
NONE in excess of $10,000	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE BRIEF DESCRIPTION

Pirelli Cable Corp., N.J./1-day, r/t air, b'fast, limo, Union, N.J.
Nat'l Assoc. of Ltr. Carriers, Minnesota/2-days, r/t air, meals, lodging, St. Paul, Minn.
Nat'l Assoc. of Postmasters of the US, Texas/3-days, r/t air, meals, lodging, San Antonio, Tx
Assoc. of Anatomy Chairmen (incl. spouse), Fla./4-days, r/t air, meals, lodging, leased car,
Orlando and Hawks Cay, Fla.

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Frank Horton	April 24, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987 [illegible]

Continuation for Part II/Income:

A. Source	Type	Amount	Disposition
Cayuga County Community College 5/18/86	Hon.	$1000.00	None
Digital Equipment Corp. 5/28/86	Hon.	$1000.00	None
Coalition for Common Sense in Gov't Procurement 5/29/86	Hon.	$1000.00	"
Pirelli Cable Corp. 6/9/86	Hon.	$2000.00	"
Computer & Business Equipment Manufacturers Assoc. 6/17/86	Hon.	$1000.00	"
Hercules, Inc. 6/16/86	Hon.	$1000.00	"
National Assoc. of Letter Carriers 7/25/86	Hon.	$1000.00	"
Amn. Inst. of Certified Public Accountants 8/26/86	Hon.	$2000.00	"
Nat'l Assoc. of Postmasters of the U.S. 8/26/86	Hon.	$1000.00	"
Nat'l Assoc. of Towns & Townships 9/4/86	Hon.	$750.00	"
Florida Fruit & Vegetable Assoc. 12/12/86	Hon.	$1000.00	"
Assoc. of Anatomy Chairmen 12/13/86	Hon.	$1500.00	"

HAND DELIVER

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Amory Houghton, Jr.
(Full Name)

2 East Market Street
(Mailing Address)

Corning, New York 14830

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 34th State New York

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
-See Attached Continuation Sheet			
(Page 1 of 13)			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
-See Attached Continuation Sheet		
(Pages 1 - 4 of 13)		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
-See Attached Continuation Sheet	
(Pages 4 - 10 of 13)	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
- See Attached Continuation Sheet		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
-See Attached Continuation Sheet	
(Page 13 of 13)	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
N/A. Mr. Houghton was not officially a	Member of the House
until January 1987.	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
N/A, See explanation above.		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
N/A, See comment at Section VI, A, above.	
(Pages 11 - 12 of 13)	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
-See attached continuation sheet	
(Page 12 of 13)	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5/14/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

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Page 1 of 13

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Amory Houghton, Jr.
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*	
II.A.	Salary & Other Compensation	1986
	Corning Glass Works - (includes salary, personal travel related expenses and stock incentive awards)	$772,259
	Director Fees:	
	IBM Corporation	24,805
	Proctor & Gamble Company	16,000
	Genentech Inc.	10,250
	Citicorp, N.A.	36,150
	Trustee Commissions	
	(1) Trust dated 1/3/49 by G.R. Montgomery	$ 27,644
	(1) Trust dated 8/15/49 by G.R. Montgomery	1,694
	(8) Trusts dated 12/10/34 by A.B. Houghton	48,911
	(2) Trusts dated 4/11/60 by H.C. Hollister	2,055
	(4) Trusts dated 4/18/46 by G.B. Hollister	1,721
	(1) Trust dated 4/6/57 by M.H. Anderson	2,107
	(2) Trusts dated 6/24/63 by E.A. Ray	1,838
	(1) Trust dated 4/21/65 by E.A. Ray	1,348
	(1) Trust dated 1/22/64 by E.A. Ray	1,127
	(1) Trust dated 1/20/79 by A.S. Lassalle	1,113
	(1) Trust dated 11/21/78 by G.H. Lassalle	1,299
	(3) Trusts dated 12/22/61 by A.A. Houghton, Jr.	8,953
	(5) Trusts dated 1/5/52 by G.B. Hollister	6,925
II.B.	Trust income	Category
	Trust dated 9/16/41 by A.B. Houghton	G
	Trust dated 12/10/34 - E. Houghton (1/15 share)	E
	Trust dated 12/10/34 by A.B. Houghton	G
	Dividends	
	Citicorp, N.A.-common stock	B
	Corning Glass Works-common stock	E
	Dun & Bradstreet-common stock	A
	Gannett Co.-common stock	A
	Hamilton Oil Corp.-common stock	A
	Hamilton Oil Corp.-preferred stock	A
	IBM Corporation-common stock	D
	IVY Institutional Investors Fund - mutual fund	D
	Proctor & Gamble-common stock	A
	Time Inc.-common stock	A

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ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Amory Houghton, Jr.
(Name)

Continuation Sheet

Part | *Source, Type, Amount, Category, Description or Value (As Applicable)*

II.B

Interest		Category
Key Bank-Corning, NY		A
Citibank, N.A. - NYC		D
Chase Lincoln - Corning, NY		C
U.S. Treasury Bills		D
Municipal Bonds:		
Monroe County, NY		A
Syracuse, NY		A
Partnership Income	**Share***	
Acorn I	12.50/11.25	A
Acorn II	8.00/7.84	A
Acorn III	10.00/9.80	A
Acorn IV	20.00/19.60	A
Corning Associates I	33.33/9.90#	A
Corning Associates II	50.00/5.50#	A
Corning Associates VC-1	27.45/0.65	A
Associates Partners	0.14/0.01	A
Offshore Properties - Joint Venture	N/A**	C
Offshore Investments Co. - Joint Venture	N/A**	A

* Ownership % in investment partnership/ownership % in ultimate partnership.

# Prior year share %'s were reversed. No impact on category of value information.

** Gulf Coast Ltd. as well as certain of the Hamilton Bros. partnerships, both disclosed in the prior year, are in the process of winding up and are no longer significant enough to warrant disclosure. Based upon the limited information available, Offshore Properties and Offshore Investments represent recent spin-offs of Gulf Coast and Hamilton Bros. Partnership. Share information at 12/31/86 is not yet available.

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ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Amory Houghton, Jr.
(Name)

Continuation Sheet

Part — *Source, Type, Amount, Category, Description or Value (As Applicable)*

II.B

Capital Gains (Losses)

	Category
Chemlawn Corp.-common stock	(A)
Environmental Testing-common stock	A
Federal Express-common stock	B
Gannett Co.-common stock	D
Genentech-common stock	D
Hospital Corp. of America-common stock	A
McGraw Hill Inc.-common stock	A
Shared Medical Systems-common stock	B
United Stationers Inc.-common stock	(A)
General Reinsurance-common stock	C
Time Inc.-common stock	C
Toys R Us-common stock	B
Dow Jones & Co.-common stock	A
Dun & Bradstreet-common stock	D
Wal-Mart Stores	C
New England Business Service, Inc.-common stock	A
R.R. Donnelley Sons-common stock	A
Rubbermaid Inc.-common stock	C
Hamilton Oil Corp.-preferred stock	A
Hamilton Oil Corp.-common stock	E
Ivy Institutional Investors-mutual fund	E
Syracuse, NY-municipal bond	A
Monroe County, NY-municipal bond	A
Acorn I Partnership	G
Acorn II Partnership	E
Offshore Properties - Joint Venture	C
Offshore Investments Co. - Joint Venture	D

Dividends & Interest - (Spouse)

Citibank, N.A. - NYC	B
Municipal bond interest:	
New York City	A
Rochester, NY	A
Dun & Bradstreet-common stock	A
General Reinsurance-common stock	A
IBM Corporation-common stock	B
Marsh & McLennan-common stock	A
Rubbermaid-common stock	A
Vanguard Index Trust-mutual fund	C

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ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Amory Houghton, Jr.
(Name)

Continuation Sheet

Part *Source, Type, Amount, Category, Description or Value (As Applicable)*

Part		Category
II.B.	Capital Gains (Losses) - (Spouse)	
	Newport Corp.-common stock	(A)
	Chemlawn Corp.-common stock	(A)
	Federal Express-common stock	A
	R.R. Donnelley Sons-common stock	A
	Dow Jones & Co.-common stock	A
	Dun & Bradstreet Corp.-common stock	D
	General Reinsurance-common stock	C
	Information Res. Inc.-common stock	A
	IBM Corporation common stock	D
	Marsh & McLennan-common stock	C
	New England Business Service Inc.-common stock	A
	Toys R Us-common stock	A
	Rubbermaid Inc.-common stock	D
	Time Inc.-common stock	B
	Wal-Mart Stores-common stock	C
	Waste Management Inc.-common stock	D
	Vanguard Index Trust-mutual fund	D
	New York, N.Y. - municipal bond	C
III.	Holdings (at December 31, 1986)	
	Augusta National Inc.-Building Crtf-series B	A
	Citicorp, N.A.-common stock	C
	Corning Glass Works-common stock	F
	Genentech Corporation-"ECRS" Stock	F
	IBM Corporation-common stock	E
	Kittensett Club-Voting Trust Certificate	A
	Proctor & Gamble-common stock	C
	U.S. Series E & EE Bonds	B
	U.S. Tax Refund Claim	E
	Cash - interest bearing deposits (Citibank, N.A., Chase Lincoln First Bank, N.A., National Westminster Bank and Key Bank)	F
	Account receivable from Corning Associates	B

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ETHICS IN GOVERNMENT ACT -FINANCIAL DISCLOSURE STATEMENT

Amory Houghton, Jr.
(Name)

Continuation Sheet

Part

Source, Type, Amount, Category, Description or Value
(As Applicable)

III.

Holdings (disposed of during 1986)

	Category
Chemlawn Corp.-common stock	A
Dow Jones & Co.-common stock	A
Dun & Bradstreet-common stock	B
Environmental Testing-common stock	A
Federal Express-common stock	A
Gannett Co.-common stock	C
Genentech-common stock	C
General Reinsurance-common stock	B
Hamilton Oil Corp.-preferred stock	A
Hamilton Oil Corp.-common stock	D
Hospital Corp. of America-common stock	A
Ivy Institutional Investors-mutual fund	D
McGraw Hill Inc.-common stock	A
Monroe County, NY-municipal bond	A
New England Business Service, Inc.-common stock	A
R.R. Donnelley Sons-common stock	A
Rubbermaid Inc.-common stock	A
Shared Medical Systems-common stock	B
Syracuse, NY-municipal bond	A
Time Inc.-common stock	B
Toys R Us-common stock	A
United Stationers, Inc.-common stock	A
U.S. Treasury Bills	F
Wal-Mart Stores	B

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ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Amory Houghton, Jr.
(Name)

Continuation Sheet

Part

Source, Type, Amount, Category, Description or Value (As Applicable)

III.	Partnerships	Category**
share*		
0.5588%	Hamilton Brothers (1968) Ltd.- Oil & Gas Exploration in Canada	C
0.5577%	Hamilton Brothers (1969) Ltd. - Oil & Gas Exploration in TX & OK	C
0.2701%	Hamilton Brothers (1970) Ltd. - Oil & Gas Exploration offshore Louisiana coast	C
0.3373%	Hamilton Brothers (1971) Ltd. - Oil & Gas Exploration in TX & OK	C
1.0474%	Gulf Coast Ltd, managed by Hamilton Bros. Oil Co. - Oil & Gas Exploration offshore Louisiana coast	B
12.50%/11.25%	Acorn I, managed by Smith-Allen, proceeds from sale of Dallas, TX investment property	A
8.00%/7.84%	Acorn II, managed by Smith-Allen, rental of office building in Dallas, TX	C
10.00%/9.80%	Acorn III, managed by Smith-Allen, rental of office building in Dallas, TX	C
20.00%/19.60%	Acorn IV, managed by Smith-Allen, development of R/E for office building rental in Austin, TX	D
33.33%/9.90%	Corning Associates - I, managed by Aicre Realty, rental of shopping center; Cape May Court House, NJ	E
50.00%/5.50%	Corning Associates II, managed by Aicre Realty, rental of office building in Albany, New York	F
27.45%/ -	Corning Associates VC-1, investment in venture capital partnerships:	
- /0.20%	Business Development Capital Ltd. III, managed by the Foster Management Co. NY, NY; investments are in Heritage Health Systems, Inc., Chartwell Group Inc., Inspeech Inc., and Medac Inc.	C
- /1.0982%	Intersouth Partners, managed by same, Chapel-Hill, NC, invested in Resonnex Inc., Digital Recorders Inc., Biotherm Inc., Trancept Systems Inc., Imsatt Corp., Integrated Silicon Systems Inc.	C
5.00%	Desert Gas Drilling, natural gas wells in Fredonia, NY	D
0.14%/0.01%	Associates Partners, general partner in Corning Associates Fixed Income Fund, investments in State of Illinois State Scholarship Commission Demand Notes, Power Authority of the State of NY Demand Notes, Student Loan Fund of Idaho Demand Notes, U.S. Treasury Bills, Wasco County, Oregon Hospital Demand Notes, Indiana Hospital Equipment Financing Demand Notes and Louisiana Public Facilities Demand Notes	A

* See explanation on page 2 of 13.

** Valuation Method - Original investment, includes any additional capital contributed since inception.

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ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Amory Houghton, Jr.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	Category
III.	Amory Houghton Jr. Tr. u/w ABH- (Income interest only) holdings consist of:	
	Holdings (at December 31, 1986)	
	Partnership:	
	Corning Associates Fixed Income Fund-(see holdings listed on Page 6 of 13)	F
	Municipal Bonds:	
	(1) Scarsdale, NY	C
	(1) Westchester County, NY	C
	(2) Monroe County, NY	D
	(1) Onondaga County, NY	C
	Corning Glass Works - common stock	F
	Ivy Institutional Investors-mutual Fund	F
	Demand Note Receivable from Amory Houghton Jr.	E
	Account Receivable from Goldman Sachs	F
	Principal Cash-interest bearing (Citibank, N.A.)	F
	Holdings (disposed of during 1986)	
	Hybritech, Inc. - common stock	A
	IBM Corporation - common stock	C
	Newport Corp. - common stock	B
	Corning Glass Works - common stock	F
	McGraw Hill, Inc. - common stock	B
	Chemlawn Corp. - common stock	B
	Federal Express - common stock	C
	Shared Medical Systems - common stock	C
	United Stationers Inc. - common stock	A
	Environmental Testing - common stock	B
	Fort Howard Paper Co. - common stock	B
	Gannett Co. - common stock	C
	Genentech - common stock	B
	Hospital Corp. of America - common stock	B
	R. R. Donnelley Sons - common stock	C
	Dow Jones & Co. Inc. - common stock	C
	Dun & Bradstreet - common stock	C
	General Reinsurance - common stock	C
	Information Res. Inc. - common stock	B
	Marsh & McLennan - common stock	C
	New England Business Service Inc. - common stock	B
	Rubbermaid Inc. - common stock	C
	Time Inc. - common stock	B
	Toys R Us - common stock	C
	Wal-Mart Stores - common stock	C
	Waste Management Inc. - common stock	C
	Demand Note Receivable from Amory Houghton, Jr.	C

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ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Amory Houghton, Jr.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	Category
III.		
	Amory Houghton Jr. Tr. 12/10/34-(Income and limited principal interest) holdings consist of:	
	Holdings (at December 31, 1986)	
	Partnership:	
	Corning Associates Fixed Income Fund- (see holdings listed on Page 6 of 13)	F
	Municipal Bonds:	
	(4) Onondaga Cty, NY	C
	(1) Westchester Cty, NY	B
	Corning Glass Works - common stock	F
	Ivy Institutional Investors Mutual Fund	C
	Account Receivable from Goldman Sachs	F
	Account Receivable from Corning Associates	A
	Principal Cash-interest bearing (Citibank, N.A.)	F
	Holdings (disposed of during 1986)	
	IBM Corporation - common stock	B
	Corning Glass Works - common stock	F
	Onondaga County - municipal bond	B
	Dow Jones & Co. - common stock	B

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ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Amory Houghton, Jr.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	Category
III.	Elisabeth Houghton Trust 12/10/34 (1/15th Income interest only) holdings consist of:	
	Holdings (at December 31, 1986)	
	Partnership:	
	Corning Associates Fixed Income Fund- (see holdings listed on Page 6 of 13)	E
	Municipal Bonds:	
	(3) Onondaga County, NY	B
	(3) Westchester County, NY	B
	(2) Monroe County, NY	A
	(1) Orange County, NY	A
	(1) Seattle, WA	A
	(1) Scarsdale, NY	A
	(1) Maryland	A
	(1) Ulster County, NY	A
	(2) Suffolk County, NY	A
	(1) Livingston County, NY	A
	(1) Rockland County, NY	A
	(1) Georgia	A
	Corning Glass Works - common stock	F
	Ivy Institutional Investors - mutual fund	C
	Account Receivable from Goldman Sachs	D
	Principal Cash - interest bearing (Citibank, N.A.)	D
	Holdings (disposed of during 1986)	
	Municipal Bonds:	
	Onondaga County	A
	Plattsburgh, N.Y.	A
	Oswego, N. Y.	A
	Monroe County	A
	IBM Corporation - common stock	A
	Corning Glass Works - common stock	E
	Federal Express - common stock	A
	Shared Medical Systems - common stock	A
	Gannett Co. - common stock	A
	R. R. Donnelley Sons - common stock	A
	Dow Jones & Co. Inc. - common stock	A
	Dun & Bradstreet - common stock	A
	General Reinsurance - common stock	A
	Marsh & McLennan - common stock	A
	Rubbermaid Inc. - common stock	A
	Time Inc. - common stock	A
	Toys R Us - common stock	A
	Wal-Mart Stores - common stock	A
	Waste Management Inc. - common stock	A

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ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Amory Houghton, Jr.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	Category
III.	Holdings - (Spouse) (at December 31, 1986)	
	Municipal Bonds:	
	(1) Rochester, NY	B
	IBM Corporation - common stock	C
	Vanguard Index Trust - mutual fund	D
	Cash-interest bearing deposits (Citibank, N.A.)	E
	Holdings - (Spouse) (disposed of during 1986)	
	Newport Corp.-common stock	A
	Chemlawn Corp.-common stock	A
	Federal Express-common stock	A
	R.R. Donnelley Sons-common stock	A
	Dow Jones & Co.-common stock	A
	Dun & Bradstreet Corp.-common stock	C
	General Reinsurance-common stock	B
	Information Res. Inc.-common stock	A
	IBM Corporation common stock	C
	Marsh & McLennan-common stock	B
	New England Business Service Inc.- common stock	A
	Toys R Us-common stock	A
	Rubbermaid, Inc.-common stock	B
	Time Inc.-common stock	A
	Wal-Mart Stores-common stock	B
	Waste Management, Inc.-common stock	B
	Vanguard Index Trust-mutual fund	B
	New York, N.Y. - municipal bond	B

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ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Amory Houghton, Jr.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	Category
IV.	Transactions	Category
	Sales/Maturities	
	Debt Securities:	
5/86	Syracuse, NY	A
6/86	Monroe County NY	A
3/86-6/86	U.S. Treasury Bills	F
	Equity Securities:	
5/86	McGraw Hill Inc.	A
5/86	Chemlawn Corp.	A
8/86	Federal Express	A
8/86	Shared Medical Systems	B
8/86	United Stationery Inc.	A
10/86	Environmental Testing	A
10/86	Hospital Corp. of America	A
10/86	Hamilton Oil-preferred stock	A
10/86	Hamilton Oil-common stock	D
10/86	Ivy Institutional Investors-mutual fund	D
11/86	Gannett Co.	C
10/86	Genentech	C
11/86	General Reinsurance	B
11/86	Time Inc.	B
11/86	Toys R Us	A
11/86	Dow Jones & Co.	A
11/86	Dun & Bradstreet	B
11/86	Wal-Mart Stores	B
11/86	New England Business Services	A
11/86	R. R. Donnelley	A
11/86	Rubbermaid Inc.	A
	Purchases	
	Debt Securities:	
3/86-6/86	U.S. Treasury Bills	E

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Page 12 of 13

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Amory Houghton, Jr.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	Category
IV.	Transactions - (Spouse)	
	Sales/Maturities	
	Debt Securities:	
7/86	New York, NY	B
	Equity Securities:	
3/86	Newport Corp.	A
5/86	Chemlawn	A
8/86	Federal Express	A
11/86	R. R. Donnelley	A
11/86	Dow Jones & Co.	A
11/86	Dun & Bradstreet	C
11/86	General Reinsurance	B
11/86	Information Res. Inc.	A
11/86	IBM	C
11/86	Marsh & McLennan	B
11/86	New England Business Services Inc.	A
11/86	Toys R Us	A
11/86	Rubbermaid	B
11/86	Time Inc.	A
11/86	Wal-Mart Stores	B
11/86	Waste Management Inc.	B
11/86	Vanguard Index Trust-mutual fund	B

V.	Liabilities	
	Amory Houghton Jr. Trust dated 12/10/34 by A.B. Houghton - demand note	E
	National Westminster Bank	D
	Corning Associates I - notes payable	C
	Corning Associates II-notes payable	E
	Acorn II - notes payable	C
	Chase Lincoln First Bank, Corning, N.Y. - line of credit	E
	Northwestern Mutual Life Insurance Co. - loan on policy	D
	Travelers Insurance Co. - loan on policy	B

9 / 7 ' 1 2 7 3 5 2 3

Page 13 of 13

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Amory Houghton, Jr.
(Name)

Continuation Sheet

Part *Source, Type, Amount, Category, Description or Value (As Applicable)*

VI. Positions

The Meadows Charitable Trust - Trustee
The Houghton Foundation - President
The Washington Cathedral Chapter - Inactive Trustee

Mr. Houghton resigned from the following positions prior to being sworn into office:

Corning Glass Works - Chairman of the Executive Committee
Corning Glass Works - Director
Corning International Corporation - Director
Dow - Corning Corporation - Director
Genentech Inc. - Director
IBM Corporation - Director
Citicorp, N.A. - Director
The Proctor & Gamble Company - Director
The Business Council - Member/Trustee
The Conference Board - Member/Trustee
Corning Glass Works Foundation - Member/Trustee
Corning Museum of Glass - Member/Trustee
The Brookings Institution - Trustee
U.S. - U.S.S.R. Trade Council - Director
The Independent College Fund - Trustee
The National Council for U.S.-China Trade - Director
Labor Industry Coalition for International Trade - Co-chairman
Business Council of New York State - Director
Arts Coalition of Empire State - Board Member
John Lord O'Brien Society, Harvard Divinity School - Chairman

Mr. Houghton serves as trustee for the following in addition to those trusts listed previously (reference part II.A.):

(1) Trust dated 6/17/40 by A.B. Houghton
(1) Trust under will of E. Houghton
(1) Trust dated 2/3/76 by E.M. Oedell
(1) Trust dated 6/7/76 by P.H. Mowbray
(1) Trust dated 4/19/77 by H.M. Carter
(1) Trust (not dated) by M.T. Mowbray
(1) Trust dated 3/14/68 by E.H. Cole
(5) Trusts dated 12/16/76 by E.H. Cole
(3) Trusts dated 5/13/69 by A.A. Houghton Jr.
(7) Trusts dated 7/3/69 by A.A. Houghton Jr.
(1) Trust dated 12/22/71 by A.A. Houghton Jr.
(1) Trust dated 1/4/72 by A.A. Houghton Jr.
(1) Trust dated 12/28/67 by J.R. Houghton
(19)Trusts dated 2/21/81 under will of Amory Houghton
(3) Trusts dated 7/1/82 by G.R. Montgomery
(9) Trusts dated 9/16/41 by A.B. Houghton

Executor:

Estate of Amory Houghton
Estate of E.H. Cole

Mr. Houghton is also a limited or general partner in each of the partnerships previously listed in Part III.

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Amory Houghton, Jr.
(Full Name)

2 East Market Street
(Mailing Address)

Corning, New York 14830

✓
MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 34th State New York

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.

- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.

- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
-See Attached Continuation Sheet			
(Page 1 of 13)			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
-See Attached Continuation Sheet		
(Pages 1 - 4 of 13)		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO X NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
-See Attached Continuation Sheet	
(Pages 4 - 10 of 13)	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
- See Attached Continuation Sheet		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
-See Attached Continuation Sheet (Page 13 of 13)	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
N/A. Mr. Houghton was not officially a	Member of the House
until January 1987.	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
N/A, See explanation above.		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
N/A, See comment at Section VI, A, above.	
(Pages 11 - 12 of 13)	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
-See attached continuation sheet	
(Page 12 of 13)	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5/14/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

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ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Amory Houghton, Jr.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
II.A.	Salary & Other Compensation	1986
	Corning Glass Works - (includes salary, personal travel related expenses and stock incentive awards)	$772,259
	Director Fees:	
	IBM Corporation	24,805
	Proctor & Gamble Company	16,000
	Genentech Inc.	10,250
	Citicorp, N.A.	36,150
	Trustee Commissions	
	(1) Trust dated 1/3/49 by G.R. Montgomery	$ 27,644
	(1) Trust dated 8/15/49 by G.R. Montgomery	1,694
	(8) Trusts dated 12/10/34 by A.B. Houghton	48,911
	(2) Trusts dated 4/11/60 by H.C. Hollister	2,055
	(4) Trusts dated 4/18/46 by G.B. Hollister	1,721
	(1) Trust dated 4/6/57 by M.H. Anderson	2,107
	(2) Trusts dated 6/24/63 by E.A. Ray	1,838
	(1) Trust dated 4/21/65 by E.A. Ray	1,348
	(1) Trust dated 1/22/64 by E.A. Ray	1,127
	(1) Trust dated 1/20/79 by A.S. Lassalle	1,113
	(1) Trust dated 11/21/78 by G.H. Lassalle	1,299
	(3) Trusts dated 12/22/61 by A.A. Houghton, Jr.	8,953
	(5) Trusts dated 1/5/52 by G.B. Hollister	6,925
II.B.	Trust income	Category
	Trust dated 9/16/41 by A.B. Houghton	G
	Trust dated 12/10/34 - E. Houghton (1/15 share)	E
	Trust dated 12/10/34 by A.B. Houghton	G
	Dividends	
	Citicorp, N.A.-common stock	B
	Corning Glass Works-common stock	E
	Dun & Bradstreet-common stock	A
	Gannett Co.-common stock	A
	Hamilton Oil Corp.-common stock	A
	Hamilton Oil Corp.-preferred stock	A
	IBM Corporation-common stock	D
	IVY Institutional Investors Fund - mutual fund	D
	Proctor & Gamble-common stock	A
	Time Inc.-common stock	A

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ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Amory Houghton, Jr.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II.B.			Category
	Interest		
	Key Bank-Corning, NY		A
	Citibank, N.A. - NYC		D
	Chase Lincoln - Corning, NY		C
	U.S. Treasury Bills		D
	Municipal Bonds:		
	Monroe County, NY		A
	Syracuse, NY		A
	Partnership Income	Share*	
	Acorn I	12.50/11.25	A
	Acorn II	8.00/7.84	A
	Acorn III	10.00/9.80	A
	Acorn IV	20.00/19.60	A
	Corning Associates I	33.33/9.90#	A
	Corning Associates II	50.00/5.50#	A
	Corning Associates VC-1	27.45/0.65	A
	Associates Partners	0.14/0.01	A
	Offshore Properties - Joint Venture	N/A**	C
	Offshore Investments Co. - Joint Venture	N/A**	A

* Ownership % in investment partnership/ownership % in ultimate partnership.

# Prior year share %'s were reversed. No impact on category of value information.

** Gulf Coast Ltd. as well as certain of the Hamilton Bros. partnerships, both disclosed in the prior year, are in the process of winding up and are no longer significant enough to warrant disclosure. Based upon the limited information available, Offshore Properties and Offshore Investments represent recent spin-offs of Gulf Coast and Hamilton Bros. Partnership. Share information at 12/31/86 is not yet available.

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ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Amory Houghton, Jr.
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*	
II.B		Category
	Capital Gains (Losses)	
	Chemlawn Corp.-common stock	(A)
	Environmental Testing-common stock	A
	Federal Express-common stock	B
	Gannett Co.-common stock	D
	Genentech-common stock	D
	Hospital Corp. of America-common stock	A
	McGraw Hill Inc.-common stock	A
	Shared Medical Systems-common stock	B
	United Stationers Inc.-common stock	(A)
	General Reinsurance-common stock	C
	Time Inc.-common stock	C
	Toys R Us-common stock	B
	Dow Jones & Co.-common stock	A
	Dun & Bradstreet-common stock	D
	Wal-Mart Stores	C
	New England Business Service, Inc.-common stock	A
	R.R. Donnelley Sons-common stock	A
	Rubbermaid Inc.-common stock	C
	Hamilton Oil Corp.-preferred stock	A
	Hamilton Oil Corp.-common stock	E
	Ivy Institutional Investors-mutual fund	E
	Syracuse, NY-municipal bond	A
	Monroe County, NY-municipal bond	A
	Acorn I Partnership	G
	Acorn II Partnership	E
	Offshore Properties - Joint Venture	C
	Offshore Investments Co. - Joint Venture	D
	Dividends & Interest - (Spouse)	
	Citibank, N.A. - NYC	B
	Municipal bond interest:	
	New York City	A
	Rochester, NY	A
	Dun & Bradstreet-common stock	A
	General Reinsurance-common stock	A
	IBM Corporation-common stock	B
	Marsh & McLennan-common stock	A
	Rubbermaid-common stock	A
	Vanguard Index Trust-mutual fund	C

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ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Amory Houghton, Jr.
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*	Category
II.B.	Capital Gains (Losses) - (Spouse)	
	Newport Corp.-common stock	(A)
	Chemlawn Corp.-common stock	(A)
	Federal Express-common stock	A
	R.R. Donnelley Sons-common stock	A
	Dow Jones & Co.-common stock	A
	Dun & Bradstreet Corp.-common stock	D
	General Reinsurance-common stock	C
	Information Res. Inc.-common stock	A
	IBM Corporation common stock	D
	Marsh & McLennan-common stock	C
	New England Business Service Inc.-common stock	A
	Toys R Us-common stock	A
	Rubbermaid Inc.-common stock	D
	Time Inc.-common stock	B
	Wal-Mart Stores-common stock	C
	Waste Management Inc.-common stock	D
	Vanguard Index Trust-mutual fund	D
	New York, N.Y. - municipal bond	C
III.	Holdings (at December 31, 1986)	
	Augusta National Inc.-Building Crtf-series B	A
	Citicorp, N.A.-common stock	C
	Corning Glass Works-common stock	F
	Genentech Corporation-"ECRS" Stock	F
	IBM Corporation-common stock	E
	Kittensett Club-Voting Trust Certificate	A
	Proctor & Gamble-common stock	C
	U.S. Series E & EE Bonds	B
	U.S. Tax Refund Claim	E
	Cash - interest bearing deposits (Citibank, N.A., Chase Lincoln First Bank, N.A., National Westminster Bank and Key Bank)	F
	Account receivable from Corning Associates	B

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ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Amory Houghton, Jr.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
III.	

Holdings (disposed of during 1986)	Category
Chemlawn Corp.-common stock	A
Dow Jones & Co.-common stock	A
Dun & Bradstreet-common stock	B
Environmental Testing-common stock	A
Federal Express-common stock	A
Gannett Co.-common stock	C
Genentech-common stock	C
General Reinsurance-common stock	B
Hamilton Oil Corp.-preferred stock	A
Hamilton Oil Corp.-common stock	D
Hospital Corp. of America-common stock	A
Ivy Institutional Investors-mutual fund	D
McGraw Hill Inc.-common stock	A
Monroe County, NY-municipal bond	A
New England Business Service, Inc.-common stock	A
R.R. Donnelley Sons-common stock	A
Rubbermaid Inc.-common stock	A
Shared Medical Systems-common stock	B
Syracuse, NY-municipal bond	A
Time Inc.-common stock	B
Toys R Us-common stock	A
United Stationers, Inc.-common stock	A
U.S. Treasury Bills	F
Wal-Mart Stores	B

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ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Amory Houghton, Jr.
(Name)

Continuation Sheet

Part | Source, Type, Amount, Category, Description or Value (As Applicable)

III. share*	Partnerships	Category**
0.5588%	Hamilton Brothers (1968) Ltd.- Oil & Gas Exploration in Canada	C
0.5577%	Hamilton Brothers (1969) Ltd. - Oil & Gas Exploration in TX & OK	C
0.2701%	Hamilton Brothers (1970) Ltd. - Oil & Gas Exploration offshore Louisiana coast	C
0.3373%	Hamilton Brothers (1971) Ltd. - Oil & Gas Exploration in TX & OK	C
1.0474%	Gulf Coast Ltd, managed by Hamilton Bros. Oil Co. - Oil & Gas Exploration offshore Louisiana coast	B
12.50%/11.25%	Acorn I, managed by Smith-Allen, proceeds from sale of Dallas, TX investment property	A
8.00%/7.84%	Acorn II, managed by Smith-Allen, rental of office building in Dallas, TX	C
10.00%/9.80%	Acorn III, managed by Smith-Allen, rental of office building in Dallas, TX	C
20.00%/19.60%	Acorn IV, managed by Smith-Allen, development of R/E for office building rental in Austin, TX	D
33.33%/9.90%	Corning Associates - I, managed by Aicre Realty, rental of shopping center; Cape May Court House, NJ	E
50.00%/5.50%	Corning Associates II, managed by Aicre Realty, rental of office building in Albany, New York	F
27.45%/ -	Corning Associates VC-1, investment in venture capital partnerships:	
- /0.20%	Business Development Capital Ltd. III, managed by the Foster Management Co. NY, NY; investments are in Heritage Health Systems, Inc., Chartwell Group Inc., Inspeech Inc., and Medac Inc.	C
- /1.0982%	Intersouth Partners, managed by same, Chapel-Hill, NC, invested in Resonnex Inc., Digital Recorders Inc., Biotherm Inc., Trancept Systems Inc., Imsatt Corp., Integrated Silicon Systems Inc.	C
5.00%	Desert Gas Drilling, natural gas wells in Fredonia, NY	D
0.14%/0.01%	Associates Partners, general partner in Corning Associates Fixed Income Fund, investments in State of Illinois State Scholarship Commission Demand Notes, Power Authority of the State of NY Demand Notes, Student Loan Fund of Idaho Demand Notes, U.S. Treasury Bills, Wasco County, Oregon Hospital Demand Notes, Indiana Hospital Equipment Financing Demand Notes and Louisiana Public Facilities Demand Notes	A

* See explanation on page 2 of 13.

** Valuation Method - Original investment, includes any additional capital contributed since inception.

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ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Amory Houghton, Jr.
(Name)

Continuation Sheet

Part — *Source, Type, Amount, Category, Description or Value (As Applicable)*

Part		Category
III.	Amory Houghton Jr. Tr. u/w ABH- (Income interest only) holdings consist of:	
	Holdings (at December 31, 1986)	
	Partnership:	
	Corning Associates Fixed Income Fund-(see holdings listed on Page 6 of 13)	F
	Municipal Bonds:	
	(1) Scarsdale, NY	C
	(1) Westchester County, NY	C
	(2) Monroe County, NY	D
	(1) Onondaga County, NY	C
	Corning Glass Works - common stock	F
	Ivy Institutional Investors-mutual Fund	F
	Demand Note Receivable from Amory Houghton Jr.	E
	Account Receivable from Goldman Sachs	F
	Principal Cash-interest bearing (Citibank, N.A.)	F
	Holdings (disposed of during 1986)	
	Hybritech, Inc. - common stock	A
	IBM Corporation - common stock	C
	Newport Corp. - common stock	B
	Corning Glass Works - common stock	F
	McGraw Hill, Inc. - common stock	B
	Chemlawn Corp. - common stock	B
	Federal Express - common stock	C
	Shared Medical Systems - common stock	C
	United Stationers Inc. - common stock	A
	Environmental Testing - common stock	B
	Fort Howard Paper Co. - common stock	B
	Gannett Co. - common stock	C
	Genentech - common stock	B
	Hospital Corp. of America - common stock	B
	R. R. Donnelley Sons - common stock	C
	Dow Jones & Co. Inc. - common stock	C
	Dun & Bradstreet - common stock	C
	General Reinsurance - common stock	C
	Information Res. Inc. - common stock	B
	Marsh & McLennan - common stock	C
	New England Business Service Inc. - common stock	B
	Rubbermaid Inc. - common stock	C
	Time Inc. - common stock	B
	Toys R Us - common stock	C
	Wal-Mart Stores - common stock	C
	Waste Management Inc. - common stock	C
	Demand Note Receivable from Amory Houghton, Jr.	C

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ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Amory Houghton, Jr.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	Category
III.	Amory Houghton Jr. Tr. 12/10/34-(Income and limited principal interest) holdings consist of:	
	Holdings (at December 31, 1986)	
	Partnership:	
	Corning Associates Fixed Income Fund- (see holdings listed on Page 6 of 13)	F
	Municipal Bonds:	
	(4) Onondaga Cty, NY	C
	(1) Westchester Cty, NY	B
	Corning Glass Works - common stock	F
	Ivy Institutional Investors Mutual Fund	C
	Account Receivable from Goldman Sachs	F
	Account Receivable from Corning Associates	A
	Principal Cash-interest bearing (Citibank, N.A.)	F
	Holdings (disposed of during 1986)	
	IBM Corporation - common stock	B
	Corning Glass Works - common stock	F
	Onondaga County - municipal bond	B
	Dow Jones & Co. - common stock	B

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ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Amory Houghton, Jr.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	Category
III.	Elisabeth Houghton Trust 12/10/34 (1/15th Income interest only) holdings consist of:	
	Holdings (at December 31, 1986)	
	Partnership:	
	Corning Associates Fixed Income Fund- (see holdings listed on Page 6 of 13)	E
	Municipal Bonds:	
	(3) Onondaga County, NY	B
	(3) Westchester County, NY	B
	(2) Monroe County, NY	A
	(1) Orange County, NY	A
	(1) Seattle, WA	A
	(1) Scarsdale, NY	A
	(1) Maryland	A
	(1) Ulster County, NY	A
	(2) Suffolk County, NY	A
	(1) Livingston County, NY	A
	(1) Rockland County, NY	A
	(1) Georgia	A
	Corning Glass Works - common stock	F
	Ivy Institutional Investors - mutual fund	C
	Account Receivable from Goldman Sachs	D
	Principal Cash - interest bearing (Citibank, N.A.)	D
	Holdings (disposed of during 1986)	
	Municipal Bonds:	
	Onondaga County	A
	Plattsburgh, N.Y.	A
	Oswego, N. Y.	A
	Monroe County	A
	IBM Corporation - common stock	A
	Corning Glass Works - common stock	E
	Federal Express - common stock	A
	Shared Medical Systems - common stock	A
	Gannett Co. - common stock	A
	R. R. Donnelley Sons - common stock	A
	Dow Jones & Co. Inc. - common stock	A
	Dun & Bradstreet - common stock	A
	General Reinsurance - common stock	A
	Marsh & McLennan - common stock	A
	Rubbermaid Inc. - common stock	A
	Time Inc. - common stock	A
	Toys R Us - common stock	A
	Wal-Mart Stores - common stock	A
	Waste Management Inc. - common stock	A

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ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Amory Houghton, Jr.

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*	Category
III.	Holdings - (Spouse) (at December 31, 1986)	
	Municipal Bonds:	
	(1) Rochester, NY	B
	IBM Corporation - common stock	C
	Vanguard Index Trust - mutual fund	D
	Cash-interest bearing deposits (Citibank, N.A.)	E
	Holdings - (Spouse) (disposed of during 1986)	
	Newport Corp.-common stock	A
	Chemlawn Corp.-common stock	A
	Federal Express-common stock	A
	R.R. Donnelley Sons-common stock	A
	Dow Jones & Co.-common stock	A
	Dun & Bradstreet Corp.-common stock	C
	General Reinsurance-common stock	B
	Information Res. Inc.-common stock	A
	IBM Corporation common stock	C
	Marsh & McLennan-common stock	B
	New England Business Service Inc.-common stock	A
	Toys R Us-common stock	A
	Rubbermaid, Inc.-common stock	B
	Time Inc.-common stock	A
	Wal-Mart Stores-common stock	B
	Waste Management, Inc.-common stock	B
	Vanguard Index Trust-mutual fund	B
	New York, N.Y. - municipal bond	B

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ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Amory Houghton, Jr.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
IV.	Transactions	Category
	Sales/Maturities	
	Debt Securities:	
5/86	Syracuse, NY	A
6/86	Monroe County NY	A
3/86-6/86	U.S. Treasury Bills	F
	Equity Securities:	
5/86	McGraw Hill Inc.	A
5/86	Chemlawn Corp.	A
8/86	Federal Express	A
8/86	Shared Medical Systems	B
8/86	United Stationery Inc.	A
10/86	Environmental Testing	A
10/86	Hospital Corp. of America	A
10/86	Hamilton Oil-preferred stock	A
10/86	Hamilton Oil-common stock	D
10/86	Ivy Institutional Investors-mutual fund	D
11/86	Gannett Co.	C
10/86	Genentech	C
11/86	General Reinsurance	B
11/86	Time Inc.	B
11/86	Toys R Us	A
11/86	Dow Jones & Co.	A
11/86	Dun & Bradstreet	B
11/86	Wal-Mart Stores	B
11/86	New England Business Services	A
11/86	R. R. Donnelley	A
11/86	Rubbermaid Inc.	A
	Purchases	
	Debt Securities:	
3/86-6/86	U.S. Treasury Bills	E

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ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Amory Houghton, Jr.
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*	
IV.	Transactions - (Spouse)	Category
	Sales/Maturities	
	Debt Securities:	
7/86	New York, NY	B
	Equity Securities:	
3/86	Newport Corp.	A
5/86	Chemlawn	A
8/86	Federal Express	A
11/86	R. R. Donnelley	A
11/86	Dow Jones & Co.	A
11/86	Dun & Bradstreet	C
11/86	General Reinsurance	B
11/86	Information Res. Inc.	A
11/86	IBM	C
11/86	Marsh & McLennan	B
11/86	New England Business Services Inc.	A
11/86	Toys R Us	A
11/86	Rubbermaid	B
11/86	Time Inc.	A
11/86	Wal-Mart Stores	B
11/86	Waste Management Inc.	B
11/86	Vanguard Index Trust-mutual fund	B

V. Liabilities

Amory Houghton Jr. Trust dated 12/10/34 by A.B. Houghton - demand note	E
National Westminster Bank - Line of Credit	D
Corning Associates I - notes payable	C
Corning Associates II-notes payable	E
Acorn II - notes payable	C
Chase Lincoln First Bank, Corning, N.Y. - line of credit	E
Northwestern Mutual Life Insurance Co. - loan on policy	D
Travelers Insurance Co. - loan on policy	B

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ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Amory Houghton, Jr.
(Name)

Continuation Sheet

Part *Source, Type, Amount, Category, Description or Value (As Applicable)*

VI. Positions

The Meadows Charitable Trust - Trustee
The Houghton Foundation - President
The Washington Cathedral Chapter - Inactive Trustee

Mr. Houghton resigned from the following positions prior to being sworn into office:

Corning Glass Works - Chairman of the Executive Committee
Corning Glass Works - Director
Corning International Corporation - Director
Dow - Corning Corporation - Director
Genentech Inc. - Director
IBM Corporation - Director
Citicorp, N.A. - Director
The Proctor & Gamble Company - Director
The Business Council - Member/Trustee
The Conference Board - Member/Trustee
Corning Glass Works Foundation - Member/Trustee
Corning Museum of Glass - Member/Trustee
The Brookings Institution - Trustee
U.S. - U.S.S.R. Trade Council - Director
The Independent College Fund - Trustee
The National Council for U.S.-China Trade - Director
Labor Industry Coalition for International Trade - Co-chairman
Business Council of New York State - Director
Arts Coalition of Empire State - Board Member
John Lord O'Brien Society, Harvard Divinity School - Chairman

Mr. Houghton serves as trustee for the following in addition to those trusts listed previously (reference part II.A.):

(1) Trust dated 6/17/40 by A.B. Houghton
(1) Trust under will of E. Houghton
(1) Trust dated 2/3/76 by E.M. Oedell
(1) Trust dated 6/7/76 by P.H. Mowbray
(1) Trust dated 4/19/77 by H.M. Carter
(1) Trust (not dated) by M.T. Mowbray
(1) Trust dated 3/14/68 by E.H. Cole
(5) Trusts dated 12/16/76 by E.H. Cole
(3) Trusts dated 5/13/69 by A.A. Houghton Jr.
(7) Trusts dated 7/3/69 by A.A. Houghton Jr.
(1) Trust dated 12/22/71 by A.A. Houghton Jr.
(1) Trust dated 1/4/72 by A.A. Houghton Jr.
(1) Trust dated 12/28/67 by J.R. Houghton
(19) Trusts dated 2/21/81 under will of Amory Houghton
(3) Trusts dated 7/1/82 by G.R. Montgomery
(9) Trusts dated 9/16/41 by A.B. Houghton

Executor:

Estate of Amory Houghton
Estate of E.H. Cole

Mr. Houghton is also a limited or general partner in each of the partnerships previously listed in Part III.

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

James J. Howard
(Full Name)

2188 Rayburn House Office Building
(Mailing Address)

Washington, DC 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 3rd State New Jersey

☐ Officer or Employee—Employing Office ________

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
(See Attachment I)			
Big Brothers & Big Sisters (Spouse)	Salary		

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
American Security Bank	Interest	A
First Jersey National Bank	Interest	B
Municipal Bonds	Interest	C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
First Jersey National Bank	C
American Security	B
Municipal Bonds	D

[illegible]

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Municipal Bonds Purchased	6/16/86	B
Municipal Bonds Sold	10/14/86	B
Municipal Bonds Purchased	11/18/86	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
(See Attachment II and III)	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
	None

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
James J Howard	May 13, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

[illegible]

ATTACHMENT I

1986

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

JAMES J. HOWARD

CONTINUATION SHEET

I. INCOME

A.

Source	Type	Date	Amount
Gold & Liebengood, Inc.	Honorarium	1-11-86	$2,000
Distilled Spirits Council of U.S.	Honorarium	1-12-86	$2,000
Ackerley Communications, Inc.	Honorarium	1-13-86	$2,000
The Tobacco Institute	Honorarium	1-15-86	$2,000
Air Transport Association	Honorarium	1-16-86	$1,500
American Truckers Association	Honorarium	2-27-86	$2,000
Int. Brotherhood of Teamsters	Honorarium	2-13-86	$2,000
American Public Transit Association	Honorarium	3-10-86	$2,000
Associated General Contractors	Honorarium	3-21-86	$2,000
All-American Beverage Co., Inc.	Honorarium	5-12-86	$1,000
Galfam Realty Trust	Honorarium	5-27-86	$2,000
American Bus Association	Honorarium	9-24-86	$2,000
Great Lakes Dredge & Dock	Honorarium	12-9-86	$ 500*
American Dredging Co.	Honorarium	12-9-86	$ 500**
Richard S. Weeks	Honorarium	12-9-86	$ 500**
East Coast Tender Services, Inc.	Honorarium	12-9-86	$ 500**

*$30.000 of which was assigned to charity.
**All assigned to charity.

ATTACHMENT II

1986 -

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

JAMES J. HOWARD

CONTINUATION SHEET

II. GIFTS AND REIMBURSEMENTS

C. Source	Brief Description
Del Smith/Bob Will	Meeting (Reimbursement: Travel, Newark, N.J. to L.A., Calif. to Palm Springs, Calif. to Newark/1-9-86 and return 1-17-86/(Spouse only).
Outdoor Advertising Association of America	Speech (Reimbursement: L.A. to Palm Springs, Calif.-Member only/Food & Lodging/1-11-86 to 1-16-86/Member & Spouse.
Ferro/Cataphote Legislative Seminar	Speech (Reimbursement: Newark, N.J., Tampa, Fla., Ft. Lauderdale, Fla. and return/ Trans., food & lodging/2-9-86 to 2-13-86/Member & Spouse.
Associated General Contractors	Speech (Reimbursement: Wash., D.C., Honolulu, & return to Newark/Trans., food & lodging/ 3-21-86 to 3-26-86.
E. Del Smith and Company, Inc.	Meeting (Reimbursement: Newark, N.J./San Francisco & return./ Trans./4-3-86 to 4-6-86/Spouse only.
Galfam Realty Trust	Speech (Reimbursement: Newark, N.J./Boston, Mass. & return to Newark/Trans./5-27-86.

Danny Thompson Memorial Leukemia Fund	Charity fundraiser-reimbusement: Newark, N.J. to Sun Valley, Idaho & return. 8/21/86 to 8/24/86. Food, lodging & trans. Member and Spouse.
Outdoor Advertising Association of America	Meetings (Reimbursement: Trans. from Newark, N.J. to Boston and return. 8-26-86 to 8-27-86. Food & lodging.
American Bus Association	Speech (Reimbursement: Trans. from Newark, N.J. to Puerto Rico and return. 12-14-86 to 12-19-86. Food & lodging. Member and spouse.

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

STENY HAMILTON HOYER
(Full Name)

1513 Longworth House Office Building
(Mailing Address)
Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 5 State Maryland

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Law Office - Steny H. Hoyer			
Attributable to Pre-June 3, 1981	profit	2,620.00	
Attributable to Calendar Year 1986	profit	15,363.00	
Judith P. Hoyer (Brd of Education of P.G. County, Maryland)	salary		

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
89 Orange Street, Nantucket, MA.	rental	E
2307 Commonwealth Ave., Alexandria, VA	rental	D
Law Office	rent	C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X ____ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
89 Orange Street, Nantucket, MA	F
2307 Commonwealth Ave, Alexandria, VA	E
Personal Property - Hoyer Law Firm	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sale of property, 2307 Commonwealth Avenue,		
Alexandria, Virginia. Property sold on December 17, 1986,		
sales price Category of Value E		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Nantucket Savings Bank (mortgage on rental property)	C
First Federal Savings and Loan Association of Northern Virginia	
(mortgage on rental property)	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Owner	Steny H. Hoyer - Attorney

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 13, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987 [illegible]

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A–For use by Members, officers, and employees

Carroll Hubbard, Jr.
(Full Name)

2182 Rayburn Building
(Mailing Address)

Washington, DC 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives–District 1st State Kentucky

☐ Officer or Employee–Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts, such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Total given to charity out of aggregate honoraria			$4520.00
(1) $ 520 to Georgetown College, Georgetown, KY;	Student aid fund		
(2) $1000 to Memorial Baptist Church, Murray, KY;	Student aid fund		
(3) $1500 to Murray State University, Murray, KY;	Student aid fund		
(4) $1500 to Southern Baptist Theological Seminary, Louisville, KY (Dr. Carroll Hubbard, Sr. Memorial Student aid fund)	Student aid fund		

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Prudential Life Insurance Company	Interest	A
Bank of Jamestown	Interest	C
Wright Patman Congressional Federal Credit Union	Interest	A
Wright Patman Congressional Federal Credit Union	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
None	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
The Singer Corporation	Air transportation (and wife)
National Assn. of Bank Servicers	Round trip airfare & lodging (and wife)
Electronic Industries Assn.	Round trip airfare & lodging (and wife)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13

POSITION	NAME OF ORGANIZATION
Trustee	Georgetown College, Georgetown, Kentucky
Founders Board	Transylvania University, Lexington, Kentucky

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Carroll Hubbard, Jr.	May 14, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 69-232

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Carroll Hubbard, Jr.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		TYPE	
II A	Barnett Banks of Florida, Inc.	2/26/86	Honorarium	$2000
	Nat'l Assn. of Bank Servicers	3/19/86	"	1500
	United California Savings Bank	3/19/86	"	2000
	Far West Savings and Loan Assn.	3/19/86	"	2000
	National Restaurant Association	4/10/86	"	1000
	Conference of State Bank Supervisors	4/15/86	"	2000
	Independent Community Bankers Assn/KY	4/26/86	"	500
	Chicago Mercantile Exchange	6/ 2/86	"	500
	Board of Trade of the City of Chicago	6/ 2/86	"	500
	Securities Industry Association	6/14/86	"	2000
	Edward D. Jones & Co.	6/27/86	"	1500
	Berman, Bergner and Boyette, Inc.	7/29/86	"	500
	National Southwire-Aluminum	8/ 4/86	"	1000
	Barnett Banks of Florida, Inc.	8/25/86	"	1000
	Barnett Banks of Florida, Inc.	8/26/86	"	1000
	Kentucky Bankers Association	9/ 8/86	"	1000
	American Trucking Assns., Inc.	9/18/86	"	1000
	KY Bank Management Institute, Inc.	10/5/86	"	750
	Shawmut Bank	10/22/86	"	2000
	Northern KY Chamber of Commerce	10/24 86	"	300
	Aydin Corporation	10/31/86	"	2000
	National Restaurant Association	11/4/86	"	1000
	Wife Carol-T/A Temporaries, Inc.		Salary	2593.34
	Wife Carol-Computer Sciences Corp.		Salary	2825.25
	Wife Carol-KY Council on Higher Education		Per diem for meetings attended	455.00

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Carroll Hubbard, Jr.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
VII	Chicago Mercantile Exchange	Round trip airfare & lodging (and wife)
	Securities Industry Assn.	Round trip airfare & lodging (and wife)
	Barnett Banks of Florida, Inc.	Transportation & lodging (and wife)
	Kentucky Bankers Association	One way airfare
	Kentucky Bank Management Institute, Inc.	Transportation & lodging (and wife)
	Aydin Corporation	Transportation & lodging (and wife)
	Shawmut Bank	Transportation & lodging (and wife)
	Wife Carol - Kentucky State Treasurer	Transportation & lodging in regard to attendance at meetings of Kentucky Council on Higher Education

[illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Carroll Hubbard, Jr.
(Full Name)

2182 Rayburn Building
(Mailing Address)

Washington, DC 20515

Mc
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 1st State Kentucky

☐ Officer or Employee—Employing Office

☒ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

VII. REIMBURSEMENTS - Amended statement

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
The Singer Corporation	Air Transportation Washington, D.C.-Morganfield, Ky.-Louisville, Ky. (and wife)
National Assn. of Bank Servicers	Round trip airfare Washington, D.C.-San Diego, Ca. & lodging (and wife)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Carroll Hubbard, Jr.	May 26, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Carroll Hubbard, Jr.
(Name)

Continuation Sheet - Amended statement

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
VII	Electronic Industries Assn.	Round trip airfare Washington, D.C.-Ft. Myers, Fl. & lodging (and wife)
	Chicago Mercantile Exchange	Air transportation Paducah, Ky.-Chicago, Il.-Washington, D.C. & lodging (and wife)
	Securities Industry Assn.	Air transportation Evansville, In.-Jacksonville, Fl.-Nashville, Tn. & lodging (and wife)
	Barnett Banks of Florida, Inc.	Transportation (car rental out of Ft. Myers, Fl.) & lodging at Captiva Island, Fl. (and wife)
	Kentucky Bankers Association	One way airfare Washington, D.C.-Louisville, Ky. & lodging
	Kentucky Bank Management Institute, Inc.	Transportation (automobile) & lodging at General Butler State Park, Carrollton, Ky. (and wife)
	Aydin Corporation	Transportation (Amtrak) Washington, D.C. Philadelphia, Pa. & lodging (and wife)
	Shawmut Bank	Air transportation Washington, D.C.-Boston, Ma. & lodging (and wife)
	Wife Carol - Kentucky State Treasurer	Round trip airfare Washington, D.C.-Louisville, Ky., and Washington, D.C.-Lexington, Ky. & lodging in regard to attendance at meetings of Kentucky Council on Higher Education at Louisville, Ky. and Frankfort, Ky.

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Thomas J. Huckaby
(Full Name)

2421 Rayburn House Office Building
(Mailing Address)

Washington, D. C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☐ Member of the U.S. House of Representatives—District 5th State Louisiana

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Shannon & Luchs Real Estate (Spouse)	Commissions		
National Cotton Council	Honorarium	$2,000	1/27/86
Atlantic Cotton Association	Honorarium	$1,000	4/25/86
Amstar Corporation	Honorarium	$1,500	5/30/86
Farm Credit Banks of Jackson	Honorarium	$ 500	6/18/86

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Rent of farm house	Rent	B
Hallmark Farms, Inc. (Family Farm Corp.)	Dividend	A
Merrill Lynch Bond Fund	Interest	E
Advance Development Corp.	Interest & Capital Gains	D
Mike Sanders - Agriculture Lease	Lease	E
A.S.C.S. - Share of Agriculture Lease	Lease	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Hallmark Farms, Inc. - 920 acres of farm land, Ringgold, LA	F
Merrill Lynch Corporate Bond Fund	E
Note from Advance Development Corp. for purchase of 77 acres of land	C
Fidelity Investments - Magellan Mutual Fund	D

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
IRA-Merrill Lynch Purchase Sci.&Tech. Mutual Fund	4/11/86	A
Purchase Mutual Fund Shares-Fidelity Growth Income	12/23/86	C
Purchase Mutual Fund Shares-Fidelity Magellan	12/23/86	B
Hallmark Farms-Sell Merrill Lynch Bond Fund	12/23/86	D
Hallmark Farms-Purchase Mutual Fund Shares Fidelity Magellan	12/23/86	D

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME, any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
American Bank, Monroe, LA	C
First National Bank, Arcadia, LA	B
Evans Trust, Hallmark Farms, Inc., Mortgage	F

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of all other *gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Chinese National Association of Industry	Roundtrip airfare from Washington, D.C. to Taiwan; 5 nights lodging & meals.
Hawaiian Sugar Planters Association	Expenses for 3 nights lodging & meals.

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
President	Hallimark Farms, Inc.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Thomas Jerry Huckaby	5-15-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Thomas J. Huckaby
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*			
II-A	Earned Income			
	Miller & Schroeder, Inc.	Honorarium	$2,000	6/20/86
	Connell Rice&Sugar Co., Inc.	Honorarium	$2,000	11/21/86
	American Sugar Cane League	Honorarium	$1,000	12/11/86
II-B	Unearned Income			
	State Farm Insurance	Dividends & Capital Gains	A	
	Dominion Federal	Interest	A	
	Commercial Union Ins.	Claim Settlement (Spouse)	E	
III	Holdings			
	Limited partnership, Bienville Apartments II Ringgold, LA		C	
	IRA Account - Merrill LYnch		B	
	Fidelity Investments Growth-Income Mutual Fund		C	
	Fidelity Investments-Magellan Mutual Fund		B	
	Woodardvilla Trust (Spouse & Children)		F	
	Stock-First City Savings & Loan, Baton Rouge		C	
VII	Reimbursements			
	Central Romana Corporation	Expenses for 4 nights lodging & meals.		
	National Cotton Council	Airfare from La. to Orlando, Fl. & return to Washington, D.C.; 1 night lodging & meals.		
	Atlantic Cotton Association	Roundtrip airfare from Washington, D.C. to Eglin AFB, Fl.; 1 night lodging & meals.		
	Amstar Corporation	Roundtrip airfare from Washington, D.C. to San Francisco; 2 nights lodging, meals & auto rental		
	Farm Credit Banks of Jackson	Roundtrip airfare from Washington, D.C. to Jackson, MS; 1 night lodging & meals.		
	Miller & Schroeder, Inc.	Roundtrip airfare from Washington, D.C. to Ontario, CA; 1 night lodging & meals		
	Connell Rice & Sugar Co., Inc.	Roundtrip airfare from Washington, D.C. to Westfield, NJ; 2 nights lodging & meals		
	American Sugar Cane League	Roundtrip airfare from Washington, D.C. to New Orleans, LA; 1 night lodging & meals.		

GPO: 1986 61-088 (r.a)

[illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVE

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

William J. Hughes
(Full Name)

341 Cannon House Office Building
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 2nd State New Jersey

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Wm. J. Hughes - The Brookings Institution (3/86)	Hon.	$300.00	none
" - The Brookings Institution(12/86)	Hon.	$300.00	none
" - Electronic Industries Assn. (1/86)	Hon.	$1000.00	none
" - M. G. Hand Trust	Tr. Comm.	$928.36	
" - F. B. Loveland Trust	Tr. Comm.	$637.70	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
William J. Hughes and/or Nancy L. Hughes		
Cape May Co. S & L Assn.	interest	C
NY Life Ins. Co.	interest	A
Midlantic National Bank	interest	B
Wright Patman Cong. Federal Credit Union	interest	A
Asbury Apartments, Ocean City, NJ	rentals	E

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY - n/o William J. Hughes & Nancy L. Hughes	CATEGORY
Asbury Apartments, 16th & Asbury, Ocean City, NJ	E
Townhouse, Garage & Apt. Unit - 2nd St., SE, Washington, D.C.	E
WV Condominium, Bolongo Bay, St. Thomas, USVI	E
Business Office, Wesley Road, Ocean City, NJ	B
Cape May County S & L Assn. - Money Market	E
Midlantic National Bank - 30 Day CD's	D

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
William J. Hughes		
Purchased & liquidated CD's & partial liquidation of	various	
money market account - Cape May Co. S & L Assn.	dates-1986	E
Purchased & liquidated 30/31 day CD, Midlantic Natl.Bank	Jan.-Mar.	D

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Thelma L. Loftin, Washington, D.C.	C
Heritage Bank, Ocean City, NJ	
(now Midlantic National Bank)	B
The WJH Corporation	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Electronic Ind. Assn. - Con. Electronic Shows	Speech in Nevada-airfare & one night's lodging
Hon. Jim Wright, M.C.-Substance Abuse Conf. in Ft. Worth, Texas	Airfare
Natl. Assn. of Industry & Commerce, Taiwan, ROC	Airfare & 5 night's lodging & meals (Member & Spouse)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
William J. Hughes	
of Counsel	Loveland, Garrett & Russell, Ocean City, NJ (Terminated 1986)
President/Director	The WJH Corporation
President/Director	Holiday Enterprises, Inc.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
William J. Hughes	May 14, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

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Page ____ of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

William J. Hughes
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II.			
A.	Nancy L. Hughes - The WJH Corp. - Off. Salary - $2500.00		
B.	William J. Hughes and/or Nancy L. Hughes -		
	WV Condominium, St. Thomas, USVI	rentals	D
	Washington, DC apt. & 1-car garage	rentals	D
	Dreyfus Tax Exempt Bond Fund		A
	N/O William J. Hughes -		
	Atlantic County Utility Auth. Sewer System Rev. Bonds		A
	South Jersey Industries		A
	N/O Nancy L. Hughes -		
	The Evesham Mun. Util. Auth. Rev. Bonds		A
III.	N/O William J. Hughes & Nancy L. Hughes -		
	Wright Patman Fed. Credit Union - Capital Account		D
	Dreyfus Tax Exempt Bond Fund		B
	N/O William J. Hughes -		
	51 shares - The WJH Corp., a NJ Corp.		D
	51 shares, Holiday Enterprises, Inc., a NJ Corp.		C
	100 shares, South Jersey Industries		A
	Atlantic County NJ Util. Auth. Sewer Rev. Bonds		B
	N/O Nancy L. Hughes -		
	49 shares, The WJH Corp., a NJ Corp.		D
	49 shares, Holiday Enterprises, Inc., a NJ Corp.		C
	Evesham Twp. NJ MUA Bonds		B
	NOTE: MANY OF THESE HOLDINGS ARE SAME FUNDS INVESTED & REINVESTED.		
IV.	N/O William J. Hughes & Nancy L. Hughes -		
	Invested in Capital Acct.-Wright Patman Cong.		
	Federal Credit Union	Oct.-1986	D
	Purchased Dreyfus Tax Exempt Bond Fund	Oct.-1986	B
	N/O William J. Hughes -		
	Purchased 100 shares of South Jersey Ind.	Sept.-1986	A
	N/O Nancy L. Hughes -		
	Purchased Evesham Twp. NJ MUA Bonds	July-1986	B
VIII.	Nancy L. Hughes -		
	Vice President, Sec/Treas.	The WJH Corporation	
	Vice President, Sec/Treas.	Holiday Enterprises, Inc.	

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A–For use by Members, officers, and employees

DUNCAN LEE HUNTER
(Full Name)

133 Cannon HOB
(Mailing Address)

Washington, DC 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives–District 45th State California

☐ Officer or Employee–Employing Office ______

☐ Check if amended Statement

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I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION." **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page [illegible]

A. SOURCE	TYPE	AMOUNT	DISPOSITION
U.S. NAVAL ACADEMY-2/12/86 Honorarium	Gross Income	300.00	
U.S. NAVAL ACADEMY-2/12/86 Honorarium	Momento/Watch	50.00	
SAN DIEGO COMMUNITY COLLEGE-3/13/86 Honorarium	Gross Income	2,000.00	
AVCO - 5/19/86 Honorarium	Gross Income	2,000.00	
NORTHROP CORPORATION - 6/3/86 Honorarium	Gross Income	2,000.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Rental of House and Horse Barn, Chantilly, VA	Gross Income	E
Wright Patman Federal Credit Union-Interest	Gross Income	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Real Estate (Vacant Lot), Riverside County, California	A
Residence, Chantilly, Virginia	F

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment aggregating* $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts aggregating* $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
NAT'L RIFLE ASSN-Speaking Engagement, New Orleans	Airfare & Lodgings
NORTHROP CORPORATION-Speaking Engangement, Los Angeles	Airfare LA to DC
LULAC-Speaking Engagement, Greenbrier, VA	Airfare & Lodgings

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Duncan Lee Hunter	MAY 17,

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

Page 2 of 2

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

DUNCAN LEE HUNTER
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II-A	GENERAL DYNAMICS-10/28/86 Honorarium	Gross Income	1,000.00
II-A	MCDONALDS RESTAURANTS-10/29/86 Honorarium	Gross Income	500.00
II-A	ROCKWELL INTERNATIONAL-11/3/86 Honorarium	Gross Income	1,000.00
II-A	NAT'L SECURITY INDUSTRIAL ASSN 11/19/86 Hon.	Gross Income	1,500.00
II-A	UNITED TECHNOLOGIES-12/15/86 Honorarium	Gross Income	1,000.00

UNITED STATES HOUSE OF REPRESENTATIVES

INSIDE MAIL

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

DUNCAN LEE HUNTER
(Full Name)

133 Cannon HOB
(Mailing Address)

Washington, DC 20515

mc ✓
(Office Use Only)

Check the appropriate box and fill in the blanks

X Member of the U.S. House of Representatives—District 45 State CA

Officer or Employee—Employing office

X Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
U.S. NAVAL ACADEMY-2/12/86 Honorarium	Gross Income	300.00	
U.S. NAVAL ACADEMY-2/12/86 Honorarium	Momento/Watch	90.00	
SAN DIEGO COMMUNITY COLLEGE-3/13/86 Honorarium	Gross Income	2,000.00	
AVCO - 5/19/86 Honorarium	Gross Income	2,000.00	
NORTHROP CORPORATION - 6/3/86 Honorarium	Gross Income	2,000.00	
LULAC - 6/7/86 Honorarium	Gross Income	1,000.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000

B. SOURCE	TYPE	CATEGORY
Rental of House and Horse Barn, Chantilly, VA	Gross Income	E
Wright Patman Federal Credit Union-Interest	Gross Income	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000

III HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 9.

IDENTITY	CATEGORY
Real Estate (Vacant Lot), Riverside County, California	A
Residence, Chantilly, Virginia	E

3 7 0 7 1 2 2 0 5 9 3

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
NAT'L RIFLE ASSN-Speaking Engagement, New Orleans	Airfare & Lodgings
NORTHROP CORPORATION-Speaking Engagement, Los Angeles	Airfare LA to DC
LULAC-Speaking Engagement, Greenbrier, VA	Airfare & Lodgings

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	MAY 28, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

[illegible]

Page 2 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

DUNCAN LEE HUNTER
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*		
II-A	GENERAL DYNAMICS-10/28/86 Honorarium	Gross Income	1,000.00
II-A	MCDONALDS RESTAURANTS-10/29/86 Honorarium	Gross Income	500.00
II-A	ROCKWELL INTERNATIONAL-11/3/86 Honorarium	Gross Income	1,000.00
II-A	NAT'L SECURITY INDUSTRIAL ASSN 11/19/86 Hon.	Gross Income	1,500.00
II-A	UNITED TECHNOLOGIES-12/15/86 Honorarium	Gross Income	1,000.00

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

EARL HUTTO
(Full Name)

2435 RAYBURN BUILDING
(Mailing Address)

WASHINGTON, DC 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 1 State FLORIDA

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.

- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.

- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Federal Firefighters Assoc Received 3/12/86	Honorarium	500	500 to Charity
Royal Caribbean Cruise Line-Received 10/16/86	"	1,000	1000 to Charity
United Technologies - Received 12/18/86	"	1,000	1000 to charity

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
First Federal of Northern Virginia	Interest	A
The Kroger Company	Dividend	A
Fidelity Destiny I	Dividend	A
House in Headland, Ala.	Rent	A
House in Highlands, N.C.	Rent	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
The Kroger Company - Common Stock	B
Rental House - 606 Peachtree St. - Headland, Ala.	C
Rental House - Wyanoak Drive - Highlands, N.C.	D
Fidelity Destiny I Mutual Fund	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
Sold rental house in Headland, Ala to Harley Merry	9/15/86	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
First Federal Savings + Loan Assoc - Panama City, Fla	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
Royal Caribbean Cruise Lines 11/16/86 Speech	Travel to & from Miami
Numerous Sponsors Danny Thompson Mem Tourn 3/86	Travel to & from Charity Golf Tournament
Numerous Sponsors Charity Cong Golf Tourn 11/86	Travel to & from Tournament

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
	NONE

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Earl Hutto	5/11/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

[illegible]

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A–For use by Members, officers, and employees

Henry J. Hyde
(Full Name)

2104 Rayburn House Office Building
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives–District 6 State Illinois

☐ Officer or Employee–Employing Office ______

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO x NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts, such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
(Spouse) Jeanne M. Hyde - U.S. Gov't	salary		
Honoraria (See attached)		22,404.47	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Illinois General Assembly 4,578.00		pension
U.S. Navy Retirement 6,508.56		pension

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _x_ NA ____

For more information, see detailed Instruction Booklet at page 8

IDENTITY	CATEGORY
IRA Accounts: (Self and spouse) Clyde Fed. S&L N. Riverside, Illinois	12,978.82 (each)
Civil Service Retirement total to date (self)	60,542.36
Civil Service Retirement total to date (spouse)	14,386.44

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 9.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
See attached		

[illegible] 2 1 2 7 3

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
See attached	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	—

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service, continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Henry J Hyde	May 15,1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

1986 - HONORARIUMS [illegible]

	Expenses Furnished	Total Honorariums To Date
Paine Webber Location:Washington, D.C. Date: 12/10/85 Honorarium:$1,500.00 Check deposited:1/9/86	None	$1,500.00
Massachusetts Citizens for Life, Inc. Location:Boston, Mass. Date:1/19/86 Honorarium:$500.00 Check deposited:1/22/86	Airfare:$151.50 Washington/Boston/ Washington	$2,000.00
National Association of Broadcasters Location:St. Maarten Date:1/10-1/12 Honorarium:$2,000.00 Check deposited: 1/30/86	Airfare:Washington/ St.Maarten/Washington HJH:$1,049.00 Jeanne Hyde:$1,049.00 Hotel:?	$4,000.00
Indiana Right to Life, Inc. Location:Indianapolis, Ind. Date:11/9/85 Honorarium:$500.00 Check deposited:2/4/86	Airfare:$216.00	$4,500.00
Human Life Foundation,Inc. Article in Human Life Review "Spiritual Leadership and The Abortion Crisis" Date: Winter 1986 Honorarium:$150.00		$4,650.00
Cardinal Mindszenty Foundation Location: Chicago, IL Date: 3/1/86 Honorarium: $500.00 Check deposited: 3/6/86	No Expenses	$5,150.00
Republican Central Cmte of Champaign County Location:Champaign, IL Date: 2/16/86 Honorarium:$500.00 Check deposited:2/19/86	Airfare:$130.00 Chicago/Champaign/ Chicago	$5,650.00

[illegible]

1986 - HONORARIUMS AND EXPENSES

	Expenses Furnished	Total Honorariums To Date
Total Brought Forward		$ 5,650.00
Walt Disney World Co. Location: Disney World, Florida Date: 2/14/86 Honorarium: $1,000.00 Check deposited:3/10/86 (Check for expenses $858.00 deposited 3/11/86) Add $22.47 to Honorarium $858.00 - 835.53 $ 22.47	Airfare,Hotel Accommodations, Car Rental and Gasoline $835.53	$ 6,672.47
Concerned Women for America of Maryland Location: Baltimore, Md. Date:2/24/86 Honorarium:$500.00 less $72.00 train fare for HJH, Judy and Heidi per Ann Kelly = $428.00	Train fare:Deduct from Honorarium	$7,100.47
Home Builders Association of Greater Chicago Location: Chicago, IL Date: 3/12/86 Honorarium:$1,000.00 Check deposited: 3/14/86		$8,100.47
Oklahoma Republican Party Location:Tulsa, Oklahoma Date: 1/25/86 Honorarium:$500.00 Check deposited: 3/27/86	Airfare:$750.00 Chicago/Tulsa/Chicago	$8,600.47
The Hibernian Society Location: Charleston, S.C. Date: 3/17/86 No Honorarium:	Airfare:$238.00- Charleston/Washington	$8,600.47
National Cable Television Association Location: Dallas, Texas Date:3/17/86 Honorarium:$1,500.00 Check deposited:4/8/86	Airfare:$721.00 Taxi Fare:$24.00	$10,100.47

1986 HONORARIUMS AND EXPENSES

	EXPENSES FURNISHED	TOTAL HONORARIUM TO DATE
Total brought forward		$10,100.47
National Catholic Committee on Scouting Location: Kansas City, Mo. Date 4/4/86 Honorarium: $504.00	Airfare: included in CSIS ticket	$10,604.47
St. Joseph Foundation & Keep the Faith, Inc. Montvale, New Jersey Date: 4-12-86 Honorarium: $500.00	Airfare:$454.00	$11,104.47
Center for Strategic & International Studies US-ASEAN STUDY GROUP Kuala Lampur, Malaysia Dates: March 28, 1986 -April 2, 1986 No Honorarium	Airfare:$4,716.28	
Missouri Right to Life Jefferson City, Mo. Date: March 23, 1986 Honorarium: $500.00	Car rental $105.16 Airfare:$72.00 = $177.16	$11,604.47
National Association of Broadcasters Dallas, Texas Date: April 14, 1986 Honorarium: $1,500.00	Airfare:$595.00	$13,104.47
Arizon's First District Appreciation Dinner Tempe, Arizona Date: April 18, 1986 No honorarium	Airfare:$1,114.00	
700 Club Virginia Beach, Virginia Date: April 28, 1986 No honorarium	Airfare and hotel 700 Club travel agent arranged and paid $258.00 (airfare)	
Gene Taylor for Congress Committee Joplin, Mo. Date: May 3, 1986 No honorarium	Airfare $281.00	$13,104.47

1986 HONORARIUM AND EXPENSES

	EXPENSES FURNISHED	TOTAL HONORARIUM TO DATE $13,104.47
Michigan Opportunity Society Battle Creek, Mi Date: May 9, 1986 No honorarium	Airfare:$218.00	
Paine Webber Seminar Washington, D.C. Date: May 14, 1986 Honorarium:$2,000	no expenses	$15,104.47
Cong. Duncan Hunter's Fund Raiser San Diego, Calif. Date: May 16, 1986 No honorarium	Airfare: $1,070.00	
All Industry Music Licensing Committee Washington, D.C. Date: May 22, 1986 Honorarium: $1,000	no expenses	$16,104.47
National Association of Broadcasters (Illinois Broadcasters group) Washington, D.C. Date: June 4, 1986 Honorarium:$1,000	no expenses	$17,104.47
Catholic League for Religious and Civil Rights Milwaukee, Wi Date:June 27, 1986 No honorarium	no expenses	
St. Clair County Medical Society Belleville, Illinois Date: June 7, 1986 Honorarium:$500.00	Expenses $100.00	$17,604.47
Illinois CPA Foundation Indian Lakes Resort, Illinois DAte: June 13, 1986 Honorarium: $1,000	no expenses	$18,604.47
Wall Street Journal Article 6-25-86 Dow Jones and Company Honorarium $250.00		$18,854.47

[illegible]

1986 HONORARIUM AND EXPENSES

	EXPENSES FURNISHED	TOTAL HONORARIUM TO DATE
		$18,854.47
The Christian Science Publishing Society P.O.Box 126 Boston, Mass. 02123 article 8-5-86 Honorarium $50.00		$18,904.47
World Media Association World Media Conference Shoreham Hotel Washington, D.C. Sept. 19, 1986 Honorarium $2,000	no expenses	20,904.47
Diocese of Allentown, Pa. Family Day at Allentown College 9-28-86 1729 Turner Street P.O. Box F Allentown, Pa. 18105 Honorarium $1,000	Air fare:$533.00 Chicago/Washigton/ Allentown/Washington	$21,904.47
The Wanderer Forum Foundation Marshfield, WI P.O. Box 391 54449 October 4, 1986 Washington Marriott Washington, D.C. Honorarium $500.00	no expenses	$22,404.47

NINETY NINTH CONGRESS
JULIAN C. DIXON, CALIFORNIA, CHAIRMAN
ED JENKINS, GEORGIA
VIC FAZIO, CALIFORNIA
WILLIAM J. COYNE, PENNSYLVANIA
BERNARD J. DWYER, NEW JERSEY
ALAN B. MOLLOHAN, WEST VIRGINIA

FLOYD D. SPENCE, SOUTH CAROLINA
JOHN T. MYERS, INDIANA
JAMES V. HANSEN, UTAH
G. WILLIAM WHITEHURST, VIRGINIA
CARL D. PURSELL, MICHIGAN
GEORGE C. WORTLEY, NEW YORK
RALPH L. LOTKIN, CHIEF COUNSEL

U.S. House of Representatives
COMMITTEE ON STANDARDS OF
OFFICIAL CONDUCT
SUITE HT-2, U.S. CAPITOL
Washington, DC 20515

October 8, 1986

Honorable Henry J. Hyde
U.S. House of Representatives
2104 Rayburn House Office Building
Washington, D. C. 20515

Dear Colleague:

This is in response to your letter of October 6, 1985 with enclosure, in which you report the receipt of tangible gifts (two rugs) from a foreign government and request that this Committee waive the requirement that you specifically identify the donating country and individual. You indicate that your desire to provide only limited information concerning the gifts and the circumstances surrounding their acceptance arises from a concern that the requirement to identify the foreign government might adversely affect the United States' relations with that country. You also requested approval to display the gifts in your congressional office.

In recognition of the foreign policy sensitivities, the Committee views your disclosure to be a sufficient and good faith attempt to report the subject gift under the Foreign Gifts and Decorations Act, 5 U.S.C. §7342.

Finally, the Committee approved the enclosed Resolution authorizing you to display the gift rugs in your congressional office.

If you have any further questions concerning this matter, please contact the Committee staff at 225-7103.

Sincerely,

Julian C. Dixon
Chairman

Floyd Spence
Floyd D. Spence
Ranking Minority Member

Enclosure

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use of Members, officers, and employees

James M. Inhofe
Name

2139 East 32nd Street
Mailing Address

Tulsa, Oklahoma 74105

MC (Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District First of Oklahoma

☐ Officer or Employee—Employing Office

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES _____ NO XX NA _____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Quaker Life Insurance Company Tulsa, Oklahoma	Salary	40,561	
Quaker Life Insurance Company Tulsa, Okla. Spouse	Salary		

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See Schedule II B		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See,* Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __XX__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See Schedule III	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See Schedule IV		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Western National Bank Tulsa, Oklahoma Secured by Real Estate at S. Padre Island, Texas	F
Ralph Abercrombie Tulsa, Oklahoma Personal loan	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13

POSITION	NAME OF ORGANIZATION	
President	Quaker Life Insurance Company	Tulsa, Oklahoma
President	Taildraggers, Inc.	Tulsa, Oklahoma
President	Tulsa Tiger Company	Tulsa, Oklahoma
President	Condesa Del Mar, Inc.	S. Padre Island, Texas
President	Puesta Del Sol, Inc.	S. Padre Island, Texas

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 12, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 69-232 (a)

Schedule II-B

James M. Inhofe
Tulsa, Oklahoma

Financial Disclosure Statement for 1986
Unearned Income

Description	Type	Category
Quaker Life Insurance Company - Tulsa	Profit Sharing Distrib.	F
Internal Revenue Service	Income Tax Refund	D
State of Oklahoma	Income Tax Refund	C
Fourth National Bank - Tulsa	Interest	B
Sooner Federal - Tulsa	Interest	B
Brookside State Bank - Tulsa	Interest	A
Utica National Bank - Tulsa	Interest	A
Merrill Lynch	Interest	A
Merrill Lynch	Dividends	A
CSX Corp.	Dividends	A
Mobil Corp.	Dividends	A
Real Estate-S. Padre, Texas	Rental Income	Gross-D,Net-A
Taildraggers, Inc. - Tulsa	Distributive share of S. Corporation	F
Friends of Jim Inhofe Campaign Committee	Miscellaneous	D
Stocks and Bonds Sold:		
Universal Energy - 2,000 shares	Capital Gain	Gross-E,Net-D
AMR Stock - 200 shares	Capital Gain	Gross-D,Net-B
Armstrong World - 100 shares	Capital Gain	Gross-C,Net-B
AT & T - 15 shares	Capital Gain	Gross-A,Net-A
Bankamerica Stock - 62 shares	Capital Gain	Gross-A,Net-A
Bell Atlantic - 2 shares	Capital Gain	Gross-A,Net-A
Bell South - 3 shares	Capital Gain	Gross-A,Net-A
CSX Corp. - 60 shares	Capital Gain	Gross-B,Net-A
Pub. Ser. Ind. NPV - 37 shares	Capital Gain	Gross-A,Net-A
AMN Info Tech - 1 share	Capital Gain	Gross-A,Net-A
Eastman Kodak - 10 shares	Capital Gain	Gross-A,Net-A
Mobile Corp - 16 shares	Capital Gain	Gross-A,Net-A
Norfolk Southern - 3 shares	Capital Gain	Gross-A,Net-A
ORS Corp. - 3,000 shares	Capital Gain	Gross-D,Net-C
Mobile Corp ISJN8 - 900 shares	Capital Gain	Cross-A,Net-A
Sooner Federal - Tulsa	Interest (Children)	A
Utica National Bank - Tulsa	Interest (Children)	B
Brookside State Bank - Tulsa	Interest (Children)	B
Merrill Lynch	Interest (Children)	A
Internal Revenue Service	Tax Refund (Children)	A
State of Oklahoma	Tax Refund (Children)	A

Schedule III

James M. Inhofe
Tulsa, Oklahoma

Financial Disclosure Statement for 1986
Holdings

		Category
Utica National Bank - Tulsa, Oklahoma	Savings Account	C
Utica National Bank - Tulsa, Oklahoma	Children's Savings Accounts	D
National Royalty Stock	Common Stock	C
Puesta Del Sol, Inc. South Padre Island, Texas S Corporation - 80% ownership Real Estate Development Assets Held - Condominiums	Common Stock	D
Guaranty Abstract - Tulsa, Oklahoma Stock held in Inhofe Children's Trust	Common Stock	E
Friends of Jim Inhofe Campaign Committee Tulsa, Oklahoma	Note Receivable	C
Unimproved Beachfront Property South Padre Island, Texas 1/2 ownership	Real Estate	F
Beach House South Padre Island, Texas	Rental house	E
Unimproved Real Estate Claremore, Oklahoma Held in Inhofe Children's Trust	Real Estate	A
Stocks and Bonds Sold: (Also See Schedules II-B and IV)		
Universal Energy - 2,000 shares	Common Stock - Sold	C
AMR Stock - 200 shares	Common Stock - Sold	B
Armstrong World - 100 shares	Common Stock - Sold	A
AT & T - 15 shares	Common Stock - Sold	A
Bankamerica Stock - 62 shares	Common Stock - Sold	A
Bell Atlantic - 2 shares	Common Stock - Sold	A
Bell South - 3 shares	Common Stock - Sold	A
CSX Corp. - 60 shares	Common Stock - Sold	A
Pub. Ser. Ind. NPV - 37 shares	Common Stock - Sold	A
AMN Info Tech - 1 share	Common Stock - Sold	A
Eastman Kodak - 10 shares	Common Stock - Sold	A
Mobile Corp - 16 shares	Common Stock - Sold	A
Norfolk Southern - 3 shares	Common Stock - Sold	A
ORS Corp. - 3,000 shares	Common Stock - Sold	B
Mobile Corp ISJN8 - 900 shares	Common Stock - Sold	A

Schedule IV

James M. Inhofe
Tulsa, Oklahoma

Financial Disclosure Statement for 1986
Transactions

				Date	Category
Beach Front Property South Padre Island, Texas 1/2 ownership		Real Estate	Purchased	8/86	F
Stocks and Bonds Sold: (Also See Schedules II-B and III)					
Universal Energy -	2,000 shares	Common Stock -	Sold	01/22/86	C
AMR Stock -	200 shares	Common Stock -	Sold	01/03/86	B
Armstrong World -	100 shares	Common Stock -	Sold	01/03/86	A
AT & T -	15 shares	Common Stock -	Sold	07/30/86	A
Bankamerica Stock -	62 shares	Common Stock -	Sold	07/30/86	A
Bell Atlantic -	2 shares	Common Stock -	Sold	07/30/86	A
Bell South -	3 shares	Common Stock -	Sold	07/30/86	A
CSX Corp. -	60 shares	Common Stock -	Sold	07/30/86	A
Pub. Ser. Ind. NPV -	37 shares	Common Stock -	Sold	07/30/86	A
AMN Info Tech -	1 share	Common Stock -	Sold	07/31/86	A
Eastman Kodak -	10 shares	Common Stock -	Sold	07/31/86	A
Mobile Corp -	16 shares	Common Stock -	Sold	07/31/86	A
Norfolk Southern -	3 shares	Common Stock -	Sold	07/31/86	A
ORS Corp. -	3,000 shares	Common Stock -	Sold	08/06/86	B
Mobile Corp ISJN8 -	900 shares	Common Stock -	Sold	08/07/86	A

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

ANDREW P. IRELAND
(Full Name)

2416 RAYBURN H.O.B.
(Mailing Address)

WASH DC 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☑ Member of the U.S. House of Representatives—District 10 State FLORIDA

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

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- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

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STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Florida Bankers Assn. (6[illegible])	Honorarium	500	
National Association of Small Business Investment Cos. (12/1/86	"	500	
The Electric Utility Industry Seminars (3/13/86)	"	500	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Barnett Bank of Polk County	Interest	A
Walter Shostak - Note Rec.	Interest	A
Paine Webber A/c (includes IRA funds)	Interest	C
Escambia Cty Fla Util	Interest	C
Hillsborough Cty Fla	Interest	C
No Broward Cty Fla Hosp	Interest	C
[Cont P5] Salt River Proj Ariz Agri	Interest	C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Barnett Bank - Money Market A/c	A
Paine Webber Cash Fund (including IRA)	B
Walter Shostak - Note Receivable	B
Escambia Cty Fla Util - Municipal Bond	C
Hillsborough Cty Fla " "	C
No Broward Cty Fla Hosp " "	C
Salt River Project Ariz AG " "	C

[Continued P. 5]

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION			DATE	CATEGORY
[illegible] Psych Hosp.	[illegible] Bond	SOLD	9/86	C
East River Proj [illegible]	" "	SOLD	9/86	C
Scudder Int'l Fund	Mutual Fund	SOLD	4/86	B
IBM	Common Stock	SOLD	4/86	B
Procter & Gamble	" "	SOLD	4/86	B

(continued P5)

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Barnett Bank - Jacksonville — paid in full April 1987	C
[illegible] — paid in full April 1987	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
Florida Bankers Asso – Travel Expenses –	Speaking Engagement
Nat'l Assc Sm Bus Invest Co – Travel Expenses	Speaking Engagement
The Electric Utility Industry Seminar Travel Expenses -	Speaking Engagement
Florida Congressional Weekend " "	" "
Congressional Charity Golf Tournament " "	Charity fund raising event.

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	Polk Public Museum

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Andrew P Ireland	5/9/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987

Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

ANDREW P. IRELAND
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II - B	Scudder Int'l Fund	Dividend	A
	IBM	"	B
	Procter & Gamble	"	A
	Ralston Purina	"	B
	Dart & Kraft	"	A
	Fifth Third Bank - Short term Fund	Interest	B
	U.S. Treas Bond	Interest	D
	Baxter Travenol Labs Inc Pfd	Dividend	A
	Baxter Travenol Labs Pfd Conv	"	A
	Aetna Life and Cas Co	"	A
	American Home Products	"	A
	Baxter Travenol Labs Inc Common Stk	"	A
	Bristol Myers Co	"	B
	Cincinnati Financial Corp	"	A
	Commonwealth Edison Co	"	B
	Exxon Corp	"	B
	GTE Corp	"	B
	Philip Morris Companies Inc	"	B
	Southwestern Bell Corp	"	B
	EG&G Inc	"	A
III	Scudder Int' Fund	Mutual Fund	B
	IBM	Common Stock	C
	Procter & Gamble	" "	B
	Ralston Purina	" "	D
	Dart Kraft	" "	B
	Fifth Third Bank Short Term Fund	Cash Fund	B
	U.S.-Treas Bond	U.S. Govt Bond	D
	Baxter Travenol Labs.	Pfd & Comm STK	C
	Aetna Life & Cas Co	Common Stk	C
	American Home Products	" "	C
	Bristol Myers	" "	C
	Cincinnati Financial Corp	" "	C
	Commonwealth Edison	" "	C
	Exxon Corp	" "	C
	GTE Corp	" "	C
	Philip Morris Inc	" "	C
	EG&G Inc	" "	B
	Atlantic Richfield	" "	C
	Southwestern Bell	" "	C
	Koger Co Real Estate Bond (IRA)	Bond	B
IV	Ralston Purina	Common Stock - SOLD 4/86	C
	Dart Kraft	" " - SOLD 4/86	B
	EG&G Inc	" " Sold 9/86	B
	Atlantic Richfield	" " Sold 2/86	C
	GTE CORP	" " Bought 9/86	C
	Southwestern Bell	" " Bought 10/86	C
	Ralston Purina	" " Sold 10/86	C

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

ANDREW JACOBS, JR.
(Full Name)

1201 W. 64th Street
(Mailing Address)

Indianapolis, Indiana 46260

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 10 State INDIANA

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA X

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Zero Dollars and Cents			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Robert & Suzanne Quadt (2nd Home Mortgage)	Interest	2,916.99
First Trust of Chicago Money Market Account	Interest	153.20
Indiana National Bank	Interest	2,248.77
First Indiana Federal Savings Bank	Interest	5,886.24
Union Federal Savings & Loan	Interest	6,052.18
Anderson Indiana Bonds	Interest	6,475.00

Continued on Page 5

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Great Dane "Friend" - Housebroken (non-liquid asset)	Priceless
Second Mortgage by Robert & Suzanne Quadt	
(Property at 6423 Lakeview Drive, Falls Church, VA.)	
(Canceled 10-31-86)	(32,410.65)
Home, 1201 W. 64th Street, Indianapolis, Indiana	95,000.00
1980 Omega (97,427 miles)	1,000.00

Continued on Page 5

9 7 7 ' 0 2 3 3 2 0 5

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION		DATE	CATEGORY
Robert & Suzanne Quadt - 2nd Mortgage Paid Off		10-31-86	32,410.65
1965 Plymouth Given to Nephew		9-15-86	250.00
Yorktown Indiana Sewer Bond 4.375% (6-1-88)	Purchased	1-14-86	10,000.00
Fairmont Indiana Sewer Bond 5.25% (7-1-91)	Purchased	8-7-86	3,000.00
Fairmont Indiana Sewer Bond 5.25% (7-1-87)	Purchased	8-7-86	1,000.00

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Zero Dollars and Cents	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Zero Dollars and Cents	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
Arent, Fox, Kintner, Plotkin & Kahn	Pro Bono Defense of libel	31,240.00
(Law Firm-Washington, D.C.)	case filed against me by	
	Marion Robertson as a	
	result of my releasing to	
	the press from the	
	10th District D.C. Office	

Continued on Page 5

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Zero Dollars and Cents	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature

Date 5/12/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

Page 5 of 16

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

ANDREW JACOBS, JR.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II-B	UNEARNED INCOME, CONTINUED FROM PAGE 2		
	Lawrenceburg, Indiana Bonds	Interest	850.00
	Robert & Suzanne Quadt - Paid off 2nd Mortgage (Face $32,410.65)	Capital Gain	17,171.16
	Yorktown Indiana Bond	Interest	437.50
	Purdue University Bond	Interest	1,375.00
	New Albany, Indiana Bonds	Interest	825.00
	Fairmont, Indiana Bond	Interest	53.52
	Fairmont, Indiana Bond	Interest	78.79
III	HOLDINGS, CONTINUED FROM PAGE 2		
	1984 Omega (56,000 miles)		4,000.00
	1965 Plymouth (175,000 miles)		250.00
	Checking Account - U.S. House Sgt. at Arms		2,770.95
	Congressional Retirement Equity		82,541.31
	Home and Office Furnishings		8,000.00
	Coins		285.00
	Indiana National Bank Savings Account 12-31-86		68,660.84
	First Indiana Federal Savings Bank Savings Account 12-31-86		101,328.11
	Union Federal Savings and Loan (Indianapolis) Savings Account 12-31-86		99,517.07
	First Trust of Chicago Money Market Acct. 12-31-86		1,546.17
	Anderson, Indiana Bonds 9.25% (2005) Face Value		70,000.00
	Lawrenceburg, Indiana Bonds 8.5% (2006) Face Value		10,000.00
	Purdue University Bonds 5.5% (7-1-89) Face Value		25,000.00
	Yorktown, Indiana Sewer Bond 4.375% (6-1-88) Face Value		10,000.00
	Fairmont, Indiana Sewer Bond 5.25% (7-1-91) Face Value		3,000.00
	Fairmont, Indiana Sewer Bond 5.25% (7-1-87) Face Value		1,000.00
	New Albany, Indiana Building Authority Bond 4.125% (8-1-89) Face Value		20,000.00
VI-B	GIFTS, CONTINUED FROM PAGE 3		
	a letter from former Representative Paul N. McCloskey. (See attached opinion of the Committee on Standards of Official Conduct.) Arent Fox defended NBC in the libel case filed by Lyndon LaRouche. Pro Bono services from Arent Fox do not include expenses of my defense. I pay them. Amount paid by me in 1986 was $198.00. And I have paid $4,721.08 so far in 1987. U.S. House Counsel has also participated in the defense.		

Benjamin J. Guthrie
Clerk

W. Raymond Coll
Deputy Clerk

Office of the Clerk
U.S. House of Representatives
Washington, DC 20515

December 9, 1986

MEMORANDUM

TO: The Honorable Andrew Jacobs, Jr.

FROM: Steven R. Ross SRR
General Counsel to the Clerk

SUBJECT: Whether Certain Communications are Properly Classified as "Official"

This memorandum addresses the "official" nature of public dissemination of information relating to the military service record of a prominent public figure, Marion "Pat" Robertson. It has been alleged in a lawsuit filed by Reverend Robertson that you received, from former Member Pete McCloskey, information relating to Robertson's duty assignments during the Korean War, and that you proceeded to furnish this information to the media. The question presented is whether such conduct is to be considered part of your official duties as a Member of Congress.

A review of both the factual situation presented and the relevant case law leads me to conclude that your actions are properly within the scope of your duties as a Member of Congress.

In recent years, the Congress in general, and yourself in particular, have engaged in a series of debates concerning the level of American military involvement in various international conflicts. One aspect of this debate has been the standing of various public officials and figures to comment on the desirability of committing United States troops to combat zones. See for example, 131 Cong. Rec. H. 2555-56 (daily ed. April 25, 1985). You have commented in floor debate and in the media that it might be wise, under certain circumstances, to take an individual's own military experience into account in weighing his advice to commit American soldiers to combat zones.

Participating in public discourse on matters of public policy has long been established as an important part of the job of a Member of Congress. Media comment and direct communication with constituents are invaluable mechanisms by which the Congress, as the people's elected representatives, inform the citizenry of issues of public concern. The judicial branch has recognized that such communications are within the outer perimeter of a Member's official duties and have, therefore, held such communications to be privileged from civil tort liability.

In a recent opinion dismissing a defamation action brought against one Member of the House for releasing the contents of a letter allegedly critical of an individual to the news media, the United States District Court for the District of Columbia reasoned that such public utterances were part of the official functions of a Member because:

> If this Court were to hold otherwise . . . it would act, in effect, as a restraint on the exercise of the duties by a legislative official in respect to matters

> with wide public interest and concern, which would be far worse than a favorable expression on such matters and that if Congressman Sundquist, or any other Member of the body of which he is now a Member, were so restricted as the plaintiff's kinds of actions here would restrict them, then we would have very little information coming out from the members of Congress in the way of information involving broad-ranging public policies and, as a result, the public interest would suffer.

Oral argument, Chastain v. Sundquist, April 9, 1986.

This recent judicial expression is consonant with prior opinions of the federal bench. As Justice Hugo Black observed in concurring in the dismissal of a defamation suit brought against a public official for statements contained in a press release, "[t]he effective functioning of a free government like ours depends largely on the force of an informed public opinion. . . ." Barr v. Mateo, 360 U.S. 560, 577 (1959) (Black, J., concurring). The opinion of the Court in that case echoed this sentiment, "It would be an unduly restrictive view of the scope of the duties of a policy-making executive official to hold that a public statement of agency policy in respect to matters of wide public interest and concern is not action in the line of duty." Id. at 575.

The fact that actions designed to publicly disseminate information has not been accorded privileged status under the Speech or Debate Clause of the Constitution, Hutchinson v. Proxmire, 443 U.S. 111 (1979), does not render such actions non-official. The Supreme Court has recognized that a considerable portion of a Member's official duties fall outside the core of legislative actions, but well within the outer perimeter of the Member's sphere of official duties. "We would be closing our eyes to the realities of the American political system if we failed to acknowledge that many non-legislative activities are an established and accepted part of the role of a Member, and are indeed 'related' to the legislative process." United States v. Brewster, 408 U.S. 501, 512 (1972).

Perhaps most important is the fact that the House itself consistently treats participation in public debate, within and outside the halls of Congress, as a valid part of the function of a Member. The House has seen fit to provide Members with both staff and non-personal resources, such as use of the frank, printing services and the recording studio, designed to facilitate the participation by Members in public debate.

The above represents a cursory review of the relevant precedents. If it becomes necessary, I can expand on the support for my conclusion that these actions are official.

SRR:klj

Arent, Fox, Kintner, Plotkin & Kahn

JEROME P. AKMAN
ALBERT E. ARENT
DAVID J. BARDIN
DONALD M. BARNES
JOEL E. BASSETT
EVAN R. BERLACK
ERIC L. BERNTHAL
STEPHAN J. BOARDMAN
STANLEY J. BROWN
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SAMUEL EFRON
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DANIEL C. SMITH
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CARTER STRONG
WILLIAM B. SULLIVAN
PHILIP THENG TAN
PETER TANNENWALD
DAVID TILLOTSON
DANIEL F. VAN HORN
RICHARD J. WEBBER
ARNOLD H. WEISS
STEPHEN J. WEISS
ARNOLD R. WESTERMAN
JOHN J. YUROW

CAROLYN B. ANDERSON
JAMES J. ARMBRUSTER
DAVID J. ARONOFSKY
RICHARD B. AVIDON
[illegible]
JOYCE L. BARTOO
KEVIN C. BOYLE
RICHARD L. BRAND
JONATHAN D. CAHN
CLAUDETTE M. CHRISTIAN
GREGG DAFFNER
DAVID T. DEKKER
DEIRDRE E. DONAHUE
T. MICHAEL FLANAGAN
CAROL CONNOR FLOWE
JEREMY B. FOX
RICHARD M. GALT
JAMES W. GLADSTONE
NANCY B. HERRMANN
MICHAEL J. HIRREL
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R. STEVEN HOLT
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GERALD L. MITCHELL
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HENRY MORRIS JR.
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MICHAEL L. SIBARIUM
MARGARET R. SILVERBERG
FRED E. SOMMER
LYNN A. SOUKUP
MICHAEL L. STEVENS
DONNA E. THIEL
CARL A. VALENSTEIN
BARBARA E. WAHL
JOSEPH C. WYDERKO

COUNSEL

RAYMOND BANOUN
HENRY J. FOX
STEVEN A. LEVY
HARRY M. PLOTKIN
BURTON V. WIDES
LINDA E. ZENDERLI

Washington Square
1050 Connecticut Avenue, N.W.
Washington, D.C. 20036-533

Writer's Direct Dial Number
202/857-6020

December 12, 1986

The Honorable Andrew Jacobs, Jr.
United States House of Representatives
1533 Longworth House Office Building
Washington, D.C. 20515

Dear Congressman Jacobs:

I am writing this letter to confirm that this law firm has offered to represent you in connection with a lawsuit filed against you by Marion Gordon (Pat) Robertson in the United States District Court for the District of Columbia alleging libel and conspiracy to defame. Our representation, if the offer is accepted, will be pro bono publico. Accordingly, we will charge no legal fees in connection with the representation.

The offer to represent you on this basis is made in furtherance of a stated policy of this firm recognizing pro bono representation "as a significant part of the normal and usual contribution of a responsible law firm to the public and to the legal profession." Attorneys Manual, Arent, Fox, Kintner, Plotkin & Kahn, Section 1.01. The lawsuit filed against you raises significant matters of public interest and public policy under the First Amendment. Specifically, the issues in the lawsuit will involve, inter alia, the privileges accorded to a Member of Congress under the Speech or Debate Clause of the Constitution; the right of a member of the public to communicate on a matter of public interest with a Member of Congress; and the scope and effect of the "actual malice" standard in libel cases applied to public figures, such as Mr. Robertson. We believe that the significance of these issues merits treatment of them under the pro bono policy stated above. Under our normal procedures, a proposal that we undertake pro bono representation must be submitted to the Professional Activities Committee, a standing committee of our partners for approval. That has been done in this case, and the committee has approved the representation for the reason stated above.

This firm has a long and active involvement in First Amendment issues. We represent several "media" clients in matters involving censorship, libel, and other "free speech" related issues. In addition, we have on several occasions undertaken pro bono representation in this area. Most recently, our firm represented on a pro bono basis the Reporters Committee for Freedom of the Press and the Radio Television News Directors Association in the filing of an amicus curiae brief in the United States Court of Appeals for the District of Columbia with respect to Tavoulareas v. Washington Post, a pending major libel action. Our offer to represent you in this matter is consistent with, and a continuation of, our policy in these other instances of representation.

In accordance with the Code of Professional Responsibility, you would be expected to pay any expenses or out-of-pocket disbursements we might be required to expend on your behalf. In addition, we reserve the right to reconsider continued representation on your behalf in the event of an appeal taken in this case after a trial.

I understand that you will be submitting this letter to an appropriate committee of the House of Representatives for review of our offer. Please feel free to contact me if there is any further information I can provide.

Sincerely,

Thomas Schattenfield, Chairman
Professional Activities
Committee

Page 12 of 16

CONGRESS
of the
United States of America

Andy Jacobs, Jr.

December 16, 1986

The Honorable Julian C. Dixon
Chairman
Committee on Standards of Official Conduct
U.S. House of Representatives
Washington, D.C. 20515

Dear Chairman Dixon:

I write to request an advisory opinion from the House Committee on Standards of Official Conduct with respect to an offer of pro bono representation that I have received from a Washington law firm with respect to a recently filed lawsuit relating to certain of my official duties.

Specifically, I have been named as the defendant in a civil damages action sounding in common law defamation brought by Reverend Marion "Pat" Robertson before the United States District Court for the District of Columbia. The lawsuit, which I believe to be unfounded, complains of my receipt and public dissemination of certain information relating to Reverend Robertson. Essentially, the information described Reverend Robertson's experience in the Marine Corps and the bearing of that experience on his ongoing participation in the public and Congressional debate on the level of America's military commitment around the globe.

It is my desire to accept the offer of pro bono legal representation extended by the law firm of Arent, Fox, Kintner, Plotkin & Kahn. As evidenced by the enclosed letter from Thomas Schattenfield, a partner in the firm, the offer has been extended in strict accordance with the firm's normal policy respecting pro bono cases. You will also see that I have received a memorandum from the General Counsel to the Clerk of the House which concludes that the lawsuit relates to my official duties as a Member of the House.

Having discussed this matter with the General Counsel, I believe that the participation of the Arent, Fox law firm in my legal defense does not constitute the acceptance of a gift prohibited by Rule XLIII of the Rules of the House. According to the Ethics Manual for Members, such legal costs are not viewed as gifts subject to the limitation of Rule 43.

However, they are reportable under the Ethics in Government Act. Accordingly, I will, of course, publicly report the receipt of this pro bono representation on the appropriate Financial Disclosure Statements.

I ask for the Committee's view of, and I would hope concurrence in, my conclusion respecting this matter.

Sincerely,

Andrew Jacobs Jr.

ANDREW JACOBS, JR.

AJ/pc

Enclosures: Letter from Thomas Schattenfield to
Congressman Jacobs dated December 12, 1986,
Memorandum to Congressman Jacobs from
Steve Ross dated December 9, 1986

NINETY-NINTH CONGRESS
JULIAN C. DIXON, CALIFORNIA, CHAIRMAN
ED JENKINS, GEORGIA
VIC FAZIO, CALIFORNIA
WILLIAM J. COYNE, PENNSYLVANIA
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CARL D. PURSELL, MICHIGAN
GEORGE C. WORTLEY, NEW YORK

RALPH L. LOTKIN, CHIEF COUNSEL

14 of 16

U.S. House of Representatives
COMMITTEE ON STANDARDS OF
OFFICIAL CONDUCT
SUITE HT-2, U.S. CAPITOL
Washington, DC 20515

December 16, 1986

Honorable Andy Jacobs, Jr.
U.S. House of Representatives
1533 Longworth House Office Building
Washington, D. C. 20515

Dear Colleague:

This is in response to your December 16, 1986, letter, with enclosures, in which you seek an advisory opinion regarding the propriety of an offer of pro bono representation from the Washington law firm of Arent, Fox, Kintner, Plotkin & Kahn in connection with a law suit filed against you by Reverend Pat Robertson. Your letter, with enclosures, indicates that it is the position of the Arent, Fox law firm that their participation on a pro bono basis would be consistent with recent litigation regarding such participation. Furthermore, a memorandum dated December 9, 1986, from Steven R. Ross, General Counsel to the Clerk, indicates Mr. Ross' position that the subject litigation is related to your official duties as a Member of Congress.

Based upon our review of your letter, with enclosures, we conclude that your acceptance of pro bono representation by the Arent, Fox law firm would not be improper and, in fact, would be consistent with prior opinions of this Committee regarding the establishment of so-called "legal defense funds"--the only difference being that the law firm's direct participation circumvents the need for soliciting the contributions and establishment of a legal defense fund.

However, as recognized in your letter, the value of pro bono representation that you would receive from the law firm should be quantified and reported on your appropriate Financial Disclosure Statement under the category of "gifts" pursuant to the Ethics in Government Act of 1978.

Should you have any further questions, please do not hesitate to contact the Committee staff at 5-7103.

Sincerely,

Julian C. Dixon
Chairman

Floyd Spence

Floyd D. Spence
Ranking Minority Member

Page 15 of 16

Arent, Fox, Kintner, Plotkin & Kahn

Washington Square 1050 Connecticut Avenue N W Washington, D C 20036 5339
Telephone (202) 857 6000 Cable ARFOX Telex WU 892672 ITT 440266 Telecopier (202) 857 6062

April 24, 1987

Congressman Andrew Jacobs, Jr.
1533 Longworth House Office Building
Washington, D.C. 20515

Reference Number
97062/003

For Professional Services Rendered

from November 1, 1986 through December 31, 1986 in connection with Robertson v. Jacobs, C.A. No. 86-2878

Senator Culver (22.7 hours re conferences with client, co-counsel, and General Counsel to Clerk of the House and review and revisions to correspondence)	$4,655.50
Mr. Page (33.9 hours re meetings with clients, conferences with co-counsel, preparation and attendance at Robertson deposition, attendance at McCloskey deposition, drafting letters and attendance at status conference before Court)	6,271.00
Mr. Kurman (4.3 hours re research of House Rules and conferences re same)	580.50
Mr. Wides (.7 hours re telephone conferences and consultations with co-counsel)	122.50
Ms. Wahl (33.4 hours re meetings with client, conferences with co-counsel, opposing counsel and General Counsel to Clerk of the House, preparation for and attendance at Robertson and McCloskey depositions, work on draft protective order and conferences with witnesses)	4,509.00

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Arent, Fox, Kintner, Plotkin & Kahn

Washington Square 1050 Connecticut Avenue N.W. Washington D.C. 20036-5339
Telephone (202) 857-6000 Cable ARFOX Telex WU 892672 ITT 440266 Telecopier (202) 857-6062

April 24, 1987

Congressman Andrew Jacobs, Jr.
1533 Longworth House Office Building
Washington, D.C. 20515

Reference Number
97062/003

For Professional Services Rendered

(Continued)

Mr. Lehner (73.0 hours re attendance at meetings, research, conferences, preparation for and attendance at depositions of Robertson, McCloskey, Gaines, Steinmeyer, and review of documents)	9,520.00
Ms. White (16.9 hours re research, conferences with co-counsel and others, work on protective order, work on subpoenas, drafting of correspondence and review documents)	1,352.00
Ms. Gildea (16.4 hours re research and preparation of notices of deposition)	1,312.00
Ms. Hickman (43.5 hours re conferences re documents, and obtaining and organizing documents)	2,392.50
Ms. Barrett (3.7 hours re work on documents)	185.00
Ms. Neiss (13.6 hours re work on documents)	340.00
TOTAL	$31,240.00

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

INSIDE MAIL

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

JAMES M. JEFFORDS
(Full Name)

2431 RAYBURN HOUSE OFFICE BLDG.
(Mailing Address)

WASHINGTON, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District A.L. State VERMONT

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. **Sign this form where indicated.** Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 7.

8 7 7 7 9 2 1 2 3 8 7

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	Date Rec'vd	TYPE	AMOUNT	Portion to Charity DISPOSITION
Nat. Assoc. of Secondary School Principals	2-7-86	Honorarium	150.00	
Chicago Mercantile	2-21-86	" "	500.00	
Chicago Board of Trade	2-21-86	" "	500.00	
Nat. Community Action Foundation, Inc.	3-20-86	" "	750.00	250.00
Futures Industry Assoc., Inc.	3-27-86	" "	1000.00	500.00

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Cora Peat Trust	Fee	A
533 ½ 7th Street, S.E., Washington, D.C.	Rental unit	D
8 Kinsley Avenue, Rutland, Vermont	Rental unit	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Ethan Allen Estates	C
Community Development Corp.	C
Shrewsbury Land	C
Law Library Office Equipment	A
8 Kinsley Avenue, Rutland, Vermont	D
533 7th Street, S.E., Washington, D.C.	E
Thomas Sullivan, White River Corp. (Dependents)	C

[illegible] 3 1

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
MARBLE BANK (MORTGAGE LOAN)	D
CHITTENDEN TRUST COMPANY (STUDENT LOANS + PERSONAL LOAN)	C
COUNTRYWIDE FUNDING (MORTGAGE LOAN)	E
WRIGHT PATMAN FEDERAL CREDIT UNION (PERSONAL LOAN, HOME IMPROVEMENTS)	C
ELIZABETH DALEY (MORTGAGE LOAN)	C
LEONARD JEFFORDS (PERSONAL LOAN)	B
HOWARD BANK	C
PROCTOR TRUST	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE		BRIEF DESCRIPTION
Chicago Mercantile Exchange	Meeting with Board Members	Overnight + Transportation
Futures Industry Assoc., Inc.	Conference Panelist	" " "
Monex International, LTD.	Meeting with Members	" " "
Farm Credit Banks of Springfield	Receive Award and Speech	" " "
Mid-America Dairymen, Inc.	Speaker at Annual Meeting	" " "

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Trustee	Cora Pratt Trust
President	Community Development Corp.
Director	Ethan Allen Estates

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
James M. Jeffords	5-13-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987 69-232 (a)

Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

JAMES MERRILL JEFFORDS
(Name)

Continuation Sheet

Part	Source	Date Recvd	Type	Amount	Portion to Charity
	Source, Type, Amount, Category, Description or Value (As Applicable)				
II. Income	Farm Credit Banks of Springfield	3-28-86	Honorarium	1,000.00	500.00
	Monex International, Ltd.	4-2-86	" "	1,500.00	1000.00
	Amer. Society for Personnel Admin.	4-18-86	" "	500.00	
	Mid-America Dairymen, Inc.	4-18-86	" "	1,000.00	500.00
	Monsanto	6-16-86	" "	1,000.00	1000.00
	Nat. Assoc. of Student Financial Aid Administrators	7-17-86	" "	2,000.00	1500.00
	Milk Marketing, Inc.	7-21-86	" "	500.00	

VII. Reimbursements	Monsanto	Meet w/officials + tour facility	Transportation
	American Cyanamid Co.	Meet w/officials + tour facility	Overnight transp + meals
	Nat. Assoc. of Student Financial Aid Administrators	Conference speaker	Transp. + overnight
	Nat. Assoc. of Rural Rehab. Corps.	Banquet speaker	" " "
	Nat. Milk Producers Federation	Speaker at annual meeting	" " "
	Nat. Farmers Organization	Convention speaker	" " "

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A For use by Members, officers, and employees

Ed Jenkins
(Full Name)

203 Cannon Building
(Mailing Address)

Washington, D. C. 20515

(Office Use Only)

Check the appropriate box and fill in the blanks:

Member of the U.S. House of Representatives—District 9th State Georgia

Officer or Employee—Employing office:

Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 7.

[illegible] / 7 / 1 2 1 4 1 5 5

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
1/16/86 Air Transport Association of America	Honorarium	$1000	
1/28/86 Kenneth G. Meuser Associates	Honorarium	2000	
1/29/86 MCI Telecommunications Corporation	Honorarium	1000	
2/3/86 American Mining Congress	Honorarium	1000	
3/19/86 The Capitol Forum	Honorarium	500	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Citizens Bank, Ballground, Ga.	Dividends	A
Jasper Banking Company, Jasper, Ga.	Interest	C
Pickens County Bank, Jasper, Ga.	Interest	A
Farm, Office Building, & Rental House, Pickens Co.	Rents	D
Sale of Lots 12,20,26,27,28 Pineywoods, Jasper, Ga.	Longterm Gain	D
Sale 7acres LL 272, Jasper, Ga.	Capitol Gain	E
Still Hollow Properties, Jasper, Ga.	Capitol Gain	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO XX NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Savings Account, Jasper Banking Company, Jasper, Ga.	D
Savings Account, Pickens County Bank, Jasper, Ga.	B
Savings Account, North Georgia Savings & Loan, Canton, Ga.	B
Stock, Citizens Bank, Ballground, Ga.	C
1/3 interest - 120 acres timberland, Jasper, Ga.	C
10 acres Pineywood Subdivision (13 Lots) Jasper, Ga.	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sale of Subdivision Lots 12,20,26,27,28 Piney Woods Subdivision, Jasper, Ga.	2/86; 3/86; 4/86	C
Sale of my 53% interest, 7 acres, LL272, Jasper, Ga.	1/86	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Note to North Georgia Savings & Loan, Canton, Ga., dated 5/12/85 bearing interest @ 13% in original amount of $100,000. I have a liability of one third of principal amount secured with mtg. deed on 110 acres Pickens County, Ga. lands -- my 1/3rd	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment aggregating $250 or more in value received from any source during calendar year 1986.*

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts aggregating $100 or more in value received from any source during calendar year 1986.*

SOURCE	BRIEF DESCRIPTION	VALUE
None		

[illegible]

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
Air Transport Association	R/trip air fare from Atlanta, Ga., to Los Angeles, Calif. & 3 nights' lodging for speech
American Textile Manufacturers Institute	Air fare from Atlanta, Ga., to West Palm Beach, Fla., & return to D.C. & 1 nights lodging for speech

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Trustee	Reinhardt College, Waleska, Ga.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Ed Jenkins	5-14-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

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Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Ed Jenkins
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
II A	4/7/86	Cox Enterprises, Inc.	Honorarium	$1500
	4/9/86	American Textile Manufacturers Institute	Honorarium	2000
	4/18/86	Valve Manufacturers Association	Honorarium	500
	5/8/86	Polyurethane Foam Association	Honorarium	500
	5/9/86	National Association of Realtors	Honorarium	2000
	5/21/86	American Resort & Residential Development	Honorarium	500
	6/11/86	R. Duffy Wall & Associates	Honorarium	2000
	6/21/86	Georgia Motor Trucking Association	Honorarium	2000
	7/1/86	Pfizer Distribution Center	Honorarium	1000
	7/22/86	Williams & Jensen	Honorarium	1000
	8/13/86	American Society of Association Executives	Honorarium	1000
	8/13/86	Washington Tax Association	Honorarium	1000
	9/23/86	Washington Breakfast Seminar	Honorarium	1000
	9/29/86	Georgia Chapter of the National Association of Industrial & Office Parks	Honorarium	500
III		Lot 20, Cove Mountain Subdivision, Jasper, Ga.		A
		15 acres, Foxrun Subdivision, Jasper, Ga.		C
		82 acres, Pickens County, Ga., (includes rental house)		D
		200 acres Pickens County, Ga. - farm-Talking Rock, Ga.		E
		Store Building, 201 N.W. Street, Jasper, Ga.(wife)		D
		1/2 interest - 100 acre farm, Union County, Ga. (wife)		D
		53% interest-23 acre unimproved industrial property, Gennett Drive, Jasper, Ga.		C
		1/3 interest-110 acres, Cove Road, Pickens County, Ga.		C
		Joint ownership of Partnership land holdings:		
		(1) Sharpton Ridge, Jasper, Ga. (15%)		B
		(2) Stillhollow Properties, Jasper, Ga. (25%)		B
		(3) WAF Limited, Gilmer County, Ga. (20%)		A
VII		Georgia Motor Trucking Association	R/trip air fare from D.C., to Savannah, Ga., & 1 night lodging for speech	
		Rock-Tenn Company	R/trip air fare from Atlanta, Ga., to Tampa-St. Petersburg, Fla., & 1 night lodging for speech	

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Honorable Nancy L. Johnson
(Full Name)

119 Cannon House Office Building
(Mailing Address)

Washington, D.C.   20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 6 State CT

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION" **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Grove Hill Clinic New Britain, CT	Spouse Salary		
American Medical Assn	honoraria	2,000.00	none
Heublein	honoraria	1,000.00	none
Aetna	honoraria	1,000.00	none

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Rental Property 785-7 Corbin Ave New Britain CT	Rent	D
Stanley Work Stock	Dividends	B
Bank Accounts	Interest	B
Grove Hill Clinic	Dividends	F
Nuveen Muni Bond Funds	Dividends	A
Fidelity Mutual Funds	Dividends	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO _X_ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY		CATEGORY
Ct General Realty Investors		B
Rental property 785-7 Corbin Ave New Britain Ct		D
Fidelity Money Market Fund		C
Stanley Works Stock		C
Fidelity Magellan Fund		C
Nuveen Conn Tax Exempt Bonds		C
Integrated Resources	Real Estate Limited Partnership	C
Cheshire Management	Real Estate Limited Partnership	D
ICC-Georgia Investors	Real Estate Limited Partnership	C
ICC-Harbin INvestoro	Real Estate Limited Partnership	B

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Fidelity Real Estate Fund (Purchase for IRA)	11/86	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Mortgage on Principle Residence	B
Mortgage on Rental Property	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Bearing Specialists Assn	Airfare, food, lodging for self and spouse for two days May 10-12, 1986
American Medical Assn.	Airfare reimbursed for speech at Chicago, Ill convention Feb. 22, 1986

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Nancy L. Johnson	May 15, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 69-232 (a)

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Honorable Nancy L. Johnson
(Full Name)

119 Cannon House Office Building
(Mailing Address)

Washington, D.C. 20515

MC ✓
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 6 State CT

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Grove Hill Clinic New Britain, CT	Spouse Salary		
American Medical Assn	honoraria	2,000.00	none
Heublein	honoraria	1,000.00	none
Aetna	honoraria	1,000.00	none

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Rental Property 785-7 Corbin Ave New Britain CT	Rent	D
Stanley Work Stock	Dividends	B
Bank Accounts New Britain Nat'l and American Savings Banks	Interest	B
Grove Hill Clinic	Dividends	F
Nuveen Muni Bond Funds	Dividends	A
Fidelity Mutual Funds	Dividends	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY		CATEGORY
Ct General Realty Investors		B
Rental property 785-7 Corbin Ave New Britain Ct		D
Fidelity Money Market Fund		C
Stanley Works Stock		C
Fidelity Magellan Fund		C
Nuveen Conn Tax Exempt Bonds		C
Integrated Resources	Real Estate Limited Partnership	C
Cheshire Management	Real Estate Limited Partnership	D
ICC-Georgia Investors	Real Estate Limited Partnership	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Fidelity Real Estate Fund (Purchase for IRA)	11/86	A
ICC - Harbin Investors REalestate Limited Partnership	11/86	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Mortgage on Principle Residence	B
Mortgage on Rental Property	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether these expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
Bearing Specialists Assn	Airfare, food, lodging for self and for two days May 10-12, 1986
American Medical Assn.	Airfare reimbursed for speech at Chicago, Ill convention Feb. 22, 1

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Nancy L. Johnson	May 15, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

INSIDE MAIL

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Timothy Peter Johnson
(Full Name)

5B Cannon HOB
(Mailing Address)

Washington D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☑ Member of the U.S. House of Representatives—District AL State SD

☐ Officer or Employee—Employing Office

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES [✓ NO] NA

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Law practice	fees	190.24	None
St. of SD. Legislative salary	SAl.	3,850.00	"
Spouse - Univ. SD. Faculty salary	SAl.	29,929.09	"

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
1st BANK, VERMillion SD.	INTEREST	A
Home TRUST S&L, Vermillion SD.	INTEREST	B
Rental Property, Vermillion SD.	RENT	E

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8

IDENTITY	CATEGORY
Rental Property - 716 E National St. Vermillion, SD 57069	B

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
1st BANK of SD (rental & home mtg. loan)	C
State BANK of Alcester SD. (pers. loan)	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
State Senator	S.DAK. Legislature
Sole Proprietor	Timothy P. Johnson, Atty At Law
Spouse: Faculty member	Univ. So. DAK., Vermillion, SD
" Board member	SD Women's Advocacy Network
" Regional V.P.	Alpha Delta Mu – Nat'l Social Work Honorary Society

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Timothy P. Johnson	April 21, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

[illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, Officers, and Employees

Ed Jones
(Full Name)

108 Cannon House Office Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks

XXX Member of the U.S. House of Representatives—District 8 State Tennessee

☐ Officer or Employee—Employing Office

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item, and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual, and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: *Only financial interests meeting the standards are exempted from disclosure; all other interests must be reported.*

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES XX NO ____ NA ____

For more information, see detailed Instruction Booklet at page 7.

[illegible]

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION" **IF NONE, SO STATE.**

EXCLUSIONS. Income from current U.S. Government employment need not be reported. Report the SOURCE AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Louisville District Farm Credit Council	Honorarium	$1,000.00	
American Assn. of Crop Insurors	Honorarium	1,000.00	
Associated Milk Producers Inc.	Honorarium	2,000.00	
Chicago Mercantile Exchange	Honorarium	1,000.00	
Chicago Board of Trade	Honorarium	1,000.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
U.S. Courthouse Credit Union	Interest	A
General American Life Insurance	Interest	A
First Citizens National Bank	Interest	B
First Citizens National Bank(Checking Acct)	Interest	A
Woodmen of the World	Interest	A
Illinois Central Credit Union	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO XX NA ____

For more information, see detailed Instruction Booklet at page 8

IDENTITY	CATEGORY
Ed and Llewellyn Jones Farm and Equipment	F
Stock--Federal Land Bank/Production Credit Association	C
Stock-Bank of Trenton and Trust	A
Stock--Tennessee Commerce Corporation	A
Llewellyn Jones Savings Account First Citizens National Bank	C
Llewellyn Jones Checking Account First Citizens National Bank	A

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME, any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Federal Land Bank Farm Real Estate Loan	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
Hunter Fan Company	Commemorative Fan	$200.00

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
Farm Credit Council	Travel & Lodging for speech in Louisville, KY.
American Milk Producers	Travel and Lodging for speech in Dallas Texas
Waste Management Incoporated	Travel and Lodging for speech in Chicago, Illinois

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	Yorkville Telephone Cooperative

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature: Ed Jones

Date: May 12, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

[illegible]

Page 1 of 1

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Ed Jones
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
II.A.	Waste Management, Inc. 5/12/86 Honorarium $2,000.00
	Tennessee Public Power Assn. 7/11/86 Honorarium $1,000.00
	American Bankers Assn. 11/17/86 Honorarium $2,000.00
	National Farmers Organization 12/3/87 Honorarium $ 500.00
	Railroad Retirement Board Pension $11,633.80
	Llewellyn Jones State of Tennessee Pension $ 950.00
II.B.	Frontier Federal Savings and Loan Interest A
	Franklin Life Insurance Interest A
	Wheel Estate Investment Trust Interest A
III.	Wheel Estate Investment Trust A
	Illinois Central Credit Union Savings Account A
	U.S. Courthouse Credit Union Savings Account A
VII.	Tennessee Public Power Assn. Travel and Lodging for Speech in Nashville, Tennessee
	American Bankers Association Travel and Lodging for speech in Nashville, Tennessee

UNITED STATES HOUSE OF REPRESENTATIVES

INSIDE MAIL

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A–For use by Members, officers, and employees

WALTER BEAMAN JONES
(Full Name)

241 Cannon House Office Building
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives–District 1st State North Carolina

☐ Officer or Employee–Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Ocean and Coastal Towing Industry	honorarium	$500	
Mrs. Elizabeth Fischer Jones (spouse)	Under contract to edit publications		

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
North Carolina National Bank	stock	C
United Carolina Bancshares	stock	B
United Utilities	stock	C
First Federal Savings and Loan	savings —	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X____ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
North Carolina National Bank	E
United Utilities	B
First Federal Savings and Loan	E
United Carolina Bancshares	B
Wachovia Bank & Trust Company	A

[illegible] 2 2 3 1

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Not applicable		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Not applicable	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Not applicable	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
United Seamen Service	Provided round trip air fare and lodging for Member and spouse in New York City in conjunction with receiving "Admiral of the Sea Award" - September 27, 1987

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
	Not applicable

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13

DATE	PARTIES TO	TERMS OF AGREEMENT
	Not applicable	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Walter B Jones	May 13, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

WALTER BEAMAN JONES
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
II (B) Unearned Income	Wachovia Bank and Trust	certificates of deposit Value - A

HAND DELIVERED [illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A (For use by Members, officers and employees)

James Prather Jontz
(Full Name)

Rural Route 2
(Mailing Address)

Brookston, Indiana 47923

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 5th State Indiana

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO ____ NA X

For more information, see detailed Instruction Booklet at page 7

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Indiana State Senate	Salary	$11,600.00	
Indiana State Senate	Expenses	$ 1,342.75	
	Expenses	$ 7,800.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Hometown Federal Savings and Loan	Interest	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO ____ NA X

For more information, see detailed Instruction Booklet at page 8

IDENTITY	CATEGORY
Hometown Federal Savings and Loan	
(The value of this account for the majority of calendar	A
year 1986 was within category B, but as of the end of	
the year the value of the savings account was within	
category A).	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Member (Board of Directors)	Indiana Citizens Action Coalition
Member (Board of Directors)	Indiana Wildlife Federation

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5/13/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A: For use by Members, officers and employees

Paul E. Kanjorski
(Full Name)

103 South Hanover Street
(Mailing Address)

Nanticoke, PA 18634

MC

[illegible]

☒ Member of the U.S. House of Representatives—District: 11th State: PA

☐ Officer or Employee—Employing office:

☐ [illegible]

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure; all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
SAHMA Conference	11-19-86	Honoraria	1000.00	Income
Bethlehem Steel Corp	9-23-86	"	500.00	"

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
None		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Law Office Moveable Assets	B
Real Property, Dallas Twp., 20+ acres, 1/2 interest	C
Real Property, Wright Twp., 90+ acres, 1/5 interest	B
Agro Shelter's Stock	A
UP Bank	C
IRA Equitable Life	A

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION		DATE	CATEGORY
1,406 AM CAP GOVT SECS FD	Bought	4-15-86	C
63,000 US TSY STRIP INT CP	Sold	4-15-86	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
Installment sales agreement, ½ interest, 126 S. Franklin St.,	D
Wilkes-Barre, PA 18701, mortgage, Hanover Nat'l Bank	
UP Bank Loan ½ interest	B
Nanticoke Nat'l Bank Loan	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION	VALUE
Mr. + Mrs. Joseph Elinsky	Chair	609.00

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Paul E. Kanjorski	May 14, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Paul E. Kanjorski
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
III	IRA Prudential Bache, Inc	C
III	1/10 Interest in estate	C
III	Debts owed Paul E. Kanjorski	E
III	½ Int. Real Estate, 126 S. Franklin St, Wilkes Barre, PA 18701	D

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

MARCIA C. (MARCY) KAPTUR
(Full Name)

1841 DORITY ROAD
(Mailing Address)

TOLEDO, OHIO 43615

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 9TH State OHIO

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA X

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
NOTE - NO HONORARIA ACCEPTED			
NEW YORK TIMES - 2 ARTICLES PUBLISHED	ROYALTIES	150	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
FIRST FEDERAL SAVINGS & LOAN ASSN.	INTEREST	C
PRUDENTIAL LIFE INSURANCE CO.	INTEREST	A
UNITED HOME FEDERAL	INTEREST	C
LITTLE FLOWER CREDIT UNION	INTEREST	A
TOLEDO TRUST COMPANY	INTEREST	B
U.S. SAVINGS BONDS - SERIES E	INTEREST	A
AETNA LIFE INSURANCE CO.	INTEREST	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
FIRST FEDERAL SAVINGS & LOAN ASSN. - SAVINGS ACCOUNTS	C
UNITED HOME FEDERAL - SAVINGS ACCOUNTS	C
TOLEDO TRUST COMPANY - SAVINGS & CHECKING ACCOUNTS	B
LITTLE FLOWER CREDIT UNION - SAVINGS DEPOSIT	B
U.S. SAVINGS BONDS - SERIES E	C
TRANSAMERICA PENSION - IRA	B

(SEE CONTINUATION SHEET)

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
SYLVANIA SAVINGS BANK - COMMON STOCK	SOLD 1/2/36	A
TRANSAMERICA PENSION - IRA	BOUGHT VARIOUS DATES 1986	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Marcia (Marcy) C. Kaptur	May 8, 1987

BRELL, TEBAY, HOLT & DETTINGER, INC. C.P.A.'S
34-1112787
405 MADISON AVENUE TOLEDO, OHIO 43604

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

Page 1 of 1

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

MARCIA C. (MARCY) KAPTUR
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	CATEGORY
III	ONE BEDROOM APARTMENT AT BEEKMAN PLACE, NW WASHINGTON D.C.	D
III	1841 DORITY ROAD, TOLEDO, OHIO (LOT)	B

GPO 1986 41-689 (m)

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

John R. Kasich
(Full Name)

63 North West Street
(Mailing Address)

Westerville, Ohio 43081

(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 12 State Ohio

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA X

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION" **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Ashland Oil, Inc. (6-13-86)	Honorarium	$2,000.00	
Ohio Society Daughters of the American Revolution (3-17-86)	Honorarium	$ 200.00	
American Security Council Foundation (11-7-86	Honorarium	$1,000.00	
Ohio Bell Telephone (5-30-86)	Honorarium	$ 750.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Bank One, Columbus, Ohio	Interest	A
Wright Patman Credit Union, Washington, D.C.	Interest	A
Northwestern Mutual Variable Annuity	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust, or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO _____ NA X

For more information, see detailed Instruction Booklet at page 8

IDENTITY	CATEGORY
Bank One, Columbus, Ohio	C
Wright Patman Credit Union, Washington, D.C.	B
Northwestern Mutual Variable Annuity	A

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
Northwestern Mutual Variable Annuity 4-14-86 and payment	s per month	A
Northwestern Mutual Life Insurance purchased monthly	in 1986	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
none	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Larry Leibert, Columbus, Ohio	Use of home on Grand Caymon Island
(personal friend)	for ten days 11-12-86 to 11-21-86
	(lodging)

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
none		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Soochow University, Wei Shuang Hsi, Shih-lin	Fact finding trip for eight days
Taipei, Taiwan	and seven nights to Taipei, Taiwan
	food/lodging/transportation

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
none	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	none	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 14, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon

U.S. GOVERNMENT PRINTING OFFICE: 1987

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Robert W. Kastenmeier
(Full Name)

Suite 2328, Rayburn House Office Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 2nd State Wisconsin

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

8 7 1 7 0 0 3 2 1 9 3

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION." **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
T.V. Radio P.A.C. Received 1/23/86	Honorarium	$2,000.00	
Nat'l Cable Television Assn. Received 4/3/86	Honorarium	$1,500.00	
Wis. Electric Cooperatives Assn. Received 4/9/86	Honorarium	$ 200.00	
Nat'l Assn. of Broadcasters Received 5/15/86	Honorarium	$1,500.00	
World Intel. Property Assn. Received 7/28/86	Written Article	$ 418.27	
Electronic Mail Assn. Received 12/3/86	Honorarium	$ 500.00	-See continuation Sheet

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000

	B. SOURCE	TYPE	CATEGORY
wife)	1st Virginia Bank (Kastenmeier Education Fund)	Interest	B
wife)	2702 Center Street, Nacogdoches, Texas	Rent	Gross C - Net A
wife)	413 Myrtle Street, Nacogdoches, Texas	Rent	Gross C - Net B
wife)	106 Merilyn Street, Nacogdoches, Texas	Rent	Gross C - Net A
wife)	Unimproved timber land, Nacogdoches, Texas	Rent	Gross B - Net A
(wife)	Fredonia State Bank, Nacogdoches, Texas	Interest	A
(wife)	Fredonia State Bank, Nacogdoches, Texas	Dividend	A
			-See continuation Sheet

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO XX NA ____

For more information, see detailed Instruction Booklet at page 8

IDENTITY	CATEGORY
700 shares Air Wisconsin Common Stock	B
Home, Sun Prairie, Wisconsin at 745 Pony Lane -	D
The following interests are those of my wife, Dorothy C. Kastenmeier:	
House, 413 Myrtle Street, Nacogdoches, Texas	C
House, 106 Center Street, Nacogdoches, Texas	C
House, 2702 Center Highway, Nacogdoches, Texas	C
See continuation sheet	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

	BRIEF DESCRIPTION	DATE	CATEGORY
(wife)	338 1/2 shares, Fredonia State Bank - (sold) (estate of Mable Chambers, William Chambers, et. al)	12/19/86	B
	500 shares, Toledo Edison - (sold) (estate of Lucille Kastenmeier)	1/8/86	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY		CATEGORY
United Bank in Sun Prairie	Real Estate Mortgage	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
1/10/86 -1/12/86 T.V. Radio P.A.C.	Air Travel to St. Maarten's and hotel for self and wife (3days)
1/31/86 - 2/2/86 Keep Kastenmeier in Congress	Rail Travel to Greenbriar, West Va. and hotel for self and wife (3 days)
3/17/86 - National Cable Television Association	Air Travel to Dallas, Texas

see continuation sheet

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature Robert W Kastenmeier	Date May 14, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Robert W. Kastenmeier, Member of Congress - Wisconsin
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
Part Two - Earned Income - (a)	
	California Cable Association Received 12/4/86 Honorarium $1,500.00
Part Two - B - Unearned Income -	
	Dorothy C. Kastenmeier - (wife)
	(wife) Mabel Chambers estate, Nacogdoches, Texas Dividends A
	(Wife) Veterans Administration Life Insurance - William Chambers Estate Insurance Benefit - B
	(wife) Mabel Chambers Estate, Nacogdoches, Texas - Distributed Share - B
	Robert W. Kastenmeier -
	Lucille Kastenmeier Estate Distributed Share - C
	Lucille Kastenmeier Estate Dividends - A
	First Virginia Bank Interest - B
	House, 745 Pony Lane, Sun Prairie, Wisconsin Rent - Gross C -Net A
Part Three -	Holdings -
	Dorothy C. Kastenmeier - (wife)
	The following interests are those of my wife, Dorothy C. Kastenmeier
	217 shares of stock - Fredonia State Bank, Nacogdoches, Texas B
	Kastenmeier Education Fund - First Virginia Bank D
	Fredonia State Bank, checking account IMMA A
	Robert W. Kastenmeier First Virginia Bank,
	Arlington, Virginia - IMMA Account D
Part Seven -	Reimbursements - Robert W. Kastenmeier -
	4/13/86 National Association of Broadcasters - Air Travel to Dallas, Tex and hotel for self -1day
	12/3/86 - 12/4/86 - California Cable association- Air Travel to Anaheim, Calif. and hotel for self - 2 days

HAND DELIVERED

BOB KASTENMEIER
2D DISTRICT, WISCONSIN

2328 HOUSE OFFICE BUILDING
PHONE: AREA CODE (202) 225-2906

HOME OFFICE:
SUITE 505
119 MARTIN LUTHER KING, JR. BLVD
MADISON, WI 53703
PHONE: AREA CODE (608) 264-5206

Congreſs of the United States
House of Representatives
Washington, DC 20515

COMMITTEE ON
JUDICIARY

CHAIRMAN, SUBCOMMITTEE ON
COURTS, CIVIL LIBERTIES AND
THE ADMINISTRATION OF JUSTICE

SUBCOMMITTEE ON CIVIL AND
CONSTITUTIONAL RIGHTS

PERMANENT SELECT
COMMITTEE ON INTELLIGENCE

SUBCOMMITTEE ON
LEGISLATION

SUBCOMMITTEE ON PROGRAM
AND BUDGET AUTHORIZATION

May 18, 1987

✓ MC

Mr. Julian C. Dixon
Chairman
Committee on Standards of Official
  Conduct
U.S. Capitol
Washington, D.C. 20515

Dear Mr. Chairman:

Enclosed is an amended statement listing three of my wife, Dorothy C. Kastenmeier's holdings, which were inadvertenly ommitted.

Sincerely,

ROBERT W. KASTENMEIER
Member of Congress

RWK:ad

Page 1 of 1

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Robert W. Kastenmeier, Member of Congress - Wisconsin
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*
Part Two -	Earned Income - (a)
	California Cable Association 12/4/86 Honorarium $1,500.00
Part Two -	Unearned Income - (b)
	Dorothy C. Kastenmeier - (wife)
	(wife) Mable Chambers estate, Nacogdoches, Texas Dividends A
	(wife) Veterans Administration Life Insurance - William Chambers Estate Insurance Benefit B
	(wife) Mabel Chambers Estate, Nacogdoches, Texas - Distributed Share - B
	Robert W. Kastenmeier
	Lucille Kastenmeier Estate Distributed Share - C
	Lucille Kastenmeier Estate Dividends - A
	First Virginia Bank Interest - B
	House, 745 Pony Lane, Sun Prairie, Wis. Rent Gross C - Net A
Part Three -	Holdings
	Interests of my wife, Dorothy C. Kastenmeier
	3 Lots, San Augustine Highway, Nacogdoches, Texas B
	129 Acres Timberland, Nacogdoches, Texas D
	160.5 acres Timberland, Nacogdoches, Texas D
	217 shares of stock - Fredonia State Bank, Nacogdoches, Texas B
	Kastenmeier Education Fund - First Virginia Bank D
	Fredonia State Bank, checking account IMMA A
	Robert W. Kastenmeier
	First Virginia Bank, Arlington, Va. IMMA account D
Part Seven -	Reimbursements - Robert W. Kastenmeier
	4/13/86 National Association of Broadcasters - Air Travel to Dallas, Texas, and hotel for self - 1 day
	12/3/86 - 12/4/86 California Cable Association - Air Travel to Anaheim, California and hotel for self - 2 days

GPO 1986 61-688 (m)

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Jack F. Kemp
(Full Name)

2252 Rayburn House Office Building
(Mailing Address)

Washington, D. C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 31st State New York

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

Page 2 of 12

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION." **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See continuation sheet (page 5 of 12)			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See continuation sheets (pages 5 and 6 of 12)		
	—	

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES X NO ____ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See continuation sheets (pages 6 and 7 of 12)	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See continuation sheets (pages 7 and 8 of 12)		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
See continuation sheet (page 8 of 12)	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986 is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
See continuation sheets (pages 9, 10, and 11 of 12)	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Vice President/Member Board of Directors	National Football League Charities (Nonprofit organization)
Chrman. Bd. of Dirs.	Fund for American Renaissance (Nonprofit organ.)
Member, Bd. of Govs.	National Football League Alumni (Nonprofit organ.)
Partner	Partnerships - As listed in Section III above

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Jack F Kemp	5-14-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE [illegible]

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Page 5 of 12

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Jack F. Kemp
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
II.A.	Honoraria:	
1/86	Washington Times	$1,000
1/86	American Furniture Manufacturers	2,000
2/86	Morgan Stanley	2,000
2/86	Monetary Policy Forum	2,000
2/86	Northeast Pool & Spa Assoc.	2,000
2/86	Institute for Research on the Economics of Taxation	2,000
3/86	ABD Securities	2,000
3/86	National Council of Savings Institutions	2,000
3/86	Evans & Novak Political Forum	1,000
4/86	The Heritage Foundation	500
6/86	Austin Rover Cars of North America	1,500
6/86	Dow Jones & Company, Inc.	350
6/86	Braman Cadillac	2,000
6/86	National Screw Machine Products	2,000
II.A.	Honoraria assigned to charities:	
1/86	ABD Securities	$6,000
4/86	Austin Rover Cars of North America	500
4/86	Chamber of Commerce of the United States	500
5/86	Tile Council of America	2,000
5/86	National Strategy Info. Center	500
5/86	Ernest & Whittenberg & Assoc.	2,000
5/86	National Assoc. of Realtors	2,000
6/86	Los Angeles Times	250
7/86	MCA Inc.	2,000
7/86	American Security Council	1,000
7/86	Conservative Digest	250
7/86	Times Newspapers Ltd.	300
9/86	Tobacco Institute	2,000
9/86	Wake Forest University	2,000
10/86	Credit Suisse	750
11/86	American Trucking Association	2,000
II.A.	Wages:	
	CBS Inc.	$ 63
	CBS Inc. (assigned to charity)	113
II.B	Book royalties:	
	Roland Leuschel	C
II.B.	Dividends:	
	National Liquid Reserves	C
	Lomas & Nettleton Mortgage Investors	A
	Bear Stearns & Co., Inc. (Logicon Inc., W. R. Berkley Corp., Nucor Corp., Fur Vault Inc., J. B. Hunt Transport, Adia Services, Polaroid Corp., Citicorp, American Express Co., Shaw Ind. Inc., Intermet Corp.	A
	Webster Cash Reserve Fund	A
II.B.	Interest:	
	Bear Stearns & Co., Inc. (U. S. Treasury Bonds)	D

Page 6 of 12

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Jack F. Kemp
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*	
II.B.	Partnership income:	
	Top of One Investment Company	A
	Albert George Well #1	B
	J. J. Johnston Well #1	A
	R&P Coal Well #1	A
II.B.	Rental income:	
	Derived from two-family dwelling	
	located at 45 S. Lake Street,	
	Hamburg, New York 14075 (net loss)	D
II.B.	Capital gains:	
	Bear Stearns & Co. Inc.	F
	(American Express Co., Citicorp,	
	Federal Express Corp., Home Shopping	
	Network, UTS Biotherapeutics, AST	
	Research Inc., Claire's Stores Inc.,	
	Clothestime Inc., Coleco Industries	
	Inc., Commodore Int'l. Ltd., Mylan	
	Laboratories Inc., Pace Membership	
	Warehouse, U. S. Treasury Bonds,	
	AMR Corp. - Del., Certified	
	Collateral, Equimark Corp., Nucor	
	Corp., U. S. Health Care, W. R.	
	Berkley Corp.)	
II.B.	Distribution from Clare F. Kemp Trust	D
III.	Holdings:	
	Lomas & Nettleton Mortgage Investors	B
	The One Hundred Fund, Inc.	A
	National Liquid Reserves, Inc.	D
	.8772% interest in Top of One Investment Company	A
	5% interest in Albert George Well #1, et al.	A
	2% interest in J. J. Johnston Well #1, et al.	A
	2% interest in R&P Coal Well #1, et al.	A
	Two-family dwelling, 45 S. Lake Street, Hamburg, New York 14075	D
	Webster Cash Reserve Fund	B
	.31256% royalty interest in Bossier Parish, Louisiana, property operated by Cities Services Corp.	A
	Royalty interest in book, "An American Idea" by Jack Kemp	B
	GRI Corporation	A
	Plant Industries, Inc.	A
	Hemerdon Mining and Smelting Ltd.	A
	Children's contingent interest in Clare F. Kemp Trust (value unknown)	

GPO 1986 61-688 (m)

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Page 7 of 12

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Jack F. Kemp
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
III.	Holdings (continued):	
	Bear Stearns & Co., Inc.* -	F
	Adia Services, Inc.; Allegheny International Inc.; American Express Co.; AMR Corp. - Del.; AST Research, Inc.; Bankamerica Corp.; Beauticontrol Cosmetics; Biocraft Laboratories; Bolar Pharmaceutical; Cellular Communications; Certified Collateral; Chili's, Inc.; Chronar Corp.; Circuit City Stores, Inc.; Citicorp; Claire's Stores, Inc.; Clothestime, Inc.; Coleco Industries, Inc.; Commodore International Ltd.; Dollar General Corp.; Emerson Radio Corp.; Equimark Corp.; Family Dollar Stores; Federal Express Corp.; Federal National Mortgage Association; Federated Group; Fur Vault, Inc.; Healthcare Services; Hibernia Corp.; Home Depot, Inc.; Home Shopping Network; Intermet Corp.; J. B. Hunt Transport; Logicon, Inc.; Long Island Lighting Co.; Lotus Development Corp.; Lumex, Inc.; Maxicare Health; Metro Mobile Cts., Inc.; Micron Technology; Microsoft Corp.; Monolithic Memories, Inc.; Mylan Laboratories, Inc.; Nike Inc.; Nucor Corp.; Oracle System Corp.; Pace Membership; Par Pharmaceutical; Polaroid Corp.; Reebok International, Ltd.; Regina, Inc.; Saatchi & Saatchi PLC.; Safety Kleen Corp.; Service Merchandise Co.; Shaw Industries, Inc.; Tel/Man, Inc.; Texas Air Corp.; Thousand Trails, Inc.; U. S. Health Care; UTS Biotherapeutics; Vicorp Restaurants; Wall-to-Wall Sound; Worlds of Wonder, Inc.; W. R. Berkley Corp.; Zenith Laboratories, Inc.; United States Treasury Bonds	
IV.	Transactions:	
1/86 through 12/86	Bear Stearns & Co., Inc.*	F
	Allegheny International Inc.; American Express Co.; AMR Corp. - Del.; AST Research, Inc.; Bankamerica Corp.; Beauticontrol Cosmetics; Biocraft Laboratories; Bolar Pharmaceutical; Certified Collateral; Chili's, Inc.; Cellular Communications; Circuit	
	*Jack F. Kemp does not exercise control over Bear Stearns & Co., Inc. transactions. As of December 31, 1986, he has transferred these holdings into a qualified blind trust established in accordance with the procedures outlined in the Ethics in Government Act.	

Page 8 of 12

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Jack F. Kemp
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
IV.	Transactions (continued):	
	Bear Stearns & Co., Inc. (continued)	
	City Stores, Inc.; Citicorp; Claire's Stores, Inc.; Clothestime, Inc.; Coleco Industries, Inc.; Commodore International Ltd.; Dollar General Corp.; Emerson Radio Corp.; Equimark Corp.; Family Dollar Stores; Federal Express Corp.; Federal National Mortgage Association; Healthcare Services; Hibernia Corp.; Home Depot, Inc.; Home Shopping Network; Intermet Corp.; J. B. Hunt Transport; Logicon, Inc.; Long Island Lighting Co.; Lotus Development Corp.; Lumex, Inc.; Maxicare Health; Metro Mobile Cts., Inc.; Micron Technology; Microsoft Corp.; Monolithic Memories, Inc.; Mylan Laboratories, Inc.; Nike Inc.; Nucor Corp.; Oracle System Corp.; Pace Membership; Polaroid Corp.; Reebok International, Ltd.; Regina, Inc.; Saatchi & Saatchi PLC.; Safety Kleen Corp.; Service Merchandise Co.; Shaw Industries, Inc.; Tel/Man, Inc.; U. S. Health Care; UTS Biotherapeutics; Vicorp Restaurants; Worlds of Wonder, Inc.; W. R. Berkley Corp.; Zenith Laboratories; United States Treasury Bonds; Federated Group, Inc.)	
	National Liquid Reserve Cash Portfolio	
3/86	Sale	B
8/86	Sale	B
10/86	Sale	B
12/86	Purchase	C
	Webster Cash Reserve Fund	
12/86	Sale	A
V.	Liabilities:	
	Mortgage held by Goldome Savings Bank on a two-family dwelling located at 45 S. Lake Street, Hamburg, New York 14075	C
	Bear Stearns & Co., Inc. margin account re: holdings in investments	E

Page 9 of 12

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Jack F. Kemp
(Name)

Continuation Sheet

Part | *Source, Type, Amount, Category, Description or Value (As Applicable)*

VII. Reimbursements:

Date	Source	Description
1/86	Anti-Defamation League	$1,731 + 1 night lodging - Wash./San Diego/Wash.
1/86	Campaign for Prosperity	Pvt. plane - Los Angeles/ Texas/NY
1/86	NFL Charities	$1,235 + 2 nights lodging - NY/New Orleans (Congressman and Mrs. Kemp)
1/86	Campaign for Prosperity	$290 - New Orleans/St. Louis/ Des Moines
1/86	Des Moines Register	Pvt. plane - Des Moines/Wash.
2/86	Fund for an American Renaissance	$8,612 - Wash./Europe (Germany, France, England) (Congressman and Mrs. Kemp)
2/86	Council for National Policy	$1,677 - Wash./Arizona (Congressman and Mrs. Kemp)
2/86	Economic Education Foundation	$643 - Arizona/CA/Wash.
2/86	Republican Party of Waukesha	$387 - Wash./Milwaukee
2/86	Independent Republicans of Minnesota	$387 - Milwaukee/Minn./Wash.
2/86	Shaumburg Township	$750 + 1 night lodging - Wash./Chicago/Wash.
2/86	Dade County Republicans	$280 - Wash./Miami
2/86	Polyconomics	$650 + 1 night lodging - Miami/Puerto Rico/Nashville
2/86	Campaign for Prosperity	$325 - Nashville/Wash.
3/86	Henson Moore/Senate Campaign	$377 - Wash./Baton Rouge
3/86	Henson Moore/Senate Campaign	Pvt. plane - Baton Rouge/ New Orleans
3/86	Henson Moore/Senate Campaign	$377 - New Orleans/Wash.
3/86	Campaign for Prosperity	$273 - NY/Detroit
3/86	Seminole County Republicans	$377 - Buffalo/Atlanta/ Orlando
3/86	Seminole County Republicans	Pvt. plane - Orlando/Wash.
3/86	Andy Ireland Campaign	$501 - Wash./Orlando/Wash.
3/86	Scranton Campaign	Pvt. plane - Wash./ Philadelphia/Wash.
3/86	Campaign for Prosperity	$594 - Wash./Miami/Buffalo
4/86	Campaign for Prosperity	$750 - Wash./Chicago/Wash.
4/86	Multi Media Corp.	$2,764 + 2 nights lodging - Wash./LA/Wash. (Congressman and Mrs. Kemp)
4/86	McEwen for Congress	Pvt. plane - Columbus, Ohio/ Wash.
4/86	Michigan Opportunity Society	Pvt. plane - Providence/ Detroit
4/86	American Land Development Association	$616 - Detroit/Las Vegas
4/86	Nevada State Party/ Clark County	$307 - Las Vegas/Wash.

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ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Jack F. Kemp
(Name)

Continuation Sheet

Part — *Source, Type, Amount, Category, Description or Value (As Applicable)*

VII. Reimbursements (continued):

Date	Source	Description
4/86	Michigan Opportunity Society	Pvt. plane - Boston/Michigan/Wash.
5/86	Lancaster Republican Party	Pvt. plane - Wash./Lancaster/Wash.
5/86	Senator Mattingly Campaign	$971 - Wash./Atlanta/Wash.
5/86	Senator Mattingly Campaign	Helicopter - Savannah/Adrian, Georgia
5/86	Georgia Republican Party	Pvt. plane - Adrian/Atlanta, Georgia
5/86	Michigan Opportunity Society	Pvt. plane - Wash./Michigan/Wash.
5/86	Summitt County Republicans	Pvt. plane - Wash./Islip, NY
5/86	Fund for an American Renaissance	Helicopter - Islip, NY/Newark, NJ
5/86	Opportunities Industrialization	$455 - Wash./San Antonio
5/86	LA Bond Club	$1,124 + 1 night lodging - San Antonio/LA/Syracuse
6/86	Summitt County/Tom Kindness Campaign	Pvt. plane - Wash./Ohio/Wash.
6/86	Joe Canada Campaign	Pvt. plane - Wash./Norfolk/Wash.
6/86	National Right to Life	$452 - Wash./Denver
6/86	Florida State Party	$452 - Denver/Houston
6/86	Hank Brown Campaign	$402 - Lodging
6/86	Loudan County Republican Party	$545 - Raleigh/Wash.
6/86	Campaign for Prosperity	Pvt. plane - Wash./Iowa/Alabama
6/86	Senator Denton Campaign	Pvt. plane - Alabama/Wash.
6/86	Campaign for Prosperity	Pvt. planc - Wash./Missouri/Wash.
6/86	Global Monetary Conference	$11,194 + 2 nights lodging - Texas/Zurich/Germany/Wash. (Congressman and Mrs. Kemp)
7/86	MCA PAC	$1,375 + 2 nights lodging - Wash./San Diego/Iowa
7/86	Toledo Trust	Pvt. plane - Wash./Detroit/Wash.
7/86	Michigan Opportunity Society	Pvt. plane - Wash./Detroit
7/86	American/Israel Chamber of Commerce	$2,585 - San Francisco/NY/London/Wash.
7/86	Israeli Aircraft Industries	Pvt. jet - Israel/London
7/86	ALEC	$779 - Wash./Denver
7/86	Connie Mack Campaign Committee	$717 - Wash./Orlando/Wash.
8/86	Campaign for Prosperity	Pvt. plane - Wash./Iowa/Wash.
8/86	National Conference of State Legislators and Campaign for Prosperity	Pvt. plane - Wash./New Orleans/Mississippi
8/86	Campaign for Prosperity	$750 - Wash./Chicago/Wash.
8/86	Campaign for Prosperity	$1,332 - Wash./CA/Wash.

[illegible]

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ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Jack F. Kemp
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
VII.	Reimbursements (continued):	
Date	Source	Description
8/86	Campaign for Prosperity	Pvt. plane + 6 nights lodging - Buffalo/Nebraska/Colorado/ S. Dakota/Illinois/Idaho/Iowa/ OK/Utah/Oregon
9/86	California Republican Party	$1,446 + 1 night lodging - Wash./Sacramento/Wash.
9/86	Broyhill for Senate	Pvt. plane - Greensboro/Raleigh/ Wash.
9/86	Campaign for Prosperity	Pvt. plane - Buffalo/CT/Wash.
9/86	Campaign for Prosperity	Pvt. plane - Buffalo/NJ/Wash.
9/86	Campaign for Prosperity	$375 - Wash./Chicago
9/86	Campaign for Prosperity	Pvt. plane - Wash./NH
9/86	Campaign for Prosperity	$1,011 - Wash./Tuscon/Wash.
9/86	Campaign for Prosperity and Concerned Citizens for Democracy	$1,033 - Wash./Miami/Wash. (Congressman and Mrs. Kemp)
9/86	Campaign for Prosperity	$640 - Wash./Minneapolis/Wash.
10/86	Campaign for Prosperity	Pvt. plane - Wash./Indiana/ Ohio/Missouri/NH/Mass./NY/ Texas/Alabama
10/86	Campaign for Prosperity	Pvt. plane - $872 - lodging - Wash./Georgia/NC/Iowa/SC
11/86	Schuette for Congress Campaign	Pvt. plane - Buffalo/Detroit
11/86	Campaign for Prosperity	$1,122 - Chicago/Seattle/Wash.
11/86	Chris Smith for Congress	Pvt. plane - Wash./NJ/Buffalo
11/86	Erie County Republican Party	Pvt. plane - Dayton/Buffalo/ Wash.
11/86	Florida Council of 100	$440 - Wash./Palm Beach/Wash.
11/86	Campaign for Prosperity	Pvt. plane - Boston/Cincinnati/ Wash.
11/86	Savings Bank of New York and Zionist Organization of America	$1,586 - Wash./Miami/Boca Raton
11/86	Campaign for Prosperity	$945 - NY/Des Moines/Wash.
12/86	Peat Marwick & Co.	$3,153 - Wash./San Francisco/ Wash. (Congressman and Mrs. Kemp)
12/86	Pepsico	Pvt. plane - Wash./Purchase, NY/Wash.

JACK F. KEMP

31ST DISTRICT, NEW YORK

1986

STATEMENT OF CERTIFICATION REGARDING ASSETS IN TRUST FOR CHILDREN

My children are the beneficiaries of the Clare F. Kemp Trust (Jack B. Kemp, Co-Trustee, 2595 Crestview, Newport Beach, California 92662). This trust was established under the will of Clare F. Kemp in order to assist my children with the expense of obtaining a higher education.

I hereby certify that I do not have any control over the assets of this trust nor any control over the distributions from this trust to my children; that the assets in this trust are not in any way, past or present, derived from my income, assets, or activities; and that I neither derive, nor expect to derive, any financial or economic benefit from this trust.

Jack F Kemp

Jack F. Kemp

MAY 16 1987

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Joseph P. Kennedy II
(Full Name)

71 Bigelow Street
(Mailing Address)

Brighton, Massachusetts 02135

Mc
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 8th State Massachusetts

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

Joseph P. Kennedy II

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. **THE 1986 LIMIT FOR MEMBERS IS $22,530.** Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Citizens Energy Corp.	Salary	$56,628.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
SEE ATTACHED		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _X_ NO ___ NA ___

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
SEE ATTACHED	

IV. TRANSACTIONS

Joseph P. Kennedy II

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
SEE ATTACHED		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Bank of New England - Loan	E

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

Joseph P. Kennedy II

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
SEE ATTACHED	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
12/31/86	Citizens Energy Corp.	As a former participant in Citizens Energy Corp.'s 401(K) Plan, I have a vested interest in such plan. No contributions have been or will be made since my employment termination date of January, 1987.

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Joe Kennedy	5/15/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

Joseph P. Kennedy II

II-B INCOME
TOTAL 1986

JOSEPH P. AND SHEILA R. KENNEDY FAMILY INCOME

	TYPE	CATEGORY
Bank of New England	Interest	D
Citibank, N.A.	Interest	A
Citizens for Joe Kennedy	Interest	D
Goldman Sachs & Co.	Interest	A
IDA Maricopa, Arizona	Interest	C
L.F. Rothschild Exempt Fund	Interest	E
Lincoln Trust Co.	Interest	A
Manufacturers Hanover Trust Co.	Interest	A
Municipal Assistance Corporation for the City of New York	Interest	D
Mutual Bank (Boston, MA)	Interest	A
San Antonio, Texas	Interest	C
Texas Housing Agency	Interest	D
Fidelity Equity Income Fund	Dividends	A
Businessland, Inc.	Capital Gains	B
Fidelity Equity Income Fund	Capital Gains	A
74 High Street, Norwell, Mass.	Rents	D
854 Union Street, Marshfield, Mass.	Rents	D
Computer equipment under rental agreement with the Merchandise Mart	Rents	A
Merchandise Mart, Chicago, IL	Distribution	G
Apparel Center, Chicago, IL	Distribution	NONE
Angerman Gas Venture - 1981	Oil & Gas	B
Arctic Royalty Limited Partnership	Oil & Gas	B
Mokeen Limited Partnership	Oil & Gas	E
January 27, 1926 Trust, Joseph P. Kennedy grantor, for the benefit of Robert F. Kennedy (excepted Trust)	Trust Distributions	E
August 31, 1959 Trust, Robert F. Kennedy grantor, for the benefit of Joseph P. Kennedy II and others (excepted Trust)	Trust Distributions	D

Joseph P. Kennedy II

II-B INCOME (Continued)

RAUCH FAMILY TRUSTS INCOME	TYPE	CATEGORY
First Pennsylvania Bank, N.A.	Interest	A
Mellon Bank Corp. (East), N.A.	Interest	C
American Express Co.	Dividends	A
American International Group, Inc.	Dividends	A
American Home Products Corp.	Dividends	A
Amsouth Bancorp	Dividends	A
Atlantic Richfield Co.	Dividends	A
Bell Atlantic Corp.	Dividends	A
Bristol Myers Co.	Dividends	A
Champion International	Dividends	A
Chevron Corp.	Dividends	B
Eastman Kodak Co.	Dividends	A
Exxon Corporation	Dividends	A
General Electric Co.	Dividends	B
General Motors	Dividends	A
H. J. Heinz Co.	Dividends	A
I.B.M.	Dividends	D
Knight Ridder Newspaper Inc.	Dividends	A
McGraw-Hill, Inc.	Dividends	A
Mellon Bank (East) N.A. D. F. Special Stock Fund	Dividends	A
Mellon Bank (East) N.A. International Fund	Dividends	A
Merck & Co.	Dividends	A
NYNEX Corp.	Dividends	A
New York State Electric & Gas Corp.	Dividends	A
Philip Morris Companies	Dividends	A
PNC Financial Corp.	Dividends	A
Ryder System Inc.	Dividends	A
Scott Paper Co.	Dividends	A
Shared Medical Systems Corp.	Dividends	A
Sovran Financial Corp.	Dividends	A
Tenneco, Inc.	Dividends	A
Travelers Corp.	Dividends	A
United Technologies Corp.	Dividends	A
American International Group	Capital Gains	D
Eastman Kodak	Capital Gains	B
General Electric Co.	Capital Gains	C
PNC Financial Corp.	Capital Gains	B

III-HOLDINGS

JOSEPH P. KENNEDY AND SHEILA R. KENNEDY FAMILY HOLDINGS

CORPORATE SECURITIES	CATEGORY
Businessland, Inc.	A
Fidelity Equity Income Fund, Inc.	B
Tintoretto Inc.	B
MUNICIPAL BONDS AND FUNDS	
Municipal Assistance Corp. for the City of New York	E
IDA Maricopa County, Arizona	C
San Antonio, Texas	C
Texas Housing Agency	D
L. F. Rothschild Exempt Fund, Inc. (various - refer to Section IV)	F
SAVINGS INSTRUMENTS	
Bank of New England (various)	E
Lincoln Trust Co.	A
Mutual Bank (Boston, MA)	A
Merrill Lynch - Retirement Benefit Investment Prog.	C
CERTIFICATES OF DEPOSIT	
Manufacturers Hanover Trust Co. (various instruments - refer to Section IV)	C
Citibank, N.A. (various instruments - refer to Section IV)	C
RECEIVABLES	
Goldman Sachs - Brokerage Account	B
Citizens for Joe Kennedy - Loan	E
REAL ESTATE	
854 Union Street Marshfield, Mass.	F
74 High Street Norwell, Mass.	E
ESTATES	
Interests in estates of Robert F. Kennedy and David A. Kennedy as yet undistributed.	D
OTHER	
Citizens Energy Corp. 401(K) Plan	C

III-HOLDINGS (Continued)

OTHER (LESS THAN 2% INTERESTS)

Angerman Gas Venture - 1981 (a limited partnership whose principal assets are oil and gas working interests with its principal place of business at 1000 RIDC Plaza, Pittsburgh, Pennsylvania)	A
WDC Associates (a limited partnership whose principal asset is the Washington Design Center located at 300 D Street, S.W., Washington, D.C.)	C
Arctic Royalty Limited Partnership (a limited partnership whose principal assets are mineral interests and oil and gas working interests, with its principal place of business at 100 East 42nd Street, New York, N.Y.)	B
Mokeen Limited Partnership (a limited partnership whose principal assets are oil and gas working interests with its principal place of business at 100 East 42nd Street, New York, N.Y.)	D
A beneficial interest in a part of the proceeds and avails of a commercial building known as the Apparel Center situated on 8.09 acres at 350 North Orleans, Chicago, Illinois	B
A beneficial interest in a part of the proceeds and avails of a commercial building known as The Merchandise Mart situated on 6.1 acres at 222 North Bank Drive, Chicago, Illinois	F
Computer equipment under rental agreement with The Merchandise Mart	A
L. F. Rothschild Venture Capital Fund	C

III-HOLDINGS (Continued)

RAUCH FAMILY TRUSTS

CORPORATE SECURITIES

Abbott Laboratories	B
American Express Co.	C
AmSouth Bancorp	C
American International Group, Inc.	C
American Home Products Corp.	B
Anheuser Busch Companies	B
Atlantic Richfield Co	A
Bell Atlantic Corp.	B
Boeing Co.	B
Bristol Myers Co.	C
Champion International	B
Chevron Corp.	C
Eastman Kodak Co.	B
Exxon Corp.	A
General Electric Co.	D
General Motors Corp.	A
Gulf & Western, Inc.	B
Halliburton Co.	A
Hewlett Packard Co.	C
H. J. Heinz Co.	B
I.B.M.	B
Intel Corp.	B
Knight Ridder Newspapers Inc.	C
McGraw Hill	C
Melville Corp.	B
Merck & Co., Inc.	C
NYNEX Corp.	A
New York State Electric and Gas Corp.	A
Pfizer Inc.	A
Philip Morris Companies	C
PNC Financial Corp.	A
Ryder System Inc.	C
Scott Paper Co.	B
Shared Medical Systems	C
Sovran Financial Corp.	C
Tenneco, Inc.	B
Travelers Corp.	A
United Technologies Corp.	B
Wang Laboratories	A

SECURITIES FUNDS

Mellon Bank (East) N.A.- D.F. Special Stock Fund	B
Mellon Bank (East) N.A.- International Fund	B

SAVINGS INSTRUMENTS

Mellon Bank (East) N.A. (various)	C

III-HOLDINGS (Continued)

ADDITIONAL INFORMATION

In addition to the beneficial interests in the excepted trusts disclosed above, members of my family have beneficial interests in the excepted trusts listed below. No income was received from any of these trusts during 1986.

Trust under the will of George Skakel
Trust under the will of Ann B. Skakel
Trust under the will of George S. Brewster
Trust under the will of Anna H. Paton
Trust under indenture George S. Brewster
Trust under agreement Eleanor S. Brewster

IV-TRANSACTIONS

JOSEPH P. AND SHEILA R. KENNEDY FAMILY TRANSACTIONS

CORPORATE SECURITIES

06/05	Businessland, Inc.	Partnership Dist.	A
08/14	Businessland, Inc.	Sale	A
08/26	Tintoretto Inc.	Purchase	C

CERTIFICATES OF DEPOSIT

01/07	Citibank, N.A.	Purchase	B
01/10	Citibank, N.A.	Purchase	A
01/07	Citibank, N.A.	Sale	B
01/24	Citibank, N.A.	Sale	B
01/17	Citibank, N.A.	Sale	A
01/24	Citibank, N.A.	Sale	B
01/10	Manufacturers Hanover Trust Co.	Purchase	C
01/10	Manufacturers Hanover Trust Co.	Sale	C
01/17	Manufacturers Hanover Trust Co.	Sale	C

INDIVIDUAL RETIREMENT ACCOUNT

04/14/86	Merrill Lynch-Retirement Benefit Investment Program	1985 Contributions	A
05/19/86	Merrill Lynch-Retirement Benefit Investment Program	1986 Contributions	A

MONEY MARKET FUNDS

01/21/86	L.F. Rothschild Exempt Fund Inc.	Purchase	A
01/23/86	L.F. Rothschild Exempt Fund Inc.	Sale	C
01/24/86	L.F. Rothschild Exempt Fund Inc.	Purchase	D
02/03/86	L.F. Rothschild Exempt Fund Inc.	Sale	A
02/07/86	L.F. Rothschild Exempt Fund Inc.	Sale	B
02/12/86	L.F. Rothschild Exempt Fund Inc.	Sale	A
02/13/86	L.F. Rothschild Exempt Fund Inc.	Sale	A
02/14/86	L.F. Rothschild Exempt Fund Inc.	Sale	C
02/20/86	L.F. Rothschild Exempt Fund Inc.	Purchase	A

IV-TRANSACTIONS (Continued)

02/28/86	L.F. Rothschild Exempt Fund Inc.	Purchase	A
03/06/86	L.F. Rothschild Exempt Fund Inc.	Sale	B
03/07/86	L.F. Rothschild Exempt Fund Inc.	Sale	B
03/12/86	L.F. Rothschild Exempt Fund Inc.	Sale	B
03/19/86	L.F. Rothschild Exempt Fund Inc.	Purchase	A
04/04/86	L.F. Rothschild Exempt Fund Inc.	Sale	B
04/15/86	L.F. Rothschild Exempt Fund Inc.	Sale	C
04/21/86	L.F. Rothschild Exempt Fund Inc.	Purchase	A
04/30/86	L.F. Rothschild Exempt Fund Inc.	Purchase	B
05/19/86	L.F. Rothschild Exempt Fund Inc.	Purchase	A
05/27/86	L.F. Rothschild Exempt Fund Inc.	Sale	C
05/30/86	L.F. Rothschild Exempt Fund Inc.	Purchase	C
05/31/86	L.F. Rothschild Exempt Fund Inc.	Purchase	A
06/02/86	L.F. Rothschild Exempt Fund Inc.	Purchase	B
06/17/86	L.F. Rothschild Exempt Fund Inc.	Sale	C
06/18/86	L.F. Rothschild Exempt Fund Inc.	Purchase	A
06/20/86	L.F. Rothschild Exempt Fund Inc.	Sale	B
06/20/86	L.F. Rothschild Exempt Fund Inc.	Purchase	B
06/24/86	L.F. Rothschild Exempt Fund Inc.	Purchase	B
06/30/86	L.F. Rothschild Exempt Fund Inc.	Purchase	B
07/01/86	L.F. Rothschild Exempt Fund Inc.	Purchase	B
07/17/86	L.F. Rothschild Exempt Fund Inc.	Purchase	A
07/31/86	L.F. Rothschild Exempt Fund Inc.	Purchase	A
08/06/86	L.F. Rothschild Exempt Fund Inc.	Sale	A
08/06/86	L.F. Rothschild Exempt Fund Inc.	Purchase	B
08/19/86	L.F. Rothschild Exempt Fund Inc.	Purchase	A

IV-TRANSACTIONS (Continued)

08/22/86	L.F. Rothschild Exempt Fund Inc.	Sale	A
08/27/86	L.F. Rothschild Exempt Fund Inc.	Sale	B
08/28/86	L.F. Rothschild Exempt Fund Inc.	Purchase	B
09/02/86	L.F. Rothschild Exempt Fund Inc.	Purchase	A
09/18/86	L.F. Rothschild Exempt Fund Inc.	Purchase	A
09/30/86	L.F. Rothschild Exempt Fund Inc.	Sale	A
10/02/86	L.F. Rothschild Exempt Fund Inc.	Sale	A
10/03/86	L.F. Rothschild Exempt Fund Inc.	Sale	B
10/17/86	L.F. Rothschild Exempt Fund Inc.	Purchase	A
11/19/86	L.F. Rothschild Exempt Fund Inc.	Purchase	A
11/28/86	L.F. Rothschild Exempt Fund Inc.	Sale	E
12/02/86	L.F. Rothschild Exempt Fund Inc.	Purchase	C
12/31/86	L.F. Rothschild Exempt Fund Inc.	Sale	B

RAUCH FAMILY TRUSTS

CORPORATE SECURITIES

01/09	Bristol Myers Co.	Purchase	B
01/09	Champion Int'l Corp.	Purchase	B
01/09	Knight Ridder Newspapers	Purchase	B
01/09	Shared Medical Sys. Corp.	Purchase	B
01/09	United Technologies Corp.	Purchase	B
01/16	Wang Laboratories	Sale	A
06/03	Eastman Kodak Co.	Sale	A
12/24	American Intl. Group	Sale	C
12/24	Anheuser Busch Companies	Purchase	B
12/24	Boeing Co.	Purchase	B
12/24	Gulf & Western Inc.	Purchase	B
12/24	Intel Corp.	Purchase	B
12/24	Melville Corp.	Purchase	B

Joseph P. Kennedy II

VIII-POSITIONS

Chairman, Director, President*	Citizens Energy Corp.
Chairman*, Director*, President*	Citizens Resources Corp.
Chairman, Director, President*	Citizens Conservation Corp.
Chairman*, Director*, President*	Citizens Corp.
Chairman*, Director*, President*	Citizens Heat and Power Corp.
Chairman*, Director*, President*	Citizens Gas Supply Corp.
Chairman*, Director*, President*	Citizens Health Corp.
Chairman, Vice President	The Canadian Robert F. Kennedy Memorial
Limited Partner	Angerman Gas Venture - 1981
Limited Partner	WDC Associates
Limited Partner	Arctic Royalty Limited Partnership
Limited Partner	Mokeen Limited Partnership
Limited Partner	L.F. Rothschild Venture Capital Fund

*I resigned from each of these positions in January, 1987. The only positions I retained are the Chairmanship of Citizens Energy Corp. and Citizens Conservation Corp., each of which are not-for-profit corporations.

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

BARBARA BAILEY KENNELLY
(Full Name)

95 Scarborough Street
(Mailing Address)

Hartford, Connecticut

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

XX Member of the U.S. House of Representatives—District 1 State Connecticut

☐ Officer or Employee—Employing Office

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO XX NA ____.

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
American Council of Life Underwriters	Honorarium	$2,000	
Received 2/5/86			
Scientific Apparatus Makers Assoc.	Honorarium	2,000	
Received 2/6/86			
(Continued - Page 5 of 7)			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
- NONE -		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO XX NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Civil Service Retirement	B
SPOUSE:	
Hartford Hospital Medical Office Building	B
Seymour Street - Hartford, Connecticut (Limited Partnership)	
(Continued - Page 7 of 7)	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
SPOUSE:		
Purchase of 47.5 acres of land in West Tisbury,	12/19/86	B
Massachusetts (Marthas Vineyard)		
Mill Brook Associates (General Partnership)		
(2% Interest)		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
- NONE -	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
- NONE -	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
- NONE -		

[illegible]

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
Tax Coalition 2nd Annual Tax Retreat (Speech) - 2/7/86 to 2/9/86	Oneway Train Fare: Washington, D.C. to White Sulphur Springs, WV - 2 Nights Food/Lodging

(Continued - Page 7 of 7)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Trustee	Trinity College - Hartford, Connecticut

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
- NONE	-	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Barbara B. Kennelly	May 14, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987 [illegible]

Page 5 of 7

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

BARBARA BAILEY KENNELLY
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*			
II. INCOME (A)	SOURCE	TYPE	AMOUNT	DISPOSITION
	National Association of Recycling, Ind., Inc. Received 2/21/86	Honorarium	$1,000	
	Tax Coalition 2nd Annual Tax Retreat Received 2/20/86	Honorarium	1,000	
	New England Life Mutual Insurance Company Received 3/5/86	Honorarium	2,000	
	Council of State Housing Agencies Received 3/20/86	Honorarium	2,000	
	Touche Ross & Company Received 3/31/86	Honorarium	1,000	
	American Council of Life Insurance Received 5/1/86	Honorarium	2,000	Charity (2,000)
	Connecticut State Assoc. of Letter Carriers Received 5/21/86	Honorarium	500	
	National Venture Capital Association Received 4/30/86	Honorarium	1,000	
	U.S. Tobacco Received 4/29/86	Honorarium	1,000	
	Saint Mary's College Commencement Received 6/12/86	Honorarium	1,000	
	Investment Company Institute Received 5/28/86	Honorarium	2,000	
	R.J.R. Industries, Inc. Received 6/12/86	Honorarium	2,000	
	The Equitable Life Assurance Society of U.S. Received 7/28/86	Honorarium	1,500	
	Grocery Manufacturers Association of America Received 9/12/86	Honorarium	1,000	
	National Multi Housing Council Received 9/15/86	Honorarium	1,000	

(Continued - Page 6 of 7)

GPO: 1986 61-086 (m)

[illegible]

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ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

BARBARA BAILEY KENNELLY
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
II. INCOME (A)	SOURCE	TYPE	AMOUNT	DISPOSITIO
	St. John's Church Received 3/4/86	Honorarium	$ 150	Charity ($150
	SPOUSE:			
	Rome, Case, Kennelly & Klebanoff, P.C.	Salary		

[illegible]

Page 7 of 7

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

BARBARA BAILEY KENNELLY
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)

III. HOLDINGS

IDENTITY	CATEGORY
SPOUSE:	
Purchase of 47.5 acres of land in West Tisbury, Massachusetts (Marthas Vineyard)	B
Mill Brook Associates (General Partnership) (2% Interest)	

VII. REIMBURSEMENTS

SOURCE	BRIEF DESCRIPTION
R.J.R. Industries Inc. (Speech) - 6/2/86	Roundtrip Airfare: Hartford, CT to Winston Salem, NC
Danny Thompson Memorial Luekemia Fund Golf Tournament (Charity Golf Tournament) (Expenses for Self & Spouse) 8/21/86 to 8/24/86	Roundtrip Airfare: Hartford, CT TO Sun Valley, Idaho 3 Nights Food/Lodging
National Multi Housing (Speech) - 9/15/86	Roundtrip Airfare: Hartford, CT to Philadelphia, PA 1 Night Lodging

GPO: 1986 61-680 (m)

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

DALE E. KILDEE, M.C.
(Full Name)

2262 RAYBURN H.O.B.
(Mailing Address)

WASHINGTON, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 7th State MICHIGAN

☐ Officer or Employee—Employing Office

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
NONE -- Dale E. Kildee, M.C.			
Shannon & Luchs Co. (Realtors) -- Spouse	Commissions		

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
DOMINION FEDERAL SAVINGS & LOAN	INTEREST	"A"

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO __X__ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
U.S. SAVINGS BONDS FOR DEPENDENT CHILDREN	"B"
IRA - SELF AND SPOUSE (NOT REQUIRED TO BE REPORTED)	"B"

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description *of gifts of transportation, lodging, food, or entertainment aggregating $250 or more in value received* from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Large City Superintendents of the American Association of School Administrators, International Conference on Magnet Schools provided round-trip air-fare between Washington, D.C. and Milwaukee, Wisconsin, and one night's lodging and food.	The purpose of the trip was to deliver the keynote address to approximately 500 school superintendents, principals, school board members, teachers and college professors.

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 13, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

INSIDE MAIL

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Congressman Gerald D. Kleczka
(Full Name)

3268 South 9th Street
(Mailing Address)

Milwaukee, WI 53215

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 4 State Wisconsin

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and
(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and
(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XXX NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: ~~Income from~~ current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
City of Alexandria Hospital (Spouse)	1986		Salary
AMI NEWCO Inc. (Spouse)	1986		Salary
Am. Society of Access Professionals	9/11/86	$500.	Honorarium
Am. Society of Access Professionals	1/09/86	$255.	Honorarium
Security Industry Associates	12/04/86	$2,000.	Honorarium

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Security Savings and Loan	Interest	A
Lincoln Savings and Loan	"	A
J and M Flagge	"	A
Congressional Credit Union	"	A
Prudential Insurance	"	A
Rental 3262 S. 9th St., Milwaukee, WI	Rental	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO XX NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Duplex-3262 S. 9th St., Milwaukee, WI 53215	D
Security Savings and Loan	B

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
3262 S. 9th St., Milwaukee, WI Rental Unit, duplex	
Mortgage at Lincoln Savings and Loan	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Foreign Policy Institute of Turkey	Airfare, lodging, meals Turkey--March 30 to April 4
Anti Defense League of B'nai Brith	Airfare, lodging, meals for self and spouse. Israel Jan. 11-19
Securities Industry Association	Airfare,lodging, meals - Speech Boca Raton, FL 12/4

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NA	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NA		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Gerald D. Kleczka	May 4, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A–For use by Members, officers, and employees

James T. Kolbe
(Full Name)

1222 Longworth House Office Building
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives–District 5 State AZ

☐ Officer or Employee–Employing Office

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES _____ NO X NA _____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
University of Arizona (Wife)	Salary	$34,000	N.A.
N.I.H. and others (Wife)	Consulting	8,000	N.A.
American Bankers Assoc -2/3/86	Honorarium	$2,000.00	N.A.
Brookings Institute - 4/16/86	"	300.00	N.A.
LULAC -7/8/86	"	1,000.00	N.A.
ITTCORP - 2/14/86	"	500.00	N.A.

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Wood Canyon Corp - Installment Sale of Stock	Capital Gain	D
" " " "	Interest	B
Jeff Smith - Installment Sale of Land, Sonoita	Capital Gain	C
" " " "	Interest	B
Esterline Corp	Dividend	A
Motorola Corp.	"	A

(See attachment)

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Wood Canyon Corp - 5% of outstanding stock	D
Esterline Corp - Stock Shares	A
Paseo Alegre Residence, Tucson - Rental unit	D
1/4 interest in 500 acres of land, Sonoita	E
IRA - Valley National Bank	A
IRA - Merrill Lynch	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
Chase Money Market - Purchase/Sales of Shares	Various	B
Fidelity Money Market " " "	Various	B
Merrill Lynch Ready Asset " " "	Various	B
Southmark Equity Partners II, Income Partnership - Share	Purchase 8-86	B
Exeter Real Estate Investors,XX, LTD " - "	" 12-86	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Exeter Real Estate Investors, XX, LTD. Phoenix, AZ	A
Paseo Alegre Residence, Tucson - Mortgage - AZ Bank, Phoenix, AZ	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
Parents	100 shares, Motorola Stock	$5500.00
Arizona Hatters	Stetson Hat	250.00

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Vice President	Wood Canyon Corporation
Trustee	Embry-Riddle Aeronautical University
Director	Casa de Los Ninos Crisis Nursery
Director	Community Food Bank
secretary/Treasurer	Franklin-Thomas Corp.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Jim	5-27-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

[illegible]

Page 1 of 1

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

James T. Kolbe
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*		
II B	Wood Canyon Corp. Note	Interest	B
	Valley National Bank - Savings Acct.	"	A
	Valley National Bank - Checking Acct.	"	A
	Merrill Lynch Ready assets Acct.	Sale of Shares	D
	" " " " "	Interest	B
	Chase Money Market Account	Sale of Shares	D
	" " " "	Interest	B
	Fidelity Money Market Account	Sale of Shares	C
	(formerly known as Equitable)		
	" " " "	Interest	B
	Merrill Lynch IRA	Interest	C
	Valley National Bank IRA	Interest	A
	Colorado Residence - Installment Sale	Capital Gain	B
	" " " "	Interest	A
**	Paseo Alegre Residence	Rental	C
III	Motorola, Stock Shares		B
	Wood Canyon Corp. - Note		C
	Money Market Account - Fidelity		B
	Money Market Account - Chase		C
	Ready Assets " - Merrill Lynch		C
	Colorado Residence - 1/4 interest in 1st Mortgage		B
	Adobe Canyon Lane - Note & Deed of Trust		A
	Exeter Real Estate Investors XX, Limited		
	Partnership - 1 share		B
	Southmark Equity Partnership II, Income		
	Partnership - shares		B
VIII	Director, Pima County Youth Foundation		
	Director, Arizona Foundation for Children		
IIB **			
	Valley National Bank (Wife)	Interest	A
	Saguaro Credit Union (Wife)	Interest	A
	E.F. Hutton, Money Market (Wife)	Interest	A

GPO: [illegible] 61-[illegible] (m)

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Joseph P. Kolter
(Full Name)

2185 Mercer Rd.
(Mailing Address)

New Brighton, PA 15066

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 4 State PA

☐ Officer or Employee—Employing Office ________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

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GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
None			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Dwelling, 1514 7th Ave., New Brighton, PA	Rent	C
Clayton Brown - Municipal Bonds Series 3	Interest	B
Van Camp & Murit PA Insured Munic. Trust	Interest	B
Capital Industries Inc.	Interest	A
Capital Realty Investment III LP	Interest	A
Century National Bank	Interest	A
See continuation sheet please		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Clayton Brown Municipal Bonds Series 3	C
Dwelling - 1514 7th Avenue, New Brighton, PA 15066	C
Municipal Investment Trust Fund	C
Pittsburgh PA G O	C
Van Camp & Murit PA Insured Municipal Trust	C
Capital Realty Investment III LP	B
See continuation sheet please	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Oppenheimer Fund (Purchase) IRA	4-7-86	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
none	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
National Restaurant Association	Travel, Food & Lodging to NRA Show for Personal Appearance & Speech in Chicago for 3 days

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
National Restaurant Association	Honorarium	$1000

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
none	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
none	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
12-1-82	Commonwealth of Pa.	Monthly Payments

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature Joe Kolter	Date May 15, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

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Page 5 of 5

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Joseph P. Kolter
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II-B	Flour Corporation	Dividends	A
II-B	Municipal Investment Trust Fund	Interest	A
II-B	Mylan Laboratories Inc.	Dividends	A
II-B	Pittsburgh PA G O	Interest	A
II-B	Tandon Corporation	Dividends	A
II-B	Automated Cash Management Trust	Interest	A
II-B	National Pay Telephone Corp.	Dividends	A
II-B	Forum Group Inc., Common	Dividends	A
II-B	Oppenheimer Fund IRA	Interest	A
II-B	Money Market Investment Trust Arthurs, Lestrange & Short	Interest	A
III	Century National Bank & Trust		B
III	Flour Corporation		B
III	Forum Group Inc.		B
III	Vacant Lot - Hopewell Twp., Beaver Co. PA 15001		B
III	Capital Industries, Inc.		A
III	Mylan Laboratories, Inc.		A
III	Tandon Coporation		A
III	National Pay Telephone Corp.		A
III	Automated Cash Management Trust		C
III	Oppenheimer Fund IRA		A

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Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Joseph P. Kolter
(Full Name)

2185 Mercer Rd.
(Mailing Address)

New Brighton, PA 15066

✓
HC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 4 State PA

☐ Officer or Employee—Employing Office ______

☒ Check if amended ~~Statement~~.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
National Restaurant Association 5-8-86	Honorarium	$1000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Dwelling, 1514 7th Ave., New Brighton, PA	Rent	C
Clayton Brown - Municipal Bonds Series 3	Interest	B
Van Camp & Murit PA Insured Munic. Trust	Interest	B
Capital Industries Inc.	Interest	A
Capital Realty Investment III LP	Interest	A
Century National Bank	Interest	A
See continuation sheet please		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8

IDENTITY	CATEGORY
Clayton Brown Municipal Bonds Series 3	C
Dwelling - 1514 7th Avenue, New Brighton, PA 15066	C
Municipal Investment Trust Fund	C
Pittsburgh PA G O	C
Van Camp & Murit PA Insured Municipal Trust	C
Capital Realty Investment III LP	B
See continuation sheet please	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Oppenheimer Fund (Purchase) IRA	4-7-86	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE

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GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
National Restaurant Assoc. (Speech)	Roundtrip airfare; Pgh. to Chicago, Ill; 2 days food & lodging

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
12-1-82	Commonwealth of Pa. (Pension)	Monthly Payments

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Joe Kolter	May 15, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 69-232 (4)

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ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Joseph P. Kolter
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II-B	Flour Corporation	Dividends	A
II-B	Municipal Investment Trust Fund	Interest	A
II-B	Mylan Laboratories Inc.	Dividends	A
II-B	Pittsburgh PA G O	Interest	A
II-B	Tandon Corporation	Dividends	A
II-B	Automated Cash Management Trust	Interest	A
II-B	National Pay Telephone Corp.	Dividends	A
II-B	Forum Group Inc., Common	Dividends	A
II-B	Oppenheimer Fund IRA	Interest	A
II-B	Money Market Investment Trust Arthurs, Lestrange & Short	Interest	A
III	Century National Bank & Trust		B
III	Flour Corporation		B
III	Forum Group Inc.		B
III	Vacant Lot - Hopewell Twp., Beaver Co. PA 15001		B
III	Capital Industries, Inc.		A
III	Mylan Laboratories, Inc.		A
III	Tandon Corporation		A
III	National Pay Telephone Corp.		A
III	Automated Cash Management Trust		C
III	Oppenheimer Fund IRA		A
III	Money Market Investment Trust Arthurs, Lestrange & Short		B

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

INSIDE

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

ERNEST L. KONNYU
(Full Name)

PO BOX 303
(Mailing Address)

Saratoga, CA 95071

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 12 State CALIFORNIA

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION	RECEIVED
STATE OF CALIFORNIA	SALARY	$29,571	—	—
CALIFORNIA FURNITURE MANUFACTURING ASSOC	HONORARIUM	1,000	—	AUG 14, 1986
KAISER FOUNDATION HOSPITAL / SPOUSE	SALARY	—	—	—
GREAT WESTERN S+L / DAUGHTER	SALARY	—	—	—
KONNYU ELECTION COMMITTEE / DAUGHTER	SALARY	—	—	—

(SEE CONTINUATION SHEET)

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
DON SEESE	RENT	A
BILL BRADLEY	RENT	C
CALIFORNIA STATE EMPLOYEES RETIREMENT	INTEREST	A
KAISER HOSPITAL RETIREMENT / SPOUSE	INTEREST	B
KAISER HOSPITAL TSA / SPOUSE	INTEREST	C
AMERICAN S+L	INTEREST	B

(SEE CONTINUATION SHEET)

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See,* Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
HOUSE ON DEL GADO WAY / SACRAMENTO, CA	ZERO
CALIFORNIA STATE EMPLOYEES RETIREMENT	B
KAISER HOSPITAL RETIREMENT / SPOUSE	D
KAISER HOSPITAL TSA / SPOUSE	C
AMERICAN S+L / SAVINGS ACCOUNT	A
SARATOGA S+L / SAVINGS ACCOUNT	A

(SEE CONTINUATION SHEET)

370/0193513

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
HOME ON DELGADO WAY, SACRAMENTO, CA — SOLD	DEC 31, 1986	D

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
CALDWELL FINANCIAL CORP. / MORTGAGE ON SACRAMENTO HOME	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NOT MEMBER IN 1986 / NOT APPLICABLE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NOT MEMBER IN 1986 / NOT APPLICABLE		

8 7 0 7 0 1 7 3 0 1 1

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NOT MEMBER IN 1986/NOT APPLICABLE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date April 18, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

Page 1 of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

ERNEST L. KONNYU
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II A	SOURCE: PACIFIC STEREO/DAUGHTER/TYPE: SALARY		
II A	SOURCE: U.S. INSTRUMENT RENTALS/DAUGHTER/TYPE: SALARY		
II B	SOURCE: SARATOGA S+L	TYPE: INTEREST	CATEG: A
II B	"	"	
II B	" FIRST INTERSTATE BANK	" INTEREST	D
II B	" BANK OF SANTA CLARA	" INTEREST	A
II B	" SANTA CLARA SAVINGS	" INTEREST	A
II B	" COMMERCIAL CENTER BANK	" INTEREST	B
II B	" GOLDEN STATE SAVING BANK	" INTEREST	A
II B	" AHMAD MADARANI	" RENT	A
II B	" AHMAD MADARANI	" SALE OF HOME	F
II B	" GREAT WESTERN S+L/DAUGHTER	INTEREST	A
II B	" SARATOGA SAVINGS/DAUGHTER	" INTEREST	A
III	IDENTITY		CATEGORY
III	FIRST INTERSTATE BANK/CERTIFICATES OF DEPOSIT		D
III	BANK OF SANTA CLARA/IRA		ZERO
III	SANTA CLARA SAVINGS/IRA		B
III	COMMERCIAL CENTER BANK/SAVINGS + IRA		D
III	GOLDEN STATE SAVING BANK/IRA		A
III	GREAT WESTERN S+L/DAUGHTER		B
III	SARATOGA SAVINGS/DAUGHTER		B
III	WELLS FARGO BANK/DAUGHTER		A

GPO: 1980 61-[illegible] (m)

HAND DELIVERED

Congress of the United States
House of Representatives
Washington, DC 20515

Ernie Konnyu
12TH DISTRICT, CALIFORNIA

Washington Office
511 Cannon Building
Washington, D.C. 20515
(202) 225-5411

District Office
10080 N. Wolfe Road, SW3-210
Cupertino, CA 95014
(408) 257-7051

MEMBER OF
SCIENCE, SPACE AND TECHNOLOGY COMMITTEE
• INVESTIGATION AND OVERSIGHT
• ENERGY RESEARCH AND DEVELOPMENT
• SPACE SCIENCE AND APPLICATIONS
GOVERNMENT OPERATIONS COMMITTEE
• COMMERCE, CONSUMER AND MONETARY AFFAIRS
• EMPLOYMENT AND HOUSING
• HUMAN RESOURCES AND INTERGOVERNMENTAL RELATIONS
HUMAN RIGHTS CAUCUS
TASK FORCE ON TRADE AND COMPETITIVENESS
REPUBLICAN POLICY COMMITTEE

May 8, 1987

The Honorable Donald Anderson
Clerk
House of Representatives
Office of Records and Registration
1036 LHOB
Washington, D.C. 20515

✓ HC

Subject: Amendment of my Financial Disclosure Statement of April 18, 1987

Dear Mr. Anderson:

I hereby amend Section III, "Holdings" as it relates to the "Yes", "No", "Na" question on trusts by answering the question with a "No". I am doing so because I have neither "a qualified blind trust" nor other "accepted trust".

With kind regards, I am

Sincerely,

Ernie Konnyu
Member of Congress

EK/dal

UNITED STATES HOUSE OF REPRESENTATIVES

INSIDE MAIL

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Peter H. Kostmayer
(Full Name)

123 Cannon House Office Building
(Mailing Address)

Washington, D.C. 20515

me
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 8th State PA

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: *Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.*

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Kostmayer Communications (P.R. firm)	spouse-salary		
Bethlehem Steel Corp. (9/86)	honorarium - $500		
Chinese American Society	honorarium - $1,000		

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Condominium, 3100 Connecticut Ave., NW Washington, D.C.	rental	A
IRA, Washington Federal Savings & Loan	interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO _X_ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Condominium, 3100 Connecticut Ave., NW, Washington, D.C.	D
IRA, Washington Federal Savings & Loan	B

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
none		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Mortgage, 3100 Connecticut Ave. NW, Washington, D.C. Washington Federal Savings and Loan	C
First Fidelity Bank, West Jersey	B
First Fidelity Bank, West Jersey (Campaign Committee Debt)	B
First Fidelity Bank, West Jersey (spouse, business loan)	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
none	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
none		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Chinese National Association of Industry and Commerce	Travel, lodging (with spouse) to Taiwan for fact-finding trip on trade

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
none	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	none	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Pete H. Kostmayer	May 27, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987 69-238 (A)

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

JON LLEWELLYN KYL
(Full Name)

313 Cannon House Office Building
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 4 State Arizona

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Jennings, Strouss & Salmon	Salary	$133,131	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Washington Street Properties	Ltd. Partnership	D
Boettcher 1981-82 Drilling Program	Distributions	A
Northern Village Limited Partnership	"	A
MeraBank	Interest	B
Citibank	"	B
Estate of Millicent G. Collins - Caryll Kyl, spouse	Partial Distribution	C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X____ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
*Washington Street Properties Real Estate Ltd. Partnership	D
*Flagstaff-Kachina Commercial Ltd. Partnership	C
*Prescott Dells Real Estate Ltd. Partnership	A
*Carefree-60th Street Properties Real Estate Ltd. Partnership	C
*Pima Road-Pinnacle Peak Ltd. Partnership	C
*Northern Village Ltd. Partnership	C

SEE CONTINUATION SHEET

*Method of valuation of all limited partnerships and the mutual fund is original undepreciated cost.

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	Arizona Academy
Director	Crime Victim Foundation

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
12-31-86	Jennings, Strouss & Salmon	Former law firm withdrawal rights, payable over 60 months, beginning 1987.

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Jon Kyl	May 15, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

JON LLEWELLYN KYL
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*	
III	+*Energy Partners Oil & Gas Ltd. Partnership	C
	+*Boettcher 1981-82 Drilling Program	C
	*Jones Cable TV Fund 10-B Mutual Fund	B
	Silver (bag) [Current market value]	B
	Unimproved 1-acre lot, Greer, AZ (full cash assessed value)	B
	Estate of Millicent G. Collins, Caryll Kyl (spouse), beneficiary	C
	+Natural Gas Ltd. Partnership	
	*Method of valuation of all limited partnerships and the mutual fund is original undepreciated cost	
	MeraBank Money Market	C
	Citibank Money Market	C

GPO 1988 61-680 (m)

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

John J. LaFalce, M.C.
(Full Name)

2367 Rayburn H.O.B.
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

XX Member of the U.S. House of Representatives—District 32 State NY

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	(Date Received) DISPOSITION
Securities Industry Assn.	honorarium	$2000.00	2/5/86
Nat. Assn. Management & Tech. Asst. Co.	honorarium	$1000.00	1/10/86
American Bankers Assn.	honorarium	$2000.00	2/18/86 #
Chase Bank	honorarium	$2000.00	3/18/86 *
Citicorp Realtors	honorarium	$2000.00	4/18/86 *
See continuation sheet marked IIA			

# - donated in part to charity
* - donated to charity

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Rent received on property	rent	C
Stocks in Prudential-Bache account	dividends	C
Stocks in Dean Witter accounts	dividends	A
Stocks in Merrill Lynch accounts	dividends	B
Joint account in Merrill Lynch	dividends	A
See continuation sheet marked IIB		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See section #4 which I incorporate by reference.	
Condominium in Western New York	C
Prudential-Bache account	D
Goldome accounts	C
Merrill Lynch accounts	D
See continuation sheet marked III	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
The following are particularized holdings and transactions		
during 1986, and are all included in the various Prudential		
Bache, Merrill Lynch and Dean Witter accounts listed in		
III. They should not be valued in addition to those accounts,		
but as part of those accounts listed in III. See continuation		
sheet marked IV.		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
American Security Corporation, mortgage on personal residence	
Washington, D.C., 400 O Stweet, S.W.	C
Goldome, mortgage on personal residence	
To. of Tonawanda, 800 Starin Avenue	C
Goldome, mortage on condominium, West Seneca, New York	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
Securities Industries Assn., Homestead, W.VA.	Travel re: speech
American Bankers Assn., New Orleans, LA	Travel & Lodging re: speech
Chase Bank, Greenbrier, W. VA.	Travel & Lodging re: speech
Citicorp Realtors, Longboat Key, FL.	Travel & Lodging re: speech
National Assn. of Realtors, Reno, NV	Travel & Lodging re: speech

See continuation sheet marked VII.

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
John J. LaFalce	May 15, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

Page 1 of 2

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

John J. LaFalce, M.C.
Name

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
IIA	Ecology & the Environment	honorarium	$2000.00	2/25/86
IIA	League of Women Voters	honorarium	$150.00	1/13/86
IIA	Dealer Bank Assn.	honorarium	$2000.00	2/05/86
IIA	National Assn. of Realtors	honorarium	$2000.00	1/13/86
IIA	NY League of Savings Inst.	honorarium	$1000.00	3/28/86
IIA	The Washington Caucus	honorarium	$500.00	4/23/86
IIA	NY State Bankers Assn.	honorarium	$500.00	5/30/86
IIA	National Assn. Dev. Cos.	honorarium	$1000.00	4/28/86
IIA	Arthur Anderson	honorarium	$1000.00	5/12/86
IIA	ITT Group	honorarium	$2000.00	6/10/86
IIA	Natl. Council Savings Inst.	honorarium	$1000.00	5/27/86
IIA	Natl. Assn. Small Bus. Inv.	honorarium	$500.00	3/12/86
IIA	Brookings Institute	honorarium	$2000.00	6/05/86
IIA	Insurance Info. Institute	honorarium	$500.00	7/1/86
IIB	M&T account (w)	interest	B	
IIB	Goldome accounts (w)	interest	C	
IIB	MIT SR22 NY Bonds	capital gains	D	
IIB	Regency Electronics	capital gains	A	
IIB	Leaseway Corp.	capital gains	C	
III	Dean Witter accounts		D	
III	M&T account		B	
III	IRA accounts - Merrill Lynch		B	
III	SEP account - Dean Witter		C	
IV	MIT SR22 NY Bonds	sold	12/23/86	C
IV	Regency Electronics	sold	3/18/86	B
IV	Leaseway Corp.	sold	5/20/86	B
IV	Comptek	sold	12/29/86	B
IV	Telefonas de Mexico	sold	2/18/86	A
IV	Arkla	sold	4/25/86	B
IV	Monarch Machine Tool	sold	7/16/86	A
IV	Flexsteel	bought	6/13/86	B
IV	Flexsteel	sold	12/23/86	B
IV	Fieldcrest Mills	bought	6/13/86	B
IV	Fieldcrest Mills	sold	12/23/86	B
IV	General Microwave	held		B
IV	JACO Electronics	held		A
IV	Photronics Corp.	bought	3/18/86	B
IV	Pannill Knitting	bought	3/18/86	B
IV	Rogers Corp.	bought	3/19/86	A
IV	Creative Computer	bought	4/25/86	A
IV	IBM	bought	4/25/86	B
IV	Std. Commercial Tobacco	bought	8/20/86	B
IV	Mesa Limited Partnership	bought	9/19/86	B
IV	Diasonics	held		B
IV	MCI Communications	held		B
IV	Naugles	held		A
IV	Redman Ind.	held		C
IV	Seagate Technology	held		B
IV	MIT SR 73 NY Bonds	held		C
IV	Alza TTS RESH Partners	held		A
IV	ML Conv.Sec.Inc.Cap.Shares	held		B
IV	Baxter Travenol	held		B
IV	NYC Bonds, Series A 8/1/01	bought	8/13/86	C
IV	MIT SR 13 NY Bonds	bought	12/23/86	C
IV	Tigr.Ser. 9 Nov. 15	held		B
IV	CD Columbia S&L	held		B

3 7 0 3 0 2 1 0 2 3 3

Page 2 of 2

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

John J. LaFalce, M.C.
Name

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
IV	Lord Abbett Cash Reserve Fund, Inc.	held	C
IV	Tsy. Ser. 21 May 15 (a)	held	B
VII	ITT Group, Minneapolis, MN	Travel & Lodging re: speech	
VII	Natl. Council of Savings Inst. Boca Raton, FL	Travel & Lodging re: speech	
VII	Brookings Institute, Seoul, Korea	Travel & Lodging re: speech	
VII	Consumer Bankers Assn., New Orleans, LA	Travel & Lodging re: speech	
VII	Automotive Service Council, Nashville, TN	Travel & Lodging re: speech	
VII	Casualty & Actuarial Society, Nashville, TN	Travel & Lodging re: speech	
VII	Coopers & Lybrand, Chicago, IL	Travel & Lodging re: speech	

GPO 1986 O-[illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

ROBERT J. LAGOMARSINO
(Full Name)

2332 Rayburn H. O. B.
(Mailing Address)

Washington, D. C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 19th State CALIFORNIA

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Lagomarsino's Inc. (Spouse)	Dir. fees	500	–
Ventura Realty (Spouse)	Dir. fees	600	–

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A–not more than $1,000; B–$1,001-$2,500; C–$2,501-$5,000; D–$5,001-$15,000; E–$15,001-$50,000; F–$50,001-$100,000; G–over $100,000.

B. SOURCE	TYPE	CATEGORY
CONTINUATION SHEET ATTACHED		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A–not more than $5,000; B–$5,001-$15,000; C–$15,001-$50,000; D–$50,001-$100,000; E–$100,001-$250,000; F–over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO XX NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
CONTINUATION SHEET ATTACHED	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Southern Pacific Co. - Redemption-Debentures	12/8/86	C
Southern Pacific Co. -Redemption-Debentures	1986	C
Dean Witter/Sears Liq. Asset Fund - IRA Contribution	1986	A
State of California Pension Fund - Partial Ret. of Investment	1986	A
U.S. Federal Civil Service Retirement Fund - Contribution	1986	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	
SEE III HOLDINGS	
Footnote #1	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	Lagomarsino's Inc. (Spouse)
Director	Ventura Realty (Spouse)
Partner	Lagomarsino's
Partner	Lagomarsino Citrus
Partner	L&L Citrus

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Robert J. Lagomarsino	May 15, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

ROBERT J. LAGOMARSINO
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II B	UNEARNED INCOME		
	Source	Type	Category
	Lagomarsino Citrus	Partnership/Ordinary Income	E
	L&L Citrus	Partnership/Ordinary Income	E
	Lagomarsino's	Partnership/Ordinary Income	D
	Solimar Beach Trust	Trust/Misc. Rents	A
	Chevron	Lease	A
	John Wood	Lease	B
	Cal Western Life	Interest	A
	Southern Pacific Co.	Interest	D
	Bank of America	Interest	D
	Cal. Mutual Insurance	Interest	A
	Southern Pacific Co.	Interest	D
	Ventura Realty	Dividends	D
	Lagomarsino Citrus	Dividends	C
	Lagomarsino Citrus	Capital Gains-Install. sale 1977	D
	L&L Citrus	Capital Gains - Install. sale 1979	D
	Social Security Adm.	Disability Benefits (step-son)	C
	State of California Pension	Fund - (see IV above)	
	First Interstate Bank Corp	Dividends	A
	Dean Witter/Sears Liquid Asset Fund	Dividends	A
	Woodman Insurance	Interest	A
III	HOLDINGS		
	Identify	Type	Category
	Ventura Realty	Stock (FMV)	E
	Lagomarsino's	26 2/3% Partnership Interest (FMV)	F
	Lagomarsino Citrus	24 1/6% Partnership Int. (FMV)	F
	L&L Citrus	16 2/3% Partnership Int. (FMV)	E
	Lagomarsino's Inc.	Stock (FMV)	E
	Solimar Beach Trust	1.4696% Interest (FMV)	B
	Southern Pacific Co.	Debentures (cost)	E
	Legislators Retirement System	California Pension Fund (FMV)	C
	U.S. Federal Civil Service Retirement System	Federal Retirement Fund (FMV)	D
	Dean Witter/Liq. Asset Fund	Stock (cost)	A
	Dean Witter/Liq. Asset Fund	Stock-IRA Account (FMV)	C
	Bank of America	Cash, Check A/C (cost)	E

FOOTNOTE NO. 1

Undistributed share (1/3 of separate property) of estate of Emilio J. Lagomarsino who died November 3, 1985. Value unknown at this time; in all likelihood category F.

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

H. Martin Lancaster
(Full Name)

1408 Longworth House Office Building
(Mailing Address)

Washington, D. C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

XX Member of the U.S. House of Representatives—District 3rd State NC

☐ Officer or Employee—Employing Office __________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES X NO ____ NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
(a) Baddour, Lancaster, Parker & Keller	salary	$17,898.00	
(b) N.C. General Assembly	salary	8,400.00	
(c) Wayne Community College (spouse)	salary	22,040.18	
(d) Naval Reserve	salary	1,478.75	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
(a) Baddour, Lancaster, Parker & Hine	dividend	C
(b) Briarwood Investments	rent	A
(c) Old Savannah Investments	rent	A
(d)208 S. William St., Goldsboro, NC (1/4undivided int)	rent	A
(e) Matheny Motors, Inc. (spouse)	dividend	B
(f) 612 Pittman St., Goldsboro, NC (spouse)	rent	A

SEE ATTACHED

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES X NO ____ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
(a) 208 S. William St. Goldsboro (1/4 undivided int. in office bldg.)	C
(b) 1st Union National Bank (checking)	A
(c) Southern National Bank (checking)	A
(d) Branch Bank & Trust (checking)	A
(e) Briarwood Investments (1/4 undivided interest in 16 apartments and land)	E

SEE ATTACHED

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sale of 612 Pittman Street, Goldsboro, N.C. owned by spouse in her individual name	30 Dec 86	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
(a) Elizabeth Taylor, holder of note and deed of trust, 208 S. Wm. St. Goldsboro, NC	C
(b) East Coast Fed. S&L " " " " " " " 208 S. Wm. St. Goldsboro, NC	B
(c) Ist American S&L " " " " " " " 612 Pittman St. Goldsboro, NC	B

(see attached)

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* **aggregating $250 or more** in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Partner	Briarwood Investments
Partner	Old Savannah Investments
Director	Wayne Implement Auction Corp.
Shareholder & Officer	Baddour, Lancaster, Parker & Hine, P.A.
Governor	N. C. Bar Association

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature H Martin Lancaster	Date 5 15-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

H. Martin Lancaster
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II-B	(g) Exxon	Dividend	A
	(h) First Financial S&L	Interest	A
	(i) State Employees Credit Un.	Interest	A
	(j) 1st Union National Bank	Interest	A
	(k) Branch Bank and Trust	Interest	A
III	(f) Old Savannah Investments		
	(Undivided interest in office bldg.)		C
	(g) Lawyers Mutual Liability Ins. Co. (stock)		A
	(h) Baddour, Lancaster, Parker & Hine (stock)		B
	(i) Exxon (stock)		B
	(j) 1st Financial S&L (savings)		B
	(k) State Employees Credit Union (savings)		B
	(l) 612 Pittman Street, Goldsboro (rental duplex)		C
	(m) 1/2 interest lot at Bald Head Island		C
	(n) Matheny Motors, Inc. (stock)		C
I & III	Educational Trust created by Wade Matheny for		
	benefit of daughters		Unknown
V	(d) Bald Head Corp, holder of note and deed of trust		
	Bald Head Island Lot		B
	(e) East Coast Federal S&L, holder of note and		
	deed of trust, Old Savannah Investments		B
	(f) Vick Dallari, Holder of note and deed of trust		
	Old Savannah Investments		B
	(g) 1st American S&L Holder of note and deed of trust		
	Briarwood Investment prop.		E
	(h) 1st Union National Bank	signature Loan	B

GPO 1986 61-488 (m)

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

TOM LANTOS
(Full Name)

P. O. BOX 611
(Mailing Address)

BURLINGAME, CA 94011

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 11 State CA

☐ Officer or Employee—Employing Office

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.

- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.

- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
J.B. Gottstein & Company (monthly payment)	consulting	$9,000 total	
American Society of Technion (2/1/86)	lectures	4,000	
Spouse, income from research & lecturing (12/10/86)	salary/ honoraria	$2,000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Merrill Lynch & Co., Inc.	Dividends	B
Merrill Lynch Cash Management Acct	Dividends	E
Secured Investments of Alameda (partnership)	Gains	E
United Airlines	Gains	D
United Airlines	Dividends	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
American Airlines	D
Merrill Lynch & Co., Inc	D
United Airlines	F
Merrill Lynch Cash Management Account	E
Keogh	E
IRA	B
IRA (Spouse)	B

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
As per 1986 Federal Income		
Tax Return (see attached page 1 of 2)		
Sale of UAL stock	2/14/86	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
N/A	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
N/A	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
N/A		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
American Society of Technion; lectures; expenses for self & spouse	Transportation: San Francisco, Palm Springs, San Francisco; 2 days food & lodging.
Ditchley Interparliamentary Group, Conference on Human Rights; Seminar participant	Transportation (self): Washington DC, London, Washington DC; 4 days food and lodging for self & spouse.

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Consultant	Carr-Gottstein Co.
Chairman	Congressional Human Rights Foundation

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	California Teachers Assn. Retirement Benefits	$9,320.28
	State of CA Public Employees Retirement System	$9,676.96

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature Tom Lantos	Date 5/11/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

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Page 1 of 2

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

TOM LANTOS
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
IV	

STATEMENT 10 - SHORT-TERM CAPITAL GAINS AND LOSSES

SHORT-TERM GAINS AND LOSSES

OTHER TRANSACTIONS

	DATE ACQUIRED	DATE SOLD	SALES PRICE	COST OR OTHER BASIS	GAIN OR LOSS
10 UAL FEB $55 CALLS	06/06/85	02/21/86	5,091	3,765	1,326
10 UAL AUG $60 CALLS		08/16/86	4,103	80	4,023
20 UAL FEB $60 CALLS	07/09/85	02/24/86	6,199	546	5,653
10 UAL NOV $65 CALLS	03/19/86	11/22/86	2,591	644	1,947
10 AMR NOV $65 CALLS	05/01/86	08/01/86	4,102	520	3,582
10 UAL MAY $60 CALLS	12/06/85	05/15/86	1,136	422	714

OTHER TRANSACTIONS

	DATE ACQUIRED	DATE SOLD	SALES PRICE	COST OR OTHER BASIS	GAIN OR LOSS
10 UAL NOV $65 CALLS	05/15/86	11/22/86	3,361	644	2,717
10 UAL FEB $60 CALLS	08/07/86	12/21/86	1,647	686	961
10 UAL FEB $55 CALLS	08/08/86	12/31/86	3,355	2,387	968
10 UAL FEB $60 CALLS	08/22/86	12/31/86	2,457	686	1,771
10 AMR MAY $60 CALLS	08/26/86	12/31/86	4,449	2,007	2,442
10 MER JAN $40 CALLS	09/24/86	12/31/86	1,372	349	1,023
10 UAL FEB $65 CALLS	09/24/86	12/21/86	2,360	158	2,202
10 MER APR $40 CALLS	10/10/86	12/31/86	2,607	1,818	789
10 UAL MAY $65 CALLS	10/10/86	12/31/86	2,360	1,105	1,255
MER JAN $40 CALLS-EXPIRED	07/19/85	01/08/86	1,507	0	1,507
MER JUL $45 CALLS-EXPIRED	02/14/86	07/19/86	1,816	0	1,816
UAL AUG $65 CALLS-EXPIRED	03/14/86	08/16/86	2,001	0	2,001
MER JUL $45 CALLS-EXPIRED	02/14/86	07/19/86	1,816	0	1,816
TOTAL					38,513
SHORT-TERM GAINS					38,513

[illegible]

Page 2 of 2

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

TOM LANTOS
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*
VII	United Jewish Appeal, Detroit (Spouse); Speaking engagement Transportation: Washington, DC-Detroit-San Francisco; one evening food and lodging.

GPO 1986 61-688 (m)

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Delbert L. Latta, M.C.
(Full Name)

2309 House Office Building
(Mailing Address)

Washington, D. C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 5 State Ohio

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ✓ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
Watchdog of the Treasury	1/27/86	Honorarium	2000.00	
Committee for a Responsible Budget	1/10/86	"	2000.00	
A. B. Laffer Associates	3/13/86	"	1000.00	
SmithKline Beckman	3/20/86	"	1000.00	
Society of Consumer Affairs Professionals in Business	4/25/86	"	1000.00	(Con't on Page 5)

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE		TYPE	CATEGORY
Toledo Trust		Interest	B
Toledo Trust	(I.R.A.s)	"	A
Mid Am Bank	(I.R.A.s)	"	A
State Home Savings		"	C
State Home Savings	(I.R.A.s)	"	A
Congressional Fed. Credit Union	(I.R.A.s)	"	A
Congressional Fed. Credit Union		"	B (Cont'd page 5)

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ✓ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Owens Corning stock	C
Eastern Air Stock	B
WalMart stock	D
AT&T stock	B
Pan Am stock	B
Ohio Edison stock	B
U. S. Treasury notes	E (Con't page 5)

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION		DATE	CATEGORY
Owens Corning stock	SOLD	8/15/86	C
WalMart stock	"	12/29/86	C
Congressional Fed. Credit Union certificate	Bought	6/3/86	C
Congressional Fed. Credit Union (I.R.A.s)	"	6/3/86	A
		(Continued on Page 5)	

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
	None	

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE BRIEF DESCRIPTION

SmithKline Beckman provided round-trip transportation between Toledo, Ohio and San Juan & 3 days food & lodging. (Spouse included).

Piedmont provided round-trip transportation between Detroit and London. (Spouse included).

Norwegian Carribbean Lines provided round-trip transportation between Toledo, Ohio & Nassau & 3 days food & lodging. (Spouse included).

Grocery Manufacturers provided round-trip transportation between Toledo, Ohio & Greenbrier, W. Va. & 2 days food & lodging. (Spouse included).

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
	None

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
		None

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Delbert Latta	5/12/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987

Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Delbert L. Latta, M.C.
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*			
II A	Owens Illinois	5-13-86	Honorarium	2000.00
II A	Grocery Manufacturers	6/16/86	"	2000.00
II A	General Telephone	8/18/86	"	250.00
II A	American Business Conference	9/10/86	"	1000.00
II A	Tobacco Institute	9/16/86	"	1000.00
II A	Coalition Against Regressive Taxation	9/30/86	"	2000.00
II A	GMA Washington speech	4/15/86	"	2000.00
II A	Bowling Green State Un. Foundation Inc.		" 10/20/86	1000.00
II B	Royal Bank		Interest	C
II B	Ohio Edison		Dividends	A
II B	Owens-Corning		"	A
II B	WalMart		"	A
II B	Sears		"	A
II B	ATT		"	A
II B	Mid Am Bank		Interest	A
II B	U. S. Treasury		"	D
III	Royal Bank		Certificates	D
III	State Home Savings		Savings Acct.	D
III	State Home Savings		IRAs	A
III	Toledo Trust		Certificate	B
III	Toledo Trust		Savings Acct.	A
III	Toledo Trust		IRAs	A
III	Mid Am Bank		Savings Acct.	A
III	Mid Am Bank		IRAs	A
III	Congressional Fed. Credit Union		Certificate	C
III	Congressional Fed. Credit Union		IR As	A
III	Personal Contributions to Federal Retirement system			D
IV	WalMart stock	Purchased	7/17/86	C
IV	WalMart stock	"	8/25/86	C
IV	U.S. Treasury note matured		5/15/86	C

[illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Congressman Jim Leach and family
(Full Name)

1514 Longworth H.O.B.
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 1st State Iowa

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION" **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
see continuation sheet			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
see continuation sheet		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO _____ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
~~See Continuation Sheet~~	

8 7 7 1 7 2 1 2 3 7 7

IV TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See Continuation Sheet		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Merrill Lynch	C
Mortgage on property at 1401 21st St. N.W. Washington, D.C.	E

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
N.A.	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
N.A.		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
See Continuation Sheet	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
	N.A.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	N.A.	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5/13/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987 [illegible]

[illegible]

Page 1 of 5

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Congressman Jim Leach and family
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
II-A	none applicable to self, although at my request the following groups contributed to the Ripon Education Fund and the Ripon Society:

Credit Union National Association	$1,000.00
Bigler Ketchum Inc.	$1,000.00
Iowa League of Savings Institutions	500.00
World Business Council	$2,000.00

Page 2 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Cong. Jim Leach and family
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*		
II-B	Adel Furnace Wholesalers	interest	A
	Amer. Sec. and Trust Co.	interest	A
	Davenport Bank and Trust	interest	A
	Equitable Life	interest	A
	House of Rep. Credit Union	interest	A
	Leach Realty	interest	A
	Oppenheimer	interest	A
	Merrill Lynch	interest	A
	Adams Express	dividend	A
	I.B.M.	dividend	A
	Wang Labs	dividend	A
	Saunders System	dividend	A
	Domtar	dividend	A
	Ozark	dividend	A
	Gelco	dividend	A
	Schwab Safe	dividend	A
	Tranzonic	dividend	A
	Riblet Products	dividend	A
	Pizza Inn	dividend	A
	XTRA	dividend	A
	Chock Full of Nuts Debenture	interest	A
	American Home Products	dividend	A
	Johnson and Johnson	dividend	A
	Dover Corp	dividend	A
	Calp op	dividend	A
	Weyerhauser Preferred	dividend	A
	Matrix Debenture	interest	A

[illegible]

Page 1 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Cong. Jim Leach and family
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*	
III	Adel Furnace Wholesalers	D
	Adel Wholesalers Inc	C
	Automation Systems Inc	E
	F.C.I. Inc.	A
	Leach Realty	C
	Mehta Tech. Inc.	E
	Riparian Realty	C
	Agriventure Inc.	F
	American Home Products	A
	Foxley Real Estate Investments	C
	W.C. Foxley Trust	D
	I.B.M.	A
	Wang Labs	C
	American Israeli Paper	C
	Armatron	C
	Calprop	C
	Color Tile	C
	DWG	D
	Domtar	C
	Gelco	C
	Hoffmann Industries	C
	IPM Technology	F
	Ozark Air Lines	C
	Pizza Inn	D
	Riblet Products	C
	Saunders System	E
	Schwab Safe	C
	Technodyne	A
	Texscan	A
	TransLux	C
	Tranzonic	C
	Triangle Home Products	F
	Tubmex	C
	UNA Corp	C
	Xtra Corp	C
	Pioneer Systems	C
	Dover Corp	D
	Weyerhauser Preferred	C
	Chock Full of Nuts Debenture	C
	Matrix Debenture	C
	Johnson and Johnson	C
	Eastman Kodak	C
	Kindercare	C

Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Cong. Jim Leach and Family
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
IV	Property at 1401 21st St. N.W. Washington, D.C.	sold	F
	Technodyne	sold	A
	Armatron	Sold	C
	DWG	sold	D
	TransLux	sold	C
	Gelco	sold	C
	Tubnex	sold	C
	Riblet Products	sold	C
	Hoffmann Industries	sold	C
	American Israeli Paper	sold	C
	Calprop	sold	C
	Domtar	sold	C
	Pioneer Systems	sold	C
	UNA Corp	sold	C
	Saunders System	sold	E
	Pizza Inn	sold	D
	Color Tile	sold	C
	Eastman Kodak	purchased and sold	C
	Kindercare	purchased and sold	C
	Johnson and Johnson	purchased	C
	Ozark Airlines	sold	C
	Xtra Corp	sold	C
	Dover Corp	purchased	C
	Texscan	sold	A
	Weyerhauser Preferred	purchased	C
	Chock Full of Nuts debenture	purchased	C
	Matrix debenture	purchased	C

[illegible]

Page 5 of 5

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Cong. Jim Leach and family
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*
VII	Twentieth Century Fund Board Meeting- Charleston, South Carolina Lodging, meals, travel
	Vecinos-address to LBJ School University of Texas, Austin. Lodging, meals, travel
	Aspen Institute Conference on U.S.-Soviet Affairs Bermuda Lodging, meals, travel
	JFK School at Harvard - address Cambridge, Massachusetts Lodging, meals, travel
	Twentieth Century Fund Board Meeting New York City Lodging, meals, travel
	World Business Council - address New York City Lodging, meals, travel

GPO 1986 61-686 (m)

HAND DELIVERED

JIM LEACH
1ST DISTRICT, IOWA

COMMITTEES:
BANKING, FINANCE AND URBAN AFFAIRS
FOREIGN AFFAIRS

CONGRESS OF THE UNITED STATES

May 15, 1987

Committee on Standards of Official Conduct
1306 Longworth HOB
Washington, D.C. 20515

✓
MC

Attached is an amendment to the Financial Disclosure Statement for 1986. This should be substituted for the existing page denoted "III" (Holdings/Assets), the sole addition being the final entry (Foxley Leach Gallery; value code F).

Sincerely,

Jim Leach by JL

Jim Leach
Member of Congress

JL:lr

OFFICES:
1514 Longworth House Office Building
Washington, D.C. 20515
(202) 225-6576

306 F and M Bank Building
Third and Jefferson Streets
Burlington, Iowa 52601
(319) 752-4584

322 West Third Street
Davenport, Iowa 52801
(319) 326-1841

Room 204, Parkview Plaza
107 E. Second Street
Ottumwa, Iowa 52501
(515) 682-8549

Page 3 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

[illegible] Jim Leach and family
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
III	Adel Furnace Wholesalers	D
	Adel Wholesalers Inc	C
	Automation Systems Inc	E
	E.C.I. Inc.	A
	Leach Realty	C
	Mehta Tech. Inc.	E
	Riparian Realty	C
	Agriventure Inc.	F
	American Home Products	A
	Foxley Real Estate Investments	C
	W.C. Foxley Trust	D
	I.B.M.	A
	Wang Labs	C
	American Israeli Paper	C
	Armatron	C
	Calprop	C
	Color Tile	C
	DWG	D
	Domtar	C
	Gelco	C
	Hoffmann Industries	C
	IPM Technology	F
	Ozark Air Lines	C
	Pizza Inn	D
	Riblet Products	C
	Saunders System	E
	Schwab Safe	C
	Technodyne	A
	Texscan	A
	TransLux	C
	Tranzonic	C
	Triangle Home Products	E
	Tubmex	C
	UNA Corp	C
	Xtra Corp	C
	Pioneer Systems	C
	Dover Corp	D
	Weyerhauser Preferred	C
	Chock Full of Nuts Debenture	C
	Matrix Debenture	C
	Johnson and Johnson	C
	Eastman Kodak	C
	Kindercare	C
	Foxley Leach Gallery	F

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Congressman Jim Leach and family
(Full Name)

1514 Longworth H.O.B.
(Mailing Address)

Washington, D.C. 20515

✓ MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 1st State Iowa

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.

- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.

- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
see continuation sheet			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
see continuation sheet		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See Continuation Sheet	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See Continuation Sheet		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Merrill Lynch	C
Mortgage on property at 1401 21st St. N.W. Washington, D.C.	E

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
N.A.	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
N.A.		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
See Continuation Sheet	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
	N.A.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	N.A.	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5/13/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987 [illegible]

[illegible]

Page 1 of 5

ETHICS IN GOVERNMENT ACT--FINANCIAL DISCLOSURE STATEMENT

Congressman Jim Leach and family
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*	
II-A	none applicable to self, although at my request the following groups contributed to the Ripon Education Fund and the Ripon Society:	
	Credit Union National Association	$1,000.00
	Bigler Ketchum Inc.	$1,000.00
	Iowa League of Savings Institutions	500.00
	World Business Council	$2,000.00

[illegible]

Page 2 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Cong. Jim Leach and family
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*		
II-B	Adel Furnace Wholesalers	interest	A
	Amer. Sec. and Trust Co.	interest	A
	Davenport Bank and Trust	interest	A
	Equitable Life	interest	A
	House of Rep. Credit Union	interest	A
	Leach Realty	interest	A
	Oppenheimer	interest	A
	Merrill Lynch	interest	A
	Adams Express	dividend	A
	I.B.M.	dividend	A
	Wang Labs	dividend	A
	Saunders System	dividend	A
	Domtar	dividend	A
	Ozark	dividend	A
	Gelco	dividend	A
	Schwab Safe	dividend	A
	Tranzonic	dividend	A
	Riblet Products	dividend	A
	Pizza Inn	dividend	A
	XTRA	dividend	A
	Chock Full of Nuts Debenture	interest	A
	American Home Products	dividend	A
	Johnson and Johnson	dividend	A
	Dover Corp	dividend	A
	Calprop	dividend	A
	Weyerhauser Preferred	dividend	A
	Matrix Debenture	interest	A

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Page 3 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Cong. Jim Leach and family
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
III	Adel Furnace Wholesalers	✓	D
	Adel Wholesalers Inc	✓	C
	Automation Systems Inc	✓	E
	F.C.I. Inc.		A
	Leach Realty		C
	Mehta Tech. Inc.	✓	E
	Riparian Realty		C
	Agriventure Inc.(Changing name to Foxley Cattle Co.)		F
	American Home Products		A
	Foxley Real Estate Investments		C
	W.C. Foxley Trust	✓	D
	I.B.M.	✓	A
	Wang Labs	✓	C
	American Israeli Paper	✓	C
	Armatron	✓	C
	Calprop	✓	C
	Color Tile	✓	C
	~~DWC~~		D
	~~Domtar~~	✓	C
	Gelco	✓	C
	Hoffmann Industries	✓	C
	IPM Technology	✓	F
	Ozark Air Lines	✓	C
	Pizza Inn	✓	D
	Riblet Products	✓	C
	Saunders System	✓	E
	Schwab Safe	✓	C
	Technodyne	✓	A
	Texscan	✓	A
	TransLux	✓	C
	Tranzonic	✓	C
	Triangle Home Products	✓	E
	Tubmex	✓	C
	UNA Corp	✓	C
	Xtra Corp	✓	C
	Pioneer Systems	✓	C
	Dover Corp	T	D
	Weyerhauser Preferred		C
	Chock Full of Nuts Debenture	T	C
	Matrix Debenture	T	C
	Johnson and Johnson	T	C
	Eastman Kodak	T	C
	Kindercare	T	C
	Foxley Leach Gallery		E
	Oppenheimer		A
	Adams Express		A

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Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Cong. Jim Leach and Family
(Name)

Continuation Sheet

Part	Source, Type, Amount, Values, Transaction or Value As Applicable		
IV	Property at 1401 21st St. N.W. Washington, D.C.	sold	F
	Technodyne	sold	A
	Armatron	Sold	C
	IWC	sold	L
	TransLux	sold	C
	Celco	sold	C
	Tubmex	sold	C
	Eiblet Products	sold	C
	Hoffmann Industries	sold	C
	American Israeli Paper	sold	C
	Calprop	sold	C
	Domtar	sold	C
	Pioneer Systems	sold	C
	UNA Corp	sold	C
	Saunders System	sold	E
	Pizza Inn	sold	D
	Color Tile	sold	C
	Eastman Kodak	purchased and sold	C
	Kindercare	purchased and sold	C
	Johnson and Johnson	purchased	C
	Ozark Airlines	sold	C
	Xtra Corp	sold	C
	Dover Corp	purchased	C
	Texscan	sold	A
	Weyerhauser Preferred	purchased	C
	Check Full of Nuts debenture	purchased	C
	Matrix debenture	purchased	C
	Nebraska Feeding Company	sold	E
	Belleview Corporation	sold	A
	Levi Strauss	sold	C

GPO 1986 61-658 (m)

8 7 0 7 0 3 1 2 9 1 5

Page 5 of 5

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Cong. Jim Leach and family
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*	
VII	Twentieth Century Fund Board Meeting- Charleston, South Carolina	Lodging, meals, travel
	Vecinos-address to LBJ School University of Texas, Austin.	Lodging, meals, travel
	Aspen Institute Conference on U.S.-Soviet Affairs Bermuda	Lodging, meals, travel
	JFK School at Harvard - address Cambridge, Massachusetts	Lodging, meals, travel
	Twentieth Century Fund Board Meeting New York City	Lodging, meals, travel
	World Business Council - address New York City	Lodging, meals, travel

JIM LEACH
1st District, Iowa

CONGRESS OF THE UNITED STATES

Committees:
Banking, Finance and Urban Affairs
Foreign Affairs

HAND DELIVERED

May 19, 1987

The Honorable Julian C. Dixon
Chairman
Committee on Standards of Official Conduct
U.S. House of Representatives
Washington, D.C. 20515

V
MC

Dear Mr. Chairman:

Attached please find an amended disclosure.

Regarding a minor item, Merrill Lynch CMA was redundantly reported as a holding in the 1985 disclosure when it in effect needn't have been reported in this fashion. It is the equivalent of a banking account from which interest is either earned or borrowings are made, rather than an equity holding, and should accordingly not be listed under either Part III Holdings or Part IV Transactions. It is referenced in Part V Liabilities in the 1986 disclosure.

Sincerely,

Jim Leach

Jim Leach
Member of Congress

JL:bta

OFFICES:
1514 Longworth House Office Building
Washington, D.C. 20515
(202) 225-6576

308 F and M Bank Building
Third and Jefferson Streets
Burlington, Iowa 52601
(319) 752-4584

322 West Third Street
Davenport, Iowa 52801
(319) 326-1841

Room 204, Parkview Plaza
107 E. Second Street
Ottumwa, Iowa 52501
(515) 682-8549

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A For use by Members, officers, and employees

James Marvin Leath
(Full Name)

336 Cannon Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 11 State Texas

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

[illegible]

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
General Electric	Recd. 2/17/86	Honorarium	$1,000	Charity($1,00
Avco Systems Div., Textron	Recd. 2/18/86	Honorarium	$1,000	Charity($1,00
American Trucking Asso.	Recd. 2/20/86	Honorarium	$ 750	Charity($ 75
Oshkosh Truck Corp.	Recd. 3/7/86	Honorarium	$2,000	Charity($2,00
Chicago Mercantile Exchange	Recd. 3/9/86	Honorarium	$ 500	Charity($ 50

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Pental Property, Waco, TX	Rent	D
Oil and Gas Leases, Brown & Coke Counties	Royalties	E (Gross)
Aztec Savings & Loan(spouse,separate prop.)	Note Payment	F
Wheeden Tax Exempt Fund(spouse,sep.prop.)	Dividend	A
Southern Co. (spouse,separate prop.)	Dividend	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY		CATEGORY
Duplex,320 Mill Creek Cr.,Waco,TX	Rental Property	E
Apartment,1009 Jefferson, Waco,TX	Rental Property	D
Altomar, Inc.	Stock	F
House, 8407 Holly Leaf Dr., McLean	Residence (sold 1/87)	F
House, 714 Belgrove Rd., McLean,VA	Residence (bought 12/86)	F
C & L No. 1, Copperas Cove,TX	Land(Partnership-50%)	E

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Purchased lot, 1009 Jefferson, Waco, TX	4/86	B
Purchased LPC Minerals (partnership-25%)	6/86	C
Purchased lot, Feather Bay, Brownwood, TX(deposit)	10/86	A
Purchased apartment, 1009 Jefferson, Waco, TX	10/86	D
Purchased residence, 714 Belgrove Rd., McLean, VA	12/86	F

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY		CATEGORY
First State Bank, Marlin, TX	Personal/Business-Demand Note	F
InterFirst Bank, Austin, TX	Business-Demand Note	F
United Bank, Waco, TX	Business-Demand Note	F
Paul Young Estate, Killeen, TX	Business-Mortgage Note	C
Union State Bank, Florence, TX	Business-Demand Note	C
Citizens State Bank, Lometa, TX	Business-Demand Note	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation"

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
General Electric (Speech)	Transportation Wash,DC-Wilmington, MA, lodging/meals
Avco Systems Div.,Textron (Speech)	Transportation Wilmington,MA-Wash.,DC
Oshkosh Truck Corp. (Speech)	Transportation Wash.,DC-Oshkosh,WI-Wash.,DC

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Secretary-Director	Altomar, Inc.
Executor	Estate of F. M. Neill (deceased)
Partner	C & L No. 1, No. 2 and No. 3
Partner	Lometa Minerals, Ltd.
Partner	Killeen Centre

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Marvin Leath	5/11/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987 [illegible]

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ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

James Marvin Leath
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
II. A	Bd.of Trade,City Chicago Recd.3/10/86 Honorarium $ 500(Charity) $500)
	General Dynamics Recd.3/17/86 Honorarium $1,000(Charity) $1000)
	Chamber Commerce,Killeen,TX " 3/21/86 Honorarium $2,000(Charity $970)
	Optic Electronic Corp. Recd.3/25/86 Honorarium $1,500
	Sperry Corp. Recd.3/26/86 Honorarium $2,000
	United Technologies Recd.3/27/86 Honorarium $1,000
	Bell Helicopter Recd.3/31/86 Honorarium $1,000
	Citizens St.Bank Directors " 4/2/86 Honorarium $1,000
	Tally Defense Systems " 4/3/86 Honorarium $1,000
	McDonnell Douglas Helicopter" 4/4/86 Honorarium $1,000
	Lockheed-Georgia " 4/10/86 Honorarium $1,000
	Rockwell International " 4/11/86 Honorarium $1,000
	Fairchild Industries " 4/14/86 Honorarium $1,000
	Lyon Shipyard, Inc. " 4/15/86 Honorarium $ 250
	United Chem-Con " 4/15/86 Honorarium $ 250
	Cambridge International " 4/15/86 Honorarium $ 750
	General Dynamics Land Sys. " 4/15/86 Honorarium $ 250
	American Bldg.Maintenance " 4/18/86 Honorarium $2,000
	Bell Helicopter " 5/9/86 Honorarium $1,000
	Ft.Hood Area Builders " 5/19/86 Honorarium $2,000
	Financial Exe.Institute " 5/20/86 Honorarium $ 500
	Washington Caucus " 10/9/86 Honorarium $ 500
	Fay Improvement Co. " 10/14/86 Honorarium $1,000
	Lockheed Corp. " 10/16/86 Honorarium $ 500
	Optic Electric Corp. " 10/23/86 Honorarium $1,000
	The Altomar Collection (spouse) Salary
III.	C & L No. 2,Copperas Cove,TX Apts.-Partnership(50%) F
	C & L No. 3,Copperas Cove,TX Warehouses-Partnersp(50%) E
	Lometa Minerals,Ltd.,Lometa,TX Ltd.Partnership(30%) F
	Killeen Centre,Killeen,TX Land-Ltd.Parnership(12½%) C
	B-M-L Bldgs.-Partnership(33 1/3) C
	Cedar Hill Joint Venture Land D
	LPC Minerals Ltd.Partnership(25%) C
	Oil & Gas Leases,Coke Co.,TX 2% Working Interest E
	Oil & Gas Leases,Brown Co,TX 5% Working Interest E
	Feather Bay,Brownwood,TX Lot A
	Aztec S&L,Copperas Cove,TX(spouse,sep.property) Note C
	Wheeden Tax Exempt Fund (spouse,sep.property) Stock A
	Southern Company (spouse,sep.property) Stock B
	Ennis Business Forms (spouse,sep.property) Stock A
	Gold Coins (spouse, sep.property) D
IV.	Oil & Gas Leases:
	Purchased 1/16 WI,Test Well,Pitts (Brown Co.) 1/86 A
	Purchased 1/16 WI,Breneke Well (Brown Co.) 4/86 A
	Purchased 1/16 WI,Martin 4C Breneke(Brown Co.) 5/86 A
	Purchased 2% WI, Higgins #9 (Coke Co.) 7/86 A
	Purchased 1/16 WI,Pitts #7,#8,#9 (Brown Co.) 8/86 B

GPO: 1986 61-688 (m)

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Page 6 of 6

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

James Marvin Leath
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*	
VII.	Chicago Mercantile Exch. (Speech)	Transportation Wash., DC-Chicago, Il-Wash, DC, lodging/meals
	United Technoligies (Speech)	Transportation Wash, DC-West Palm Beach, FL-Wash, DC, lodging/meals
	Garrett Corp. (Speech)	Transportation Dallas, TX-Phoenix, AZ-Wash, DC; lodging/meals
	Lockheed Georgia (Speech)	Transportation Wash, DC-Atlanta, GA
	Rockwell International (Speech)	Transportation Atlanta, GA-Wash, DC; lodging/meals
	Glickman for Congress	Transportation Wash, DC-Wichita, KS-Wash, DC; lodging/meals
	Fairchild Industries (Speech)	Transportation Wash, DC-NYC-Wash, DC
VIII.	Partner	B M L Partnership
	Partner	L P C Minerals

GPO: 1986 61-488 (m)

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Richard H. Lehman, M. C.
(Full Name)

990 North Vagedes
(Mailing Address)

Fresno, California 93728

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☑ Member of the U.S. House of Representatives—District 18th State California

☐ Officer or Employee—Employing Office ____

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

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- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO ✓ NA ____

For more information, see detailed Instruction Booklet at page 7.

[illegible]

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
(SEE ATTACHED CONTINUATION SHEET)			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
NONE		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ [✓ NO] ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Mr. and Mrs. Gene McEver (residence at 5459 East Atchison, Fresno - 2nd Trust Deed)	D

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item, and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Investors Thrift Corp. Fresno, CA	C
(loan against 2nd Trust Deed listed above)	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
(See attached continuation sheet)	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Richard H. Lehman	MAY 13, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

[illegible]

Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Richard H. Lehman, M. C.
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*
II	INCOME
1986	House of Representatives - SALARY - $75,100.00
1986	Fresno Unified School District - WIFE'S SALARY - $11,500.00
2/3/86	Connell Rice & Sugar Company - HONORARIUM $2000.00
5/3/86	International Association of Personnel & Employment Security - HONORARIUM $500.00
3/4/86	California Association of Realtors - HONORARIUM $1000.00
5/10/86	California Land Title Association - HONORARIUM $1000.00
6/29/86	Securities Industry Association - HONORARIUM $2000.00
10/30/86	Sears, Roebuck - HONORARIUM $1000.00
10/25/86	American Bankers Association - HONORARIUM $2000.00
12/18/86	United California Savings Bank - HONORARIUM $2000.00
12/18/86	Far West Savings & Loan Association - HONORARIUM $1500.00
VII	REIMBURSEMENTS
2/3/86	Connell Rice & Sugar Company - AIR FARE
3/4/86	California Association of Realtors - AIR FARE
5/10/86	California Land Title Association - AIR FARE
6/29/86	Securities Industry Association - AIR FARE
10/30/86	Sears, Roebuck - AIR FARE
10/25/86	American Bankers Association - AIR FARE
12/18/86	Far West Savings & Loan - AIR FARE

GPO: 1986 61-688 (m)

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

WILLIAM MARX LEHMAN
(Full Name)

2347 RAYBURN HOUSE OFFICE BUILDING
(Mailing Address)

WASHINGTON, D. C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☑ Member of the U.S. House of Representatives—District 17 State FLORIDA

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
[illegible]	[illegible]	[illegible]	[illegible]

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
ICNB (National Bank of Florida)	INTEREST	A
CONGRESSIONAL FEDERAL CREDIT UNION	INTEREST	[illegible]
DREXEL, BURNHAM, & LAMBERT	INTEREST	[illegible]
417 NEW JERSEY AVENUE, S.E., WASHINGTON, D.C.	RENT	[illegible]
WILLIAM LEHMAN BUICK, INC.	INTEREST	[illegible]
WILLIAM LEHMAN, SR.	INTEREST	[illegible]

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
WILLIAM LEHMAN SUZUKI (REAL ESTATE) 21000 N.W. 2nd AVENUE/MIAMI	B
JOAN LEHMAN SCULPTURE STUDIO/13044 MEMORIAL HIGHWAY/MIAMI	C
DODGE CITY PARTNERSHIP (REAL ESTATE) [illegible] N.W. 2nd AVENUE/MIAMI	D
WILLIAM LEHMAN BUICK, INC. (NOTE RECEIVABLE)	[illegible]
417 NEW JERSEY AVENUE, S.E., WASHINGTON, D. C. (REAL ESTATE)	[illegible]
DREXEL, BURNHAM, & LAMBERT, INC. (BONDS)	D
CONGRESSIONAL FEDERAL CREDIT UNION (MONEY MARKET SAVINGS)	[illegible]

9 / 7 7 2 2 2 3 3 3 1

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar **year 1986, which exceeds $1,000** in real property, stocks, bonds, commodities futures, or other forms of securities. **The amount to be reported in disclosing** transactions in real property or securities is the category of value of the total **purchase price or total sales** price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
WILLIAM LEHMAN SUZUKI (REAL ESTATE) - 25% INTEREST - PURCHASE	12/7/86	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether **secured or not, and regardless of** the repayment terms or interest rates, MUST be listed. The identity of the liability **should include the name of the individual** or organization to which the liability is owed, and the amount disclosed **should be the category of value** of the largest amount owed during the calendar year. Any contingent liability, **such as that of a guarantor** or endorser, or the liabilities of a business in which the reporting individual has an **interest need not be listed. IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
McLACHLEN NATIONAL BANK (MORTGAGE)	D
MAURICE ROSENBLATT (TRUST NOTE)	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, **unless consideration of equal** or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions **need not be reported.** Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source **having a "direct interest in legislation"** before the Congress, or from a foreign national. Thus, this disclosure **requirement applies primarily** to gifts from personal friends, constituents, and other individuals or groups that **do not have a "direct interest** in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
JACKSONVILLE CHAMBER OF COMMERCE - speech/forum	AIR TRAVEL - MEALS (no lodging) WASHINGTON/JACKSONVILLE/WASHINGTON
COMMITTEE FOR PROGRESSIVE CONGRESS speech/forum	TRAVEL - MEALS - LODGING (1 night) WASHINGTON/NEW YORK/WASHINGTON

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
PARTNERSHIP	DODGE CITY PARTNERSHIP
PARTNERSHIP	WILLIAM LEHMAN SUZUKI
CONSULTANT	WILLIAM LEHMAN BUICK, INC.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
William Lehman	MAY 12, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987

[illegible]

Page ___ of ___

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

WILLIAM MARK [illegible]
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
II-INCOME	(B) SOCIAL SECURITY (Spouse) Benefits [illegible]

HAND DELIVERED

WILLIAM LEHMAN
17TH DISTRICT, FLORIDA

COMMITTEES
APPROPRIATIONS
CHAIRMAN
SUBCOMMITTEE ON
TRANSPORTATION
SUBCOMMITTEE ON
FOREIGN OPERATIONS

SELECT COMMITTEE ON
CHILDREN, YOUTH, AND FAMILIES

Congress of the United States
House of Representatives
Washington, DC 20515

WASHINGTON OFFICE
2347 RAYBURN HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-4211

DISTRICT OFFICES
☐ 2020 N.E. 163RD STREET
NORTH MIAMI BEACH, FL 33162
(305) 945-7518

☐ 3275 N.W. 79TH STREET
MIAMI, FL 33147
(305) 836-3141

May 12, 19[illegible]

Honorable Donnald K. Anderson
Clerk, U.S. House of Representatives
Room H-105, Capitol
Washington, D.C. 20515

✓
MC

Dear Mr. Anderson:

I inadvertently omitted checking Part I. Spouse and Dependent Disclosure Exemption. Therefore, I wish to amend Part I of my Financial Disclosure Statement for [illegible] as follows:

> "ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION?
>
> YES_______ NO ✓ NA_______"

Thank you very much for your immediate attention to this matter, and with every good wish, I am

Sincerely,

William Lehman

WILLIAM LEHMAN
Member of Congress

WL:[illegible]

[illegible]

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT – FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

George Thomas Leland
(Full Name)

2236 Rayburn HOB
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 18 State Texas

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

3 7 0 7 0 2 1 1 1 3 3

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
Grocery Manufacturers of America	1/22/86	Honorarium	$2,000	
Martin Luther King, Jr Memorial Breakfast	1/30/86	Honorarium	500	
Alabama Legislative Black Caucus	2/15/86	Honorarium	1,000	
Vassar College	3/27/86	Honorarium	2,400	
National Cable TV Association	4/3/86	Honorarium	1,500	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Please see Continuation Sheet Page 5		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Please see Continuation Sheet Page 6	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Please see Continuation Sheet Page 6		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Please See Continuation Sheet Page 7	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
Martin Luther King, Jr. Memorial Breakfast (Speech)	Airfare: Washington, D.C../Boston Houston, Tx.
Alabama Legislative Black Caucus (Speech)	Airfare: Washington, D.C./Alabama. Houston, Tx. food/lodging 1 day.
Vassar College (Speech)	Airfare: Washington, D.C./New Yor Houston, Tx. food/lodging 1 day.

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	Hispanic International University
Vice Pres./Sec'y	ALCIND, Inc.
General Partner	LK-Stock Pool Investment
Member	Board of Directors, Texas First National Bank
Chair	Harris County Black Caucus, Inc.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
George T. Leland	May 13, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT
George Thomas Leland
name

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value
II. A.	Student National Medical Assoc. received 5/3/86 Honorarium $500
II. A.	National Association of Broadcasters received 5/5/86 Honorarium $1,500
II. A.	Connell Rice & Sugar received 5/16/86 Honorarium $2,000
II. A.	The City Club of Cleveland received 6/5/86 Honorarium $1,000
II. A.	Alliance for Capital Assets received 5/22/86 Honorarium $1,000
II. A.	NBC received 6/4/86 Honorarium $1,000
II. A.	Remail Committee received 6/5/86 Honorarium $1,000
II. A.	United Coal Company received 6/11/86 Honorarium $2,000
II. A.	St. Paul Urban League received 6/5/86 Honorarium $2,000
II. A.	Postal AFL-CIO received 8/9/86 Honorarium $2,000
II. A.	Goldman Sachs received 11/9/86 Honorarium $2,000
II. A.	Black Caucus of Health Workers received 3/13/86 Honorarium $200
II. A.	E.F. Hutton- Houston(spouse), salary

II. B. SOURCE	TYPE	CATEGORY
LK-Stock Pool Investment, see also Attachment A	dividends/ interest	D
Interfirst Investment Account	interest	E
Centennial S&L - CD	interest	C
Sunbelt S&L - CD (became Horizon S&L as of 10/9/86)	"	D
Independent American S&L - CD	"	A
Gibraltar S&L - CD	"	A
City Savings - CD	"	C
Hi-Plains S&L - CD	"	C
Horizon S&L - CD	"	C
Commodore S&L - CD	"	B
Meridian S&L - CD	"	B

III HOLDINGS

IDENTITY	CATEGORY
LK-Stock Pool Investment, see also Attachment A	E
Interfirst Investment Account	C
Double 29 Partnership Ltd. - Ramada Inn Riviera, 2929 Southwest Freeway	C
Independent American S&L - CD	D
Hi-Plains S&L - CD	D
City Savings - CD	D
Commodore S&L - CD	D
Vernon Savings - CD	D
Meridian Savings - CD	D
Centennial S&L - CD	D
Sunbelt S&L - CD (became Horizon as of 10/9/86)	D
Texas First National Bank-Houston, 200 shares	A
Midtown Live, Inc., 5245 Buffalo Speedway jazz restaurant, general partner (spouse)	C
Rage, Inc., 11383 Fondren Rd. entertainment center, general partner (spouse)	C
See also Attachment B	

IV TRANSACTIONS

BRIEF DESCRIPTION	TYPE	DATE	CATEGORY
Ramada Inn - Riviera, 2929 Southwest Freeway	purchase	12/31/86	C
Centennial S&L - CD	purchase	4/86	D
Sunbelt S&L - CD (became Horizon S&L on 10/9/86)	cashed in	10/86	D
Horizon S&L - CD (formerly Sunbelt S&L)	purchase	10/86	D
Independent American S&L - CD	purchase	4/86	D
Hi-Plains S&L - CD	purchase	4/86	D
Hi-Plains S&L - CD	cashed in	9/86	D
City Savings -CD	purchase	4/86	D
Commodore S&L - CD	purchase	9/86	D
Commodore S&L - CD	cashed in	12/86	D
Meridian S&L - CD	purchase	10/86	D
Vernon Savings - CD	purchase	12/86	D
Texas First National Bank - Houston 200 shares of stock	purchase	8/18/86	A
Mid-Town Live, Inc., (spouse)	purchase	4/86	C
Rage, Inc., (spouse)	purchase	4/86	C
See also Attachment A			
See also Attachment B			

V LIABILITIES

IDENTITY	CATEGORY
JLK Interests - Houston	D
Interfirst Bank - Houston	E
Riggs Bank - Washington, DC	D
Minority Enterprise, Inc. - Houston	D
Texas First National Bank - Houston	E

VII REIMBURSEMENTS

National Cable TV Association (Seminar participant)
Airfare: Houston/Dallas, Tx/Washington, D.C.

National Association of Broadcasters (Seminar participant) Airfare: Boston, Ma/Dallas, Tx./Washington, D.C. Food/lodging: 2 days

The City Club of Cleveland (Speech) Airfare: Washington, D.C./Cleveland,Ohio/Washington, D.C. Food: 1 day

United Coal Company (Seminar participant) Airfare: Washington, D.C./Bristol, Va/Washington, D.C.

St. Paul Urban League (Speech) Airfare: Washington, D.C./Minneapolis, Mn/Houston, Tx D.C.Food/lodging: 1 day

Postal AFL-CIO (Seminar participant) Expenses for self and spouse Airfare: Washington, D.C./San Francisco/ Washington, D.C. Food/lodging: 3 days

ATTACHMENT A

LK-Stock Pool Investment Portfolio Activity, 1986

INVESTMENTS	ACQ DATE	SALE DATE	PURCHASE PRICE	SALES PRICE	MARKET VALUE	GAIN/ (LOSS)	DIVIDENDS/ INTEREST
WESTINGHOUSE							
275 40 OPTIONS	10/1/5	1/20/6	(31,122.56)	0.00		31,122.56	
275 30 OPTIONS	10/1/5	1/20/6	239,103.47	0.00		(239,103.47)	
100 40 OPTIONS	11/22/5	1/20/6	2,012.97	0.00		(2,012.97)	
27500 WESTINGHOUSE	1/27/6	1/27/6	826,375.00	1,098,588.33		272,213.33	
TEXACO							
100 35 OPTIONS	11/21/5	1/20/6	(19,845.62)	0.00		19,845.62	
50 30 OPTIONS	11/21/5	1/20/6	(17,132.66)	0.00		17,132.66	
UNION CARBIDE							
100 65 OPTIONS	12/13/5	1/2/6	43,171.98	63,620.54		20,448.56	
100 70 OPTIONS	12/13/5	1/2/6	(15,709.44)	(30,626.08)		(14,916.64)	
10000 UNION CARBIDE	1/8/6	1/27/6	712,007.50	850,003.65		137,996.15	2,020.64 I
602 R J REYNOLDS	10/1/5	5/12/6	75,061.88	82,022.50		6,960.62	4,139.36 D
BEATRICE							
500 45 OPT	11/20/5	2/27/6	65,551.30	50,737.80		(14,813.50)	
20000 BEATRICE	3/14/6	4/18/6	946,200.86	992,255.71		46,054.85	9,000.00 D
8000 BCI PREFERRED	4/18/6	8/5/6	192,255.71	211,308.75		19,053.04	8,795.00 D
216600 BCI DEBENTURES	8/5/6	12/17/6	227,908.75	220,380.00		(7,528.75)	7,970.66 I
ALLIED SIGNAL							
300 40 OPT	11/12/5	2/14/6	196,800.00	261,591.00		64,791.00	
300 ALLIED SIGNAL	11/12/5	2/20/6	1,369,503.00	1,333,455.00		(36,048.00)	
10000 CITY INVESTING	3/5/6	9/22/6	31,581.25	47,500.00		15,918.75	

INVESTMENTS	ACQ DATE	SALE DATE	PURCHASE PRICE	SALES PRICE	MARKET VALUE	GAIN/ (LOSS)	DIVIDENDS/ INTEREST
4000 REPUBLIC HEALTH	3/17/6	4/29/6	69,250.00	70,750.00		1,500.00	
1500 VICTORY MARKETS	6/6/6	7/2/6	29,125.00	37,005.00		7,880.00	
25/6 TWA	6/18/6	12/23/6	38,751.31	60,186.21		21,434.90	
10000 NL INDUSTRIES	6/19/6	6/23/6	111,344.04	134,495.51		23,151.47	
5000 PIONEER CORP	6/18/6	8/7/6	115,882.08	117,432.08		1,550.00	
1749 CONIFER GROUP	7/16/6	9/2/6	64,125.00	97,069.50		32,944.50	
9200 MESA LTD PTRSHIP	8/2/6	9/26/6	115,882.08	127,393.71		11,511.63	
BANK OF TOKYO							
CERTIFICATE OF DEP	7/2/6	7/23/6	141,000.00	141,040.00		40.00	541.15
CERTIFICATE OF DEP	7/2/6	8/20/6	500,000.00	500,000.00		0.00	5,404.86
BANKERS ACCEPTANCE	9/10/6	11/20/6	100,000.00	100,000.00		0.00	1,217.92
GREENWOOD TRUST							
CERTIFICATE OF DEP	8/29/6	9/29/6	500,000.00	500,000.00		0.00	4,260.83
CERTIFICATE OF DEP	10/1/6	10/31/6	470,000.00	470,000.00		0.00	
CERTIFICATE OF DEP	10/30/6	11/25/6	200,000.00	200,000.00		0.00	1,314.32
2000 LEAR SIGLER	10/23/6	11/13/6	151,600.00	181,093.89		29,493.89	
			7,450,762.90	7,917,383.10	0.00	466,620.20	44,664.74
NOTE RECEIVABLE- RJ REYNOLDS	12/31/5		74,343.75		81,093.00	6,749.25	7,450.00
12000 TEXACO	11/21/5		422,400.00		430,500.00	8,100.00	36,000.00

INVESTMENTS	ACQ DATE	SALE DATE	PURCHASE PRICE	SALES PRICE	MARKET VALUE	GAIN/ (LOSS)	DIVIDENDS/ INTEREST
3000 STORER COMMUNICA- TION WARRANTS	12/5/5		6,937.50		6,937.50	0.00	
2000 CONTINENTAL BANCORP	1/13/6		134,375.00		112,500.00	(21,875.00)	2,040.00
5963 TWA CUM-PREF	6/18/6		186,900.44		195,378.38	8,477.94	
684 GENERAL DEVELOPMENT	7/7/6		15,489.00		15,470.00	(19.00)	
4000 PANHANDLE EASTERN	7/11/6		103,960.74		111,000.00	7,039.26	4,200.00
2000 GENCORP	9/17/6		155,500.00		148,000.00	(7,500.00)	750.00
10000 GRAPHIC SCANNING	9/25/6		100,000.00		95,000.00	(5,000.00)	
4000 AMDAHL	10/2/6		88,998.66		80,500.00	(8,498.66)	300.00
13500 UNIVERSAL HEALTH	11/30/6		138,375.00		94,500.00	(43,875.00)	
2700 AMERICAN FLETCHER	12/26/5		131,625.00		130,275.00	(1,350.00)	
3000 UNITED ARTISTS CL-A	12/26/6		50,625.00		52,875.00	2,250.00	
5350 HOLIDAY CORP	11/30/6		412,810.13		377,175.00	(35,635.13)	
BANQUE NTL PARIS	12/30/6		163,000.00		163,000.00	0.00	
			2,022,341.10	0.00	1,931,203.00	(91,137.22)	50,000.00
			9,473,104.00	7,917,303.10	1,931,203.00	375,482.90	95,544.74

ATTACHMENT B

ACTIVITY	SHARES	STOCKS	COST
Purchase, 3/7/86	100	Pan Am	$8 7/8
Sold, 5/14/86	50	" "	$6 2/5
Sold, 8/14/86	50	" "	$5 1/2
Purchase, 4/21/86	200	SW Airlines	$20
Sold, 5/8/86	200	" "	$19 5/8
Purchase, 12/31/86	200	Texas Air	$34
Call Feb/20	10(1000sh)	Schlumberger	
Purchase, 12/31/86	10	"	$2 5/8
Call Aug/30	10(1000sh)	Schlumberger	
Purchase, 3/7/86	10	"	$2 1/8
Sold, 3/11/86	10	"	$3 1/8
Call Mar/20	20(2000sh)	SW Airlines	
Purchase, 12/31/86	20	" "	$1 7/8
Call Aug/35	10(1000sh)	Schlumberger	
Purchase, 3/24/86	10	"	$1560.33 net cost
Sold, 4/11/86	10	"	$ 883.96 proceeds
Call Jun/25	20(2000sh)	SW Airlines	
Purchase, 3/24/86	20	"	$1374.78 net cost
Sold, 4/9/86	20	"	$ 780.91 proceeds

INSIDE MA

MICKEY LELAND
18TH DISTRICT, TEXAS

CHAIRMAN
SELECT COMMITTEE ON HUNGER

COMMITTEE ON POST OFFICE AND
CIVIL SERVICE

CHAIRMAN
SUBCOMMITTEE ON POSTAL
OPERATIONS AND SERVICES
SUBCOMMITTEE ON COMPENSATION AND
EMPLOYEE BENEFITS

AT LARGE WHIP

COMMITTEE ON ENERGY
AND COMMERCE

SUBCOMMITTEE ON TELECOMMUNICATIONS
AND FINANCE

SUBCOMMITTEE ON HEALTH AND
THE ENVIRONMENT

SUBCOMMITTEE ON ENERGY AND POWER

Congress of the United States
House of Representatives
Washington, DC 20515

May 19, 1987

Honorable Donnald K. Anderson
Clerk
U.S. House of Representatives
1036 Longworth
Washington, D.C. 20515

✓

mc

Dear Mr. Anderson:

Through an error of oversight I exceeded the yearly congressional honoraria limit by $1,070.00, including $400.00 of the $2,400.00 honorarium I received from Vassar College on March 27, 1986 that I should have donated to charity in a more timely manner. To correct these deficiencies, on May 15, 1987, I made a personal donation of $1,070.00 to The Hester House, a charitable organization in Houston.

I also inadvertently omitted certain information relating to my "Holdings" and my spouse's liabilities that were required to be disclosed on my 1986 Financial Disclosure Statement. The "Holdings" and "Liabilities" sections of my original Statement, filed May 15, 1987, are amended as follows:

III HOLDINGS

IDENTITY	CATEGORY
Bistro on the Boulevard - Houston	A
Houston Can Company - Houston	A

V LIABILITIES

IDENTITY	CATEGORY
Evergreen Capital - Houston (spouse)	C

Please contact me by telephone or in writing if you have any questions concerning this amendment.

Sincerely,

MICKEY LELAND
Member of Congress

WASHINGTON OFFICE—2236 RAYBURN HOUSE OFFICE BUILDING (202) 225-3816
DISTRICT OFFICE—1919 SMITH, SUITE 820 HOUSTON, TX 77002 (713) 739-7339

INSIDE MAIL

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

NORMAN FREDERICK LENT
(Full Name)

POST OFFICE BOX N
(Mailing Address)

EAST ROCKAWAY, NEW YORK 11518

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

XX Member of the U.S. House of Representatives—District 4th State New York

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See attached continuation sheet, Part II-A			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See attached continuation sheet, Part II-B		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See attached continuation sheet, Part III	

1 7 1 7 7 2 1 0 2 3 5

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See attached continuation sheet, Part IV		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
See attached continuation sheet, Part V	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
See attached continuation sheet, Part VII	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Partner	Hill, Lent & Troescher, Esqs. - Law
Partner	Lent & Troescher - realty
Partner	Patloy Associates - realty

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Norman F. Lent	May 15, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

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ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

NORMAN FREDERICK LENT
(Name)

Continuation Sheet

Part — *Source, Type, Amount, Category, Description or Value (As Applicable)*

II-A EARNED INCOME OVER $100: (1986)

SOURCE	TYPE	AMOUNT
(1) Hill, Lent & Troescher, Esq.	Salary	$ 300.
(2) Lido-Pt. Lookout Fire Dist.	Salary	680.
(3) United Technologies	Honorarium (1/9/86)	1,000.
(4) Nat. Assn. of Broadcasters (TV & Radio PAC)	Honorarium (1/12/86)	2,000.
(5) Edison Electric Institute	Honorarium (1/16/86)	500.
(6) Edison Electric Institute (Fossil & Syn Fuel Assn.)	Honorarium (3/3/86)	500.
(7) Nat. Assn. of Ind. Insurers	Honorarium (3/4/86)	2,000.
(8) Securities Industry Assn.	Honorarium (3/4/86)	2,000.
(9) Commodity Exchange	Honorarium (3/8/86)	1,000.
(10) American Insurance Assn.	Honorarium (3/12/86)	1,000.
(11) Nat. Cable TV Assn.	Honorarium (3/17/86)	1,500.
(12) U.S. Tobacco Co.	Honorarium (3/21/86)	1,000.
(13) Nat. Assn. of Broadcasters	Honorarium (4/14/86)	1,500.
(14) Pfizer	Honorarium (4/21/86)	1,000.
(15) Sea Land	Honorarium (5/12/86)	2,000.
(16) NBC	Honorarium (5/16/86)	1,000.
(17) Rivkin, Radler, Dunne & Bayh	Honorarium (5/19/86)	2,000.
(18) Nat. Assn. of Broadcasters (TV & Radio PAC)	Honorarium (6/18/86)	1,000.
(19) Tobacco Institute	Honorarium (7/29/86)	1,000.
(20) Nynex	Spouse's salary	-

EARNED INCOME OVER $100 DONATED TO CHARITY: (1986)

(1) United Way	477.

II-B UNEARNED INCOME FROM INVESTMENTS, ETC., OVER $100: (1986)

SOURCE	TYPE	CATEGORY
(1) Hill, Lent & Troescher, Esqs., law partner	Return on equity	B
(2) S. McMasters, Tenant	Net rent (net loss)	A
(3) Lent & Troescher, Realty partnership	Net rent	A
(4) Patloy Associates, Realty partnership	Net rent	B
(5) Atlantech Assoc., Realty partnership	Net rent	D
(6) Dreyfus Liquid Assets, Inc. savings fund	Dividend	A
(7) E.F. Hutton & Co., cash fund	Dividends	C
(8) National Westminster Bank certificate of deposit (redeemed 8/6/86)	Interest	A
(9) Moseley Securities Corp.	Interest	A
(10) Dominion Fed S & L Assn., common stock (sold 10/3/86)	Capital gain	E

SPOUSE'S UNEARNED INCOME FROM INVESTMENTS, ETC., OVER $100: (1986)

(11) Gulf States Utilities Corp., common stock	Dividends	A
(12) Dreyfus Fund, mutual fund	Dividends	A
(13) Fidelity Fund, mutual fund	Dividends	A
(14) Vanguard Fund, mutual fund	Dividends	A
(15) Wright Patman Federal Credit Union	Interest	A
(16) Chase Manhattan Bank, certificate of deposit	Interest	B
(17) Dominion Fed S & L Assn., common stock (sold 10/3/86)	Capital gain	E

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ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

NORMAN FREDERICK LENT
(Name)

Continuation Sheet

Part | *Source, Type, Amount, Category, Description or Value (As Applicable)*

III HOLDINGS OVER $1,000: (1986)

IDENTITY	CATEGORY
Government Bonds - 1986	
(1) State of Israel	A
Savings Funds & Accounts - 1986	
(2) Dreyfus Liquid Assets, Inc.	A
(3) E.F. Hutton & Co. Cash Reserve Management Account	D
(4) National Westminster Bank - Certificate of Deposit (redeemed 8/6/86)	A
Common Stocks & Partnership Interests - 1986	
(5) Dominion Federal Savings & Loan Assn. - common stock (sold 10/3/86)	C
(6) Atlantech Associates - realty	C
(7) EON Resources - energy development	A
(8) Hill, Lent & Troescher, Esqs. - law	B
(9) Lent & Troescher - realty	B
Other Real Property Interests - 1986	
(10) One bedroom condo apartment, Arlington, Virginia	D
(11) House and lot at East Rockaway, New York (sold 12/31/86)	E
Undeveloped housesite lots in Florida, as follows - 1986	
(12) Port Charlotte	A
(13) Punta Gorda Isles (lot #1)	C
(14) Punta Gorda Isles (lot #2)	C
(15) Homesite lot in Sag Harbor, New York (developed 8/28/86)	D
Spouse's Holdings over $1,000 - 1986	
(16) Chase Manhattan Bank - certificate of deposit	C
(17) Dominion Federal Savings & Loan Assn - common stock (sold 10/3/86)	C
(18) Gulf States Utilities Corp. - common stock	A
(19) Dreyfus Fund - mutual fund	A
(20) Fidelity Fund - mutual fund	A
(21) Vanguard Fund - mutual fund	B
(22) Nynex Savings plan	C
(23) U.S. Savings Bonds	B

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ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

NORMAN FREDERICK LENT
(Name)

Continuation Sheet

Part | *Source, Type, Amount, Category, Description or Value (As Applicable)*

IV TRANSACTIONS OVER $1,000: (1986)

BRIEF DESCRIPTION	DATE	CATEGORY
(1) Sold - Dominion Fed. S & L Assn common stock	October 3, 1986	C
(2) Sold - house and lot at East Rockaway, New York (pers. res.)	December 31, 1986	E
Spouse's Transactions over $1,000:		
(3) Purchased - Nynex savings plan	periodic	B
(4) Purchased - U.S. Savings bonds	monthly	A

V LIABILITIES OVER $10,000: (1986)

IDENTITY	CATEGORY
(1) Fidelity, New York, Savings Bank Garden City, New York - mortgage on residence as East Rockaway, New York (satisfied 12/31/86)	D
(2) Mount Vernon Savings & Loan Assn. - Crossland Mortgage Corp. - mortgage on one bedroom condo, Arlington, Virginia	D
(3) D. Candiotti - purchase money mortgage on Sag Harbor lot (purchased 12/20/84)	D

[illegible]

Page 4 of 4

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

NORMAN FREDERICK LENT
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
VII	REIMBURSEMENTS AGGREGATING $250 OR MORE: (1986)	
	SOURCE	BRIEF DESCRIPTION
	(1) United Technologies	Air travel to Florida and return in connection with speaking engagement, and lodging.
	(2) Nat. Assn. of Broadcasters	Air travel to St. Maarten and return in connection with speaking engagement, and lodging.
	(3) Edison Electric Institute	Air travel to Florida and return in connection with speaking engagement, and lodging.
	(4) Maritime Trades Department, AFL-CIO	Air travel to Florida and return in connection with speaking engagement, and lodging.
	(5) Edison Electric Institute (Fossil & Syn Fuel Assn)	Air travel to Florida and return in connection with speaking engagement.
	(6) Commodity Exchange	Air travel to Florida and return in connection with speaking engagement, and lodging.
	(7) Nat. Cable TV Assn.	Air travel to Texas and return in connection with speaking engagement, and lodging.
	(8) U.S. Tobacco Co.	Air travel to Florida and return in connection with speaking engagement, and lodging.
	(9) Nat. Assn. of Broadcasters	Air travel to Texas and return in connection with speaking engagement, and lodging.
	(10) Pfizer	Ground transportation from New York to New Jersey and air travel from New Jersey in connection with speaking engagement.
	(11) Morgan Stanley	Lodging in connection with speaking engagement.
	(12) Nat Assn of Manufacturers	Air travel to South Carolina and return in connection with speaking engagement, and lodging.
	(13) Securities Industry Assn.	Air travel to Florida and return in connection with speaking engagement, and lodging.

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Sander Martin Levin
(Full Name)

323 Cannon HOB
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 17 State MI

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

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WHO MUST FILE AND WHEN:

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WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

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NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

✓

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA X

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

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EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Victoria Levin (spouse) - National Institute of Mental Health	salary	$40,281.40	
Fee for legal services rendered prior to membership in Congress (1973-77) received from Joseph Levin, Esq. in January 1986, as described in the 1985 report and in Paragraph III herein.	fee	$100,000.	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Bank Accounts: Comerica, Detroit; Michigan National Bank, Oakland; and State National Bank of Maryland	Interest	A
Partnership -- Oil Well, Total Petroleum, Inc., P.O. Box 500, Denver Colorado 80201	Royalty	A
Income from CMA Account, Merrill-Lynch	Interest & Dividends	C
Joint Ownership--Condominium, LaJolla, CA	Rent	C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Half interest in unimproved land (6 acres), Highland Township, MI	B
Half interest in unimproved land (78 acres), Tyrone Township, MI	C
Interest in Hayden-Gregory Associates--miscellaneous parcels of land held in trust for children	B
Interest in oil well in Michigan, Total Petroleum, Inc. P.O. Box 500, Denver	A
One-third interest in condominium, LaJolla, CA (sold in 1986;refer Part IV)	D

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
Proceeds from sale of one-third interest of spouse in condominium in LaJolla, CA	11/86	D

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
Land Contract Balance--unimproved land, Tyrone Township, MI owed to Stanton A. Miner, 107 S. Walnut St., Fenton, MI 48430	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
United Auto Workers (UAW) Michigan 3/9-3/10/86	speech to UAW Education Conference.
Democratic National Committee, Salt Lake City Utah 4/4-4/5/86	presentation to DNC policy commission on training and retraining programs.
National Conference on America's Economic Competitiveness, Hot Springs, VA 4/19/86	participate on panel on competitiveness

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13

POSITION	NAME OF ORGANIZATION
Board of Directors	Jewish Association for Retarded Citizens (Michigan)
Board of Directors	Save Orchestra Hall (Detroit, MI)

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Sander M. Levin	5-14-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Sander Martin Levin
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
III	HOLDINGS:
	Balance due ($14,189) from Joseph Levin, Esq., pursuant to letter agreement dated January 14, 1985, in respect of legal work during 1973-1977 on three proposals for redevelopment of the Hudson Warehouse Property in Detroit, as previously submitted by the Member to the Committee on Standards of Official Conduct and approved by the Committee by letter dated August 7, 1985.
	IRA -- Sander Levin ($4,273.08)
	IRA -- Victoria Levin ($4,286.17)
	REIMBURSEMENTS:
VII	Executive Development Center, Boston, MA 9/12/86 - speech to opthalmologists on health legislation.
	Israel Bond Drive, Miami, Florida - speech 9/4/86
	(for all listed under VII, reimbursement includes airfare and ground transportation, food and lodging.)

SANDER M. LEVIN
17TH DISTRICT, MICHIGAN

COMMITTEES
WAYS AND MEANS
SELECT COMMITTEE
ON CHILDREN, YOUTH
AND FAMILIES

Congress of the United States
House of Representatives
Washington, DC 20515

WASHINGTON OFFICE
123 CANNON HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-4961

DISTRICT OFFICE
SUITE 1120
NORTH PARK PLAZA OFFICE BUILDING
17117 WEST NINE MILE ROAD
SOUTHFIELD, MI 48075
(313) 559-4444

May 18, 1987

√ MC

Honorable Donnald K. Anderson
Clerk
U.S. House of Representatives
1036 Longworth House Office Building
Washington, D.C. 20515

Dear Donn:

In my report filed May 14, 1987, there is a reference in Section II under Unearned Income to my CMA Account with Merrill Lynch. Over the weekend it occurred to me that the principal in the CMA account also should have been listed under Section III, Holdings.

Therefore, this letter will serve as a clarification of and amendment to my 1986 Ethics report so that under Section III, Holdings there is included CMA Account, Merrill Lynch, Category E. It is a composite of two other items included elsewhere in my report (the proceeds from the sale of my one-third interest in the condominium in LaJolla, CA, and the legal fee earned prior to my service in Congress, paid in 1986).

Sincerely,

Sander L

SANDER M. LEVIN

SML:mpg

AND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Honorable Meldon Edises Levine
(Full Name)

132 Cannon HOB
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 27 State California

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

3 7 7 7 1 2 1 0 2 1 3

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Troy, Casden & Gould Law Firm 2-11-86	Honorarium	$2000	
Northrop 2-11-86	"	2000	
Wine Institute 2-25-86	"	1000	
Calif.Council for the Social Studies 3-07-86	"	500	
Coast Fed Properties 3-08-86	"	2000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
American Electric Power	Dividend	A
American Tel. & Tel.	"	A
City National Bank	"	C
Drexel Burnham Lambert	"	A
FDA Paramount Fund, Inc.	"	B
Ameretech	"	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Levbro Co. Stock (sold July 1986)	C
Levine #1-6 Partnership	D
Emma Levine Partnership	D
Strata Exploration, Inc. Partnership	C
Candlewood Park Partnership	C
Canyon Terrace Partnership	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sale of Levbro, Inc. stock	July 1986	D
Matured Israel Bonds	March 1986	A
IP Timberland sale	1986	B
Seagram Company stock sold	July 1986	B
Ogden Corporation sold	1986	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
President	Levbro, Inc. (liquidated July 1986)
Treasurer & Director	Hyman Levine Foundation (non-profit charitable foundation)

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5/15/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

[illegible]

Page 1 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Honorable Meldon E. Levine
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II. Income			
A.	Wiesenthal Center 3-09-86	Honorarium	$2000
	National Partnerships Management Corp.3-10-86	"	2000
	Motion Picture Association 4-18-86	"	2000
	The Washington Post 5-15-86	"	100
	LA County Management Council 5-16-86	"	1000
	Connell Rice 5-28-86	" (plus travel)	2000
	Mayer Management, Inc. 6-03-86	"	2000
	Coast Savings & Loan 8-27-86	"	2000
	Rosen, Wachtell & Gilbert 9-03-86	"	2000
	Santa Monica College Associates 11-05-86	"	1000
	The Casden Company 2-13-86	"	2000
	Income donated to charity, 1986		6550
B.	Bell Atlantic	Dividend	A
	Bell South	"	A
	Nynex	"	A
	Pacific Telesis	"	A
	Southwestern Bell	"	A
	Levbro, Inc.	"	B
	Strata Exploration	Partnership	A
	Selected Amer Shares, Inc.	Dividend & Capital Gain	B
	City National Bank	Interest	D
	Equitable Assurance	"	A
	Ogden Corporation	"	A
	RCA	"	A
	Security National Bank	"	A
	Drexel Burnham Lambert	"	A
	Coast Savings and Loan	"	C
	Philip Kolczynski	"	B
	Mike George	"	C
	J&S Davidson	"	D
	Levine #1-6 Partnership	Rent, Interest and Dividends	E
	Emma Levine Partnership	Rent, Interest and Dividends	B
	Candlewood Park	Rent, Interest and Dividends	B
	Blum Family Company	Rent, Interest and Dividends	D
	Sid B. Levine Trust u/w Emma Levine Trust		D
III. Holdings	Cousins Four		D
	Sid B. Levine Trust u/w Emma Levine (no discretionary interest)		F
	Ogden Corporations Debentures (sold during 1986)		B
	RCA Debentures (sold July 1986)		B
	FDA Paramount Fund, Inc.	Common Stock	C
	City National Bank	"	D
	American Electric Power	"	B
	Selected American Mutual Fund	"	B
	American Tel. & Tel.	"	B
	Ameretech	"	B
	Bell Atlantic	"	B
	Bell South	"	B
	Nynex	"	B
	Pacific Telesis	"	B
	Southwestern Bell	"	B
	OMI Corporation (sold May 1986)	"	A
	Philadelphia Savings Society	"	A
	Seagram Company (sold July 1986)	"	A
	Bank of Los Angeles	"	A
	Palmdale, California Bonds		A
	State of Israel Bonds (matured March 1, 1986)		A

[illegible]

Page 2 of 2

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Honorable Meldon E. Levine
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
III. Holdings (continued)	Carson Redevelopment Bonds		B
	U.S. West	Common Stock	B
	Norwalk Redevelopment Bonds		C
	Newark School Bonds		C
	Los Angeles County Commercial Paper		D
	IP Timberlands Ltd (sold 1986)	Partnership	A
	United Siblings	"	B
	Palm Springs Angels	"	A
	Rayonier Timberland	"	A
	Bellevue Hotel (Blum Family Company)	"	E
	City National Bank Money Market Accounts		D
	Coast Savings and Loan Money Market Accounts		D
	Security National Bank Money Market Accounts		B
	Second Trust Deed Receivable - M. George		C
	Second Trust Deed Receivable - P. Kolczynski		B
	Second Trust Deed Receivable - J&S Davidson		D

GPO [illegible] 61-[illegible] (m)

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

JERRY LEWIS
(Full Name)

326 Cannon House Office Building
(Mailing Address)

Washington, D. C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 35 State California

☐ Officer or Employee—Employing Office

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X* NA ____

For more information, see detailed Instruction Booklet at page 7. *See page 2 of continuation sheets

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
Irvine Co.	2/19/86	Honorarium	$1,000	
SMACNA (Sheet Metal & Air Cond.)	2/25/86	Honorarium	500	
Leadership Action, Inc.	3/11/86	Honorarium	1,000	
Agricultural Education Foundation	3/17/86	Honorarium	500	
American Nuclear Energy Council	4/10/86	Honorarium	250	

(continued on continuation sheet)

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Constitution Avenue property	Rents	E
T. Rowe Price Prime Reserve Funds	Interest	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO x _____ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
631 Constitution Avenue, Wash. D. C. 20002	F
Fidelity Cash Reserves (IRA)	B
T. Rowe Price Prime Reserve Funds	C
Mill & D Sts., San Bernardino, Ca.-54,000 sq. ft.. Title held by virtue of unrecorded partnership agmt. Plaza Investments 1/4th beneficial interest - Acquired 1964	D

(continued on continuation sheet)

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
Fidelity Cash Reserves (IRA)	1/28/86	A
T. Rowe Price Prime Reserve Funds - Redemption	1/17/86	A
T. Rowe Price Prime Reserve Funds - Redemption	2/18/86	C
T. Rowe Price Prime Reserve Funds - Redemption	3/14/86	A
T. Rowe Price Prime Reserve Funds - Redemption	6/27/86	A
(continued on continuation sheet)		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
Perpetual American Mortgage Co. (mortgage on Constitution property)	F

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE — BRIEF DESCRIPTION

None, other than wedding gifts for which a waiver was granted by the Committee on Standards of Official Conduct and filed with the Office of Records and Registration, Clerk of the House of Representatives on or about July 21, 1986.

B. The source, a brief description, and value *of all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
See statement in A above		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or-more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
American Council of Life Insurance Cos.	Food and Lodging,
Panel participant San Francisco, Ca.	2 days
National University and the Coalition for	
the Promotion of Costa Rica, participant	
in commencement exercises and participant	
(continued on continuation sheet)	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Partner	Plaza Investments (Mill and D Street property
	referred to under "Holdings."
Employee	Bud Postil Insurance (formerly Coelho Insurance)
Insurance Agent	Penn Mutual Life Insurance Co.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
July 1959	Penn Mutual Life Ins. Co. Agent	Retirement Plan-monthly life
	annuity beginning at age 65.	A standard agents insurance
	contract. Commissions are paid	in conformance with N.Y. State
	Law and ongoing fees paid for	services to policyholder for
	life of contract.	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Jerry Lewis	May 13, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

Page 1 of 2

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

JERRY LEWIS
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
II. INCOME	Brookings Institute	5/13/86	Honorarium	300
	Connell Rice & Sugar	6/11/86	Honorarium	2,000
	American Nuclear Energy Council	6/11/86	Honorarium	1,000
	Leadership Foundation	7/22/86	Honorarium	1,000
	Farmers Rice Cooperative	9/3/86	Honorarium	1,500
	American Dehydrated Onion and Garlic Association	8/9/86	Honorarium	1,000
	National University	9/9/86	Honorarium	1,000
	Ricoh Corp.	9/23/86	Honorarium	1,000
	Pacificare	11/10/86	Honorarium	1,000
	American Council of Life Insurance Companies	12/ 9/86	Honorarium	2,000
	TRW Systems Group	12/18/86	Honorarium	1,000
	Daniel S. Piliero	12/29/86	Honorarium	1,000
	San Diego Gas & Electric	12/30/86	Honorarium	2,000
	Bud Postil Insurance (formerly Coelho)	1986	Commissions	150
	Penn Mutual Life Ins.	1986	Commissions	1,142

(Note: Regarding 1986 commissions, no new business earned during calendar year 1986. Income reflects service of business established preceding calendar year 1979.)

III. HOLDINGS Life Insurance underwriting business-Penn Mutual Life Insurance Co. Employing agent: Bud Postil (formerly Coelho), 4221 Wilshire Boulevard, Los Angeles, Ca. 90010
Estimated value of vested interest in commissions earned and unearned in clientele account - Category D

IV. TRANSACTIONS	Category
T. Rowe Price Prime Reserve Funds-Redeemed-7/2/86	A
T. Rowe Price Prime Reserve Funds-Redeemed-7/2/86	A
T. Rowe Price Prime Reserve Funds-Redeemed-7/2/86	A
T. Rowe Price Prime Reserve Funds-Redeemed-7/3/86	B
T. Rowe Price Prime Reserve Funds-Redeemed-7/3/86	B
T. Rowe Price Prime Reserve Funds-Redeemed-7/7/86	A

VII. REIMBURSEMENTS (continued-Nat'l. Univ. & Coalition for Promotion, etc.) in a seminar entitled "Perspectives on Economic Cooperation Between the USA and Costa Rica, San Jose, Costa Rica. - Food, Lodging and Transportation - August 21 to August 25, 1986.

American Dehydrated Onion and Garlic Association - Transportation from Ontario, Ca. to Gilroy, Ca. on August 19, 1986 - Luncheon speech and tour.

Connell Rice & Sugar Co. Newark, New Jersey and New York - Participant "The Congress Seminar" series - June 6 to June 8, 1986 - Transportation, food and lodging.

Capital Bankers Life-Speech-Lodging-1 night -Feb. 19, 1986

Foreign Policy Institute of Turkey - Fact finding trip - June 30 to July 10, 1986 - meetings with government officials, Istanbul, Turkey, Transportation, food and lodging.

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Page 2 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

JERRY LEWIS
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
	Note: A copy of the Financial Dislcosure Statement for 1986 of spouse, Arlene M. Willis is attached hereto and made a part hereof by reference.

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Arlene M. Willis
(Full Name)

326 Cannon House Office Building
(Mailing Address)

Washington, D. C. 20515

(Office Use Only)

Check the appropriate box and fill in the blanks

☐ Member of the U.S. House of Representatives—District ______ State ______

☒ Officer or Employee—Employing Office Jerry Lewis, M. C.

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.

- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.

- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

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REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A SOURCE	TYPE	AMOUNT	DISPOSITION
None, except those items set forth in spouse's			
Financial Disclosure Statement for 1986, a			
copy of which is attached hereto and made a			
part hereof by reference.			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B SOURCE	TYPE	CATEGORY
Sea those items set forth in spouse's		
Financial Disclosure Statement for 1986, a		
copy of which is attached hereto and made a		
part hereof by reference.		
Rental property at 2279 Swarthmore, Sacramento, Ca. 95825	Rents	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
2279 Swarthmore, Sacramento, Ca. 95825	E
Fidelity Cash Reserves (IRA)	B
Also items set forth in spouse's Financial Disclosure	
Statement, a copy of which is attached hereto and made a	
part hereof by reference.	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Fidelity Cash Reserves (IRA)	3/4/86	A
Fidelity Cash Reserves (IRA) - SPOUSE- this item and the balance of the items can be referred to in the copy of spouse's statement attached hereto and made a part hereof by reference.	1/28/86	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
Home Savings & Loan Association, P. O. Box 3991, North Hollywood, Ca. 90088 - Mortgage on Sacramento property	C
Perpetual American Mortgage Co. (mortgage on Constitution property)	F

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None, other than wedding gifts for which a waiver was granted by the Committee on Standards of Official Conduct and filed with the Office of Records and Registration, Clerk of the House of Representatives on or about July 21, 1986.	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
See statement in A above		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE BRIEF DESCRIPTION

Items set forth in spouse's Financial Disclosure Statement,
a copy of which is attached hereto and made a part hereof by reference.

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Assistant Treasurer	Lewis for Congress Committee

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statement will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Arlene M. Willis	May 13, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

INSIDE MAIL

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

John R. Lewis
(Full Name)

501 Cannon House Office Building
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 5th State Georgia

☐ Officer or Employee—Employing Office

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X ____ NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
City of Atlanta	Salary	$8,000	
Atlanta University, Atlanta, GA (Spouse)	Salary		
El Paso Black Democrats	Hon.	$1,000	
Atlanta Constitution 1-12	Hon.	$ 200	
Southwest Georgia College 1-14	Hon.	$ 300	
GA School Food Service 4-22	Hon.	$ 200	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Old City Development	Interest	D
Old City Development	Interest	C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired** **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST" YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
NONE	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
John Lewis	May 12, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

JOHN LEWIS
5TH DISTRICT, GEORGIA
COMMITTEES
PUBLIC WORKS AND TRANSPORTATION
SUBCOMMITTEES
ECONOMIC DEVELOPMENT
PUBLIC BUILDINGS AND GROUNDS
WATER RESOURCES
INTERIOR AND INSULAR AFFAIRS
SUBCOMMITTEES
NATIONAL PARKS AND
PUBLIC LANDS
INSULAR AFFAIRS

Congress of the United States
House of Representatives
Washington, DC 20515

WASHINGTON OFFICE
501 CANNON HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-3801
DISTRICT OFFICE
THE EQUITABLE BUILDING
100 PEACHTREE STREET, N.W.
SUITE #750
ATLANTA, GEORGIA 30303
(404) 659-0116

INSIDE MAIL

May 28, 1987

The Clerk
U. S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Bldg.
Washington, D.C. 20515

mc ✓

Dear Sir:

This letter is an amendment to my 1986-1987 Disclosure Statement for Part-II (Income) of Section B (Source).

Old City Development, listed in Part-II (B), is a Washington, D.C. two-family dwellng on which I hold the mortgage. During 1986 and 1987, I received interest only payments on the outstanding mortgage balance of approximately $63,000.

If there are any further deficiencies, please contact my office.

Sincerely,

John Lewis
Member of Congress

JL:dmm

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Thomas F. (Tom) Lewis
(Full Name)

11246 Riverwood Place
(Mailing Address)

North Palm Beach, Florida 33408

MC
(Office Use Only)

RECEIVED
MAR 15 PM 1:40

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 12 State Florida

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure; all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __-__ NA ______

For more information, see detailed Instruction Booklet at page 7.

3 7 7 7 7 2 2 1 9 5 1

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION." **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See Schedule attached-Ref II-A Items 2-8			N/A

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See Schedule attached Ref II-B		N/A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See Schedule attached Re III	
Balance in Civil Service Retirement Account	C
Balance in Ira Account	B

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sale of 400 Sh Outboard Marine Corp.	11/06/86	A
Contribution to IRA	1986	A
Contribution to Civil Service Retirement Account	1986	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
See Schedule Attached Ref-V	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
N/A	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
N/A		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
McDonnell Douglas	
Travel to Los Angeles, Calif and return to	
West Palm Beach, Florida 11/9-10-11/86 for	
speaking engagement. Reimbursed for air fare,	
hotel expense and meals.	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Vice President	Marian V. Lewis, Inc.
Executive Committee	Republican Party of Florida

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Tom Lewis	5/13/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

THOMAS F. (TOM) LEWIS
11246 Riverwood Place
North Palm Beach, Florida 33408

Source	Type	Date	II-A Items 2-4 Below Gross Income 1986	Net Annual Income (Loss) 1986	Category		
					II-B Income	III Holdings	V Liabilities
1. U.S. House of Representatives	Salary as Member of Congress	1986	$ 75,100	$ 75,100	N/A	-	-
2. Connecticut General Life Ins.	Pension	1986	2,002	2,002	N/A	-	-
3. Edison Electric	Honroarium	1986	500	500	N/A	-	-
4. McDonnell Douglas, Inc.	Honorarium	1986	2,000	2,000	N/A	-	-
5. Northrup Corporation	Honorarium	1986	2,000	2,000	N/A	-	-
6. Rockwell International, Inc.	Honorarium	1986	1,000	1,000	N/A	-	-
Earned by Spouse:							
7. Marian V. Lewis, Inc.	Salary				N/A	N/A	-
8. State of Florida	Salary				N/A	N/A	-
Sunpoint Bank Lake Worth, Florida	Interest	1986	139	139	A	-	-
Beach Federal S & L	Interest	1986	213	213	A	-	-
Mr. & Mrs. John Bradshaw Mortgage	Mortgage Interest Capital Gain	1986 1986	5,312 297	5,312 297	D A	C -	- -
E.F. Hutton Cash Reserve	Cash Resv. Funds General Account	1986	2,034	2,034	C	C	-
Flagler National Bank West Palm Beach, FL	Interest	1986	369	369	A	-	-

THOMAS F. (TOM) LEWIS
11246 Riverwood Place
North Palm Beach, Florida 33408

Source	Type	Date	II-A Gross Income 1986	Net Annual Income (Loss) 1986	Category II-B Income	Category III Holdings	Category V Liabilities
Eliseo and Jocaphine Caputo	Mortgage Interest	1986	1,709	1,709	B	-	-
Mortgage on Property Sold	Capital Gain	1986	1,170	1,170	B	-	-
First American Bank of Palm Beach County, Stock owned by Spouse - 1,069 Shares	Dividends	1986	408	408	A	C	-
Merrill Lynch Brokerage Account:		1986	303	303	A	A	-
200 Sh. Loraine Gold MNADR Stock	Dividends	1986			A	A	-
200 Sh. Grootulei Prop. MADR Stock		1986			A	A	-
Thru E.F. Hutton: 10,000 Face Alaska Pipline - 8%	Bond Interest	1986	800 (Tx Free)	800	A	B	-
5,000 Face Pueblo, CO. - 7%	Bond Interest	1986	350 (Tx Free)	350	A	A	-
200 Shares Flagler National Bank	Dividend	1986	220	220	A	A	-
20 Units Hutton/Apache 1982 Energy INCM FD LTD CL	Partnership	1986	497	497	A	B	-
500 Shares Palm Beach Lakes Bank - Stock owned by Spouse	-	-,	-	-	A	A	-
1 Unit, Silver Screen Partners, II, LP, NY,NY - Owned by Spouse	Partnership	1986	274	274	A	B	-
60 Units Indian Wells 1983	Partnership	1986	554	554	A	C	-
500 Shares Marian V. Lewis, Inc. Real Estate Corp. - No Dividend Pd. Stock owned by Spouse					-	D	-

THOMAS F. (TOM) LEWIS
11246 Riverwood Place
North Palm Beach, Florida 33408

Source	Type	Date	II-A Gross Income 1986	Net Annual Income (Loss) 1986	Category II-B Income	Category III Holdings	Category V Liabilities
Rental Properties - Jointly Owned With Spouse:							
1061 Ocean Drive., Juno Beach, FL. First Nat'l Bank of Palm Beach Gardens, Florida	Rental House	1986	6,310	795	A	D	B
1081 Ocean Drive, Juno Beach, FL. 1st American Bank, North Palm Beach, Florida	Rental House	1986	6,320	(424)	-	D	C
Condo Offices - 721 U.S. Highway One, North Palm Beach, Florida	Rental	1986	28,303	8,349	B	F	
Fidelity Federal Savings & Loan							C
Home Federal Savings & Loan							C
Community Federal S & L,							C
4 Plex - Redbank Rd, Juno, FL.	Rental	1986	17,476	5,962	B		
Fidelity Federal Savings & Loan						E	C
50% Interest - Community Property 11961 U.S. Hwy 1, Juno, Florida 1st Federal S&L, Lk Worth, FL.	Rental	1986	17,020	1,629	A	D	D
1631 Congress Avenue Lake Worth, Florida	Rental	1986	5,100	258	A		
Mrs. M. Barrett; 572 Santa Fe Road, West Palm Beach, FL.						D	C
50% Interest - Military Trail Commercial Prop, Lk. Worth, FL.	Rental	1986	6,160	3,629	A		
Barnett Bank, Lk Worth, FL.						D	B
50% Interest - Commercial Prop. U.S. 1, Hobe Sound, Florida	Rental	1986	2,751	(3,038)	-	D	C

THOMAS F. (TOM) LEWIS
11246 Riverwood Place
North Palm Beach, Florida 33408

Source	Type	Date	II-A Gross Income 1986	Net Annual Income (Loss) 1986	Category II-B Income	Category III Holdings	Category V Liabilities
2 Apartments - Forest Hills North Carolina	Rental	1986	800	(4,688)	-	D	
Jackson County Savings & Loan							C
Triplex - 1316-18-20 Rumba Lane Tallahassee, Florida	Rental	1986	9,918	(3,312)	-	D	
Sun Federal Savings & Loan, Tallahassee, Florida							D
Mr. F. Langston, P.O Box 12189 Tallahassee, Florida							C
Units 19 & 20, Chez Sois - Condo Tallahassee, Florida	Rental	1986	10,440	(23,254)	-	E	
Sun Federal Savings & Loan, Tallahassee, Florida							E
Mr. F. Langston, P.O. Box 12189 Tallahassee, Florida							C
Real Estate Lot - Residential No. Palm Beach, FL. - Owned by Spouse	Investment	1986	N/A	N/A	-	E	-
First Federal Savings & Loan, Lake Worth, Florida						-	A
Townhouse, Tallahassee, Florida	Rental	1986	5,950	2,192	A	D	-
1 Lot - Residential	Investment	1986	N/A	N/A	-	B	-
15 Acres, Suwanee County, Florida	Investment	1986	N/A	N/A	-	A	-

HAND DELIVERED

TOM LEWIS
12TH DISTRICT FLORIDA

ROOM 1313
LONGWORTH HOUSE OFFICE BUILDING
WASHINGTON DC 20515
TELEPHONE (202) 225-5792

COMMITTEES
COMMITTEE ON AGRICULTURE
COMMITTEE ON SCIENCE AND TECHNOLOGY

SCIENCE POLICY TASK FORCE

Congress of the United States
House of Representatives
Washington, DC 20515

DISTRICT OFFICES
PALM BEACH GARDENS
2700 PGA BOULEVARD
SUITE ONE
PALM BEACH GARDENS FL 33410
(305) 627-6192

PORT ST LUCIE
2500 MIDPORT ROAD
SUITE 120
PORT ST LUCIE FL 33452
(305) 283-7989
(305) 466-3710

June 10, 1987

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Mc

Honorable Julian C. Dixon
Committee on Standards of Official Conduct
Suite HT-2 - U.S. Capitol
Washington, D.C. 20515

Dear Mr. Chairman:

This letter will serve as an explanation to my Financial Disclosure Statement as referred by the Financial Disclosure Checklist, Part IV - Transactions.

The townhouse listed in Tallahassee, Florida was purchased in 1982 as a personal residence and did not qualify for disclosure. In 1986, the property was used as a rental and the income reported accordingly. Throughout 1987 this property will again be used as a personal residence.

If you should have any additional questions, please do not hesitate to contact me.

Sincerely,

Tom Lewis

Tom Lewis

HAND DELIVE

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Jim Ross Lightfoot
(Full Name)

P.O. Box 455
(Mailing Address)

Shenandoah, IA 51601

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 5 State Iowa

☐ Officer or Employee—Employing Office ____

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II: INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
National Soybean Producers Association	Honoraria	$500	
National Restaurant Association	Honoraria	$1000	
Merri Widow Stores - Spouse	Bus. Income		
Ross's Zesto - Spouse	Bus. Income		

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Congressional Federal Credit Union - Washington, D.C.	Interest	A
Internal Revenue Service - Kansas City, MO	Interest	A
Security Trust & Savings - Shenandoah, IA	Interest	A
First National Bank - Essex, IA	Interest	A
Baker International	Dividends	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO __X__ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Joint Ownership of Ross' Zesto Shop	D
Joint Ownership of Merri Widow Shops	E
Stock in Apple Computer	A
Stock in Banker International	A
Individual Retirement Account - Treynor State Bank - Treynor, IA	A
Savings Account - Congressional Federal Credit Union	B

3 7 [illegible] 3 1

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Security Trust & Savings - Shenandoah, IA	C
Robert Briggs Estate - Shenandoah, IA	B
Whitney Bank & Trust - Atlantic, IA	A
Congressional Federal Credit Union - Washington, D.C.	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
N.F.I.B. Convention	Speaking Engagement All Expenses Paid By N.F.I.B. Including Transportion, Lodging and Food.

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
Iowa School For The Deaf	Graduation Commencement Speaker - Received Gift Certificate in Appreciation from Graduating Class	$200.00

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986 is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Partner	Ross's Zesto Shop
Partner	Merri Widow Shops
Representative	Iowa 5th District - U.S. House of Representatives

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
X Jim Ross Lightfoot	X 5-1-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 69-232 (4)

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Jim Ross Lightfoot
(Full Name)

P. O. Box 455
(Mailing Address)
Shenandoah, IA 51601

✓ MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 5 State Iowa

☐ Officer or Employee—Employing Office ____________

☒ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
National Soybean Producers Association 7/21/86	Honoraria	$500	
National Restaurant Association 5/15/86	Honoraria	$1000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Stock in Baker International (typo, not Banker)	A

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sale of Merri Widow Shop in Carroll, IA	November '86	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
Iowa School for the Deaf 5/10/86	Graduation Commencement Speaker - Received Gift Certificate in Appreciation from Graduating Class	$200

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
National Federation of Independent Business March 7&8	Speaking engagement
R/T airfare from Omaha to San Francisco, 2 days	
lodging and food. Spouse included.	
National Restaurant Association May 15 & 16	Attend Conference
R/T airfare from Omaha to Chicago, 2 days	
lodging and food. Spouse included.	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Jim Lightfoot	May 11, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987 [illegible]

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Jim Ross Lightfoot
(Full Name)

P. O. Box 455
(Mailing Address)

Shenandoah, IA 51601

✓
MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 5 State Iowa

☐ Officer or Employee—Employing Office

☒ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
National Soybean Producers Association 7/21/86	Honoraria	$500	
National Restaurant Association 5/15/86	Honoraria	$1000	
Iowa School for the Deaf 5/10/86	Gift Cert.	$200	
Merri Widow Stores - Spouse	Bus. Income		
Ross's Zesto - Spouse	Bus. Income		

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Congressional Federal Credit Union - Washington, D.C.	Interest	A
Internal Revenue Service - Kansas City, MO	Interest	A
Security Trust & Savings - Shenandoah, IA	Interest	A
First National Bank - Essex, IA	Interest	A
Baker International	Dividends	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Joint Ownership of Ross's Zesto Shop	D
Joint Ownership of Merri Widow Shops	E
Stock in Apple Computer	A
Stock in Baker International	A
Individual Retirement Account - Treynor State Bank - Treynor, IA	A
Savings Account - Congressional Federal Credit Union - Washington, D.C.	B

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sale of Merri Widow Shop in Carroll, IA	November '86	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Security Trust & Savings, Shenandoah, IA - Business operating loan	C
Robert Briggs Estate - Shenandoah, IA - Business purchase contract	B
Whitney Bank & Trust - Atlantic, IA - Business operating loan	A
Congressional Federal Credit Union - Washington, D.C. - Personal loan	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
National Federation of Independent Business 3/7&8	Speaking engagement
R/T airfare from Omaha to San Francisco, 2	days lodging and food.
Spouse included.	
National Restaurant Association 5/15 & 16	Attend Conference
R/T airfare from Omaha to Chicago. 2 days	lodging and food.
Spouse included.	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Partner	Ross's Zesto Shop, Shenandoah, IA
Partner	Merri Widow Shops, Shenandoah and Atlantic, IA
Representative	Iowa 5th District - U.S. House of Representatives

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature Jim Lightfoot	Date May 18, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 69-232 (a)

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND [illegible]

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

William O. Lipinski
(Full Name)

6242 West 59th Street
(Mailing Address)

Chicago, Illinois 60638

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 5th State Illinois

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
23rd Ward - Committeeman	compensation	6,500	
Chicago Board of Trade 5-23-86	honorarium	1,000	
Chicago Mercantile Exchange 5-23-86	honorarium	500	
Spouse - Sidley & Austin		n/a	
" - Nowak Communications		n/a	
" - Rose Marie Partners		n/a	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Rose Marie Building	income from building	D
5838 Archer Avenue		
Standard Federal Savings & Loan	interest	B
Association - checking & savings		
Lyons Federal Savings & Loan	interest	B
savings account		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Rose Marie Partners Building - 5838 Archer Avenue	E
Standard Federal Savings & Loan	B
IRA	C
Lyons Federal Savings & Loan	B
Chicago Park District Retirement Fund	B

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
none		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Argo Savings & Loan Association	D
holds mortgage on building at 5838 Archer Avenue	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
none	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
none		

[illegible]

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
none	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
none	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	none	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
William O. Lipinski	May 13, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE [illegible]

INSIDE MAIL

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Robert L. Livingston
(Full Name)

2412 Rayburn HOB
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

XX Member of the U.S. House of Representatives—District 1 State Louisiana

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO ✓ NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Pratt & Whitney, 1/24/86	Honoraria	$1,000	
Sikorsky Aircraft, 3/24/86	Honoraria	1,000	
Walk, Haydel & Assoc, 4/11/86	Honoraria	2,000	
LA Society of Civil Engineers, 4/18/86	Honoraria	1,000	
General Electric, 5/19/86	Honoraria	1,000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Raceland Bank	Dividend	A
Wife's mineral interest - Amoco	Royalty	B
Wife's mineral interest - Chevron (Gulf)	Royalty	A
Wife's farm income	Rent	A
Wife's mineral interest - Philips Petroleum	Royalty	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
IRA - Waters Parkerson (Merrill Lynch)	B
Trust for Children from wife's properties	A
LA Bankshares (Raceland Bank) - wife	A
1/3 interest in lot in Lacombe, LA	B
wife's 1/5 mineral interest, 3/4 acre, Pointe Coupee, LA	A

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Bought and sold Merrill Lynch Futures	Misc.	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Wright Patman Congressional Credit Union, Washington, D.C.	B
2 mortgages (excluded)	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Pratt & Whitney	Trip to Palm Beach, Florida
First Capital Corporation	Trip to Brazil
Northrop Corporation	Trip to Los Angeles, California
Heritage Foundation	Trip to Taiwan
Antelope Valley Political Action Committee	Trip to Los Angeles, California

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
N/A		

87040210255

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
N/A	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
N/A	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
N/A		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5/13/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

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Page 5 of 5

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Robert L. Livingston
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
II - Exclusions	First Capital Corporation, 5/3/86 - Honoraria - $1,000
	Northrop Corporation, 5/27/86 - Honoraria - $2,000
	Connell, Rice & Sugar, 6/9/86 - Honoraria - $2,000
	TRW, 5/28/86 - Honoraria - $1,000
	Lockheed, 5/29/86 - Honoraria - $1,000
	Tobacco Institute, 6/17/86 - Honoraria - $1,000
	Heritage Foundation, 7/17/86 - Honoraria - $2,000
	Heritage Foundation, 7/7/86 - Honoraria - $2,000
	National Assoc. of Broadcasters, 9/13/86 - Honoraria, $1,000
	Society of Industrial Engineers, 9/22/86 - Honoraria, $1,000
	Antelope Valley Political Action Committee, 10/29/86 Honoraria, $2,000
	Bryan Wagner, 12/8/86 - Honoraria - $1,000
	Charitable Contributions - Boy Scouts of America - $470.00

GPO: 1986 61-688 (m)

[illegible]

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Robert L. Livingston
(Full Name)

2412 Rayburn HOB
(Mailing Address)

Washington, D.C. 20515

✓
MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

XX Member of the U.S. House of Representatives—District 1 State Louisiana

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

[illegible]

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION".
IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Pratt & Whitney, 1/24/86	Honoraria	$1,000	
Sikorsky Aircraft, 3/24/86	Honoraria	1,000	
Walk, Haydel & Assoc. 4/11/86	Honoraria	2,000	
LA Soceity of Civil Engineers, 4/18/86	Honoraria	1,000	
General Electric, 5/19/86	Honoraria	1,000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Wife's Raceland (LA Bankshares)	Dividend	A
Wife's mineral interest, Amoco, Pt. Coupee,LA	Royalty	B
Wife's mineral interest, Chevron (Gulf)	Royalty	A
Wife's mineral interest - Philips Petroleum	Royalty	A
Wife's farm income, Raceland, LA, sugar cane	Rent	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.**
IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
2 personal homes (exluded)	
IRA - Waters Parkerson (Merrill Lynch)	B
1/3 interest in lot in Lacombe, LA	B
LA Bankshares (Raceland Bank) - wife	A
wife's 1/5 mineral interest, 3/4 acre, Pointe Coupee, LA	A
wife's 6 acres farmland, Raceland, LA	C
Trust for Children from wife's properties noted above	A

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Bought and sold Merrill Lynch stock index futures	1/1/86-4/1/86	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Wright Patman Congressional Credit Union, Washington, D.C.	B
2 mortgages (excluded)	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
N/A	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
N/A		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
Pratt & Whitney	Speaking trip to Palm Beach, FL
First Capital Corporation	Speaking trip to Brazil
Northrop Corporation	Speaking trip to Los Angeles, CA
Heritage Foundation	Speaking trip to Taiwan
Antelope Valley Political Action Committee	Speaking trip to Los Angeles,CA

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13

POSITION	NAME OF ORGANIZATION
N/A	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13

DATE	PARTIES TO	TERMS OF AGREEMENT
N/A		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5/20/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

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Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Robert L. Livingston
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*
II - Earned Income	
	First Capital Corporation, 5/3/86 - Honoraria - $1,000
	Northrop Corporation, 5/27/86 - Honoraria - $2,000
	Connell, Rice & Sugar, 6/9/86 - Honoraria - $2,000
	TRW, 5/28/86 - Honoraria - $1,000
	Lockheed, 5/29/86 - Honoraria - $1,000
	Tobacco Institute, 6/17/86 - Honoraria - $1,000
	Heritage Foundation 7/17/86 - Honoraria - $2,000
	Heritage Foundation, 7/7/86 - Honoraria - $2,000
	National Assoc. of Broadcasters, 9/13/86 - Honoraria, $1,000
	Society of Industrial Engineers, 9/22/86 - Honoraria, $1,000
	Antelope Valley Political Action Committee, 10/29/86 Honoraria, $2,000
	Bryan Wagner, 12/8/86 - Honoraria - $1,000
	Charitable Contributions - Boy Scouts of America - $470.00

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

MARILYN LLOYD
(Full Name)

2266 RHOB
(Mailing Address)
Wash., D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 3 State Tn.

☐ Officer or Employee—Employing Office

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
American Trucking Assn. (9/3/86)	Honoraria	$1,000	None

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
4435 Lilac Lane, Chattanooga, Tn.	Rent	D
4700 Murray Lake Lane, Chattanooga, Tn.	Rent	D
Wright Patman FCU	Interest	A
Merrill Lynch CMA	Dividends	A
Keystone Tax Free Fund SBI	Dividends	E

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
4435 Lilac Lane, Chattanooga, Tn.	C
4700 Murray Lake Lane, Chattanooga, Tn.	D
Wright Patman FCU (IRA-CD)	B
Merrill Lynch CMA	B
United Savings Assn., Houston, Texas (IRA-CD)	A
University Life Insurance Co.	C
Keystone Tax Free Fund	F

2 7 0 1 1 3 3 2 2

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
IRA purchase - WPFCU	2/21/86	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Interfed S&L, Chattanooga,Tn. (Mortgage)	C
Volunteer Bank & Trust Co., Chattanooga, Tn. (campaign loan)	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
n / a	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
n / a		

[illegible]

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
n / a	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
n / a	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
n / a		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Marilyn Lloyd	May 5, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987 [illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Trent Lott
(Full Name)

2185 Rayburn HOB
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 5th State MS

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
National Assoc. of Aluminum Distributors	1/2/86	Hon.	$2,000.00	
Naegele Outdoor Advertising, Inc.	1/13/86	Hon.	1,000.00	
Outdoor Advertising Assoc. of MS	1/13/86	Hon.	1,000.00	
Nat'l Forest Products Assoc.	1/20/86	Hon.	1,500.00	
Computer Sciences Corporation	2/3/86	Hon.	1,000.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Wright Patman Congressional FCU	Interest	A
Stock - Citizens National Bank	Dividends	A
Southern Federal Savings and Loan	Interest	B
Merchant and Marine Bank	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust, or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY		CATEGORY
Merchants and Marine Bank	stock	B
Ward's Fast Foods	stock	B
Sherwood Drive 1/3 partnership		D
Merchants & Marine Bank	IRA account(including spouse)	B
Merchants & Marine Bank	savings	B

87112212259

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sold stock - Citizens National Bank	5/86	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY		CATEGORY
Bank of Hattiesburg	loan, secured	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
N/A	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
Mrs. Kayo McClamrock	clothing	$250.00

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
RPM Pizza, Inc.	air travel for Bd of Directors mtg. in Detroit
Outdoor Advertising	**air travel,loding & meals for speaking engment in Palm Springs
Tobacco Institute	air travel for speaking engment in West Palm Beach
RPM Pizza, Inc.	air travel for Bd. of Dir. mtg. in Detroit
Paine Webber	**air travel,lodging & meals for speaking engment in NY

**(Spouse included)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Partnership	Sherwood Drive Development
Director	Board of Directors, RPM Pizza, Inc.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
N/A		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Trent Lott	5-14-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987

Page _____ of _____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Trent Lott
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*				
II.A	Chemical Manufacturers Assoc.		1/31/86	Hon.	$2,000.00
II.A	Grocery Manufacturers of America		1/29/86	Hon.	2,000.00
II.A	National Restaurant Assoc.		2/05/86	Hon.	2,000.00
II.A	Chamber of U.S.	(ASSIGN. TO CHARITY)	2/18/86	Hon.	500.00
II.A	Harris Corporation		2/10/86	Hon.	2,000.00
II.A	Tobacco Institute		2/28/86	Hon.	2,000.00
II.A	Bell Atlantic		3/17/86	Hon.	2,000.00
II.A	Purolator Courier		3/24/86	Hon.	2,000.00
II.A	NBC		3/24/86	Hon.	1,000.00
	($470.00 of the above 1,000.00 was assigned to charity)				
II.A	Nat'l Apartment Assoc.	(ASSIGN. TO CHARITY)	4/02/86	Hon.	2,000.00
II.A	Club Managers Assoc.	(ASSIGN. TO CHARITY)	4/15/86	Hon.	2,000.00
II.A	American Society for	(ASSIGN. TO CHARITY)	4/23/86	Hon.	500.00
	Personal Administration				
II.A	American Bankers Assoc.	(ASSIGN. TO CHARITY)	4/28/86	Hon.	2,000.00
II.A	The American	(ASSIGN. TO CHARITY)	5/03/86	Hon.	1,500.00
	Waterways Operators, Inc.				
II.A	Pearl River Valley	(ASSIGN. TO CHARITY)	5/27/86	Hon.	1,000.00
	Electric Power Assoc.				
II.A	ASAE	(ASSIGN. TO CHARITY)	5/27/86	Hon.	2,000.00
II.A	Brookings Institution	(ASSIGN. TO CHARITY)	5/30/86	Hon.	300.00
II.A	National Commercial	(ASSIGN. TO CHARITY)	5/20/86	Hon.	1,000.00
	Finance Assoc.				
II.A	GMA	(ASSIGN. TO CHARITY)	7/08/86	Hon.	2,000.00
II.A	Fiber Fabric &	(ASSIGN. TO CHARITY)	7/16/86	Hon.	2,000.00
	Apparel Coalition for Trade				
II.A	The American University	(ASSIGN. TO CHARITY)	7/10/86	Hon.	50.00
II.A	General Mills	(ASSIGN. TO CHARITY)	9/02/86	Hon.	1,000.00
II.A	American Nuclear Energy	(ASSIGN. TO CHARITY)	9/25/86	Hon.	250.00
	Council				
II.A	American Nuclear Energy	(ASSIGN. TO CHARITY)	10/29/86	Hon.	250.00
	Council				
II.A	RPM Pizza, Inc.		1/21/86	Board of Director Compensation	500.00
II.A	RPM Pizza, Inc.		2/18/86	"" ""	500.00
II.A	RPM Pizza, Inc.		5/08/86	"" ""	500.00
II.A	RPM Pizza, Inc.	(ASSIGN. TO CHARITY)	10/13/86	"" ""	500.00
II.A	RPM Pizza, Inc.	(ASSIGN. TO CHARITY)	6/18/86	"" ""	500.00
II.A	Ted P. Przybyla, DDS, LTC.			spouse's income	
II.A	Carlisle Collection, Limited			spouse's income	
II.A	self-employed(doll maker)			spouse's income	

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

AND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

William David Lowery
(Full Name)

225 Cannon HOB
(Mailing Address)

Washington, D. C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 41 State California

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 7.

[illegible] 2 1 3 2 1 1

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
(SEE ATTACHED SHEET)			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
San Diego Trust & Savings Bank	Interest	A
Wright Patman Credit Union	Interest	A
First American Bank of Virginia	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO XX NA _____

For more information, see detailed Instruction Booklet at page 8

IDENTITY	CATEGORY
San Diego Trust & Savings Bank-Money Market Account	B
Wright Patman Federal Credit Union-Savings Account	A
San Diego Trust & Savings - IRA (William D. Lowery)	A
San Diego Trust & Savings - IRA (Kathleen B. Lowery)	A
First American Bank of Virginia - KEOGH	A
WesTerra Equities Plan I - Limited Partnership	C
WesTerra Equities Plan II- Limited Partnership	C
Warfield Building, LTD. Limited Partnership	B
SEGS II Private Placement Security (Solar)	D

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
N/A		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Bank of Commerce	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
N/A	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
N/A		

2 7 0 4 1 2 1 3 4 1 3

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
(See Attached)	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13

POSITION	NAME OF ORGANIZATION
Director	Direct Communications Corporation

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	N/A	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
William David Lowery	May 22, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 69-232 (a)

Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

WILLIAM DAVID LOWERY
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*			
IIA INCOME	TANDEM COMPUTERS, INC.	2/14/86	HONORARIUM	$1,500
	HERCULES, INC.	4/15/86	HONORARIUM	1,000
	CONNELL,RICE,& SUGAR CO.,INC.	6/5/86	HONORARIUM	2,000
	HOLLYWOOD PRESBYTERIAN MEDICAL CENTER FOUNDATION	7/25/86	HONORARIUM	1,000
	DIRECT COMMUNICATIONS	6/30/86	Director Fee	2,000
	AMERICAN TRUCKERS ASSOC.	8/11/86	HONORARIUM	1,000
	DURWARD CURLEE & ASSOCIATES	8/19/86	HONORARIUM	2,000
	AM. DEHYDRATED ONION & GARLIC ASSOC.	8/18/86	HONORARIUM	1,000
	IRVINE CONSTRUCTION CO.	8/19/86	HONORARIUM	2,000
	NATIONAL UNIVERSITY	9/9/86	HONORARIUM	1,000
	NORDEN SYSTEMS, INC.	10/1/86	HONORARIUM	1,000
	AEROJET GENERAL	10/26/86	HONORARIUM	1,000
	PACIFIC BELL	10/24/86	HONORARIUM	1,000
	THE IRVINE CO.	12/1/86	HONORARIUM	2,000
	DOW, LOHNES, & ALBERTSON	12/9/86	HONORARIUM	1,000
	ATLANTIC MARINE, INC.	12/10/86	HONORARIUM	2,000

VII REIMBURSEMENTS	SOURCE	BRIEF DESCRIPTION
	CONNELL,RICE,& SUGAR CO., INC. 6/6 - 6/8/86	Transportation from Washington, D.C. to New York to Washington, D.C. 2 nights lodging + meals.
	DURWARD CURLEE & ASSOCIATES 7/13-15/86	Transportation from San Diego to Dallas to Washington, D.C. 2 nights lodging + meals.
	AM. DEHYDRATED ONION & GARLIC ASSOC. 8/19/86	Transportation from Lancaster to Gilroy, California to San Diego + lunch.
	IRVINE CONSTRUCTION CO. 8/19/86	1 night lodging + meals.
	NATIONAL UNIVERSITY 8/21-25/86	Transportation from Washington, D.C. to Costa Rica & return. 4 nights lodging + meals.
	AEROJET GENERAL 10/26/86	Transportation from San Diego to Sacramento to San Diego + lunch.
	THE IRVINE CO. 11/30 - 12/1/86	Transportation from Wash., D.C. to Orange Co. to Washington, D. C. 1 night lodging + meals
	ATLANTIC MARINE, INC.	Transportation from Washington, D. C. to Florida to Washington, D. C. 5 nights lodging + meals

BILL LOWERY
41st District, California
COMMITTEE ON APPROPRIATIONS
SUBCOMMITTEES:
MILITARY CONSTRUCTION
INTERIOR
TREASURY—POSTAL SERVICE—
GENERAL GOVERNMENT

CONGRESS OF THE UNITED STATES
HOUSE OF REPRESENTATIVES

WASHINGTON OFFICE:
225 Cannon House Office Building
Washington, DC 20515
(202) 225-3201

DISTRICT OFFICE:
880 Front Street, Room 6-S-15
San Diego, CA 92188
(619) 231-0957

INSIDE MAIL

May 27, 1987

Mc ✓

Honorable Donnald Anderson
Clerk of the House
House of Representatives
Washington, D. C. 20515

Dear Mr. ~~Anderson~~ Donn:

On my 1986 Disclosure Statement that I filed on May 22, 1987, I left out the following:

VII Reimbursements

The word spouse on Connell, Rice and Sugar, Inc.
The word spouse on Atlantic Marine, Inc.
The word spouse on National University.

The dates 12/10-12/15 on Atlantic Marine, Inc.

The source and description on an additional entry.

Aerojet General 7/3-7/6 3 nights lodging + meals
+Spouse

Thank you for your help in this matter.

Sincerely,

Bill Lowery

BILL LOWERY
Member of Congress

BL:dl

INSIDE MAIL

BILL LOWERY
41st District, California

COMMITTEE ON APPROPRIATIONS

SUBCOMMITTEES

MILITARY CONSTRUCTION
INTERIOR
TREASURY—POSTAL SERVICE—
GENERAL GOVERNMENT

CONGRESS OF THE UNITED STATES
HOUSE OF REPRESENTATIVES

Washington Office
225 Cannon House Office Building
Washington, DC 20515
(202) 225-3201

District Office
880 Front Street, Room 6-S-15
San Diego, CA 92188
(619) 231-0957

June 5, 1987

Mc ✓

Honorable Donnald Anderson
Clerk of the House
House of Representatives
Washington, D. C. 20515

Dear Donn:

In my letter of May 27, 1987, I listed a correction under VII - Reimbursements as an additional entry for my 1986 Disclosure Statement. The entry read "Aerojet General, 7/3-7/6 3 nights lodging + meals + Spouse".

The words "Aerojet General" should read "Gencorp".

Thank you for your help in correcting this error.

Sincerely,

Bill

BILL LOWERY
Member of Congress

BL:dl

3 / 1 / 7 2 1 1 3 3 1

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

MICHAEL EDWARD LOWRY
(Full Name)

2454 RAYBURN HOUSE OFFICE BUILDING
(Mailing Address)

WASHINGTON, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 7th State WA

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
American Council on Education	Honorarium	$500.00 12/18/86	Charity Homeless Soup Line Charity Fundraiser (Fremont Public Assn.)

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Washington State Gen. Obligation Bonds	Interest	C
Washington Federal Savings & Loan-Daughter	Interest	A
Continental Bonds-Daughter	Interest	A
Deed of Trust Real Estate Contract: 7331 30th SW	Interest	C
Rental Property (Gross E.)	Rent	Net A
IRA-WA Federal Savings & Loan	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY		CATEGORY
House: 1801 NE 27th, Renton, WA	Market Value: 55,000-65,000	D
9051 21st SW, Seattle, WA	Market Value: 55,000-65,000	D
4050 92nd SE, Mercer Island, WA	Market Value: 90,000-100,000	D
Washington State Gen. Obligation Bonds		C
Continental Bonds-Daughter		A
Washington Federal Savings & Loan-Daughter C.D.		B

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
SOLD (Called): Continental Bonds (Daughter)	4/86 (Est.)	A
PURCHASED: Templeton World Fund-Mutual Fund (Daughter)	4/86 (est.)	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
Capital Savings & Loan (1801 NE 27th, Renton, WA)	C
Firstbank Mortgage (4050 92nd SE, Mercer Island, WA)	C
Real Estate Contract, Elmer Carlson, (9051 21st SW, Seattle, WA)	C
Real Estate Contract, Lanon Linhoe, (9051 21st SW, Seattle, WA)	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

3 7 0 2 0 2 1 1 3 3 5

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Michael E Lowry	May 14, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

[illegible]

Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

MICHAEL EDWARD LOWRY
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*		
II.B.	IRA-Continental Bonds	Interest	A
	IRA-Spouse, First Fed. S&L of No.VA	Interest	A
	IRA-Spouse, University Fed. S&L	Interest	A
	Templeton World Fund-Daughter	Interest	A
III	U.S. Treasury Zero Coupon Bonds-Daughter		A
	IRA-Spouse, First Fed. S&L of No.VA		A
	IRA-Washington Federal S&L, Wash.,D.C.		A
	IRA-Continental Mortgage Bond		A
	IRA-Zero Coupon U.S. Treasury Bonds		A
	Templeton World Fund-Daughter		A
	U.S. Savings Bonds		A
	IRA-Zero Coupon Treasury Bonds		A
	IRA-Spouse, C.D. at University Fed. S&L Renton, WA		A

GPO: 1986 61-680 (m)

INSIDE MAIL

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

MANUEL LUJAN, JR.
(Full Name)

RM. 1323 LONGWORTH H.O.B.
(Mailing Address)

WASHINGTON, D.C., 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 1st State NEW MEXICO

☐ Officer or Employee—Employing Office

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

8 7 0 0 1 1 3 2 5

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Manuel Lujan Ins. Agency	Salary	$5000.00	----
American Trucking Assoc.	Honorarium	$1000.00	----
~~Waste Management Inc.~~	~~Honorarium~~	~~$2000.00~~	~~----~~

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Pub.Serv. Co. of New Mexico	(Re-invested dividents)	B
Wright Patman Credit Union	Interest	B
Alb. Federal Credit Union	Interest	A
W.F. Hutton Mgmy. Fund	Interest	B
Income - sale of house - 589 Pecos Loop	Monthly Pymts.	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
FOR HOLDINGS - SEE CONTINUATION SHEET ATTACHED.	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
Manuel Lujan Ins. Agency sale of Stock	1-86	F
Purchase & Sale of house at 589 Pecos Loop	10-86	C
Invested in Lujan & Lujan for purchase of Real Estate	10-86	D

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
United New Mexico Bank	E

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Waste Management, Inc. (Speech)	Travel only - 9-23-86

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	Manuel Lujan Ins. Agency
~~Chairman~~	~~First Congressional District Scholarship Fund~~

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Manuel Lujan Jr.	4-22-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

CONG. MANUEL LUJAN, JR.
(Name)

Continuation Sheet

Part

Source, Type, Amount, Category, Description or Value (As Applicable)

IDENTITY	CATEGORY
Manuel Lujan Agency (Insurance)	F
Manuel Lujan Agency (Real Estate)	B
Lujan and Lujan	F
Lujan, Marchi, Lujan	C
Public Service Co. of New Mexico (Stock)	C
E. F. Hutton Mgmt. Fund	E
Wright Patman Credit Union	A
Albuquerque Federal Credit Union	A
Contract of Sle of House - 589 Pecos Loop	C
Contract Sale of some stock - Manuel Lujan Agcy	F

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

THOMAS A. LUKEN
(Full Name)

2368, Rayburn Building
(Mailing Address)

Washington, D. C. 20515

(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 01 State OH

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts, such income, when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commission; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
see attached schedule			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
see attached schedule		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO _X_ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
see attached schedule	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
see attached schedule		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
none	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
none	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
none		

[illegible]

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a **SPEAKING ENGAGEMENT** or **FACT-FINDING EVENT** related to official duties, whether those expenses were **REIMBURSED** to the individual or **PAID DIRECTLY** by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
see attached schedule	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Thomas A. Luken	5/14/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

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Page 2 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

THOMAS A. LUKEN
(Name)

Continuation Sheet

II Income

Part
A

Source, Type, Amount, Category, Description or Value (As Applicable)

3/13/85	American Health Planning	Honoraria	$500	2/28/86
1/10/86	Caribbean Broadcasters	"	$2,000	1/19/86
1/14/86	Bonner & Assoc.(Halogenated Solvents Industry)	"	$500	1/14/86
1/16/86	Outdoor Advertising Assoc.	"	$2,000	3/10/86
2/3/86	Mich. Assoc. of Broadcasters		$2,000	3/4/86
2/21/86	SI & II	"	$1,000	2/21/86
2/27/86	Matthew Thornton Soc.	"	$1,000	2/26/86
3/4/86	Securities Industry Assoc.	"	$2,000	3/10/86
3/11/86	Nat'l Assoc. of Mfg.	"	$500	5/6/86
3/16/86	Nat'l Cable TV Assoc.	"	$1,500	3/2/86
4/14/86	NAB	"	$1,500	5/5/86
4/15/86	NARD	"	$1,500	5/19/86
4/15/86	Nat'l Asso. of Chain Drug Stores	"	$1,000	4/24/86
4/21/86	Assoc. Specialty Contractors Inc.	"	$500	5/3/86
5/4/86	Nat'l Assoc. of Chain Drug Stores	"	$1,000	7/7/86
5/13/86	MEMA-Automotive Chem. Mfg.	"	$1,000	5/1/86
5/14/86	Mergers & Acquistions	"	$2,000	5/86
5/21/86	Alliance for Caoitol Assess	"	$500	5/86
6/6/86	Commercial Bank of New York	"	$1,000	7/86
6/12/86	Ohio Mining & Reclamation	"	$2,000	7/86
7/15/86	The Tobacco Institute	"	$1,000	7/86
7/15/86	NBC	"	$1,000	7/86
8/27/86	Mercantile Exchange	"	$500	9/86
8/27/86	Chicago Board of Trade	"	$500	9/3/86
9/8/86	Amer. Assoc. of Oral & Macillofical	"	$100	9/8/86
9/17/86	Grocery Mfg. Assoc.	"	$2,000	9/86
10/9/86	New York Times(OB-ED)	"	$150	10/86
12/15/86	Miller & Schroeder Forms	"	$2,000	12/15
	Bramkamp Printing Co.	Spouse salary	$9,312	

Charitable Donations for 1986 $10,000.00

8 7 1 [illegible] 0 2 1 1 [illegible] 7 3

Page 2 of 6

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

THOMAS A. LUKEN
(Name)

Continuation Sheet

II Income

Part
B-Unearned

Source, Type, Amount, Category, Description or Value
(As Applicable)

B-Source	Centenial S&L	Interest	C
"	Pan Am World Airways	"	A
"	Southwest Bell	"	A
"	Ameritrust	"	A
B Source	Santa Fe So Pac Corp.	Dividend	A
"	Union Pac Com	"	A
"	Union Pac PFD	"	A
"	USAA Mutual Money Market	"	B
"	USAA Mutual Growth	"	C
"	USAA Mutual Sunbelt ERA	"	A

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Page 2 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

THOMAS A. LUKEN
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
III Holdings	Centenial S&L	
	Pan Am World Airways	B
	Southwest Bell	C
	Union Pacific Com	A
	Union Pacific PFD	A
	USA Money Market	D
	Condo - 644 Mass Ave. N.E. Wash. D.C.	D
	Santa Fe So Pacific Corp.	B

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Page 2 of 8

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

THOMAS A. LUKEN
(Name)

IV Transactions

Continuation Sheet

Part Acquired	*Source, Type, Amount, Category, Description or Value (As Applicable)*		
11/3/86	USAA Growth	12/11/86	C
11/6/86	USAA Growth	12/11/86	A
11/3/86	USAA Sunbelt ERA	12/11/86	C
12/3/86	USAA Growth	12/11/86	A

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Page 2 of 9

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

THOMAS A. LUKEN
(Name)

Continuation Sheet

VII Reimbursements

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
1/10/86	Caribbean Broadcasters	Conference	St. Maarten, Lodg. & Travel (wife and self)
1/16/86	Outdoor Advertising Assoc.	"	Palm Springs, Calf. Lodg. & Travel (wife & self)
2/3/86	Mich Assoc. of Broadcasters	"	Lansing, Mich Travel
2/21/86	ST&TI	Conference	W.Palm Beach, Fla. Travel & Lodg. (wife & Self)
3/24/86	R.J. Reynolds	Tour of plant	Winston Salem, NC travel
3/16/86	NCTV	conference	Dallas, Tx. Travel
4/14/86	NAB	"	Dallas, Tx. travel and Lodg.
5/4/86	Nat'l Assoc. of Chain Drug Stores		Mauii, Hawii Travel
5/14/86	Mergers & Acquistions		White Plans, N.Y. Travel
6/6/86	Commercial Bank of NY		New YOrk,NY Travel & Lodg.
6/12/86	Ohio Mining & Reclamation		Columbus,Ohio Travel
7/14/86	New YOrk Stock Exchange		New York, NY Travel & Lodg.
8/27/86	Mercantile Exchange & Chicago Board of Trade		Chicago, Ill travel
12/15/86	Miller & Schroeder Forms		Milwaukee,Wis. travel

INSIDE MAIL

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Donald Earl Lukens
(Full Name)

117 Cannon H.O.B.
(Mailing Address)

Washington, DC 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 8 State Ohio

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA __X__

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Ohio Senate	Mileage/Sal.	34,000	
Growth Management Associates	Salary/Comm.	25,000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Family Farm/ Harveysburg, Ohio	Rent	C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Family Farm, Harveysburg, Ohio Mortgage	E

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES.

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY		CATEGORY
Bank One, Columbus, Ohio	Mortgage	D
Edith Lukens	Personal Loan	C
First National/ Southwest, Ohio	Loan	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value *of all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
PRESIDENT	GROWTH MANAGEMENT ASSOCIATES

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, ~~as amended~~ (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
D E Lukens	12 May 87

WHERE TO FILE:

RETURN-COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

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U.S. GOVERNMENT PRINTING OFFICE: 1987

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Daniel Edward Lungren
(Full Name)

2440 Rayburn House Office Building
(Mailing Address)

Washington, D.C. 20515

rlC
(Office Use Only)

Check the appropriate box and fill in the blanks.

XX Member of the U.S. House of Representatives—District 42 State California

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.

- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.

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WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: *Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.*

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X____ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
SEE ATTACHED CONTINUATION SHEET			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Wright Patman Congressional Credit Union	Interest	A
United Virginia Bank	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
NONE	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE BRIEF DESCRIPTION

OCTOBER 1986 -- HONORARY MEMBERSHIP SEA CLIFF COUNTRY CLUB
HUNTINGTON BEACH, CALIFORNIA (NOMINAL VALUE; DOES NOT PROVIDE ANY
RESIDUAL INTEREST IN CLUB; NOT TRANSFERABLE)

HONORARY MEMBERSHIP LONG BEACH YACHT CLUB, LONG BEACH, CALIFORNIA
(NOMINAL VALUE; DOES NOT PROVIDE ANY RESIDUAL INTEREST IN CLUB; NOT
TRANSFERABLE)

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
SEE ATTACHED CONTINUATION SHEET	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Board of Directors	St. Anthony's High School Foundation
Board Member	American Red Cross -- Long Beach
Board of Directors	Boy's Club of Long Beach, California
Committee Member	St. Mary's Medical Center, Governmental Affairs Cmte.
Board of Directors	St. Mary's Catholic Housing Corporation

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5/15/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE [illegible]

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ETHICS IN GOVERNMENT ACT -- FINANCIAL DISCLOSURE STATEMENT

1986

DANIEL EDWARD LUNGREN

CONTINUATION SHEET

I. INCOME

A. SPOUSE, WESTPARK TRAVEL SERVICE, 7900 WESTPARK DRIVE, MCLEAN, VIRGINIA 22102, SALARY DRAW

JANUARY 3, 1986 -- ELECTRONIC INDUSTRIES ASSOCIATION --- HONORARIUM: $1,000

FEBRUARY 12, 1986 -- ASSOCIATED BUILDING CONTRACTORS --- HONORARIUM: $1,000

MARCH 21, 1986 -- NATIONAL CABLE TELEVISION HONORARIUM: $1,000

MARCH 31, 1986 -- MAGNAVOX COMPANY HONORARIUM: $1,500

MAY 5, 1986 -- AMERICAN COUNCIL ON INTERNATIONAL PERSONNEL, INC. --- HONORARIUM: $1,500

MAY 16, 1986 -- NATIONAL RESTAURANT ASSOCIATION, HONORARIUM: $1,000

JUNE 9, 1986 -- COMMERCIAL LAW LEAGUE OF AMERICA, HONORARIUM: $500

JUNE 11, 1986 -- CONGRESSIONAL QUARTERLY, INC. HONORARIUM: $500

JUNE 13, 1986 -- MANVILLE CORPORATION, HONORARIUM: $2,000

SEPTEMBER 30, 1986 -- NATIONAL RESTAURANT ASSOCIATION, HONORARIUM: $500

NOVEMBER 25, 1986 -- PFIZER INC. HONORARIUM: $1,000

NOVEMBER 26, 1986 -- CALIFORNIA CABLE TELEVISION ASSOCIATION, HONORARIUM: $1,000

[illegible]

ETHICS IN GOVERNMENT ACT -- FINANCIAL DISCLOSURE STATEMENT

1986

DANIEL EDWARD LUNGREN

CONTINUATION SHEET

PAGE TWO

VII. REIMBURSEMENTS

JANUARY 9-12, 1986 -- ELECTRONIC INDUSTRIES ASSOCIATION, WASHINGTON, D.C. TO LAS VEGAS, NEVADA ONE-WAY AIR TRAVEL, FOOD AND LODGING

MARCH 16-18, 1986 -- NATIONAL CABLE TELEVISION, DALLAS, TEXAS TO WASHINGTON, D.C. ROUND TRIP AIR TRAVEL, LODGING AND FOOD

MAY 16-18, 1986 -- NATIONAL RESTAURANT ASSOCIATION, CONGRESSMAN & SPOUSE; FOOD, LODGING AND ROUND-TRIP AIR TRAVEL WASHINGTON, D.C. TO CHICAGO, ILLINOIS

JUNE 19-20, 1986 -- MANVILLE CORPORATION ROUND TRIP AIR TRAVEL WASHINGTON, D.C. TO DENVER, COLORADO, FOOD, LODGING AND EMERGENCY MEDICAL CARE (SINUS INFECTION)

JULY 25-26, 1986 -- FRIENDS OF THE RIVER, SACRAMENTO, CALIFORNIA, CONGRESSMAN AND SPOUSE, FOOD, LODGING

NOVEMBER 25, 1986 -- PFIZER INC. ROUND TRIP AIR TRAVEL WASHINGTON, D.C. TO CLINTON, NEW JERSEY, FOOD

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